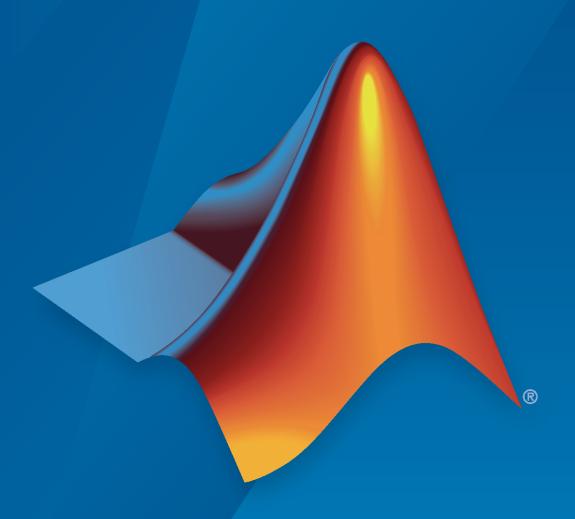
# **Reinforcement Learning Toolbox™**

Reference



# MATLAB®



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Reinforcement Learning Toolbox<sup>™</sup> Reference

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#### **Revision History**

March 2019	Online only	New for Version 1.0 (Release 2019a)
September 2019	Online only	Revised for Version 1.1 (Release 2019b)
March 2020	Online only	Revised for Version 1.2 (Release 2020a)
September 2020	Online only	Revised for Version 1.3 (Release 2020b)
March 2021	Online only	Revised for Version 2.0 (Release 2021a)
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March 2022	Online only	Revised for Version 2.2 (Release 2022a)
September 2022	Online only	Revised for Version 2.3 (Release 2022b)
March 2023	Online only	Revised for Version 2.4 (Release 2023a)

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# **Apps**

# **Reinforcement Learning Designer**

Design, train, and simulate reinforcement learning agents

# **Description**

The **Reinforcement Learning Designer** app lets you design, train, and simulate agents for existing environments.

Using this app, you can:

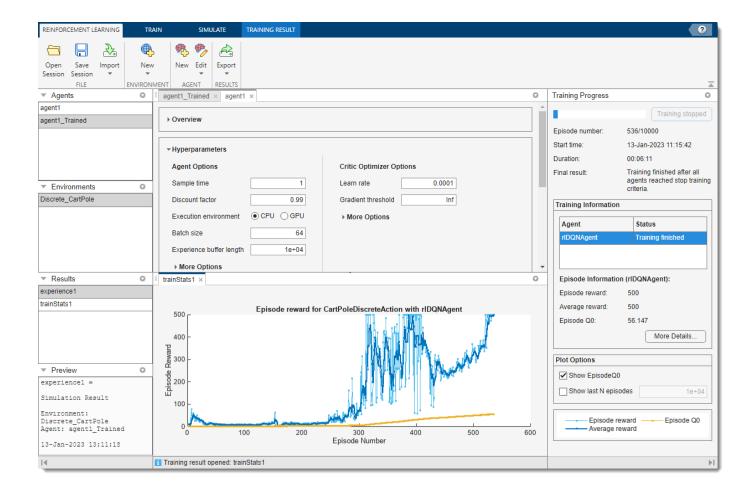
- Import an existing environment from the MATLAB® workspace or create a predefined environment.
- Automatically create or import an agent for your environment (DQN, DDPG, TD3, SAC, and PPO agents are supported).
- Train and simulate the agent against the environment.
- Analyze simulation results and refine your agent parameters.
- Export the final agent to the MATLAB workspace for further use and deployment.

#### Limitations

The following features are not supported in the **Reinforcement Learning Designer** app.

- Multi-agent systems
- · Q, SARSA, PG, AC, and SAC agents
- Custom agents
- Agents relying on table or custom basis function representations

If your application requires any of these features then design, train, and simulate your agent at the command line.



# **Open the Reinforcement Learning Designer App**

- MATLAB Toolstrip: On the **Apps** tab, under **Machine Learning and Deep Learning**, click the app icon.
- MATLAB command prompt: Enter reinforcementLearningDesigner.

# **Examples**

- "Design and Train Agent Using Reinforcement Learning Designer"
- "Train Reinforcement Learning Agents"

# **Programmatic Use**

reinforcementLearningDesigner opens the **Reinforcement Learning Designer** app. You can then import an environment and start the design process, or open a saved design session.

# **Version History**

**Introduced in R2021a** 

#### See Also

#### Apps

**Deep Network Designer** 

#### **Functions**

analyzeNetwork

#### **Objects**

rlDQNAgent | rlDDPGAgent | rlTD3Agent | rlPPOAgent

- "Design and Train Agent Using Reinforcement Learning Designer"
- "Train Reinforcement Learning Agents"
- "Create or Import MATLAB Environments in Reinforcement Learning Designer"
- "Create or Import Simulink Environments in Reinforcement Learning Designer"
- "Create Agents Using Reinforcement Learning Designer"
- "What Is Reinforcement Learning?"
- "Reinforcement Learning Agents"

# **Functions**

# accelerate

Package: rl.function

Option to accelerate computation of gradient for approximator object based on neural network

# **Syntax**

```
newAppx = accelerate(oldAppx,useAcceleration)
```

# **Description**

newAppx = accelerate(oldAppx,useAcceleration) returns the new neural-network-based function approximator object newAppx, which has the same configuration as the original object, oldAppx, and the option to accelerate the gradient computation set to the logical value useAcceleration.

# **Examples**

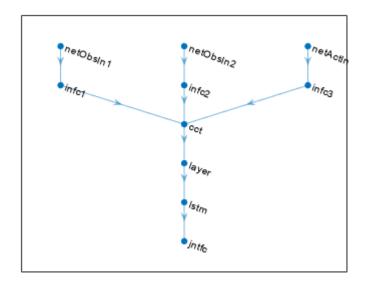
#### Accelerate Gradient Computation for a Q-Value Function

Create observation and action specification objects (or alternatively use get0bservationInfo and getActionInfo to extract the specification objects from an environment). For this example, define an observation space with two channels. The first channel carries an observation from a continuous four-dimensional space. The second carries a discrete scalar observation that can be either zero or one. Finally, the action space is a three-dimensional vector in a continuous action space.

To approximate the Q-value function within the critic, create a recurrent deep neural network. The output layer must be a scalar expressing the value of executing the action given the observation.

Define each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specification objects, and specify a name for the input layers, so you can later explicitly associate them with the appropriate environment channel. Since the network is recurrent, use sequenceInputLayer as the input layer and include an lstmLayer as one of the other network layers.

```
inPath3 = [ sequenceInputLayer( ...
                prod(actInfo(1).Dimension), ...
                Name="netActIn")
            fullyConnectedLayer(5,Name="infc3") ];
% Concatenate 3 previous layer outputs along dim 1
jointPath = [ concatenationLayer(1,3,Name="cct")
              tanhLayer
              lstmLayer(8, "OutputMode", "sequence")
              fullyConnectedLayer(1,Name="intfc") ];
% Add layers to network object
net = layerGraph;
net = addLayers(net,inPath1);
net = addLayers(net,inPath2);
net = addLayers(net,inPath3);
net = addLayers(net,jointPath);
% Connect layers
net = connectLayers(net, "infc1", "cct/in1");
net = connectLayers(net,"infc2","cct/in2");
net = connectLayers(net, "infc3", "cct/in3");
% Plot network
plot(net)
```



```
% Convert to dlnetwork and display number of weights
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 832
```

Create the critic with rlQValueFunction, using the network, and the observation and action specification objects.

To return the value of the actions as a function of the current observation, use getValue or evaluate.

When you use evaluate, the result is a single-element cell array containing the value of the action in the input, given the observation.

```
val{1}
ans = single
0.1360
```

Calculate the gradients of the sum of the three outputs with respect to the inputs, given a random observation.

The result is a cell array with as many elements as the number of input channels. Each element contains the derivatives of the sum of the outputs with respect to each component of the input channel. Display the gradient with respect to the element of the second channel.

```
gro{2}
ans = single
    0.0243
```

Obtain the gradient with respect of five independent sequences, each one made of nine sequential observations.

Display the derivative of the sum of the outputs with respect to the third observation element of the first input channel, after the seventh sequential observation in the fourth independent batch.

```
gro_batch{1}(3,4,7)
ans = single
    0.0108
```

Set the option to accelerate the gradient computations.

```
critic = accelerate(critic,true);
```

Calculate the gradients of the sum of the outputs with respect to the parameters, given a random observation.

```
grp = gradient(critic, "output-parameters", ...
                { rand(obsInfo(1).Dimension) , ...
                  rand(obsInfo(2).Dimension) , ...
                  rand(actInfo(1).Dimension) } )
grp=11×1 cell array
    { 5x4 single
    { 5x1 single
   { 5x1 single
    { 5x1 single
    { 5x3 single
    { 5x1 single
    {32x15 single
    {32x8 single
    {32x1 single
    {[0.0444 0.1280 -0.1560 0.0193 0.0262 0.0453 -0.0186 -0.0651]}
    ] }
                                                               1]}
```

Each array within a cell contains the gradient of the sum of the outputs with respect to a group of parameters.

```
{ 5x1 single } { 32x15 single } { 32x8 single } { 32x1 single } { 32x1 single } { [2.6325 10.1821 -14.0886 0.4162 2.0677 5.3991 0.3904 -8.9048]} { [ 45]}
```

If you use a batch of inputs, gradient uses the whole input sequence (in this case nine steps), and all the gradients with respect to the independent batch dimensions (in this case five) are added together. Therefore, the returned gradient always has the same size as the output from getLearnableParameters.

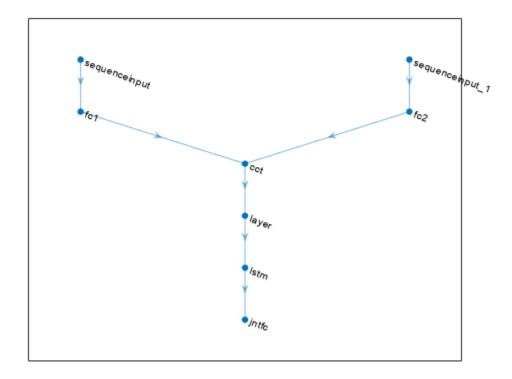
#### Accelerate Gradient Computation for a Discrete Categorical Actor

Create observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment). For this example, define an observation space with two channels. The first channel carries an observation from a continuous four-dimensional space. The second carries a discrete scalar observation that can be either zero or one. Finally, the action space consist of a scalar that can be -1, 0, or 1.

Create a deep neural network to be used as approximation model within the actor. The output layer must have three elements, each one expressing the value of executing the corresponding action, given the observation. To create a recurrent neural network, use sequenceInputLayer as the input layer and include an lstmLayer as one of the other network layers.

```
% Define paths
inPath1 = [ sequenceInputLayer(prod(obsInfo(1).Dimension))
            fullyConnectedLayer(prod(actInfo.Dimension),Name="fc1") ];
inPath2 = [ sequenceInputLayer(prod(obsInfo(2).Dimension))
            fullyConnectedLayer(prod(actInfo.Dimension),Name="fc2") ];
% Concatenate previous paths outputs along first dimension
jointPath = [ concatenationLayer(1,2,Name="cct")
              tanhLayer;
              lstmLayer(8,OutputMode="sequence");
              fullyConnectedLayer( ...
                prod(numel(actInfo.Elements)), ...
                Name="jntfc"); ];
% Add layers to network object
net = layerGraph;
net = addLayers(net,inPath1);
net = addLayers(net,inPath2);
net = addLayers(net,jointPath);
% Connect layers
net = connectLayers(net, "fc1", "cct/in1");
```

```
net = connectLayers(net,"fc2","cct/in2");
% Plot network
plot(net)
```



```
% Convert to dlnetwork and display the number of weights
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 386

Inputs:
    1 'sequenceinput' Sequence input with 4 dimensions
    2 'sequenceinput_1' Sequence input with 1 dimensions
```

Since each element of the output layer must represent the probability of executing one of the possible actions the software automatically adds a softmaxLayer as a final output layer if you do not specify it explicitly.

Create the actor with rlDiscreteCategoricalActor, using the network and the observations and action specification objects. When the network has multiple input layers, they are automatically associated with the environment observation channels according to the dimension specifications in obsInfo.

```
actor = rlDiscreteCategoricalActor(net, obsInfo, actInfo);
```

To return a vector of probabilities for each possible action, use evaluate.

To return an action sampled from the distribution, use getAction.

Set the option to accelerate the gradient computations.

```
actor = accelerate(actor,true);
```

Each array within a cell contains the gradient of the sum of the outputs with respect to a group of parameters.

```
grp_batch = gradient(actor, "output-parameters", ...
                         { rand([obsInfo(1).Dimension 5 9]) , ...
                           rand([obsInfo(2).Dimension 5 9])} )
grp batch=9×1 cell array
    {[-4.0250e-09 -4.5360e-09 -4.1017e-09 -4.2893e-09]}
    {[
                                           -1.1114e-08]}
    {[
                                           -2.3304e-081}
                                           -4.5522e-08]}
    ] }
    {32x2 single
    {32x8 single
    {32x1 single
    { 3x8 single
    { 3x1 single
```

If you use a batch of inputs, the gradient uses the whole input sequence (in this case nine steps), and all the gradients with respect to the independent batch dimensions (in this case five) are added together. Therefore, the returned gradient always has the same size as the output from getLearnableParameters.

# **Input Arguments**

#### oldAppx — Function approximator object

function approximator object

Function approximator object, specified as:

- rlValueFunction,
- rlQValueFunction,
- rlVectorQValueFunction,
- rlDiscreteCategoricalActor,
- rlContinuousDeterministicActor,
- rlContinuousGaussianActor.
- rlContinuousDeterministicTransitionFunction,
- rlContinuousGaussianTransitionFunction.
- rlContinuousDeterministicRewardFunction,
- rlContinuousGaussianRewardFunction,
- rlIsDoneFunction.

#### useAcceleration — Option to use acceleration for gradient computations

false (default) | true

Option to use acceleration for gradient computations, specified as a logical value. When useAcceleration is true, the gradient computations are accelerated by optimizing and caching some inputs needed by the automatic-differentiation computation graph. For more information, see "Deep Learning Function Acceleration for Custom Training Loops".

#### **Output Arguments**

#### newAppx — Actor or critic

approximator object

New actor or critic, returned as an approximator object with the same type as oldAppx but with the gradient acceleration option set to useAcceleration.

# **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | gradient | getLearnableParameters

#### **Objects**

rlValueFunction | rlQValueFunction | rlVectorQValueFunction | rlContinuousDeterministicActor | rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlContinuousDeterministicTransitionFunction | rlContinuousGaussianTransitionFunction | rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction | rlSDoneFunction

#### **Topics**

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Custom Reinforcement Learning Agents"

# allExperiences

Package: rl. replay

Return all experiences in replay memory buffer

# **Syntax**

```
experiences = allExperiences(buffer)
experience = allExperiences(buffer,ConcatenateMode=mode)
```

# **Description**

experiences = allExperiences(buffer) returns all experiences stored in experience buffer buffer as individual experiences, each with a batch size of 1 and a sequence length of 1.

experience = allExperiences(buffer,ConcatenateMode=mode) returns experiences concatenated along the dimension specified by mode. You can concatenate experiences along the batch dimension or the sequence dimension.

# **Examples**

#### **Extract All Experiences from Replay Memory Buffer**

Define observation specifications for the environment. For this example, assume that the environment has two observation channels: one channel with two continuous observations and one channel with a three-valued discrete observation

```
obsContinuous = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[1;5]);
obsDiscrete = rlFiniteSetSpec([1 2 3]);
obsInfo = [obsContinuous obsDiscrete];
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with one continuous action in a specified range.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 5,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,5000);
```

Append a sequence of 10 random experiences to the buffer.

```
{obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
experience(i).Reward = 10*rand(1);
experience(i).IsDone = 0;
end
append(buffer,experience);
```

After appending experiences to the buffer, you extract all of the experiences from the buffer. Extract all of the experiences as individual experiences, each with a batch size of 1 and sequence size of 1.

```
experience = allExperiences(buffer)
```

```
experience=10×1 struct array with fields:
    Observation
    Action
    NextObservation
    Reward
    IsDone
```

Alternatively, you can extract all of the experiences as a single experience batch.

```
expBatch = allExperiences(buffer,ConcatenateMode="batch")
```

```
expBatch = struct with fields:
    Observation: {[2x1x10 double] [1x1x10 double]}
    Action: {[2x1x10 double]}
    Reward: [9.5751 9.1574 7.4313 8.2346 1.8687 1.6261 5.0596 2.5428 3.5166 5.6782]

NextObservation: {[2x1x10 double] [1x1x10 double]}
    IsDone: [0 0 0 0 0 0 0 0 0]
```

# **Input Arguments**

#### buffer — Experience buffer

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

#### mode — Concatenation mode

```
"none" (default) | "batch" | "sequence"
```

Concatenation mode specified as a one of the following values.

- "none" Return experience as *N* individual experiences, each with a batch size of 1 and a sequence length of 1.
- "batch" Return experience as a single batch with a sequence length of 1.

• "sequence" — Return experience as a single sequence with a batch size of 1.

#### **Output Arguments**

#### experience — All buffered experiences

structure array | structure

All N buffered experiences, returned as a structure array or structure. When mode is:

- "none", experience is returned as a structure array of length N, where each element contains one buffered experience (batchSize = 1 and SequenceLength = 1).
- "batch", experience is returned as a structure. Each field of experience contains all buffered experiences concatenated along the batch dimension (batchSize = N and SequenceLength = 1).
- "sequence", experience is returned as a structure. Each field of experience contains all buffered experiences concatenated along the batch dimension (batchSize = 1 and SequenceLength = N).

experience contains the following fields.

#### Observation — Observation

cell array

Observation, returned as a cell array with length equal to the number of observation specifications specified when creating the buffer. Each element of Observation contains a  $D_O$ -by-batchSize-by-SequenceLength array, where  $D_O$  is the dimension of the corresponding observation specification.

#### Action — Agent action

cell array

Agent action, returned as a cell array with length equal to the number of action specifications specified when creating the buffer. Each element of Action contains a  $D_A$ -by-batchSize-by-SequenceLength array, where  $D_A$  is the dimension of the corresponding action specification.

#### Reward — Reward value

scalar | array

Reward value obtained by taking the specified action from the observation, returned as a 1-by-1-by-SequenceLength array.

#### NextObservation — Next observation

cell array

Next observation reached by taking the specified action from the observation, returned as a cell array with the same format as Observation.

#### IsDone — Termination signal

integer | array

Termination signal, returned as a 1-by-1-by-SequenceLength array of integers. Each element of IsDone has one of the following values.

• 0 — This experience is not the end of an episode.

- 1 The episode terminated because the environment generated a termination signal.
- 2 The episode terminated by reaching the maximum episode length.

# **Version History**

**Introduced in R2022b** 

#### See Also

#### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory |
rlHindsightPrioritizedReplayMemory

# append

```
Package: rl.replay
```

Append experiences to replay memory buffer

# **Syntax**

```
append(buffer,experience)
append(buffer,experience,dataSourceID)
```

# **Description**

append(buffer, experience) appends the experiences in experience to the replay memory buffer.

append(buffer,experience,dataSourceID) appends experiences for the specified data source
to the replay memory buffer.

# **Examples**

#### **Create Experience Buffer**

Define observation specifications for the environment. For this example, assume that the environment has a single observation channel with three continuous signals in specified ranges.

```
obsInfo = rlNumericSpec([3 1],...
    LowerLimit=0,...
    UpperLimit=[1;5;10]);
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with two continuous signals in specified ranges.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 20,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,20000);
```

Append a single experience to the buffer using a structure. Each experience contains the following elements: current observation, action, next observation, reward, and is-done.

For this example, create an experience with random observation, action, and reward values. Indicate that this experience is not a terminal condition by setting the IsDone value to 0.

```
exp.Observation = {obsInfo.UpperLimit.*rand(3,1)};
exp.Action = {actInfo.UpperLimit.*rand(2,1)};
exp.Reward = 10*rand(1);
exp.NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
exp.IsDone = 0;
```

Before appending experience to the buffer, you can validate whether the experience is compatible with the buffer. The validateExperience function generates an error if the experience is incompatible with the buffer.

```
validateExperience(buffer,exp)
```

Append the experience to the buffer.

```
append(buffer,exp);
```

You can also append a batch of experiences to the experience buffer using a structure array. For this example, append a sequence of 100 random experiences, with the final experience representing a terminal condition.

```
for i = 1:100
    expBatch(i).Observation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    expBatch(i).Reward = 10*rand(1);
    expBatch(i).NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).IsDone = 0;
end
expBatch(100).IsDone = 1;
validateExperience(buffer,expBatch)
append(buffer,expBatch);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 50 experiences from the buffer.

```
miniBatch = sample(buffer,50);
```

You can sample a horizon of data from the buffer. For example, sample a horizon of 10 consecutive experiences with a discount factor of 0.95.

```
horizonSample = sample(buffer,1,...
    NStepHorizon=10,...
    DiscountFactor=0.95);
```

The returned sample includes the following information.

- Observation and Action are the observation and action from the first experience in the horizon.
- NextObservation and IsDone are the next observation and termination signal from the final experience in the horizon.
- Reward is the cumulative reward across the horizon using the specified discount factor.

You can also sample a sequence of consecutive experiences. In this case, the structure fields contain arrays with values for all sampled experiences.

```
sequenceSample = sample(buffer,1,...
SequenceLength=20);
```

#### **Create Experience Buffer with Multiple Observation Channels**

Define observation specifications for the environment. For this example, assume that the environment has two observation channels: one channel with two continuous observations and one channel with a three-valued discrete observation.

```
obsContinuous = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[1;5]);
obsDiscrete = rlFiniteSetSpec([1 2 3]);
obsInfo = [obsContinuous obsDiscrete];
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with one continuous action in a specified range.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 5,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,5000);
```

Append a sequence of 50 random experiences to the buffer.

```
for i = 1:50
    exp(i).Observation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    exp(i).NextObservation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Reward = 10*rand(1);
    exp(i).IsDone = 0;
end
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 10 experiences from the buffer.

```
miniBatch = sample(buffer,10);
```

#### **Input Arguments**

#### buffer — Experience buffer

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

#### experience — Experience to append to the buffer

structure | structure array

Experience to append to the buffer, specified as a structure or structure array with the following fields.

#### Observation — Observation

cell array

Observation, specified as a cell array with length equal to the number of observation specifications specified when creating the buffer. The dimensions of each element in <code>Observation</code> must match the dimensions in the corresponding observation specification.

#### Action — Agent action

cell array

Action taken by the agent, specified as a cell array with length equal to the number of action specifications specified when creating the buffer. The dimensions of each element in Action must match the dimensions in the corresponding action specification.

#### Reward — Reward value

scalar

Reward value obtained by taking the specified action from the starting observation, specified as a scalar.

#### NextObservation — Next observation

cell array

Next observation reached by taking the specified action from the starting observation, specified as a cell array with the same format as Observation.

#### IsDone — Termination signal

0 | 1 | 2

Termination signal, specified as one of the following values.

- 0 This experience is not the end of an episode.
- 1 The episode terminated because the environment generated a termination signal.
- 2 The episode terminated by reaching the maximum episode length.

#### dataSourceID — Data source index

0 (default) | nonnegative integer | array of nonnegative integers

Data source index, specified as a nonnegative integer or array of nonnegative integers.

If experience is a scalar structure, specify dataSourceID as a scalar integer.

If experience is a structure array, specify dataSourceID as an array with length equal to the length of experience. You can specify different data source indices for each element of experience. If all elements in experience come from the same data source, you can specify dataSourceID as a scalar integer.

# **Version History**

**Introduced in R2022a** 

# **See Also**

#### **Functions**

sample

### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory |
rlHindsightPrioritizedReplayMemory

# barrierPenalty

Logarithmic barrier penalty value for a point with respect to a bounded region

# **Syntax**

```
p = barrierPenalty(x,xmin,xmax)
p = barrierPenalty( ____, maxValue, curvature)
```

# **Description**

p = barrierPenalty(x,xmin,xmax) calculates the nonnegative (logarithmic barrier) penalty vector p for the point x with respect to the region bounded by xmin and xmax. p has the same dimension as x. This syntax uses the default values of 1 and 0.1 for the maxValue and curvature parameters of the barrier function, respectively.

p = barrierPenalty( \_\_\_\_, maxValue, curvature) specifies both the maxValue and curvature parameters of the barrier function. If maxValue is an empty matrix its default value is used. Likewise if curvature is an empty matrix or it is omitted, its default value is used.

# **Examples**

#### Calculate Logarithmic Barrier Penalty for a Point

This example shows how to use the logarithmic barrierPenalty function to calculate the barrier penalty for a given point, with respect to a bounded region.

Calculate the penalty value for the point 0.1 within the interval [-2,2] using default values for the maximum value and curvature parameters.

```
barrierPenalty(0.1, -2, 2)
ans = 2.5031e-04
Calculate the penalty value for the point 4 outside the interval [-2,2].
```

```
barrierPenalty(4,-2,2)
ans = 1
```

Calculate the penalty value for the point 4 outside the interval [-2,2], using a maximum value parameter of 5.

```
barrierPenalty(4,-2,2,5)
ans = 5
```

Calculate the penalty value for the point 0.1 inside the interval [-2,2], using a curvature parameter of 0.5.

```
barrierPenalty(0.1,-2,2,5,0.5)
```

```
ans = 0.0013
```

Calculate the penalty value for the point [-2,0,4] with respect to the box defined by [0,1], [-1,1], and [-2,2] along the x, y, and z dimensions, respectively, using the default value for maximum value and a curvature parameter of 0.

```
barrierPenalty([-2 0 4],[0 -1 -2],[1 1 2],1,0) ans = 3 \times 1

1
0
1
```

#### **Visualize Penalty Values for an Interval**

Create a vector of 1001 equidistant points distributed between -5 and 5.

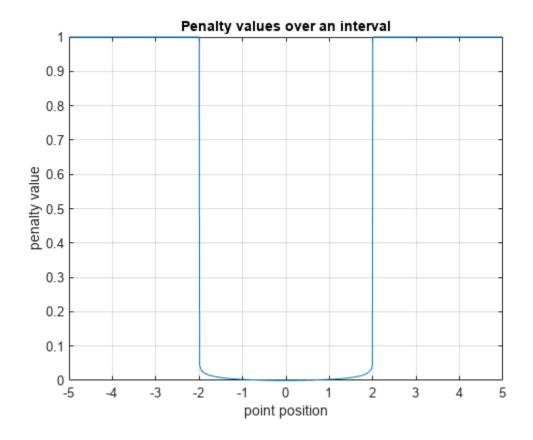
```
x = -5:0.01:5;
```

Calculate penalties for all the points in the vector, using the default value for the maximum value parameter and a value of 0.01 for the curvature parameter.

```
p = barrierPenalty(x, -2, 2, 1, 0.01);
```

Plot the points and add grid, labels and title.

```
plot(x,p)
grid
xlabel("point position");
ylabel("penalty value");
title("Penalty values over an interval");
```



# **Input Arguments**

#### x — Point for which the penalty is calculated

scalar | vector | matrix

Point for which the penalty is calculated, specified as a numeric scalar, vector or matrix.

Example: [0.5; 1.6]

#### xmin — Lower bounds

scalar | vector | matrix

Lower bounds for x, specified as a numeric scalar, vector or matrix. To use the same minimum value for all elements in x, specify xmin as a scalar.

Example: -1

#### **xmax** — Upper bounds

scalar | vector | matrix

Upper bounds for x, specified as a numeric scalar, vector or matrix. To use the same maximum value for all elements in x, specify xmax as a scalar.

Example: 2

#### maxValue — Maximum value parameter of the barrier function

1 (default) | nonnegative scalar

Maximum value parameter of the barrier function, specified as a scalar.

Example: 2

#### curvature — Curvature parameter of the barrier function

0.1 (default) | nonnegative scalar

Curvature parameter of the barrier function, specified as a scalar.

Example: 0.2

# **Output Arguments**

#### p — Penalty value

nonnegative vector

Penalty value, returned as a vector of nonnegative elements. Each element  $p_i$  depends on the position of  $x_i$  with respect to the interval specified by  $xmin_i$  and  $xmax_i$ . The barrier penalty function returns the value

$$p(x) = \min(p_{\text{max}}, C(\log(0.25(x_{\text{max}} - x_{\text{min}})^2) - \log((x - x_{\text{min}})(x_{\text{max}} - x_{\text{min}}))))$$

when  $x_{min} < x < x_{max}$ , and maxValue otherwise. Here, C is the argument curvature, and  $p_{max}$  is the argument maxValue. Note that for positive values of C the returned penalty value is always positive. If C is zero, then the returned penalty is zero inside the interval defined by the bounds, and  $p_{max}$  outside this interval. If x is multidimensional, then the calculation is applied independently on each dimension. Penalty functions are typically used to generate negative rewards when constraints are violated, such as in generateRewardFunction.

# **Version History**

Introduced in R2021b

# **Extended Capabilities**

#### C/C++ Code Generation

Generate C and C++ code using MATLAB® Coder™.

#### See Also

#### **Functions**

generateRewardFunction|exteriorPenalty|hyperbolicPenalty

#### **Topics**

- "Generate Reward Function from a Model Predictive Controller for a Servomotor"
- "Generate Reward Function from a Model Verification Block for a Water Tank System"
- "Define Reward Signals"

# bus2RLSpec

Create reinforcement learning data specifications for elements of a Simulink bus

# **Syntax**

```
specs = bus2RLSpec(busName)
specs = bus2RLSpec(busName,Name,Value)
```

# **Description**

specs = bus2RLSpec(busName) creates a set of reinforcement learning data specifications from the Simulink® bus object specified by busName. One specification element is created for each leaf element in the corresponding Simulink bus. Use these specifications to define actions and observations for a Simulink reinforcement learning environment.

specs = bus2RLSpec(busName, Name, Value) specifies options for creating specifications using
one or more Name, Value pair arguments.

# **Examples**

#### Create an observation specification object from a bus object

This example shows how to use the function bus2RLSpec to create an observation specification object from a Simulink® bus object.

Create a bus object.

```
obsBus = Simulink.Bus();
```

Create three elements in the bus and specify their names.

```
obsBus.Elements(1) = Simulink.BusElement;
obsBus.Elements(1).Name = "sin_theta";
obsBus.Elements(2) = Simulink.BusElement;
obsBus.Elements(2).Name = "cos_theta";
obsBus.Elements(3) = Simulink.BusElement;
obsBus.Elements(3).Name = "dtheta";
```

Create the observation specification objects using the Simulink bus object.

```
obsInfo = bus2RLSpec("obsBus");
```

You can then use obsInfo, together with the corresponding Simulink model, to create a reinforcement learning environment. For an example, see "Train DDPG Agent to Swing Up and Balance Pendulum with Bus Signal".

#### Create an action specification object from a bus object

This example shows how to call the function bus2RLSpec using name and value pairs to create an action specification object from a Simulink® bus object.

Create a bus object.

```
actBus = Simulink.Bus();
```

Create one element in the bus and specify the name.

```
actBus.Elements(1) = Simulink.BusElement;
actBus.Elements(1).Name = "actuator";
```

Create the observation specification objects using the Simulink bus object.

```
actInfo = bus2RLSpec("actBus", "DiscreteElements", {"actuator", [-1 1]});
```

This specifies that the "actuator" bus element can carry two possible values, -1, and 1.

You can then use actInfo, together with the corresponding Simulink model, to create a reinforcement learning environment. Specifically the function that creates the environment uses actInfo to determine the right bus output of the agent block.

For an example, see "Train DDPG Agent to Swing Up and Balance Pendulum with Bus Signal".

#### **Input Arguments**

#### busName — Name of Simulink bus object

string | character vector

Name of Simulink bus object, specified as a string or character vector.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Before R2021a, use commas to separate each name and value, and enclose Name in quotes.

Example: 'DiscreteElements', {'force',  $[-5\ 0\ 5]$ } sets the 'force' bus element to be a discrete data specification with three possible values, -5, 0, and 5

#### **Model — Name of Simulink model**

```
string | character vector
```

Name of the Simulink model, specified as the comma-separated pair consisting of 'Model' and a string or character vector. Specify the model name when the bus object is defined in the model global workspace (for example, in a data dictionary) instead of the MATLAB workspace.

#### **BusElementNames** — Names of bus leaf elements

```
string array
```

Names of bus leaf elements for which to create specifications, specified as the comma-separated pair consisting of 'BusElementNames' and a string array. To create observation specifications for a

subset of the elements in a Simulink bus object, specify BusElementNames. If you do not specify BusElementNames, a data specification is created for each leaf element in the bus.

**Note** Do not specify BusElementNames when creating specifications for action signals. The RL Agent block must output the full bus signal.

#### DiscreteElements — Finite values for discrete bus elements

cell array of name-value pairs

Finite values for discrete bus elements, specified as the comma-separated pair consisting of 'DiscreteElements' and a cell array of name-value pairs. Each name-value pair consists of a bus leaf element name and an array of discrete values. The specified discrete values must be castable to the data type of the specified action signal.

If you do not specify discrete values for an element specification, the element is continuous.

Example: 'ActionDiscretElements', {'force', [-10 0 10], 'torque', [-5 0 5]} specifies discrete values for the 'force' and 'torque' leaf elements of a bus action signal.

# **Output Arguments**

#### specs — Data specifications

rlNumericSpec object | rlFiniteSetSpec object | array of data specification objects

Data specifications for reinforcement learning actions or observations, returned as one of the following:

- rlNumericSpec object for a single continuous bus element
- rlFiniteSetSpec object for a single discrete bus element
- Array of data specification objects for multiple bus elements

By default, all data specifications for bus elements are rlNumericSpec objects. To create a discrete specification for one or more bus elements, specify the element names using the DiscreteElements name-value pair.

# **Version History**

Introduced in R2019a

#### See Also

#### **Functions**

rlSimulinkEnv | createIntegratedEnv

#### **Objects**

rlNumericSpec | rlFiniteSetSpec

#### **Blocks**

RL Agent

**Topics** "Create Simulink Reinforcement Learning Environments"

# cancel

Package: rl.env

Cancel unfinished reinforcement learning environment simulations on workers

# **Syntax**

cancel(F)

# **Description**

cancel(F) stops all simulations scheduled on workers corresponding to the elements of F that are in the 'pending', 'queued', or 'running' state. For elements in the 'finished' state, no action is taken.

#### **Examples**

#### **Defer Outputs of Simulations Running on Workers**

This example shows how to use Future objects and their methods fetchNext, fetchOutput, cancel, and wait to defer output retrieval for environment simulations running on workers, monitor the status of ongoing simulations, fetch outputs of completed simulations, cancel ongoing simulations, or wait for ongoing simulations to complete.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train AC Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Discrete");
load("MATLABCartpoleAC.mat","agent")
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To display the simulation completion times, start a timer.

tic

Schedule six simulation to run on the available workers. At the beginning of the simulation, the reset function of the cart-pole environment sets the initial angle of the pole to a random position in the neighborhood of zero (the upward position). This randomization ensures that each simulation is different.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Each element of the Future array ftr represents a scheduled simulation.

#### ftr

```
ftr=1×6 object
 1×6 Future array with properties:
    Read
    State
    Diary
    ID
```

Display the state of each simulation.

#### ftr.State

```
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
ans =
'queued'
ans =
'queued'
```

Two simulations are ongoing while the others are queued.

Use fetchNext with a timeout of 0.1 seconds to retrieve results for simulations that complete within that time (if any).

```
[idx,out] = fetchNext(ftr,0.1)
idx =
    []
out =
```

Both the outputs are empty, which means that none of the four simulation has completed yet.

Display how many output results have been already retrieved.

#### ftr.Read

```
ans = logical
```

```
\begin{array}{l} \operatorname{ans} &= \operatorname{logical} \\ 0 \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \end{array}
\operatorname{ans} &= \operatorname{logical} \\ \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \end{array}
```

Use fetchNext without any timeout to wait until an unretrieved simulation output becomes available and then return the results.

Display the state of the simulations.

#### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
```

As expected, the first two simulations, which were running in parallel on the two workers, are finished, while the next two, which were previously queued, are now running, and the final two are still queued.

Display the time taken for the first two simulations to complete.

#### toc

```
Elapsed time is 10.451231 seconds.
```

Note that once the results from a simulation has been already retrieved, any attempt to use fetchNext to retrieve it again, such as in fetchNext(ftr(2)), will result in an error. To retrieve the results from a Future object that has already been read, you can use fetchOuptuts, such as in fetchOutputs(ftr(2)).

Retrieve the next available result, and display the time elapsed since the simulations started.

As expected, fetchNext promptly returns the results from the second simulation, since it was already available.

Display how many output results have been already retrieved.

#### ftr.Read

```
\begin{array}{l} \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \end{array}
```

Cancel the last simulation.

```
cancel(ftr(6))
```

Wait for the fourth simulation to complete. The wait function blocks the command prompt until the fourth simulation is completed.

```
wait(ftr(4))
```

Display the elapsed time since the simulations started.

#### toc

```
Elapsed time is 12.414076 seconds.
```

Display the state of the simulations.

#### ftr.State

```
ans =
'finished'

ans =
'finished'

ans =
'finished'

ans =
'finished'

ans =
'running'

ans =
'finished'
```

The status of the last element of the array, for which the simulation has been canceled, is classified as 'finished'.

Since any attempt to retrieve results from a simulation that has been canceled will result in an error, remove the canceled object from the array.

#### ftr(6)=[]

```
ftr=1×5 object
  1×5 Future array with properties:
    Read
    State
    Diary
    ID
```

Use fetchOutputs to wait until all remaining simulations are completed and then retrieve all outputs.

```
outs = fetchOutputs(ftr)
```

```
outs=5×1 struct array with fields:
    SimulationInfo
    AgentData
```

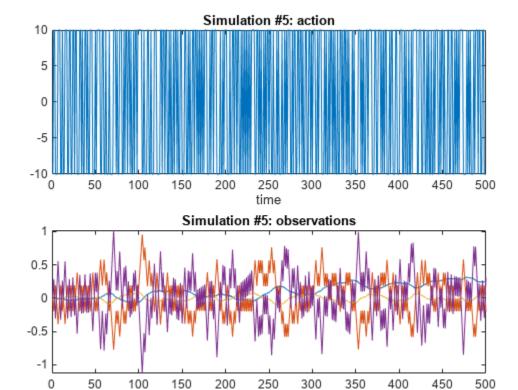
Display the elapsed time.

```
toc
```

```
Elapsed time is 16.265069 seconds.
```

Plot the action and observations from the fifth simulation.

#### figure



Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

time

```
clear ftr
clear env
delete(pp)
```

## **Input Arguments**

#### **F** — Future simulation outputs

Future object | array of Future objects

Future simulation outputs, specified as a Future object or as an array of Future objects. To create an element of F, set the UseParallel property of a reinforcement learning environment to true, and then use runEpisode to simulate an agent or a policy within this environment. Assign the element of F to the output of runEpisode.

## **Version History**

Introduced in R2022a

#### See Also

#### **Objects**

Future | Future | Simulink. Simulation. Future

#### **Functions**

fetchNext | fetchOutputs | wait | runEpisode | setup | cleanup | reset

#### **Topics**

"Custom Training Loop with Simulink Action Noise"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Train Agents Using Parallel Computing and GPUs"

## cleanup

Package: rl.env

Clean up reinforcement learning environment or data logger object

## **Syntax**

```
cleanup(env)
cleanup(lgr)
```

## **Description**

When you define a custom training loop for reinforcement learning, you can simulate an agent or policy against an environment using the runEpisode function. Use the cleanup function to clean up the environment after running simulations using multiple calls to runEpisode. To clean up the environment after each simulation, you can configure runEpisode to automatically call the cleanup function at the end of each episode.

Also use cleanup to perform clean up tasks for a FileLogger or MonitorLogger object after logging data within a custom training loop.

#### **Environment Objects**

cleanup(env) cleans up the specified reinforcement learning environment after running multiple simulations using runEpisode.

#### **Data Logger Objects**

cleanup(lgr) cleans up the specified data logger object after logging data within a custom training loop. This task might involve for example transferring any remaining data from lgr internal memory to a logging target (either "Log Data to Disk in a Custom Training Loop" on page 2-36 a MAT file or a trainingProgressMonitor object).

## **Examples**

#### Simulate Environment and Agent

Create a reinforcement learning environment and extract its observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the Q-value function withing the critic, use a neural network. Create a network as an array of layer objects.

```
net = [...
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(24)
    reluLayer
```

```
fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(2)
    softmaxLayer];
Convert the network to a dlnetwork object and display the number of learnable parameters
(weights).
net = dlnetwork(net);
summary(net)
   Initialized: true
   Number of learnables: 770
   Inputs:
           'input'
      1
                      4 features
Create a discrete categorical actor using the network.
actor = rlDiscreteCategoricalActor(net,obsInfo,actInfo);
Check your actor with a random observation.
act = getAction(actor,{rand(obsInfo.Dimension)})
act = 1x1 cell array
    {[-10]}
Create a policy object from the actor.
policy = rlStochasticActorPolicy(actor);
Create an experience buffer.
buffer = rlReplayMemory(obsInfo,actInfo);
Set up the environment for running multiple simulations. For this example, configure the training to
log any errors rather than send them to the command window.
setup(env,StopOnError="off")
Simulate multiple episodes using the environment and policy. After each episode, append the
experiences to the buffer. For this example, run 100 episodes.
for i = 1:100
    output = runEpisode(env,policy,MaxSteps=300);
    append(buffer,output.AgentData.Experiences)
Clean up the environment.
cleanup(env)
Sample a mini-batch of experiences from the buffer. For this example, sample 10 experiences.
batch = sample(buffer,10);
You can then learn from the sampled experiences and update the policy and actor.
```

#### Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file.

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

flgr.LoggingOptions.FileNameRule, in the folder specified by flgr.LoggingOptions.LoggingDirectory.

```
for iter = 1:10

% Store three random numbers in memory
% as elements of the variable "Context1"
for ct = 1:3
        store(flgr, "Context1", rand, iter);
end

% Store a random number in memory
% as the variable "Context2"
   store(flgr, "Context2", rand, iter);

% Write data to file every 4 iterations
if mod(iter,4)==0
        write(flgr);
end
```

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

## Input Arguments

#### env — Reinforcement learning environment

```
environment object | ...
```

Reinforcement learning environment, specified as one of the following objects.

- rlFunctionEnv Environment defined using custom functions.
- SimulinkEnvWithAgent Simulink environment created using rlSimulinkEnv or createIntegratedEnv
- rlMDPEnv Markov decision process environment
- rlNeuralNetworkEnvironment Environment with deep neural network transition models
- Predefined environment created using rlPredefinedEnv
- Custom environment created from a template (rlCreateEnvTemplate)

If env is a SimulinkEnvWithAgent object and the associated Simulink model is configured to use fast restart, then cleanup terminates the model compilation.

### lgr — Date logger object

FileLogger object | MonitorLogger object | ...

Data logger object, specified as either a FileLogger or a MonitorLogger object.

## **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

runEpisode | setup | reset | store | write

#### **Objects**

rlFunctionEnv | rlMDPEnv | SimulinkEnvWithAgent | rlNeuralNetworkEnvironment |
FileLogger | MonitorLogger

#### **Topics**

"Custom Training Loop with Simulink Action Noise"

## createGridWorld

Create a two-dimensional grid world for reinforcement learning

## **Syntax**

```
GW = createGridWorld(m,n)
GW = createGridWorld(m,n,moves)
```

## **Description**

GW = createGridWorld(m,n) creates a grid world GW of size m-by-n with default actions of ['N';'S';'E';'W'].

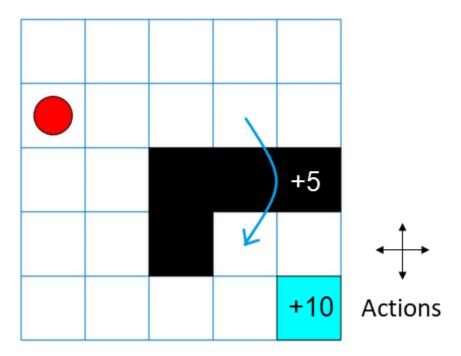
GW = createGridWorld(m,n,moves) creates a grid world GW of size m-by-n with actions specified by moves.

## **Examples**

#### **Create Grid World Environment**

For this example, consider a 5-by-5 grid world with the following rules:

- A 5-by-5 grid world bounded by borders, with 4 possible actions (North = 1, South = 2, East = 3, West = 4).
- **2** The agent begins from cell [2,1] (second row, first column).
- 3 The agent receives reward +10 if it reaches the terminal state at cell [5,5] (blue).
- **4** The environment contains a special jump from cell [2,4] to cell [4,4] with +5 reward.
- **5** The agent is blocked by obstacles in cells [3,3], [3,4], [3,5] and [4,3] (black cells).
- **6** All other actions result in -1 reward.



First, create a GridWorld object using the createGridWorld function.

```
GW = createGridWorld(5,5)
```

Now, set the initial, terminal and obstacle states.

```
GW.CurrentState = '[2,1]';
GW.TerminalStates = '[5,5]';
GW.ObstacleStates = ["[3,3]";"[3,4]";"[3,5]";"[4,3]"];
```

Update the state transition matrix for the obstacle states and set the jump rule over the obstacle states.

```
updateStateTranstionForObstacles(GW)
GW.T(state2idx(GW,"[2,4]"),:,:) = 0;
GW.T(state2idx(GW,"[2,4]"),state2idx(GW,"[4,4]"),:) = 1;
```

Next, define the rewards in the reward transition matrix.

```
nS = numel(GW.States);
nA = numel(GW.Actions);
```

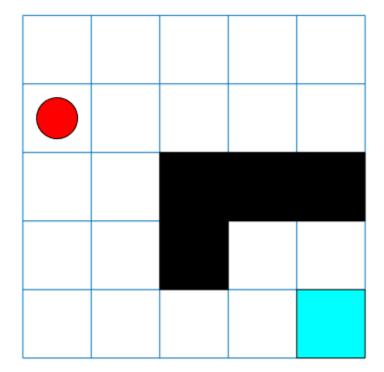
```
GW.R = -1*ones(nS,nS,nA);
GW.R(state2idx(GW,"[2,4]"),state2idx(GW,"[4,4]"),:) = 5;
GW.R(:,state2idx(GW,GW.TerminalStates),:) = 10;
```

Now, use rlMDPEnv to create a grid world environment using the GridWorld object GW.

```
env = rlMDPEnv(GW)
env =
  rlMDPEnv with properties:
     Model: [1x1 rl.env.GridWorld]
     ResetFcn: []
```

You can visualize the grid world environment using the plot function.

plot(env)



## **Input Arguments**

#### m — Number of rows of the grid world

scalar

Number of rows of the grid world, specified as a scalar.

#### n — Number of columns of the grid world

scalar

Number of columns of the grid world, specified as a scalar.

#### moves — Action names

```
'Standard' (default) | 'Kings'
```

Action names, specified as either 'Standard' or 'Kings'. When moves is set to

- 'Standard', the actions are ['N'; 'S'; 'E'; 'W'].
- 'Kings', the actions are ['N';'S';'E';'W';'NE';'NW';'SE';'SW'].

### **Output Arguments**

#### GW — Two-dimensional grid world

GridWorld object

Two-dimensional grid world, returned as a GridWorld object with properties listed below. For more information, see "Create Custom Grid World Environments".

#### GridSize — Size of the grid world

[m,n] vector

Size of the grid world, specified as a [m,n] vector.

#### CurrentState — Name of the current state

string

Name of the current state, specified as a string.

#### States — State names

string vector

State names, specified as a string vector of length m\*n.

#### Actions — Action names

string vector

Action names, specified as a string vector. The length of the Actions vector is determined by the moves argument.

Actions is a string vector of length:

- Four, if moves is specified as 'Standard'.
- Eight, moves is specified as 'Kings'.

#### T — State transition matrix

3D array

State transition matrix, specified as a 3-D array, which determines the possible movements of the agent in an environment. State transition matrix T is a probability matrix that indicates how likely the agent will move from the current state s to any possible next state s by performing action a. T is given by,

$$T(s, s', a) = probability(s'|s, a)$$
.

T is:

- A K-by-K-by-4 array, if moves is specified as 'Standard'. Here, K = m\*n.
- A K-by-K-by-8 array, if moves is specified as 'Kings'.

#### R — Reward transition matrix

3D array

Reward transition matrix, specified as a 3-D array, determines how much reward the agent receives after performing an action in the environment. R has the same shape and size as state transition matrix T. Reward transition matrix R is given by,

$$r = R(s, s', a)$$
.

R is:

- A K-by-K-by-4 array, if moves is specified as 'Standard'. Here, K = m\*n.
- A K-by-K-by-8 array, if moves is specified as 'Kings'.

# **ObstacleStates** — **State** names that cannot be reached in the grid world string vector

State names that cannot be reached in the grid world, specified as a string vector.

# **TerminalStates — Terminal state names in the grid world** string vector

Terminal state names in the grid world, specified as a string vector.

## **Version History**

Introduced in R2019a

## See Also

#### **Functions**

rlPredefinedEnv

#### **Objects**

rlMDPEnv

### **Topics**

"Train Reinforcement Learning Agent in Basic Grid World"

"Create Custom Grid World Environments"

## createIntegratedEnv

Create Simulink model for reinforcement learning, using reference model as environment

## **Syntax**

```
env = createIntegratedEnv(refModel,newModel)
[env,agentBlock,obsInfo,actInfo] = createIntegratedEnv(____)
[___] = createIntegratedEnv(____,Name,Value)
```

## **Description**

env = createIntegratedEnv(refModel, newModel) creates a Simulink model with the name specified by newModel and returns a reinforcement learning environment object, env, for this model. The new model contains an RL Agent block and uses the reference model refModel as a reinforcement learning environment for training the agent specified by this block.

 $[env, agentBlock, obsInfo, actInfo] = createIntegratedEnv( \___ ) \ returns the block path to the RL Agent block in the new model and the observation and action data specifications for the reference model, obsInfo and actInfo, respectively. \\$ 

[ \_\_\_ ] = createIntegratedEnv( \_\_\_ , Name, Value) creates a model and environment interface using port, observation, and action information specified using one or more Name, Value pair arguments.

## **Examples**

#### Create Environment from Simulink Model

This example shows how to use createIntegratedEnv to create an environment object starting from a Simulink model that implements the system with which the agent. Such a system is often referred to as *plant*, *open-loop* system, or *reference* system, while the whole (integrated) system including the agent is often referred to as the *closed-loop* system.

For this example, use the flying robot model described in "Train DDPG Agent to Control Flying Robot" as the reference (open-loop) system.

Open the flying robot model.

```
open system('rlFlyingRobotEnv')
```

Initialize the state variables and sample time.

```
% initial model state variables
theta0 = 0;
x0 = -15;
y0 = 0;
% sample time
Ts = 0.4;
```

Create the Simulink model myIntegratedEnv containing the flying robot model connected in a closed loop to the agent block. The function also returns the reinforcement learning environment object env to be used for training.

The function can also return the block path to the RL Agent block in the new integrated model, as well as the observation and action specifications for the reference model.

```
[~,agentBlk,observationInfo,actionInfo] = createIntegratedEnv( ...
    'rlFlyingRobotEnv','myIntegratedEnv')
agentBlk =
'myIntegratedEnv/RL Agent'
observationInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "observation"
   Description: [0x0 string]
      Dimension: [7 1]
      DataType: "double"
actionInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "action"
   Description: [0x0 string]
      Dimension: [2 1]
      DataType: "double"
```

Returning the block path and specifications is useful in cases in which you need to modify descriptions, limits, or names in observationInfo and actionInfo. After modifying the specifications, you can then create an environment from the integrated model IntegratedEnv using the rlSimulinkEnv function.

#### **Create Integrated Environment with Specified Port Names**

```
Open the open-loop water tank model.
```

open\_system("rlWatertankOpenloop")

```
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```

Set the sample time of the discrete integrator block used to generate the observation, so the simulation can run.

```
Ts = 1;
```

Call createIntegratedEnv using name-value pairs to specify port names. The first argument of createIntegratedEnv is the name of the *reference* Simulink model that contains the system with which the agent must interact. Such a system is often referred to as *plant*, or *open-loop* system.

For this example, the reference system is the model of a water tank. The input port is called u (instead of action), and the first and third output ports are called y and stop (instead of observation and isdone). Specify the port names using name-value pairs.

The new model IntegratedWatertank contains the reference model connected in a closed-loop with the agent block. The function also returns the reinforcement learning environment object to be used for training.

## **Input Arguments**

#### refModel — Reference model name

string | character vector

Reference model name, specified as a string or character vector. This is the Simulink model implementing the system that the agent needs to interact with. Such a system is often referred to as *plant, open loop* system or *reference* system, while the whole (integrated) system including the agent is often referred to as the *closed loop* system. The new Simulink model uses this reference model as the dynamic model of the environment for reinforcement learning.

#### newModel — New model name

```
string | character vector
```

New model name, specified as a string or character vector. createIntegratedEnv creates a Simulink model with this name, but does not save the model.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Before R2021a, use commas to separate each name and value, and enclose Name in quotes.

Example: 'IsDonePortName', "stopSim" sets the stopSim port of the reference model as the source of the isdone signal.

#### ObservationPortName — Reference model observation output port name

"observation" (default) | string | character vector

Reference model observation output port name, specified as the comma-separated pair consisting of 'ObservationPortName' and a string or character vector. Specify ObservationPortName when the name of the observation output port of the reference model is not "observation".

#### ActionPortName — Reference model action input port name

"action" (default) | string | character vector

Reference model action input port name, specified as the comma-separated pair consisting of 'ActionPortName' and a string or character vector. Specify ActionPortName when the name of the action input port of the reference model is not "action".

#### RewardPortName — Reference model reward output port name

"reward" (default) | string | character vector

Reference model reward output port name, specified as the comma-separated pair consisting of 'RewardPortName' and a string or character vector. Specify RewardPortName when the name of the reward output port of the reference model is not "reward".

#### IsDonePortName — Reference model done flag output port name

"isdone" (default) | string | character vector

Reference model done flag output port name, specified as the comma-separated pair consisting of 'IsDonePortName' and a string or character vector. Specify IsDonePortName when the name of the done flag output port of the reference model is not "isdone".

# **ObservationBusElementNames** — **Names of observation bus leaf elements** string array

Names of observation bus leaf elements for which to create specifications, specified as a string array. To create observation specifications for a subset of the elements in a Simulink bus object, specify BusElementNames. If you do not specify BusElementNames, a data specification is created for each leaf element in the bus.

ObservationBusElementNames is applicable only when the observation output port is a bus signal.

Example: 'ObservationBusElementNames',["sin" "cos"] creates specifications for the observation bus elements with the names "sin" and "cos".

## **ObservationDiscreteElements** — **Finite values for observation specifications** cell array of name-value pairs

Finite values for discrete observation specification elements, specified as the comma-separated pair consisting of 'ObservationDiscreteElements' and a cell array of name-value pairs. Each name-value pair consists of an element name and an array of discrete values.

If the observation output port of the reference model is:

 A bus signal, specify the name of one of the leaf elements of the bus specified in by ObservationBusElementNames • Nonbus signal, specify the name of the observation port, as specified by ObservationPortName

The specified discrete values must be castable to the data type of the specified observation signal.

If you do not specify discrete values for an observation specification element, the element is continuous.

Example: 'ObservationDiscretElements', {'observation', [-1 0 1]} specifies discrete values for a nonbus observation signal with default port name observation.

Example: 'ObservationDiscretElements', { 'gear', [-1 0 1 2], 'direction', [1 2 3 4]} specifies discrete values for the 'gear' and 'direction' leaf elements of a bus action signal.

#### ActionDiscreteElements — Finite values for action specifications

cell array of name-value pairs

Finite values for discrete action specification elements, specified as the comma-separated pair consisting of 'ActionDiscreteElements' and a cell array of name-value pairs. Each name-value pair consists of an element name and an array of discrete values.

If the action input port of the reference model is:

- A bus signal, specify the name of a leaf element of the bus
- Nonbus signal, specify the name of the action port, as specified by ActionPortName

The specified discrete values must be castable to the data type of the specified action signal.

If you do not specify discrete values for an action specification element, the element is continuous.

Example: 'ActionDiscretElements', {'action', [-1 0 1]} specifies discrete values for a nonbus action signal with default port name 'action'.

Example: 'ActionDiscretElements', {'force', [-10 0 10], 'torque', [-5 0 5]} specifies discrete values for the 'force' and 'torque' leaf elements of a bus action signal.

## **Output Arguments**

#### env - Reinforcement learning environment

SimulinkEnvWithAgent object

Reinforcement learning environment interface, returned as an SimulinkEnvWithAgent object.

#### agentBlock — Block path to the agent block

character vector

Block path to the agent block in the new model, returned as a character vector. To train an agent in the new Simulink model, you must create an agent and specify the agent name in the RL Agent block indicated by agentBlock.

For more information on creating agents, see "Reinforcement Learning Agents".

#### obsInfo — Observation data specifications

rlNumericSpec object | rlFiniteSetSpec object | array of data specification objects

Observation data specifications, returned as one of the following:

- rlNumericSpec object for a single continuous observation specification
- rlFiniteSetSpec object for a single discrete observation specification
- Array of data specification objects for multiple specifications

#### actInfo — Action data specifications

rlNumericSpec object | rlFiniteSetSpec object | array of data specification objects

Action data specifications, returned as one of the following:

- rlNumericSpec object for a single continuous action specification
- rlFiniteSetSpec object for a single discrete action specification
- · Array of data specification objects for multiple action specifications

## **Version History**

Introduced in R2019a

#### See Also

#### **Functions**

rlSimulinkEnv|bus2RLSpec

#### **Objects**

rlNumericSpec | rlFiniteSetSpec

#### **Blocks**

**RL** Agent

#### **Topics**

"Create Simulink Reinforcement Learning Environments"

## createMDP

Create Markov decision process model

## **Syntax**

```
MDP = createMDP(states,actions)
```

## **Description**

MDP = createMDP(states,actions) creates a Markov decision process model with the specified states and actions.

## **Examples**

#### **Create MDP Model**

Create an MDP model with eight states and two possible actions.

```
MDP = createMDP(8,["up";"down"]);
```

Specify the state transitions and their associated rewards.

```
% State 1 Transition and Reward
MDP.T(1,2,1) = 1;
MDP.R(1,2,1) = 3;
MDP.T(1,3,2) = 1;
MDP.R(1,3,2) = 1;
% State 2 Transition and Reward
MDP.T(2,4,1) = 1;
MDP.R(2,4,1) = 2;
MDP.T(2,5,2) = 1;
MDP.R(2,5,2) = 1;
% State 3 Transition and Reward
MDP.T(3,5,1) = 1;
MDP.R(3,5,1) = 2;
MDP.T(3,6,2) = 1;
MDP.R(3,6,2) = 4;
% State 4 Transition and Reward
MDP.T(4,7,1) = 1;
MDP.R(4,7,1) = 3;
MDP.T(4,8,2) = 1;
MDP.R(4,8,2) = 2;
% State 5 Transition and Reward
MDP.T(5,7,1) = 1;
MDP.R(5,7,1) = 1;
MDP.T(5,8,2) = 1;
MDP.R(5,8,2) = 9;
```

```
% State 6 Transition and Reward
MDP.T(6,7,1) = 1;
MDP.R(6,7,1) = 5;
MDP.T(6,8,2) = 1;
MDP.R(6,8,2) = 1;
% State 7 Transition and Reward
MDP.T(7,7,1) = 1;
MDP.R(7,7,1) = 0;
MDP.T(7,7,2) = 1;
MDP.R(7,7,2) = 0;
% State 8 Transition and Reward
MDP.T(8,8,1) = 1;
MDP.R(8,8,1) = 0;
MDP.T(8,8,2) = 1;
MDP.R(8,8,2) = 0;
Specify the terminal states of the model.
MDP.TerminalStates = ["s7";"s8"];
```

### **Input Arguments**

#### states — Model states

positive integer | string vector

Model states, specified as one of the following:

- Positive integer Specify the number of model states. In this case, each state has a default name, such as "s1" for the first state.
- String vector Specify the state names. In this case, the total number of states is equal to the length of the vector.

#### actions — Model actions

positive integer | string vector

Model actions, specified as one of the following:

- Positive integer Specify the number of model actions. In this case, each action has a default name, such as "a1" for the first action.
- String vector Specify the action names. In this case, the total number of actions is equal to the length of the vector.

## **Output Arguments**

#### MDP - MDP model

GenericMDP object

MDP model, returned as a GenericMDP object with the following properties.

#### **CurrentState** — Name of the current state

string

Name of the current state, specified as a string.

#### **States — State names**

string vector

State names, specified as a string vector with length equal to the number of states.

#### **Actions — Action names**

string vector

Action names, specified as a string vector with length equal to the number of actions.

#### T — State transition matrix

3D array

State transition matrix, specified as a 3-D array, which determines the possible movements of the agent in an environment. State transition matrix T is a probability matrix that indicates how likely the agent will move from the current state s to any possible next state s by performing action a. T is an S-by-S-by-A array, where S is the number of states and A is the number of actions. It is given by:

$$T(s, s', a) = probability(s'|s, a)$$
.

The sum of the transition probabilities out from a nonterminal state **s** following a given action must sum up to one. Therefore, all stochastic transitions out of a given state must be specified at the same time.

For example, to indicate that in state 1 following action 4 there is an equal probability of moving to states 2 or 3, use the following:

$$MDP.T(1,[2 3],4) = [0.5 0.5];$$

You can also specify that, following an action, there is some probability of remaining in the same state. For example:

```
MDP.T(1,[1 2 3 4],1) = [0.25 0.25 0.25 0.25];
```

#### R — Reward transition matrix

3D array

Reward transition matrix, specified as a 3-D array, which determines how much reward the agent receives after performing an action in the environment. R has the same shape and size as state transition matrix T. The reward for moving from state s to state s' by performing action a is given by:

$$r = R(s, s', a)$$
.

#### TerminalStates — Terminal state names in the grid world

string vector

Terminal state names in the grid world, specified as a string vector of state names.

## **Version History**

Introduced in R2019a

## See Also

#### **Functions**

createGridWorld

**Objects** rlMDPEnv

**Topics** "Train Reinforcement Learning Agent in MDP Environment"

## evaluate

Package: rl.function

Evaluate function approximator object given observation (or observation-action) input data

## **Syntax**

```
outData = evaluate(fcnAppx,inData)
[outData,state] = evaluate(fcnAppx,inData)
```

## **Description**

outData = evaluate(fcnAppx,inData) evaluates the function approximator object (that is, the
actor or critic) fcnAppx given the input value inData. It returns the output value outData.

[outData, state] = evaluate(fcnAppx, inData) also returns the updated state of fcnAppx when it contains a recurrent neural network.

## **Examples**

#### **Evaluate a Function Approximator Object**

This example shows you how to evaluate a function approximator object (that is, an actor or a critic). For this example, the function approximator object is a discrete categorical actor and you evaluate it given some observation data, obtaining in return the action probability distribution and the updated network state.

Load the same environment used in "Train PG Agent to Balance Cart-Pole System", and obtain the observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env)

obsInfo =
    rlNumericSpec with properties:

    LowerLimit: -Inf
    UpperLimit: Inf
        Name: "CartPole States"

    Description: "x, dx, theta, dtheta"
        Dimension: [4 1]
        DataType: "double"

actInfo = getActionInfo(env)

actInfo =
    rlFiniteSetSpec with properties:

    Elements: [-10 10]
        Name: "CartPole Action"
```

```
Description: [0x0 string]
  Dimension: [1 1]
  DataType: "double"
```

To approximate the policy within the actor, use a recurrent deep neural network. Define the network as an array of layer objects. Get the dimensions of the observation space and the number of possible actions directly from the environment specification objects.

```
net = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(8)
    reluLayer
    lstmLayer(8,OutputMode="sequence")
    fullyConnectedLayer(numel(actInfo.Elements)) ];
```

Convert the network to a dlnetwork object and display the number of weights.

Create a stochastic actor representation for the network.

```
actor = rlDiscreteCategoricalActor(net,obsInfo,actInfo);
```

Use evaluate to return the probability of each of the two possible actions. Note that the type of the returned numbers is single, not double.

```
[prob,state] = evaluate(actor,{rand(obsInfo.Dimension)});
prob{1}
ans = 2x1 single column vector
    0.4847
    0.5153
```

Since a recurrent neural network is used for the actor, the second output argument, representing the updated state of the neural network, is not empty. In this case, it contains the updated (cell and hidden) states for the eight units of the lstm layer used in the network.

```
state{:}
ans = 8x1 single column vector
   -0.0833
    0.0619
   -0.0066
   -0.0651
    0.0714
   -0.0957
    0.0614
```

```
-0.0326

ans = 8x1 single column vector

-0.1367
    0.1142
-0.0158
-0.1820
    0.1305
-0.1779
    0.0947
-0.0833
```

You can use getState and setState to extract and set the current state of the actor.

#### getState(actor)

You can obtain action probabilities and updated states for a batch of observations. For example, use a batch of five independent observations.

```
obsBatch = reshape(1:20,4,1,5,1);
[prob,state] = evaluate(actor,{obsBatch})
prob = 1x1 cell array
    {2x5 single}

state=2x1 cell array
    {8x5 single}
    {8x5 single}
```

The output arguments contain action probabilities and updated states for each observation in the batch.

Note that the actor treats observation data along the batch length dimension independently, not sequentially.

#### prob{1}

```
ans = 2x5 single matrix
    0.5187    0.5869    0.6048    0.6124    0.6155
    0.4813    0.4131    0.3952    0.3876    0.3845

prob = evaluate(actor,{obsBatch(:,:,[5 4 3 1 2])});
prob{1}
```

```
ans = 2x5 single matrix

0.6155  0.6124  0.6048  0.5187  0.5869

0.3845  0.3876  0.3952  0.4813  0.4131
```

To evaluate the actor using sequential observations, use the sequence length (time) dimension. For example, obtain action probabilities for five independent sequences, each one made of nine sequential observations.

The first output argument contains a vector of two probabilities (first dimension) for each element of the observation batch (second dimension) and for each time element of the sequence length (third dimension).

The second output argument contains two vectors of final states for each observation batch (that is, the network maintains a separate state history for each observation batch).

Display the probability of the second action, after the seventh sequential observation in the fourth independent batch.

```
prob{1}(2,4,7)
ans = single
    0.5675
```

For more information on input and output format for recurrent neural networks, see the Algorithms section of lstmLayer.

## Input Arguments

#### fcnAppx — Function approximator object

function approximator object

Function approximator object, specified as:

- rlValueFunction,
- rlQValueFunction,
- rlVectorQValueFunction,
- rlDiscreteCategoricalActor,
- rlContinuousDeterministicActor,
- rlContinuousGaussianActor,

- rlContinuousDeterministicTransitionFunction,
- rlContinuousGaussianTransitionFunction,
- rlContinuousDeterministicRewardFunction,
- rlContinuousGaussianRewardFunction,
- rlIsDoneFunction object.

#### inData — Input data for function approximator

cell array

Input data for the function approximator, specified as a cell array with as many elements as the number of input channels of fcnAppx. In the following section, the number of observation channels is indicated by  $N_O$ .

- If fcnAppx is an rlQValueFunction, an rlContinuousDeterministicTransitionFunction or an rlContinuousGaussianTransitionFunction object, then each of the first  $N_O$  elements of inData must be a matrix representing the current observation from the corresponding observation channel. They must be followed by a final matrix representing the action.
- If fcnAppx is a function approximator object representing an actor or critic (but not an rlQValueFunction object), inData must contain  $N_O$  elements, each one a matrix representing the current observation from the corresponding observation channel.
- If fcnAppx is an rlContinuousDeterministicRewardFunction, an rlContinuousGaussianRewardFunction, or an rlIsDoneFunction object, then each of the first  $N_O$  elements of inData must be a matrix representing the current observation from the corresponding observation channel. They must be followed by a matrix representing the action, and finally by  $N_O$  elements, each one being a matrix representing the next observation from the corresponding observation channel.

Each element of inData must be a matrix of dimension  $M_C$ -by- $L_B$ -by- $L_S$ , where:

- $M_C$  corresponds to the dimensions of the associated input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of (independent) inputs, specify  $L_B > 1$ . If inData has multiple elements, then  $L_B$  must be the same for all elements of inData.
- L<sub>S</sub> specifies the sequence length (length of the sequence of inputs along the time dimension) for
  recurrent neural network. If fcnAppx does not use a recurrent neural network (which is the case
  for environment function approximators, as they do not support recurrent neural networks), then
  L<sub>S</sub> = 1. If inData has multiple elements, then L<sub>S</sub> must be the same for all elements of inData.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

```
Example: \{rand(8,3,64,1), rand(4,1,64,1), rand(2,1,64,1)\}
```

## **Output Arguments**

outData — Output data from evaluation of function approximator object cell array Output data from the evaluation of the function approximator object, returned as a cell array. The size and contents of outData depend on the type of object you use for fcnAppx, and are shown in the following list. Here,  $N_O$  is the number of observation channels.

- rlContinuousDeterministicTransitionFunction  $N_O$  matrices, each one representing the predicted observation from the corresponding observation channel.
- rlContinuousGaussianTransitionFunction  $N_O$  matrices representing the mean value of the predicted observation for the corresponding observation channel, followed by  $N_O$  matrices representing the standard deviation of the predicted observation for the corresponding observation channel.
- rlContinuousGaussianActor Two matrices representing the mean value and standard deviation of the action, respectively.
- rlDiscreteCategoricalActor A matrix with the probabilities of each action.
- rlContinuousDeterministicActor A matrix with the action.
- rlVectorQValueFunction A matrix with the values of each possible action.
- rloValueFunction A matrix with the value of the action.
- rlValueFunction A matrix with the value of the current observation.
- rlContinuousDeterministicRewardFunction A matrix with the predicted reward as a function of current observation, action, and next observation following the action.
- rlContinuousGaussianRewardFunction Two matrices representing the mean value and standard deviation, respectively, of the predicted reward as a function of current observation, action, and next observation following the action.
- rlisDoneFunction A vector with the probabilities of the predicted termination status. Termination probabilities range from 0 (no termination predicted) or 1 (termination predicted), and depend (in the most general case) on the values of observation, action, and next observation following the action.

Each element of outData is a matrix of dimensions D-by- $L_B$ -by- $L_S$ , where:

- *D* is the vector of dimensions of the corresponding output channel of fcnAppx. Depending on the type of approximator function, this channel can carry a predicted observation (or its mean value or standard deviation), an action (or its mean value or standard deviation), the value (or values) of an observation (or observation-action couple), a predicted reward, or a predicted termination status.
- $L_B$  is the batch size (length of a batch of independent inputs).
- $L_S$  is the sequence length (length of the sequence of inputs along the time dimension) for a recurrent neural network. If fcnAppx does not use a recurrent neural network (which is the case for environment function approximators, as they do not support recurrent neural networks), then  $L_S = 1$ .

Note If fcnAppx is a critic, then evaluate behaves identically to getValue except that it returns results inside a single-cell array. If fcnAppx is an rlContinuousDeterministicActor actor, then evaluate behaves identically to getAction. If fcnAppx is a stochastic actor such as an rlDiscreteCategoricalActor or rlContinuousGaussianActor, then evaluate returns the action probability distribution, while getAction returns a sample action. Specifically, for an rlDiscreteCategoricalActor actor object, evaluate returns the probability of each possible action. For an rlContinuousGaussianActor actor object, evaluate returns the mean and standard deviation of the Gaussian distribution. For these kinds of actors, see also the note in getAction regarding the enforcement of constraints set by the action specification.

Note If fcnAppx is an rlContinuousDeterministicRewardFunction object, then evaluate behaves identically to predict except that it returns results inside a single-cell array. If fcnAppx is an rlContinuousDeterministicTransitionFunction object, then evaluate behaves identically to predict. If fcnAppx is an rlContinuousGaussianTransitionFunction object, then evaluate returns the mean value and standard deviation the observation probability distribution, while predict returns an observation sampled from this distribution. Similarly, for an rlContinuousGaussianRewardFunction object, evaluate returns the mean value and standard deviation the reward probability distribution, while predict returns a reward sampled from this distribution. Finally, if fcnAppx is an rlIsDoneFunction object, then evaluate returns the probabilities of the termination status being false or true, respectively, while predict returns a predicted termination status sampled with these probabilities.

#### state — Updated state of function approximator object

cell array

Next state of the function approximator object, returned as a cell array. If fcnAppx does not use a recurrent neural network (which is the case for environment function approximators), then state is an empty cell array.

You can set the state of the representation to state using the setState function. For example:

critic = setState(critic,state);

## **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

getValue|getAction|getMaxQValue|accelerate|gradient|predict

#### **Objects**

rlValueFunction | rlQValueFunction | rlVectorQValueFunction | rlContinuousDeterministicActor | rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlContinuousDeterministicTransitionFunction | rlContinuousGaussianTransitionFunction | rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction | rlSDoneFunction

#### **Topics**

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Custom Reinforcement Learning Agents"

## exteriorPenalty

Exterior penalty value for a point with respect to a bounded region

## **Syntax**

```
p = exteriorPenalty(x,xmin,xmax,method)
```

## **Description**

p = exteriorPenalty(x, xmin, xmax, method) uses the specified method to calculate the nonnegative (exterior) penalty vector p for the point x with respect to the region bounded by xmin and xmax. p has the same dimension as x.

## **Examples**

ans = 4

#### **Calculate Exterior Penalty for Point**

This example shows how to use the exteriorPenalty function to calculate the exterior penalty for a given point, with respect to a bounded region.

Calculate the penalty value for the point 0.1 within the interval [-2,2], using the step method.

```
exteriorPenalty(0.1,-2,2,"step")
ans = 0
```

Calculate the penalty value for the point 4 outside the interval [-2,2], using the step method.

```
exteriorPenalty(4,-2,2,"step")
ans = 1
```

Calculate the penalty value for the point 4 outside the interval [-2,2], using the quadratic method.

```
exteriorPenalty(4,-2,2,"quadratic")
```

Calculate the penalty value for the point [-2,0,4] with respect to the box defined by the intervals [0,1], [-1,1], and [-2,2] along the x, y, and z dimensions, respectively, using the quadratic method.

```
exteriorPenalty([-2 0 4],[0 -1 -2],[1 1 2],"quadratic") ans = 3 \times 1
```

#### Visualize Penalty Values for an Interval

Create a vector of 1001 equidistant points distributed between -5 and 5.

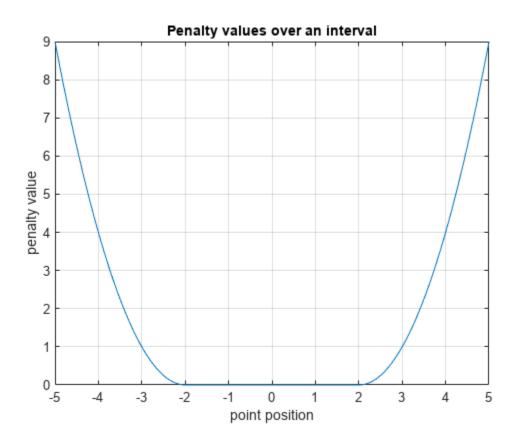
```
x = -5:0.01:5;
```

Calculate penalties for all the points in the vector, using the quadratic method.

```
p = exteriorPenalty(x,-2,2,"quadratic");
```

Plot the points and add grid, labels, and title.

```
plot(x,p)
grid
xlabel("point position");
ylabel("penalty value");
title("Penalty values over an interval");
```



## **Input Arguments**

## x — Point for which penalty is calculated

scalar | vector | matrix

Point for which the exterior penalty is calculated, specified as a numeric scalar, vector, or matrix.

Example: [-0.1, 1.3]

#### xmin — Lower bounds

scalar | vector | matrix

Lower bounds for x, specified as a numeric scalar, vector, or matrix. To use the same minimum value for all elements in x, specify xmin as a scalar.

Example: -2

#### **xmax** — Upper bounds

scalar | vector | matrix

Upper bounds for x, specified as a numeric scalar, vector, or matrix. To use the same maximum value for all elements in x, specify xmax as a scalar.

Example: [5 10]

#### method — Function used to calculate the penalty

'step'|'quadratic'

Function used to calculate the penalty, specified either as 'step' or 'quadratic'. You can also use strings instead of character vectors.

Example: "quadratic"

### **Output Arguments**

#### p — Penalty value

nonnegative vector

Penalty value, returned as a vector of nonnegative elements. With either of the two methods, each element  $p_i$  is zero if the corresponding  $x_i$  is within the region specified by  $xmin_i$  and  $xmax_i$ , and it is positive otherwise. Penalty functions are typically used to generate negative rewards when constraints are violated, such as in generateRewardFunction.

## Version History

**Introduced in R2021b** 

## **Extended Capabilities**

#### **C/C++ Code Generation**

Generate C and C++ code using MATLAB® Coder™.

### See Also

#### **Functions**

generateRewardFunction | hyperbolicPenalty | barrierPenalty

#### Topics

"Generate Reward Function from a Model Predictive Controller for a Servomotor"

"Define Reward Signals"

## fetchNext

Package: rl.env

Retrieve next available unread outputs from a reinforcement learning environment simulations running on workers

## **Syntax**

```
[idx,out] = fetchNext(F)
[idx,out] = fetchNext(F,timeout)
```

## **Description**

[idx,out] = fetchNext(F) blocks the command prompt and waits for an unread element of F
(which corresponds to a simulation scheduled on a worker) to reach a finished state. It then returns
the index idx of the simulation that finished and the corresponding output out, which is a scalar
structure consistent with the output of runEpisode.

[idx,out] = fetchNext(F,timeout) waits for a maximum of timeout seconds for a result to become available. If no simulation is finished after timeout seconds, then both outputs are returned as empty arrays.

## **Examples**

#### **Retrieve Next Unread Output from Environment Simulation**

This example shows how to use fetchNext to retrieve the next available unread result from reinforcement learning environment simulations running on workers.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train MBPO Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Continuous");
load("MATLABCartpoleMBPO.mat", "agent");
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To record the completion time of each simulation, start a timer.

tic

Initialize two vectors to store the average reward values and the completion time for each simulation, respectively.

```
avr = zeros(6,1);
sct = zeros(6,1);
```

Schedule six simulations to run on the available workers. At the beginning of the simulation, the reset function of the environment sets the initial angle of the pole randomly around zero (the upward position), thereby ensuring that each simulation is unique.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Use fetchNext in a loop to retrieve results.

```
while ~all([ftr.Read] == true)
  % Wait until an output is available then retrieve it
  [i,out] = fetchNext(ftr);
  % Store the simulation completion time
  sct(i) = toc;
  % Store the average reward value
  avr(i) = mean([out.AgentData.Experiences.Reward]);
end
```

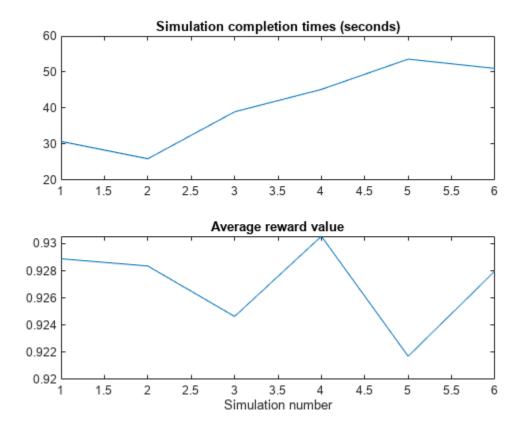
Plot average reward and timing for each simulation.

```
figure

subplot(2,1,1);
plot(sct)
title('Simulation completion times (seconds)');

subplot(2,1,2)
plot(avr)
title('Average reward value');

xlabel('Simulation number');
```



As expected, simulations run in parallel (and therefore terminate at about the same time) in groups of two.

Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

```
clear ftr
clear env
delete(pp)
```

## **Defer Outputs of Simulations Running on Workers**

This example shows how to use Future objects and their methods fetchNext, fetchOutput, cancel, and wait to defer output retrieval for environment simulations running on workers, monitor the status of ongoing simulations, fetch outputs of completed simulations, cancel ongoing simulations, or wait for ongoing simulations to complete.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train AC Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Discrete");
load("MATLABCartpoleAC.mat","agent")
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To display the simulation completion times, start a timer.

tic

Schedule six simulation to run on the available workers. At the beginning of the simulation, the reset function of the cart-pole environment sets the initial angle of the pole to a random position in the neighborhood of zero (the upward position). This randomization ensures that each simulation is different.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Each element of the Future array ftr represents a scheduled simulation.

#### ftr

```
ftr=1×6 object
  1×6 Future array with properties:
    Read
    State
    Diary
    ID
```

Display the state of each simulation.

### ftr.State

```
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
ans =
'queued'
ans =
'queued'
```

Two simulations are ongoing while the others are queued.

Use fetchNext with a timeout of 0.1 seconds to retrieve results for simulations that complete within that time (if any).

```
[idx,out] = fetchNext(ftr,0.1)
idx =
    []
out =
    []
```

Both the outputs are empty, which means that none of the four simulation has completed yet.

Display how many output results have been already retrieved.

### ftr.Read

```
ans = logical

ans = logical
```

Use fetchNext without any timeout to wait until an unretrieved simulation output becomes available and then return the results.

```
[idx,out] = fetchNext(ftr)
idx = 2

out = struct with fields:
    SimulationInfo: [1×1 struct]
          AgentData: [1×1 struct]
```

Display the state of the simulations.

```
ftr.State
```

```
ans =
'finished'
```

```
ans =
'finished'
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
```

As expected, the first two simulations, which were running in parallel on the two workers, are finished, while the next two, which were previously queued, are now running, and the final two are still queued.

Display the time taken for the first two simulations to complete.

#### toc

```
Elapsed time is 10.451231 seconds.
```

Note that once the results from a simulation has been already retrieved, any attempt to use fetchNext to retrieve it again, such as in fetchNext(ftr(2)), will result in an error. To retrieve the results from a Future object that has already been read, you can use fetchOuptuts, such as in fetchOutputs(ftr(2)).

Retrieve the next available result, and display the time elapsed since the simulations started.

As expected, fetchNext promptly returns the results from the second simulation, since it was already available.

Display how many output results have been already retrieved.

```
ftr.Read
ans = logical
1
ans = logical
```

```
\begin{array}{l} \operatorname{ans} &= \operatorname{logical} \\ 0 \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \end{array}
```

Cancel the last simulation.

```
cancel(ftr(6))
```

Wait for the fourth simulation to complete. The wait function blocks the command prompt until the fourth simulation is completed.

```
wait(ftr(4))
```

Display the elapsed time since the simulations started.

### toc

```
Elapsed time is 12.414076 seconds.
```

Display the state of the simulations.

### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
```

The status of the last element of the array, for which the simulation has been canceled, is classified as 'finished'.

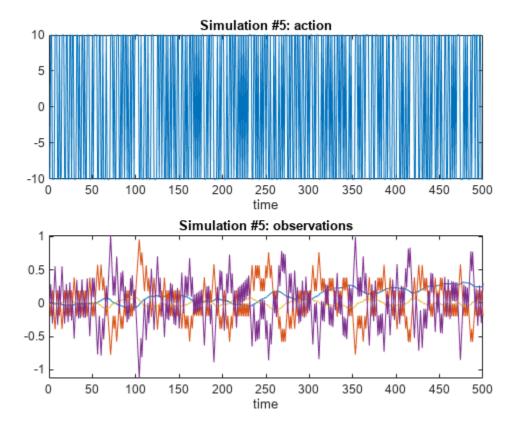
Since any attempt to retrieve results from a simulation that has been canceled will result in an error, remove the canceled object from the array.

```
ftr(6)=[]
```

```
ftr=1×5 object
  1×5 Future array with properties:
    Read
    State
    Diary
    ID
```

Use fetchOutputs to wait until all remaining simulations are completed and then retrieve all outputs.

```
outs = fetchOutputs(ftr)
outs=5×1 struct array with fields:
    SimulationInfo
    AgentData
Display the elapsed time.
toc
Elapsed time is 16.265069 seconds.
Plot the action and observations from the fifth simulation.
figure
subplot(2,1,1);
plot(outs(5).AgentData.Time(2:end), ...
     cell2mat([outs(5).AgentData.Experiences.Action]))
title('Simulation #5: action');
xlabel('time');
subplot(2,1,2)
plot(outs(5).AgentData.Time(2:end), ...
     cell2mat([outs(5).AgentData.Experiences.Observation]))
title('Simulation #5: observations')
xlabel('time');
```



Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

clear ftr
clear env
delete(pp)

# **Input Arguments**

### **F** — Future simulation outputs

Future object | array of Future objects

Future simulation outputs, specified as a Future objects or as an array of Future objects. To create an element of F, set the UseParallel property of a reinforcement learning environment to true, and then use runEpisode to simulate an agent or a policy within this environment. Assign the element of F to the output of runEpisode.

**Note** fetchNext can only retrieve results from elements of F that have not been read before. Any attempt to retrieve results from an element that has its Read property set to true will result in an error.

### timeout — Maximum number of seconds to wait for a result

positive scalar

Maximum number of seconds to wait for a result to become available, specified as a positive scalar.

Example: 5

## **Output Arguments**

### idx — Index

positive integer scalar

Index of the finished simulation that has its output out returned by fetchNext, returned as a positive integer scalar.

## out — Simulation output

structure

Output returned from the finished simulation indicated by idx, retuned as a structure with the fields AgentData and SimulationInfo, as described in the outputs section of runEpisode.

# **Version History**

Introduced in R2022a

### See Also

## **Objects**

Future | Future | Simulink. Simulation. Future

### **Functions**

fetchOutputs | cancel | wait | runEpisode | setup | cleanup | reset

### **Topics**

"Custom Training Loop with Simulink Action Noise"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Train Agents Using Parallel Computing and GPUs"

# **fetchOutputs**

Package: rl.env

Retrieve results from all reinforcement learning environment simulations running on workers

# **Syntax**

```
outs = fetchOutputs(F)
```

# **Description**

outs = fetchOutputs(F) blocks the command prompt and waits for all elements of F (each corresponding to a simulation scheduled on a worker) to reach a finished state. It then returns the output outs, which is an array of structures consistent with the output of runEpisode. Each elements of outs contains results from the simulation specified by the corresponding element of F.

# **Examples**

## **Defer Outputs of Simulations Running on Workers**

This example shows how to use Future objects and their methods fetchNext, fetchOutput, cancel, and wait to defer output retrieval for environment simulations running on workers, monitor the status of ongoing simulations, fetch outputs of completed simulations, cancel ongoing simulations, or wait for ongoing simulations to complete.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train AC Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Discrete");
load("MATLABCartpoleAC.mat", "agent")
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To display the simulation completion times, start a timer.

tic

Schedule six simulation to run on the available workers. At the beginning of the simulation, the reset function of the cart-pole environment sets the initial angle of the pole to a random position in the neighborhood of zero (the upward position). This randomization ensures that each simulation is different.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Each element of the Future array ftr represents a scheduled simulation.

### ftr

```
ftr=1×6 object
 1×6 Future array with properties:
    Read
    State
    Diary
    ID
```

Display the state of each simulation.

### ftr.State

```
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
ans =
'queued'
ans =
'queued'
```

Two simulations are ongoing while the others are gueued.

Use fetchNext with a timeout of 0.1 seconds to retrieve results for simulations that complete within that time (if any).

```
[idx,out] = fetchNext(ftr,0.1)
idx =
    []
out =
    []
```

Both the outputs are empty, which means that none of the four simulation has completed yet.

Display how many output results have been already retrieved.

```
ftr.Read
```

```
ans = logical

ans = logical
```

Use fetchNext without any timeout to wait until an unretrieved simulation output becomes available and then return the results.

Display the state of the simulations.

### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
```

As expected, the first two simulations, which were running in parallel on the two workers, are finished, while the next two, which were previously queued, are now running, and the final two are still queued.

Display the time taken for the first two simulations to complete.

#### toc

```
Elapsed time is 10.451231 seconds.
```

Note that once the results from a simulation has been already retrieved, any attempt to use fetchNext to retrieve it again, such as in fetchNext(ftr(2)), will result in an error. To retrieve the results from a Future object that has already been read, you can use fetchOuptuts, such as in fetchOutputs(ftr(2)).

Retrieve the next available result, and display the time elapsed since the simulations started.

As expected, fetchNext promptly returns the results from the second simulation, since it was already available.

Display how many output results have been already retrieved.

### ftr.Read

```
\begin{array}{l} \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \end{array}
```

Cancel the last simulation.

```
cancel(ftr(6))
```

Wait for the fourth simulation to complete. The wait function blocks the command prompt until the fourth simulation is completed.

```
wait(ftr(4))
```

Display the elapsed time since the simulations started.

#### toc

```
Elapsed time is 12.414076 seconds.
```

Display the state of the simulations.

### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'finished'
```

The status of the last element of the array, for which the simulation has been canceled, is classified as 'finished'.

Since any attempt to retrieve results from a simulation that has been canceled will result in an error, remove the canceled object from the array.

## ftr(6)=[]

```
ftr=1×5 object
  1×5 Future array with properties:
    Read
    State
    Diary
    ID
```

Use fetchOutputs to wait until all remaining simulations are completed and then retrieve all outputs.

```
outs = fetchOutputs(ftr)
```

```
outs=5×1 struct array with fields:
    SimulationInfo
    AgentData
```

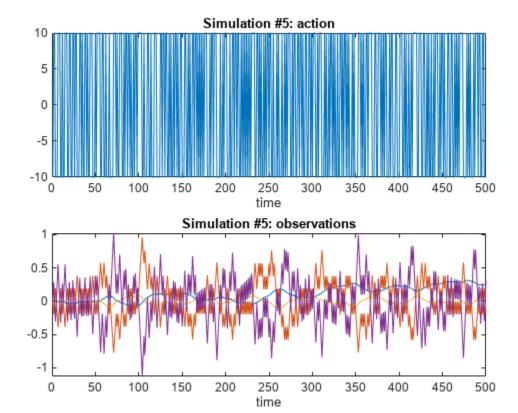
Display the elapsed time.

```
toc
```

```
Elapsed time is 16.265069 seconds.
```

Plot the action and observations from the fifth simulation.

### figure



Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

```
clear ftr
clear env
delete(pp)
```

# **Input Arguments**

## **F** — Future simulation outputs

Future object | array of Future objects

Future simulation outputs, specified as a Future object or as an array of Future objects. To create an element of F, set the UseParallel property of a reinforcement learning environment to true, and then use runEpisode to simulate an agent or a policy within this environment. Assign the element of F to the output of runEpisode.

## **Output Arguments**

## outs — Simulation outputs

structure | structure array

Array of structures containing the returned outputs from the simulations specified in F. Each element of the array contains the outputs of the corresponding simulation in the fields AgentData and SimulationInfo. These fields are described in the outputs section of runEpisode.

# **Version History**

Introduced in R2022a

### See Also

### **Objects**

Future | Future | Simulink. Simulation. Future

#### **Functions**

fetchNext | cancel | wait | runEpisode | setup | cleanup | reset

### **Topics**

"Custom Training Loop with Simulink Action Noise"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Train Agents Using Parallel Computing and GPUs"

# generateHindsightExperiences

Package: rl.replay

Generate hindsight experiences from hindsight experience replay buffer

# **Syntax**

experience = generateHindsightExperiences(buffer,trajectoryLength)

# **Description**

experience = generateHindsightExperiences(buffer, trajectoryLength) generates hindsight experiences from the last trajectory added to the specified hindsight experience replay memory buffer.

# **Examples**

## **Generate Experiences from Hindsight Replay Memory**

When you use a hindsight replay memory buffer within your custom agent training loop, you generate experiences at the end of training episode.

Create an observation specification for an environment with a single a observation channel with six observations. For this example, assume that the observation channel contains the signals  $[a, x_m, y_m, x_q, y_q, c]$ , where:

- $x_a$  and  $y_a$  are the goal observations.
- $x_m$  and  $y_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = rlNumericSpec([6 1],...
LowerLimit=0,UpperLimit=[1;5;5;5;5;1]);
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1],...
    LowerLimit=0,UpperLimit=10);
```

To create a hindsight replay memory buffer, first define the goal condition information. Both the goals and goal measurements are in the single observation channel. The goal measurements are in elements 2 and 3 of the observation channel and the goals are in elements 4 and 5 of the observation channel.

```
goalConditionInfo = {{1,[2 3],1,[4 5]}};
```

For this example, use hindsightRewardFcn1 as the ground-truth reward function and hindsightIsDoneFcn1 as the termination condition function.

Create the hindsight replay memory buffer.

```
buffer = rlHindsightReplayMemory(obsInfo,actInfo, ...
@hindsightRewardFcn1,@hindsightIsDoneFcn1,goalConditionInfo);
```

As you train your agent, you add experience trajectories to the experience buffer. For this example, add a random experience trajectory of length 10.

```
for i = 1:10
    exp(i).Observation = {obsInfo.UpperLimit.*rand(6,1)};
    exp(i).Action = {actInfo.UpperLimit.*rand(1)};
    exp(i).NextObservation = {obsInfo.UpperLimit.*rand(6,1)};
    exp(i).Reward = 10*rand(1);
    exp(i).IsDone = 0;
end
exp(10).IsDone = 1;
append(buffer,exp);
```

At the end of the training episode, you generate hindsight experiences from the last trajectory added to the buffer. Generate experiences specifying the length of the last trajectory added to the buffer.

```
newExp = generateHindsightExperiences(buffer,10);
```

For each experience in the final trajectory, the default "final" sampling strategy generates a new experience where it replaces the goals in Observation and NextObservation with the goal measurements from the final experience in the trajectory.

To validate this behavior, first view the final goal measurements from exp.

```
exp(10).NextObservation{1}(2:3)
ans = 2×1
0.7277
0.6803
```

Next, view the goal values for one of the generated experiences. This value should match the final goal measurement

```
newExp(6).Observation{1}(4:5)
ans = 2×1
0.7277
0.6803
```

After generating the new experiences, append them to the buffer.

```
append(buffer, newExp);
```

# **Input Arguments**

### buffer — Hindsight experience buffer

rlHindsightReplayMemory object | rlHindsightPrioritizedReplayMemory object

Hindsight experience buffer, specified as one of the following replay memory objects.

- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

## trajectoryLength — Length of last trajectory in buffer

positive integer object

Length of last trajectory in buffer, specified as a positive integer.

- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

## **Output Arguments**

## experience — Hindsight experiences generated from the buffer

structure

Experiences sampled from the buffer, returned as a structure with the following fields.

### Observation — Observation

cell array

Observation, returned as a cell array with length equal to the number of observation specifications specified when creating the buffer. Each element of Observation contains a  $D_O$ -by-batchSize-by-SequenceLength array, where  $D_O$  is the dimension of the corresponding observation specification.

## Action — Agent action

cell array

Agent action, returned as a cell array with length equal to the number of action specifications specified when creating the buffer. Each element of Action contains a  $D_A$ -by-batchSize-by-SequenceLength array, where  $D_A$  is the dimension of the corresponding action specification.

### Reward - Reward value

scalar | array

Reward value obtained by taking the specified action from the observation, returned as a 1-by-1-by-SequenceLength array.

### NextObservation — Next observation

cell array

Next observation reached by taking the specified action from the observation, returned as a cell array with the same format as Observation.

## **IsDone** — **Termination signal**

integer | array

Termination signal, returned as a 1-by-1-by-SequenceLength array of integers. Each element of IsDone has one of the following values.

- 0 This experience is not the end of an episode.
- 1 The episode terminated because the environment generated a termination signal.
- 2 The episode terminated by reaching the maximum episode length.

# trajectoryLength — Length of last trajectory in experience buffer positive integer

Length of last trajectory in experience buffer, specified as a positive integer.

# **Version History**

Introduced in R2023a

## **See Also**

## **Objects**

rlPrioritizedReplayMemory | rlHindsightPrioritizedReplayMemory

# generatePolicyBlock

Generate Simulink block that evaluates policy of an agent or policy object

# **Syntax**

```
generatePolicyBlock(agent)
generatePolicyBlock(policy)
generatePolicyBlock( ,MATFileName=dataFileName)
```

# **Description**

This function generates a Simulink Policy evaluation block from an agent or policy object. It also creates a data file which stores policy information. The generated policy block loads this data file to properly initialize itself prior to simulation. You can use the block to simulate the policy and generate code for deployment purposes.

For more information on policies and value functions, see "Create Policies and Value Functions".

generatePolicyBlock(agent) creates a block that evaluates the policy of the specified agent using the default block name, policy name, and data file name.

generatePolicyBlock(policy) creates a block that evaluates the learned policy of the specified policy object using the default block name, policy name, and data file name.

generatePolicyBlock( \_\_\_\_, MATFileName=dataFileName) specifies the file name of the data file.

# **Examples**

### Create Policy Evaluation Block from PG Agent

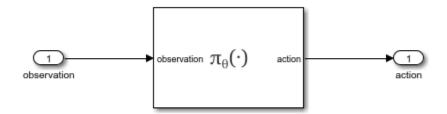
First, create and train a reinforcement learning agent. For this example, load the PG agent trained in "Train PG Agent to Balance Cart-Pole System".

```
load("MATLABCartpolePG.mat", "agent")
```

Then, create a policy evaluation block from this agent using default names.

```
generatePolicyBlock(agent);
```

This command creates an untitled Simulink® model, containing the policy block, and the blockAgentData.mat file, containing information needed to create and initialize the policy block, (such as the trained deep neural network used by the actor within the agent). The block loads this data file to properly initialize itself prior to simulation.



You can now drag and drop the block in a Simulink® model and connect it so that it takes the observation from the environment as input and so that the calculated action is returned to the environment. This allows you to simulate the policy in a closed loop. You can then generate code for deployment purposes. For more information, see "Deploy Trained Reinforcement Learning Policies".

Close the model.

```
bdclose("untitled")
```

### **Create Policy Block from Deterministic Actor Policy Object**

Create observation and action specification objects. For this example, define the observation and action spaces as continuous four- and two-dimensional spaces, respectively.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([2 1]);
```

Alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment.

Create a continuous deterministic actor. This actor must accept an observation as input and return an action as output.

To approximate the policy function within the actor, use a recurrent deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects. To create a recurrent network, use a sequenceInputLayer as the input layer (with size equal to the number of dimensions of the observation channel) and include at least one lstmLayer.

```
layers = [
    sequenceInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(10)
    reluLayer
    lstmLayer(8,OutputMode="sequence")
    fullyConnectedLayer(20)
    fullyConnectedLayer(actInfo.Dimension(1))
    tanhLayer
    ];
```

```
Convert the network to a dlnetwork object and display the number of weights.
```

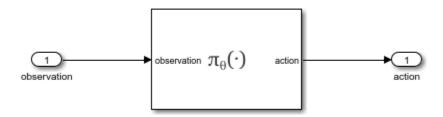
```
model = dlnetwork(layers);
summary(model)
   Initialized: true
   Number of learnables: 880
   Inputs:
          "sequenceinput"
                             Sequence input with 4 dimensions (CTB)
      1
Create the actor using model, and the observation and action specifications.
actor = rlContinuousDeterministicActor(model,obsInfo,actInfo)
actor =
  rlContinuousDeterministicActor with properties:
    ObservationInfo: [1×1 rl.util.rlNumericSpec]
         ActionInfo: [1×1 rl.util.rlNumericSpec]
          UseDevice: "cpu"
Check the actor with a random observation input.
act = getAction(actor, {rand(obsInfo.Dimension)});
act{1}
ans = 2×1 single column vector
   -0.0742
    0.0158
Create a policy object from actor.
policy = rlDeterministicActorPolicy(actor)
policy =
  rlDeterministicActorPolicy with properties:
              Actor: [1×1 rl.function.rlContinuousDeterministicActor]
    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1×1 rl.util.rlNumericSpec]
         SampleTime: -1
You can access the policy options using dot notation. Check the policy with a random observation
input.
act = getAction(policy,{rand(obsInfo.Dimension)});
act{1}
ans = 2 \times 1
   -0.0060
   -0.0161
```

You can train the policy with a custom training loop.

Then, create a policy evaluation block from this policy object using the default name for the generated MAT-file.

generatePolicyBlock(policy);

This command creates an untitled Simulink® model, containing the policy block, and the blockAgentData.mat file, containing information needed to create and initialize the policy block, (such as the trained deep neural network used by the actor within the agent). The block loads this data file to properly initialize itself prior to simulation.



You can now drag and drop the block in a Simulink® model and connect it so that it takes the observation from the environment as input and so that the calculated action is returned to the environment. This allows you to simulate the policy in a closed loop. You can then generate code for deployment purposes. For more information, see "Deploy Trained Reinforcement Learning Policies".

Close the model.

bdclose("untitled")

# **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Trained reinforcement learning agent, specified as one of the following agent objects. To train your agent, use the train function.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlPGAgent

- rlPPOAgent
- rlTRP0Agent
- rlSACAgent

For agents with a stochastic actor (PG, PPO, SAC, TRPO, AC), the action returned by the generated policy function depends on the value of the UseExplorationPolicy property of the agent. By default, UseExplorationPolicy is false and the generated action is deterministic. If UseExplorationPolicy is true, the generated action is stochastic.

## policy — Reinforcement learning policy

rlMaxQPolicy | rlDeterministicActorPolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMaxQPolicy
- rlDeterministicActorPolicy
- rlStochasticActorPolicy

**Note** rlAdditiveNoisePolicy and rlEpsilonGreedyPolicy policy objects are not supported.

## dataFileName — Name of generated data file

"blockAgentData" (default) | string | character vector

Name of generated data file, specified as a string or character vector. If a file with the specified name already exists in the current MATLAB folder, then an appropriate digit is added to the name so that no existing file is overwritten.

The generated data file contains four structures that store data needed to fully characterize the policy. Prior to simulation, the block (which is generated with the data file name as mask parameter) loads this data file to properly initialize itself.

# **Version History**

Introduced in R2019a

## See Also

### **Functions**

generatePolicyFunction|train

### Objects

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlDeterministicActorPolicy | rlStochasticActorPolicy | dlnetwork

### **Blocks**

Policy

### **Topics**

"Generate Policy Block for Deployment"

"Train Reinforcement Learning Agents"

<sup>&</sup>quot;Create Policies and Value Functions"
"Reinforcement Learning Agents"
"Deploy Trained Reinforcement Learning Policies"

# generatePolicyFunction

Package: rl.policy

Generate MATLAB function that evaluates policy of an agent or policy object

# **Syntax**

```
generatePolicyFunction(agent)
generatePolicyFunction(policy)
generatePolicyFunction(____, Name=Value)
```

# **Description**

This function generates a MATLAB policy evaluation function which you can use to:

- Generate code for deployment purposes using MATLAB Coder<sup>™</sup> or GPU Coder<sup>™</sup>. For more information, see "Deploy Trained Reinforcement Learning Policies".
- Simulate the trained agent in Simulink using a MATLAB Function block.

This function also creates a data file which stores policy information. The evaluation function loads this data file to properly initialize itself the first time it is called.

For more information on policies and value functions, see "Create Policies and Value Functions".

generatePolicyFunction(agent) creates a function that evaluates the learned policy of the specified agent using the default function name, policy name, and data file name.

generatePolicyFunction(policy) creates a function that evaluates the learned policy of the specified policy object using the default function name, policy name, and data file name.

generatePolicyFunction( \_\_\_\_, Name=Value) specifies the function name, policy name, and data file name using one or more name-value pair arguments.

# **Examples**

### Create Policy Evaluation Function for PG Agent

This example shows how to create a policy evaluation function for a PG Agent.

First, create and train a reinforcement learning agent. For this example, load the PG agent trained in "Train PG Agent to Balance Cart-Pole System".

```
load("MATLABCartpolePG.mat", "agent")
```

Then, create a policy evaluation function for this agent using default names.

```
generatePolicyFunction(agent);
```

This command creates the evaluatePolicy.m file, which contains the policy function, and the agentData.mat file, which contains the trained deep neural network actor.

View the generated function.

```
type evaluatePolicy.m
function action1 = evaluatePolicy(observation1)
%#codegen
% Reinforcement Learning Toolbox
% Generated on: 04-Mar-2023 01:25:12

persistent policy;
if isempty(policy)
    policy = coder.loadRLPolicy("agentData.mat");
end
% evaluate the policy
action1 = getAction(policy,observation1);

Evaluate the policy for a random observation.
evaluatePolicy(rand(agent.ObservationInfo.Dimension))
ans = 10
```

You can now generate code for this policy function using MATLAB® Coder™. For more information, see "Deploy Trained Reinforcement Learning Policies".

## **Create Policy Evaluation Function from Policy Object**

You can create and train a policy object in a custom training loop or extract a trained policy object from a trained agent.

For this example, load the PG agent trained in "Train PG Agent to Balance Cart-Pole System", and extract its deterministic (and greedy) policy using getGreedyPolicy. Alternatively, you can extract a stochastic policy using getExplorationPolicy, which would be useful for exploration.

Then, create a policy evaluation function for this policy using default names.

```
generatePolicyFunction(policy);
```

This command creates the evaluatePolicy.m file, which contains the policy function, and the agentData.mat file, which contains the trained deep neural network actor.

View the generated function.

```
type evaluatePolicy.m
function action1 = evaluatePolicy(observation1)
%#codegen
% Reinforcement Learning Toolbox
% Generated on: 04-Mar-2023 01:25:19

persistent policy;
if isempty(policy)
    policy = coder.loadRLPolicy("agentData.mat");
end
% evaluate the policy
action1 = getAction(policy,observation1);

Evaluate the policy for a random observation.
evaluatePolicy(rand(policy.ObservationInfo.Dimension))
ans = 10
```

You can now generate code for this policy function using MATLAB® Coder™. For more information, see "Deploy Trained Reinforcement Learning Policies".

### **Create Policy Evaluation Function for Q-Learning Agent**

This example shows how to create a policy evaluation function for a Q-Learning Agent.

For this example, load the Q-learning agent trained in "Train Reinforcement Learning Agent in Basic Grid World"

```
load("basicGWQAgent.mat","qAgent")
```

Create a policy evaluation function for this agent and specify the name of the agent data file.

```
generatePolicyFunction(qAgent, "MATFileName", "policyFile.mat")
```

This command creates the evaluatePolicy.m file, which contains the policy function, and the policyFile.mat file, which contains the trained Q table value function.

View the generated function.

```
type evaluatePolicy.m
function action1 = evaluatePolicy(observation1)
%#codegen
% Reinforcement Learning Toolbox
% Generated on: 05-May-2022 17:43:27
persistent policy;
```

```
if isempty(policy)
    policy = coder.loadRLPolicy("policyFile.mat");
end
% evaluate the policy
action1 = getAction(policy,observation1);
Evaluate the policy for a random observation.
evaluatePolicy(randi(25))
```

evaluatePolicy(rand1(25)

ans = 3

You can now generate code for this policy function using MATLAB® Coder $^{\text{\tiny TM}}$ . For more information, see "Deploy Trained Reinforcement Learning Policies".

# **Input Arguments**

## agent — Reinforcement learning agent

reinforcement learning agent object

Trained reinforcement learning agent, specified as one of the following agent objects. To train your agent, use the train function.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlPGAgent
- rlPPOAgent
- rlTRP0Agent
- rlSACAgent

For agents with a stochastic actor (PG, PPO, SAC, TRPO, AC), the action returned by the generated policy function depends on the value of the UseExplorationPolicy property of the agent. By default, UseExplorationPolicy is false and the generated action is deterministic. If UseExplorationPolicy is true, the generated action is stochastic.

## policy — Reinforcement learning policy

rlMaxQPolicy | rlDeterministicActorPolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMax0Policy
- rlDeterministicActorPolicy
- rlStochasticActorPolicy

**Note** rlAdditiveNoisePolicy and rlEpsilonGreedyPolicy policy objects are not supported.

## **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Valuel,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Before R2021a, use commas to separate each name and value, and enclose Name in quotes.

Example: FunctionName="computeAction"

## FunctionName — Name of the generated function

"evaluatePolicy" (default) | string | character vector

Name of the generated function, specified as a string or character vector.

## PolicyName — Name of the policy object within the generated function

"policy" (default) | string | character vector

Name of the policy object within the generated function, specified as a string or character vector.

### MATFileName — Name of generated data file

"agentData" (default) | string | character vector

Name of generated data file, specified as a string or character vector. If a file with the specified name already exists in the current MATLAB folder, then an appropriate digit is added to the name so that no existing file is overwritten.

The generated data file contains four structures that store data needed to fully characterize the policy. The evaluation function loads this data file to properly initialize itself the first time it is called.

# **Version History**

Introduced in R2019a

# R2022a: Code generated by generatePolicyFunction now uses policy objects

Behavior change in future release

The code generated by <code>generatePolicyFunction</code> now loads a deployable policy object from a reinforcement learning agent. The results from running the generated policy function remain the same

### See Also

### **Functions**

generatePolicyBlock|train

### **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlDeterministicActorPolicy | rlStochasticActorPolicy | dlnetwork

### **Blocks**

Policy

### Topics

"Train Reinforcement Learning Agents"

<sup>&</sup>quot;Create Policies and Value Functions"
"Reinforcement Learning Agents"
"Deploy Trained Reinforcement Learning Policies"

# generateRewardFunction

Generate a reward function from control specifications to train a reinforcement learning agent

# **Syntax**

```
generateRewardFunction(mpcobj)
generateRewardFunction(blks)
generateRewardFunction(____,'FunctionName',myFcnName)
```

# **Description**

generateRewardFunction(mpcobj) generates a MATLAB reward function based on the cost and constraints defined in the linear or nonlinear MPC object mpcobj. The generated reward function is displayed in a new editor window and you can use it as a starting point for reward design. You can tune the weights, use a different penalty function, and then use the resulting reward function within an environment to train an agent.

This syntax requires Model Predictive Control Toolbox™ software.

generateRewardFunction(blks) generates a MATLAB reward function based on performance constraints defined in the model verification blocks specified in the array of block paths blks.

This syntax requires Simulink Design Optimization<sup>™</sup> software.

generateRewardFunction( \_\_\_\_, 'FunctionName', myFcnName) specifies the name of the generated reward function, and saves it into a file with the same name. It also overwrites any preexisting file with the same name in the current directory. Provide this name after either of the previous input arguments.

# **Examples**

### Generate a Reward Function from MPC object

This example shows how to generate a reinforcement learning reward function from an MPC object.

### **Define Plant and Create MPC Controller**

Create a random plant using the rss function and set the feedthrough matrix to zero.

```
plant = rss(4,3,2);
plant.d = 0;
```

Specify which of the plant signals are manipulated variables, measured disturbances, measured outputs and unmeasured outputs.

```
plant = setmpcsignals(plant, "MV", 1, "MD", 2, "M0", [1 2], "U0", 3);
```

Create an MPC controller with a sample time of 0.1 and prediction and control horizons of 10 and 3 steps, respectively.

```
mpcobj = mpc(plant,0.1,10,3);

-->"Weights.ManipulatedVariables" is empty. Assuming default 0.00000.
-->"Weights.ManipulatedVariablesRate" is empty. Assuming default 0.10000.
-->"Weights.OutputVariables" is empty. Assuming default 1.00000.
    for output(s) y1 and zero weight for output(s) y2 y3

Set limits and a scale factor for the manipulated variable.

mpcobj.ManipulatedVariables.Min = -2;
mpcobj.ManipulatedVariables.Max = 2;
mpcobj.ManipulatedVariables.ScaleFactor = 4;

Set weights for the quadratic cost function.

mpcobj.Weights.OutputVariables = [10 1 0.1];
mpcobj.Weights.ManipulatedVariablesRate = 0.2;
```

#### **Generate the Reward Function**

Generate the reward function code from specifications in the mpc object using generateRewardFunction. The code is displayed in the MATLAB Editor.

```
generateRewardFunction(mpcobj)
```

ymax = [Inf Inf Inf];

For this example, the is saved in the MATLAB function file myMpcRewardFcn.m. Display the generated reward function.

```
type myMpcRewardFcn.m
function reward = myMpcRewardFcn(y,refy,mv,refmv,lastmv)
% MYMPCREWARDFCN generates rewards from MPC specifications.
% Description of input arguments:
% y : Output variable from plant at step k+1
% refy : Reference output variable at step k+1
% mv : Manipulated variable at step k
% refmv : Reference manipulated variable at step k
% lastmv : Manipulated variable at step k-1
% Limitations (MPC and NLMPC):
      - Reward computed based on first step in prediction horizon.
%
       Therefore, signal previewing and control horizon settings are ignored.
      - Online cost and constraint update is not supported.
      - Custom cost and constraint specifications are not considered.
      - Time varying cost weights and constraints are not supported.
      - Mixed constraint specifications are not considered (for the MPC case).
% Reinforcement Learning Toolbox
% 27-May-2021 14:47:29
%#codegen
%% Specifications from MPC object
% Standard linear bounds as specified in 'States', 'OutputVariables', and
% 'ManipulatedVariables' properties
ymin = [-Inf -Inf -Inf];
```

```
mvmin = -2:
mvmax = 2;
mvratemin = -Inf;
mvratemax = Inf;
% Scale factors as specified in 'States', 'OutputVariables', and
% 'ManipulatedVariables' properties
Sy = [1 \ 1 \ 1];
Smv = 4;
% Standard cost weights as specified in 'Weights' property
0v
     = [10 \ 1 \ 0.1];
       = 0;
Omv
Qmvrate = 0.2;
%% Compute cost
     = (refy(:)-y(:)) ./ Sy';
       = (refmv(:)-mv(:)) ./ Smv';
dmvrate = (mv(:)-lastmv(:)) ./ Smv';
               * diag(Qy.^2)
     = dy'
       = dmv'
                 * diag(Qmv.^2)
                                     * dmv;
Jmvrate = dmvrate' * diag(Qmvrate.^2) * dmvrate;
       = Jy + Jmv + Jmvrate;
%% Penalty function weight (specify nonnegative)
Wy = [1 \ 1 \ 1];
Vmv = 10;
Wmvrate = 10;
%% Compute penalty
% Penalty is computed for violation of linear bound constraints.
% To compute exterior bound penalty, use the exteriorPenalty function and
% specify the penalty method as 'step' or 'quadratic'.
% Alternaltively, use the hyperbolicPenalty or barrierPenalty function for
% computing hyperbolic and barrier penalties.
% For more information, see help for these functions.
% Set Pmv value to 0 if the RL agent action specification has
% appropriate 'LowerLimit' and 'UpperLimit' values.
               * exteriorPenalty(y,ymin,ymax,'step');
       = W∨
                * exteriorPenalty(mv,mvmin,mvmax,'step');
       = Wm∨
Pmvrate = Wmvrate * exteriorPenalty(mv-lastmv,mvratemin,mvratemax,'step');
Penalty = Py + Pmv + Pmvrate;
%% Compute reward
reward = -(Cost + Penalty);
end
```

The calculated reward depends only on the current values of the plant input and output signals and their reference values, and it is composed of two parts.

The first is a negative cost that depends on the squared difference between desired and current plant inputs and outputs. This part uses the cost function weights specified in the MPC object. The second

part is a penalty that acts as a negative reward whenever the current plant signals violate the constraints.

The generated reward function is a starting point for reward design. You can tune the weights or use a different penalty function to define a more appropriate reward for your reinforcement learning agent.

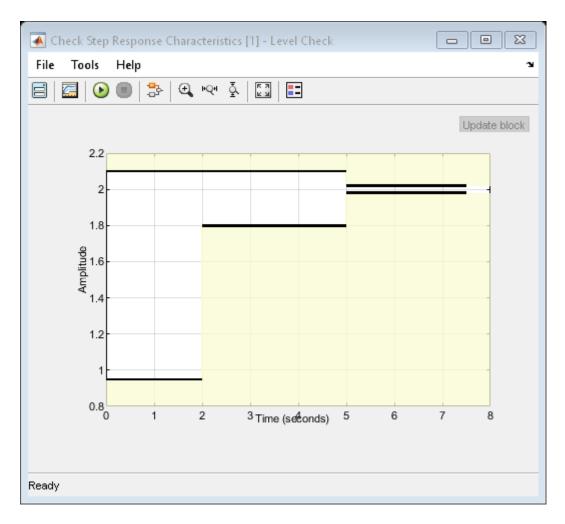
### **Generate Reward Function from Verification Block**

This example shows how to generate a reinforcement learning reward function from a Simulink Design Optimization model verification block.

For this example, open the Simulink model LevelCheckBlock.slx, which contains a **Check Step Response Characteristics** block named **Level Check**.



open\_system("LevelCheckBlock")



Generate the reward function code from specifications in the **Level Check** block, using generateRewardFunction. The code is displayed in the MATLAB Editor.

```
generateRewardFunction("LevelCheckBlock/Level Check")
```

For this example, the code is saved in the MATLAB function file myBlockRewardFcn.m.

Display the generated reward function.

```
type myBlockRewardFcn.m
```

```
function reward = myBlockRewardFcn(x,t)
% MYBLOCKREWARDFCN generates rewards from Simulink block specifications.
% x : Input of LevelCheckBlock/Level Check
% t : Simulation time (s)
% Reinforcement Learning Toolbox
% 27-May-2021 16:45:27
%#codegen
%% Specifications from LevelCheckBlock/Level Check
```

```
Block1 InitialValue = 1;
Block1 FinalValue = 2;
Block1_StepTime = 0;
Block1 StepRange = Block1 FinalValue - Block1 InitialValue;
Block1_MinRise = Block1_InitialValue + Block1_StepRange * 80/100;
Block1 MaxSettling = Block1 InitialValue + Block1 StepRange * (1+2/100);
Block1_MinSettling = Block1_InitialValue + Block1_StepRange * (1-2/100);
Block1 MaxOvershoot = Block1 InitialValue + Block1 StepRange * (1+10/100);
Block1 MinUndershoot = Block1 InitialValue - Block1 StepRange * 5/100;
if t >= Block1_StepTime
    if Block1_InitialValue <= Block1_FinalValue</pre>
        Block1\_UpperBoundTimes = [0,5; 5, max(5+1,t+1)];
        Block1 UpperBoundAmplitudes = [Block1 MaxOvershoot, Block1 MaxOvershoot; Block1 MaxSettli
        Block1_LowerBoundTimes = [0,2; 2,5; 5,max(5+1,t+1)];
        Block1 LowerBoundAmplitudes = [Block1 MinUndershoot, Block1 MinUndershoot; Block1 MinRise
    else
        Block1 UpperBoundTimes = [0,2; 2,5; 5, max(5+1,t+1)];
        Block1 UpperBoundAmplitudes = [Block1 MinUndershoot, Block1 MinUndershoot; Block1 MinRise
        Block1 LowerBoundTimes = [0,5; 5, max(5+1,t+1)];
        Block1_LowerBoundAmplitudes = [Block1_MaxOvershoot,Block1_MaxOvershoot; Block1_MaxSettli
    end
    Block1_xmax = zeros(1,size(Block1_UpperBoundTimes,1));
    for idx = 1:numel(Block1_xmax)
        tseg = Block1_UpperBoundTimes(idx,:);
        xseg = Block1 UpperBoundAmplitudes(idx,:);
        Block1_xmax(idx) = interp1(tseg,xseg,t,'linear',NaN);
    if all(isnan(Block1 xmax))
        Block1 xmax = Inf;
    else
        Block1_xmax = max(Block1_xmax,[],'omitnan');
    end
    Block1_xmin = zeros(1,size(Block1_LowerBoundTimes,1));
    for idx = 1:numel(Block1 xmin)
        tseg = Block1 LowerBoundTimes(idx,:);
        xseg = Block1 LowerBoundAmplitudes(idx,:);
        Block1 xmin(idx) = interp1(tseg,xseg,t,'linear',NaN);
    end
    if all(isnan(Block1 xmin))
        Block1 \times min = -Inf:
        Block1 xmin = max(Block1 xmin,[],'omitnan');
    end
else
    Block1\_xmin = -Inf;
    Block1 xmax = Inf;
end
%% Penalty function weight (specify nonnegative)
Weight = 1;
%% Compute penalty
```

```
% Penalty is computed for violation of linear bound constraints.
%
% To compute exterior bound penalty, use the exteriorPenalty function and
% specify the penalty method as 'step' or 'quadratic'.
%
% Alternaltively, use the hyperbolicPenalty or barrierPenalty function for
% computing hyperbolic and barrier penalties.
%
% For more information, see help for these functions.
Penalty = sum(exteriorPenalty(x,Block1_xmin,Block1_xmax,'step'));
%% Compute reward
reward = -Weight * Penalty;
end
```

The generated reward function takes as input arguments the current value of the verification block input signals and the simulation time. A negative reward is calculated using a weighted penalty that acts whenever the current block input signals violate the linear bound constraints defined in the verification block.

The generated reward function is a starting point for reward design. You can tune the weights or use a different penalty function to define a more appropriate reward for your reinforcement learning agent.

Close the Simulink model.

```
close system("LevelCheckBlock")
```

# Input Arguments

### mpcobj — Linear or nonlinear MPC object

```
mpc object | nlmpc object
```

Linear or nonlinear MPC object, specified as an mpc object or an nlmpc object, respectively.

#### Note that:

- The generated function calculates rewards using signal values at the current time only. Predicted future values, signal previewing, and control horizon settings are not used in the reward calculation.
- Using time-varying cost weights and constraints, or updating them online, is not supported.
- Only the standard quadratic cost function, as described in "Optimization Problem" (Model Predictive Control Toolbox), is supported. Therefore, for mpc objects, using mixed constraint specifications is not supported. Similarly, for nlmpc objects, custom cost and constraint specifications are not supported.

```
Example: mpc(tf([1 1],[1 2 0]),0.1)
```

### blks — Path to model verification blocks

```
char array | cell array | string array
```

Path to model verification blocks, specified as character array, cell array or string array. The supported Simulink Design Optimization model verification blocks are the following ones.

- Check Against Reference (Simulink Design Optimization)
- Check Custom Bounds (Simulink Design Optimization)
- Check Step Response Characteristics (Simulink Design Optimization)
- Any block belonging to the Simulink "Model Verification" (Simulink) library

The generated reward function takes as input arguments the current value of the verification block input signals and the simulation time. A negative reward is calculated using a weighted penalty that acts whenever the current block input signals violate the linear bound constraints defined in the verification block.

Example: "mySimulinkModel02/Check Against Reference"

### myFcnName — Function name

string | char vector

Function name, specified as a string object or character vector.

Example: "reward03epf step"

### **Tips**

By default, the exterior bound penalty function exteriorPenalty is used to calculate the penalty. Alternatively, to calculate hyperbolic and barrier penalties, you can use the hyperbolicPenalty or barrierPenalty functions.

# **Version History**

Introduced in R2021b

### See Also

### **Functions**

exteriorPenalty|hyperbolicPenalty|barrierPenalty

### **Objects**

mpc | nlmpc

#### Topics

- "Generate Reward Function from a Model Predictive Controller for a Servomotor"
- "Generate Reward Function from a Model Verification Block for a Water Tank System"
- "Define Reward Signals"
- "Create MATLAB Reinforcement Learning Environments"
- "Create Simulink Reinforcement Learning Environments"

# getActionInfo

Package: rl.env

Obtain action data specifications from reinforcement learning environment, agent, or experience buffer

## **Syntax**

```
actInfo = getActionInfo(env)
actInfo = getActionInfo(agent)
actInfo = getActionInfo(buffer)
```

# Description

actInfo = getActionInfo(env) extracts action information from reinforcement learning
environment env.

actInfo = getActionInfo(agent) extracts action information from reinforcement learning
agent agent.

actInfo = getActionInfo(buffer) extracts action information from experience buffer buffer.

# **Examples**

### Extract Action and Observation Specifications from Reinforcement Learning Environment

The reinforcement learning environment for this example is a longitudinal dynamics model comprising two cars, a leader and a follower. The vehicle model is also used in the "Adaptive Cruise Control System Using Model Predictive Control" (Model Predictive Control Toolbox) example.

Open the model.

Define environment interface.

```
mdl = "rlACCMdl";
open_system(mdl);

Specify path to the agent block in the model.
agentblk = mdl + "/RL Agent";

Create the observation and action specifications.
% Observation specifications
obsInfo = rlNumericSpec([3 1],LowerLimit=-inf*ones(3,1),UpperLimit=inf*ones(3,1));
obsInfo.Name = "observations";
obsInfo.Description = "information on velocity error and ego velocity";
% Action specifications
actInfo = rlNumericSpec([1 1],LowerLimit=-3,UpperLimit=2);
actInfo.Name = "acceleration";
```

The reinforcement learning environment env is a SimulinkEnvWithAgent object.

Extract the action and observation specifications from env.

```
actInfoExt = getActionInfo(env)
actInfoExt =
  rlNumericSpec with properties:
     LowerLimit: -3
     UpperLimit: 2
           Name: "acceleration"
   Description: [0x0 string]
      Dimension: [1 1]
      DataType: "double"
obsInfoExt = getObservationInfo(env)
obsInfoExt =
  rlNumericSpec with properties:
     LowerLimit: [3x1 double]
    UpperLimit: [3x1 double]
           Name: "observations"
   Description: "information on velocity error and ego velocity"
      Dimension: [3 1]
      DataType: "double"
```

The action information contains acceleration values while the observation information contains the velocity and velocity error values of the ego vehicle.

# **Input Arguments**

### env — Reinforcement learning environment

rlFunctionEnv object | SimulinkEnvWithAgent object | rlNeuralNetworkEnvironment object | predefined MATLAB environment object

Reinforcement learning environment from which to extract the action information, specified as one of the following:

- MATLAB environment represented as one of the following objects.
  - rlFunctionEnv

- rlNeuralNetworkEnvironment
- Predefined MATLAB environment created using rlPredefinedEnv
- Simulink environment represented as a SimulinkEnvWithAgent object.

For more information on reinforcement learning environments, see "Create MATLAB Reinforcement Learning Environments" and "Create Simulink Reinforcement Learning Environments".

### agent — Reinforcement learning agent

rlQAgent object | rlSARSAAgent object | rlDQNAgent object | rlPGAgent object | rlTD3Agent object | rlACAgent object | rlPPOAgent object | rlTRPOAgent object |
rlSACAgent object | rlMBPOAgent object

Reinforcement learning agent from which to extract the action information, specified as one of the following objects.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlDDPGAgent
- rlTD3Agent
- rlPGAgent
- rlACAgent
- rlPPOAgent
- rlTRP0Agent
- rlSACAgent
- rlMBPOAgent

For more information on reinforcement learning agents, see "Reinforcement Learning Agents".

### **buffer** — **Experience buffer**

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

# **Output Arguments**

### actInfo — Action data specifications

array of rlNumericSpec objects | array of rlFiniteSetSpec objects

Action data specifications extracted from the reinforcement learning environment, returned as an array of one of the following:

- rlNumericSpec objects
- rlFiniteSetSpec objects
- A mix of rlNumericSpec and rlFiniteSetSpec objects

# **Version History**

**Introduced in R2019a** 

### **See Also**

### **Functions**

getObservationInfo

### **Objects**

rlNumericSpec | rlFiniteSetSpec | rlQAgent | rlSARSAAgent | rlDQNAgent | rlPGAgent | rlACAgent | rlDDPGAgent

### **Topics**

"Create Simulink Reinforcement Learning Environments"

"Reinforcement Learning Agents"

# getAction

Package: rl.policy

Obtain action from agent, actor, or policy object given environment observations

## **Syntax**

```
action = getAction(agent,obs)
[action,agent] = getAction(agent,obs)
action = getAction(actor,obs)
[action,nextState] = getAction(actor,obs)
action = getAction(policy,obs)
[action,updatedPolicy] = getAction(policy,obs)
```

## **Description**

### **Agent**

action = getAction(agent,obs) returns the action generated from the policy of a
reinforcement learning agent, given environment observations. If agent contains internal states,
they are updated.

[action,agent] = getAction(agent,obs) also returns the updated agent as an output
argument.

#### Actor

action = getAction(actor,obs) returns the action generated from the policy represented by
the actor actor, given environment observations obs.

[action,nextState] = getAction(actor,obs) also returns the updated state of the actor when it uses a recurrent neural network.

### **Policy**

action = getAction(policy,obs) returns the action generated from the policy object policy,
given environment observations.

[action,updatedPolicy] = getAction(policy,obs) also returns the updated policy as an output argument (any internal state of the policy, if used, is updated).

# **Examples**

### **Get Actions from Agent**

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

```
% load predefined environment
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
Obtain the observation and action specifications for this environment.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
Create a TRPO agent from the environment observation and action specifications.
agent = rlTRPOAgent(obsInfo,actInfo);
Use getAction to return the action from a random observation.
getAction(agent, ...
    {rand(obsInfo(1).Dimension), ...
     rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
    {[-2]}
You can also obtain actions for a batch of observations. For example, obtain actions for a batch of 10
observations.
actBatch = getAction(agent, ...
    {rand([obsInfo(1).Dimension 10]), ...
     rand([obsInfo(2).Dimension 10])});
size(actBatch{1})
ans = 1 \times 3
     1
         1
                 10
actBatch{1}{(1,1,7)}
ans = -2
```

actBatch contains one action for each observation in the batch.

### **Get Action from Deterministic Actor**

Create observation and action information. You can also obtain these specifications from an environment.

```
fullyConnectedLayer(20, "Name", "CriticStateFC2")
       fullyConnectedLayer(actinfo.Dimension(1), "Name", "fc2")
       tanhLayer("Name","tanh1")];
net = dlnetwork(net);
Create a deterministic actor representation for the network.
actor = rlContinuousDeterministicActor(net, ...
    obsinfo,actinfo,...
    ObservationInputNames={"state"});
Obtain an action from this actor for a random batch of 20 observations.
act = getAction(actor, {rand(4,1,10)})
act = 1x1 cell array
    {2x1x10 single}
act is a single cell array that contains the two computed actions for all 10 observations in the batch.
act{1}(:,1,7)
ans = 2x1 single column vector
    0.2643
```

### **Get Actions from Policy Object**

-0.2934

Create observation and action specification objects. For this example, define the observation and action spaces as continuous four- and two-dimensional spaces, respectively.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([2 1]);
```

Alternatively, you can use getObservationInfo and getActionInfo to extract the specification objects from an environment.

Create a continuous deterministic actor. This actor must accept an observation as input and return an action as output.

To approximate the policy function within the actor, use a recurrent deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects. To create a recurrent network, use a sequenceInputLayer as the input layer (with size equal to the number of dimensions of the observation channel) and include at least one lstmLayer.

```
layers = [
    sequenceInputLayer(obsInfo.Dimension(1))
    lstmLayer(2)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))
    l;
```

Convert the network to a dlnetwork object and display the number of weights. model = dlnetwork(layers); summary(model) Initialized: true Number of learnables: 62 Inputs: 'sequenceinput' 1 Sequence input with 4 dimensions Create the actor using model, and the observation and action specifications. actor = rlContinuousDeterministicActor(model,obsInfo,actInfo) actor = rlContinuousDeterministicActor with properties: ObservationInfo: [1x1 rl.util.rlNumericSpec] ActionInfo: [1x1 rl.util.rlNumericSpec] UseDevice: "cpu" Check the actor with a random observation input. act = getAction(actor, {rand(obsInfo.Dimension)}); act{1} ans = 2x1 single column vector 0.0568 0.0691 Create an additive noise policy object from actor. policy = rlAdditiveNoisePolicy(actor) policy = rlAdditiveNoisePolicy with properties: Actor: [1x1 rl.function.rlContinuousDeterministicActor] NoiseType: "gaussian" NoiseOptions: [1x1 rl.option.GaussianActionNoise] EnableNoiseDecay: 1 UseNoisyAction: 1 ObservationInfo: [1x1 rl.util.rlNumericSpec] ActionInfo: [1x1 rl.util.rlNumericSpec] SampleTime: -1 Use dot notation to set the standard deviation decay rate. policy.NoiseOptions.StandardDeviationDecayRate = 0.9; Use getAction to generate an action from the policy, given a random observation input. act = getAction(policy,{rand(obsInfo.Dimension)}); act{1}

```
ans = 2×1
    0.5922
    -0.3745

Display the state of the recurrent neural network in the policy object.

xNN = getRNNState(policy);
xNN{1}
ans = 2x1 single column vector
    0
    0

Use getAction to also return the updated policy as a second argument.
[act, updatedPolicy] = getAction(policy,{rand(obsInfo.Dimension)});
Display the state of the recurrent neural network in the updated policy object.

xpNN = getRNNState(updatedPolicy);
xpNN{1}
ans = 2x1 single column vector
```

As expected, the state is updated.

## **Input Arguments**

0.3327 - 0.2479

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRP0Agent
- Custom agent For more information, see "Create Custom Reinforcement Learning Agents".

**Note** agent is an handle object, so it is updated whether it is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

### actor - Actor

rlContinousDeterministicActor object | rlContinousGaussianActor object |
rlDiscreteCategoricalActor object

Actor, specified as an rlContinuousDeterministicActor, rlDiscreteCategoricalActor or rlContinuousGaussianActor object.

### policy — Reinforcement learning policy

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMaxQPolicy
- rlEpsilonGreedyPolicy
- rlDeterministicActorPolicy
- rlAdditiveNoisePolicy
- rlStochasticActorPolicy

#### obs — Environment observations

cell array

Environment observations, specified as a cell array with as many elements as there are observation input channels. Each element of obs contains an array of observations for a single observation input channel.

The dimensions of each element in obs are  $M_O$ -by- $L_B$ -by- $L_S$ , where:

- $M_O$  corresponds to the dimensions of the associated observation input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ . If valueRep or qValueRep has multiple observation input channels, then  $L_B$  must be the same for all elements of obs.
- $L_S$  specifies the sequence length for a recurrent neural network. If valueRep or qValueRep does not use a recurrent neural network, then  $L_S = 1$ . If valueRep or qValueRep has multiple observation input channels, then  $L_S$  must be the same for all elements of obs.

 $L_{\rm R}$  and  $L_{\rm S}$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

# **Output Arguments**

### action — Action

single-element cell array

Action, returned as single-element cell array containing an array with dimensions  $M_A$ -by- $L_B$ -by- $L_S$ , where:

- $M_A$  corresponds to the dimensions of the associated action specification.
- $L_B$  is the batch size.
- $L_S$  is the sequence length for recurrent neural networks. If the agent, actor, or policy calculating action do not use recurrent neural networks, then  $L_S = 1$ .

**Note** The following continuous action-space actor, policy and agent objects do not enforce the constraints set by the action specification:

- rlContinuousDeterministicActor
- rlStochasticActorPolicy
- rlACAgent
- rlPGAgent
- rlPPOAgent

In these cases, you must enforce action space constraints within the environment.

### nextState — Next state of the actor

cell array

Next state of the actor, returned as a cell array. If actor does not use a recurrent neural network, then state is an empty cell array.

You can set the state of the representation to state using the setState function. For example:

```
actor = setState(actor,state);
```

### agent — Updated agent

reinforcement learning agent object

Updated agent, returned as the same agent object as the agent in the input argument. Note that agent is an handle object. Therefore, its internal states (if any) are updated whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

### updatedPolicy — Updated policy object

reinforcement learning policy object

Updated policy object. It is identical to the policy object supplied as first input argument, except that its internal states (if any) are updated.

## Tips

The function evaluate behaves, for actor objects, similarly to getAction except for the following differences.

- For an rlDiscreteCategoricalActor actor object, evaluate returns the probability of each possible actions, (instead of a sample action as getAction).
- For an rlContinuousGaussianActor actor object, evaluate returns the mean and standard deviation of the Gaussian distribution, (instead of a sample action as getAction).

para

# **Version History**

Introduced in R2020a

### See Also

### **Functions**

evaluate | getValue | getMaxQValue

### **Topics**

- "Train Reinforcement Learning Policy Using Custom Training Loop"
- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"
- "Create Custom Reinforcement Learning Agents"

# getActor

Package: rl.agent

Extract actor from reinforcement learning agent

## **Syntax**

```
actor = getActor(agent)
```

# **Description**

actor = getActor(agent) returns the actor object from the specified reinforcement learning
agent.

## **Examples**

### **Modify Actor Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
```

Obtain the actor function approximator from the agent.

```
actor = getActor(agent);
```

Obtain the learnable parameters from the actor.

```
params = getLearnableParameters(actor)
```

```
params=2×1 cell array
{[-15.4601 -7.2076]}
{[ 0]}
```

Modify the parameter values. For this example, simply multiply all of the parameters by 2.

```
modifiedParams = cellfun(@(x) x*2,params,"UniformOutput",false);
```

Set the parameter values of the actor to the new modified values.

```
actor = setLearnableParameters(actor, modifiedParams);
```

Set the actor in the agent to the new modified actor.

```
setActor(agent,actor);
```

Display the new parameter values.

```
getLearnableParameters(getActor(agent))
```

```
ans=2×1 cell array
{[-30.9201 -14.4153]}
{[ 0]}
```

### **Modify Deep Neural Networks in Reinforcement Learning Agent**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

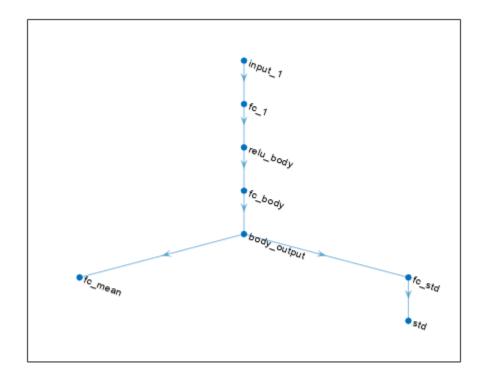
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

```
plot(layerGraph(actorNet))
```



To validate a network, use analyzeNetwork. For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by <code>getModel</code>. For more information on building networks, see "Build Networks with Deep Network Designer".

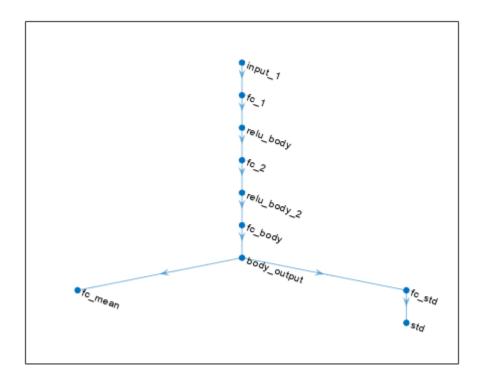
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

create Modified Networks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

# **Input Arguments**

### agent — Reinforcement learning agent

rlDDPGAgent object | rlTD3Agent object | rlPGAgent object | rlACAgent object | rlPPOAgent object | rlSACAgent object

Reinforcement learning agent that contains an actor, specified as one of the following:

- rlPGAgent object
- rlDDPGAgent object

- rlTD3Agent object
- rlACAgent object
- rlSACAgent object
- rlPPOAgent object
- rlTRPOAgent object

**Note** if agent is an rlMBPOAgent object, to extract the actor, use getActor(agent.BaseAgent).

# **Output Arguments**

### actor — Actor

rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object |
rlContinuousGaussianActor object

Actor object, returned as one of the following:

- rlContinuousDeterministicActor object Returned when agent is an rlDDPGAgent or rlTD3Agent object
- rlDiscreteCategoricalActor object Returned when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or rlSACAgent object for an environment with a discrete action space.
- rlContinuousGaussianActor object Returned when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or rlSACAgent object for an environment with a continuous action space.

# **Version History**

Introduced in R2019a

### See Also

#### **Functions**

getCritic | setActor | setCritic | getModel | setModel | getLearnableParameters |
setLearnableParameters

### Topics

"Create Policies and Value Functions"

"Import Neural Network Models"

# getCritic

Package: rl.agent

Extract critic from reinforcement learning agent

## **Syntax**

```
critic = getCritic(agent)
```

# **Description**

critic = getCritic(agent) returns the critic object from the specified reinforcement learning
agent.

# **Examples**

### **Modify Critic Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
```

Obtain the critic function approximator from the agent.

```
critic = getCritic(agent);
```

Obtain the learnable parameters from the critic.

```
params = getLearnableParameters(critic)
```

```
params=2 \times 1 cell array {[-4.9869 -1.5577 -0.3351 -0.1097 -0.0444 7.5240e-04]} {[ 0]}
```

Modify the parameter values. For this example, simply multiply all of the parameters by 2.

```
modifiedParams = cellfun(@(x) x*2,params,"UniformOutput",false);
```

Set the parameter values of the critic to the new modified values.

```
critic = setLearnableParameters(critic, modifiedParams);
```

Set the critic in the agent to the new modified critic.

```
setCritic(agent,critic);
```

Display the new parameter values.

```
getLearnableParameters(getCritic(agent))
```

### **Modify Deep Neural Networks in Reinforcement Learning Agent**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

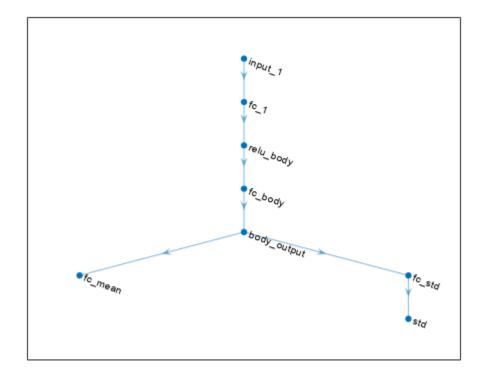
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

```
plot(layerGraph(actorNet))
```



To validate a network, use analyzeNetwork. For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by <code>getModel</code>. For more information on building networks, see "Build Networks with Deep Network Designer".

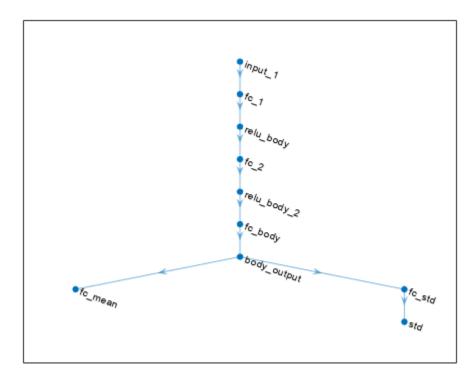
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

createModifiedNetworks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

# **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent that contains a critic, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent

- rlDQNAgent
- rlPGAgent (when using a critic to estimate a baseline value function)
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRP0Agent
- rlMBPOAgent

# **Output Arguments**

### critic — Critic

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | twoelement row vector of rlQValueFunction objects

Critic object, returned as one of the following:

- rlValueFunction object Returned when agent is an rlACAgent, rlPGAgent, or rlPPOAgent object.
- rlQValueFunction object Returned when agent is an rlQAgent, rlSARSAAgent, rlDQNAgent, rlDDPGAgent, or rlTD3Agent object with a single critic.
- rlVectorQValueFunction object Returned when agent is an rlQAgent, rlSARSAAgent, rlDQNAgent, object with a discrete action space, vector Q-value function critic.
- Two-element row vector of rlQValueFunction objects Returned when agent is an rlTD3Agent or rlSACAgent object with two critics.

# **Version History**

Introduced in R2019a

### See Also

#### **Functions**

getActor|setActor|setCritic|getModel|setModel|getLearnableParameters|
setLearnableParameters

### **Topics**

"Create Policies and Value Functions"

"Import Neural Network Models"

# getExplorationPolicy

Package: rl.agent

Extract exploratory (stochastic) policy object from agent

# **Syntax**

```
policy = getExplorationPolicy(agent)
```

# **Description**

policy = getExplorationPolicy(agent) returns a stochastic policy object from the specified reinforcement learning agent. Stochastic polices are useful for exploration.

# **Examples**

### **Extract Policy Object from Agent**

For this example, load the PG agent trained in "Train PG Agent to Balance Cart-Pole System".

```
load("MATLABCartpolePG.mat", "agent")
```

Extract the agent greedy policy using getGreedyPolicy.

Note that, in the extracted policy object, the UseMaxLikelihoodAction property is set to true. This means that the policy object always generates the maximum likelihood action in response to a given observation, and is therefore greedy (and deterministic).

Alternatively, you can extract a stochastic policy using getExplorationPolicy.

SampleTime: 1

This time, the extracted policy object has the UseMaxLikelihoodAction property is set to false. This means that the policy object generates a random action, given an observation. The policy is therefore stochastic and useful for exploration.

### **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent that contains a critic, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent (when using a critic to estimate a baseline value function)
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRPOAgent
- rlMBPOAgent

**Note** if agent is an rlMBPOAgent object, to extract the greedy policy, use getGreedyPolicy(agent.BaseAgent).

# **Output Arguments**

### policy — Reinforcement learning policy object

rlEpsilonGreedyPolicy object | rlAdditiveNoisePolicy object |
rlStochasticActorPolicy object

Policy object, returned as one of the following:

- rlEpsilonGreedyPolicy object Returned when agent is an rlQAgent, rlSARSAAgent, or rlDQNAgent object.
- rlAdditiveNoisePolicy object Returned when agent is an rlDDPGAgent or rlTD3Agent object.
- rlStochasticActorPolicy object, with the UseMaxLikelihoodAction set to false —
  Returned when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or
  rlSACAgent object. Since the returned policy object has the UseMaxLikelihoodAction
  property set to false, it always generates a random action (according to the policy probability
  distribution) as a response to a given observation, and is therefore exploratory (and stochastic).

# **Version History**

Introduced in R2023a

### See Also

### **Functions**

getGreedyPolicy|generatePolicyFunction|generatePolicyBlock|getAction

### Objects

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlDeterministicActorPolicy | rlStochasticActorPolicy

#### Blocks

Policy | RL Agent

### **Topics**

- "Generate Policy Block for Deployment"
- "Create Policy Evaluation Function from Policy Object" on page 2-91
- "Create Policies and Value Functions"

# getGreedyPolicy

Package: rl.agent

Extract greedy (deterministic) policy object from agent

## **Syntax**

```
policy = getGreedyPolicy(agent)
```

# **Description**

policy = getGreedyPolicy(agent) returns a deterministic policy object from the specified reinforcement learning agent.

# **Examples**

### **Extract Policy Object from Agent**

For this example, load the PG agent trained in "Train PG Agent to Balance Cart-Pole System".

```
load("MATLABCartpolePG.mat", "agent")
```

Extract the agent greedy policy using getGreedyPolicy.

Note that, in the extracted policy object, the UseMaxLikelihoodAction property is set to true. This means that the policy object always generates the maximum likelihood action in response to a given observation, and is therefore greedy (and deterministic).

Alternatively, you can extract a stochastic policy using getExplorationPolicy.

SampleTime: 1

This time, the extracted policy object has the UseMaxLikelihoodAction property is set to false. This means that the policy object generates a random action, given an observation. The policy is therefore stochastic and useful for exploration.

### Input Arguments

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent that contains a critic, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent (when using a critic to estimate a baseline value function)
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRPOAgent
- rlMBPOAgent

**Note** if agent is an rlMBPOAgent object, to extract the greedy policy, use getGreedyPolicy(agent.BaseAgent).

# **Output Arguments**

### policy — Reinforcement learning policy object

rlMaxQPolicy object | rlDeterministicActorPolicy object | rlStochasticActorPolicy
object

Policy object, returned as one of the following:

- rlMaxQPolicy object Returned when agent is an rlQAgent, rlSARSAAgent, or rlDQNAgent object.
- rlDeterministicActorPolicy object Returned when agent is an rlDDPGAgent or rlTD3Agent object.
- rlStochasticActorPolicy object, with the UseMaxLikelihoodAction set to true —
  Returned when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or
  rlSACAgent object. Since the returned policy object has the UseMaxLikelihoodAction
  property set to true, it always generates the deterministic maximum likelihood action as a
  response to given observation.

# **Version History**

Introduced in R2022a

### See Also

### **Functions**

getExplorationPolicy|generatePolicyFunction|generatePolicyBlock|getAction

### **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlDeterministicActorPolicy | rlStochasticActorPolicy

#### Blocks

Policy | RL Agent

### **Topics**

"Generate Policy Block for Deployment"

"Create Policy Evaluation Function from Policy Object" on page 2-91

"Create Policies and Value Functions"

# getLearnableParameters

Package: rl.policy

Obtain learnable parameter values from agent, function approximator, or policy object

## **Syntax**

```
pars = getLearnableParameters(agent)
pars = getLearnableParameters(fcnAppx)
pars = getLearnableParameters(policy)
```

## **Description**

### **Agent**

pars = getLearnableParameters(agent) returns the learnable parameter values from the
agent object agent.

#### **Actor or Critic**

pars = getLearnableParameters(fcnAppx) returns the learnable parameter values from the actor or critic function approximator object fcnAppx.

#### **Policy**

pars = getLearnableParameters(policy) returns the learnable parameters values from the
policy object policy.

# **Examples**

### **Modify Critic Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
```

Obtain the critic function approximator from the agent.

```
critic = getCritic(agent);
```

Obtain the learnable parameters from the critic.

```
Modify the parameter values. For this example, simply multiply all of the parameters by 2.

modifiedParams = cellfun(@(x) x*2,params, "UniformOutput",false);

Set the parameter values of the critic to the new modified values.

critic = setLearnableParameters(critic,modifiedParams);

Set the critic in the agent to the new modified critic.

setCritic(agent,critic);

Display the new parameter values.

getLearnableParameters(getCritic(agent))

ans=2×1 cell array
{[-9.9737 -3.1153 -0.6702 -0.2194 -0.0888 0.0015]}
{[ 0]}
```

### **Modify Actor Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
Obtain the actor function approximator from the agent.
actor = getActor(agent);
Obtain the learnable parameters from the actor.
params = getLearnableParameters(actor)
params=2×1 cell array
    {[-15.4601 -7.2076]}
    ] }
                      01}
Modify the parameter values. For this example, simply multiply all of the parameters by 2.
modifiedParams = cellfun(@(x) x*2,params, "UniformOutput", false);
Set the parameter values of the actor to the new modified values.
actor = setLearnableParameters(actor,modifiedParams);
Set the actor in the agent to the new modified actor.
setActor(agent,actor);
Display the new parameter values.
getLearnableParameters(getActor(agent))
```

```
ans=2×1 cell array
{[-30.9201 -14.4153]}
{[ 0]}
```

### **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRP0Agent
- Custom agent For more information, see "Create Custom Reinforcement Learning Agents".

### fcnAppx — Actor or critic function object

reinforcement learning function approximator object

Function approximator object, specified as one of the following:

- rlValueFunction object Value function critic
- rlQValueFunction object Q-value function critic
- rlVectorQValueFunction object Multi-output Q-value function critic with a discrete action space
- rlContinuousDeterministicActor object Deterministic policy actor with a continuous action space
- rlDiscreteCategoricalActor object Stochastic policy actor with a discrete action space
- rlContinuousGaussianActor object Stochastic policy actor with a continuous action space
- rlContinuousDeterministicTransitionFunction object Continuous deterministic transition function for neural network environments
- rlContinuousGaussianTransitionFunction object Continuous Gaussian transition function for neural network environments
- rlContinuousDeterministicRewardFunction object Continuous deterministic reward function for neural network environments
- rlContinuousGaussianRewardFunction object Continuous Gaussian reward function for neural network environments

• rlisDoneFunction object — IsDone function for neural network environments

To create an actor or critic function object, use one of the following methods.

- · Create a function object directly.
- Obtain the existing critic from an agent using getCritic.
- Obtain the existing actor from an agent using getActor.

### policy — Reinforcement learning policy

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMaxQPolicy
- rlEpsilonGreedyPolicy
- rlDeterministicActorPolicy
- rlAdditiveNoisePolicy
- rlStochasticActorPolicy

## **Output Arguments**

### pars — Learnable parameters

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object | rlContinuousGaussianActor object

Learnable parameter values for the function object, returned as a cell array. You can modify these parameter values and set them in the original agent or a different agent using the setLearnableParameters function.

# **Version History**

Introduced in R2019a

# R2022a: getLearnableParameters now uses approximator objects instead of representation objects

Behavior changed in R2022a

Using representation objects to create actors and critics for reinforcement learning agents is no longer recommended. Therefore, getLearnableParameters now uses function approximator objects instead.

# **R2020a:** getLearnableParameterValues is now getLearnableParameters

Behavior changed in R2020a

getLearnableParameterValues is now getLearnableParameters. To update your code, change the function name from getLearnableParameterValues to getLearnableParameters. The syntaxes are equivalent.

# See Also

### **Functions**

setLearnableParameters|getActor|getCritic|setActor|setCritic|evaluate

### **Objects**

rlValueFunction | rlContinuousDeterministicActor |
rlContinuousDeterministicTransitionFunction | rlQAgent | rlPGAgent | rlACAgent |
rlMaxQPolicy

### **Topics**

- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"
- "Import Neural Network Models"

# getMaxQValue

Package: rl.function

Obtain maximum estimated value over all possible actions from a Q-value function critic with discrete action space, given environment observations

## **Syntax**

```
[maxQ,maxActionIndex] = getMaxQValue(qValueFcn0bj,obs)
[maxQ,maxActionIndex,state] = getMaxQValue( )
```

## **Description**

[maxQ,maxActionIndex] = getMaxQValue(qValueFcn0bj,obs) evaluates the discrete-action-space Q-value function critic qValueFcn0bj and returns the maximum estimated value over all possible actions maxQ, with the corresponding action index maxActionIndex, given environment observations obs.

[maxQ,maxActionIndex,state] = getMaxQValue(\_\_\_\_) also returns the updated state of qValueFcnObj when it contains a recurrent neural network.

## **Examples**

#### **Obtain Maximum Q-Value Function Estimates**

Create an observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment. For this example, define the observation space as a continuous three-dimensional space, and the action space as a finite set consisting of three possible values (named -1, 0, and 1).

```
obsInfo = rlNumericSpec([3 1]);
actInfo = rlFiniteSetSpec([-1 0 1]);
```

Create a custom basis function to approximate the Q-value function within the critic, and define an initial parameter vector.

```
myBasisFcn = @(myobs,myact) [ ...
    ones(4,1);
    myobs(:); myact;
    myobs(:).^2; myact.^2;
    sin(myobs(:)); sin(myact);
    cos(myobs(:)); cos(myact) ];
W0 = rand(20,1);
Create the critic.
critic = rlQValueFunction({myBasisFcn,W0}, ...
    obsInfo,actInfo);
```

Use getMaxQValue to return the maximum value, among the possible actions, given a random observation. Also return the index corresponding to the action that maximizes the value.

```
[v,i] = getMaxQValue(critic,{rand(3,1)})
v = 9.0719
i = 3
```

Create a batch set of 64 random independent observations. The third dimension is the batch size, while the fourth is the sequence length for any recurrent neural network used by the critic (in this case not used).

```
batchobs = rand(3,1,64,1);
Obtain maximum values for all the observations.
by = getMaxOValue(critic {batchobs});
```

```
bv = getMaxQValue(critic, {batchobs});

size(bv)

ans = 1 \times 2

1 64
```

Select the maximum value corresponding to the 44th observation.

```
bv(44) ans = 10.4138
```

## **Input Arguments**

#### qValueFcnObj — Q-value function critic

rlQValueFunction object | rlVectorQValueFunction object

Q-value function critic, specified as an rlQValueFunction or rlVectorQValueFunction object.

#### obs — Environment observations

cell array

Environment observations, specified as a cell array with as many elements as there are observation input channels. Each element of obs contains an array of observations for a single observation input channel.

The dimensions of each element in obs are  $M_O$ -by- $L_B$ -by- $L_S$ , where:

- M<sub>O</sub> corresponds to the dimensions of the associated observation input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ . If qValueFcnObj has multiple observation input channels, then  $L_B$  must be the same for all elements of obs.
- $L_S$  specifies the sequence length for a recurrent neural network. If qValueFcn0bj does not use a recurrent neural network, then  $L_S = 1$ . If qValueFcn0bj has multiple observation input channels, then  $L_S$  must be the same for all elements of obs.

 $L_B$  and  $L_S$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

## **Output Arguments**

#### maxQ — Maximum Q-value estimate

array

Maximum Q-value estimate across all possible discrete actions, returned as a 1-by- $L_B$ -by- $L_S$  array, where:

- $L_B$  is the batch size.
- $L_S$  specifies the sequence length for a recurrent neural network. If qValueFcn0bj does not use a recurrent neural network, then  $L_S = 1$ .

#### maxActionIndex — Action index

array

Action index corresponding to the maximum Q value, returned as a 1-by- $L_B$ -by- $L_S$  array, where:

- $L_B$  is the batch size.
- $L_S$  specifies the sequence length for a recurrent neural network. If qValueFcn0bj does not use a recurrent neural network, then  $L_S = 1$ .

#### state - Updated state of the critic

cell array

Updated state of qValueFcn0bj, returned as a cell array. If qValueFcn0bj does not use a recurrent neural network, then state is an empty cell array.

You can set the state of the critic to state using the setState function. For example:

qValueFcnObj = setState(qValueFcnObj,state);

# **Version History**

Introduced in R2020a

#### See Also

#### **Functions**

getValue | evaluate | getAction | getActor | getCritic

#### **Topics**

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Custom Reinforcement Learning Agents"

# getModel

Package: rl.function

Get function approximator model from actor or critic

## **Syntax**

```
model = getModel(fcnAppx)
```

## **Description**

model = getModel(fcnAppx) returns the function approximation model used by the actor or critic
function object fcnAppx.

## **Examples**

#### **Modify Deep Neural Networks in Reinforcement Learning Agent**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

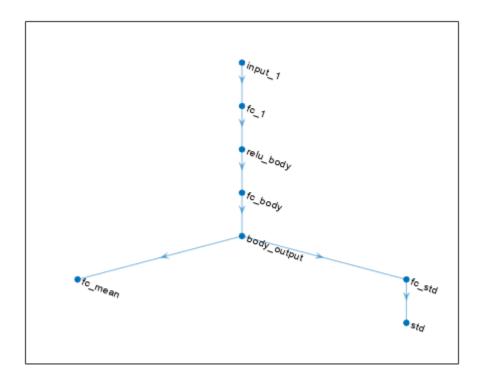
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

plot(layerGraph(actorNet))



To validate a network, use  ${\tt analyzeNetwork}$ . For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by <code>getModel</code>. For more information on building networks, see "Build Networks with Deep Network Designer".

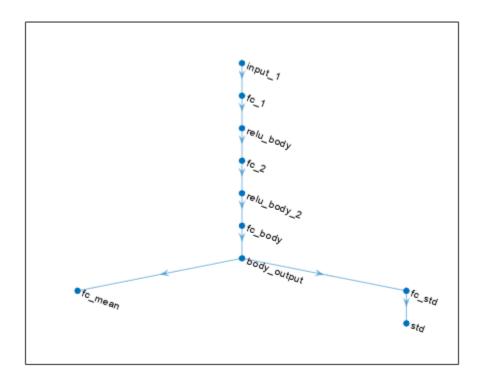
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

#### createModifiedNetworks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

# **Input Arguments**

#### fcnAppx — Actor or critic function object

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object | rlContinuousGaussianActor object

Actor or critic function object, specified as one of the following:

- rlValueFunction object Value function critic
- rlQValueFunction object Q-value function critic
- rlVectorQValueFunction object Multi-output Q-value function critic with a discrete action space
- rlContinuousDeterministicActor object Deterministic policy actor with a continuous action space
- rlDiscreteCategoricalActor Stochastic policy actor with a discrete action space
- rlContinuousGaussianActor object Stochastic policy actor with a continuous action space

To create an actor or critic function object, use one of the following methods.

- · Create a function object directly.
- Obtain the existing critic from an agent using getCritic.
- Obtain the existing actor from an agent using getActor.

**Note** For agents with more than one critic, such as TD3 and SAC agents, you must call getModel for each critic representation individually, rather than calling getModel for the array returned by getCritic.

```
critics = getCritic(myTD3Agent);
criticNet1 = getModel(critics(1));
criticNet2 = getModel(critics(2));
```

## **Output Arguments**

#### model — Function approximation model

dlnetwork object | rlTable object | 1-by-2 cell array

Function approximation model, returned as one of the following:

- Deep neural network defined as a dlnetwork object
- rlTable object
- 1-by-2 cell array that contains the function handle for a custom basis function and the basis function parameters

# **Version History**

Introduced in R2020b

**R2022a:** getModel now uses approximator objects instead of representation objects Behavior changed in R2022a

Using representation objects to create actors and critics for reinforcement learning agents is no longer recommended. Therefore, <code>qetModel</code> now uses function approximator objects instead.

#### R2021b: getModel returns a dlnetwork object

Behavior changed in R2021b

Starting from R2021b, built-in agents use dlnetwork objects as actor and critic representations, so getModel returns a dlnetwork object.

- Due to numerical differences in the network calculations, previously trained agents might behave differently. If this happens, you can retrain your agents.
- To use Deep Learning Toolbox™ functions that do not support dlnetwork, you must convert the network to layerGraph. For example, to use deepNetworkDesigner, replace deepNetworkDesigner(network) with deepNetworkDesigner(layerGraph(network)).

#### See Also

#### **Functions**

getActor|setActor|getCritic|setCritic|setModel

#### **Objects**

dlnetwork

#### **Topics**

"Create Policies and Value Functions"

# getObservationInfo

Package: rl.env

Obtain observation data specifications from reinforcement learning environment, agent, or experience buffer

## **Syntax**

```
obsInfo = getObservationInfo(env)
obsInfo = getObservationInfo(agent)
obsInfo = getObservationInfo(buffer)
```

## Description

obsInfo = getObservationInfo(env) extracts observation information from reinforcement learning environment env.

obsInfo = getObservationInfo(agent) extracts observation information from reinforcement
learning agent agent.

obsInfo = getObservationInfo(buffer) extracts observation information from experience
buffer buffer.

## **Examples**

#### **Extract Action and Observation Specifications from Reinforcement Learning Environment**

The reinforcement learning environment for this example is a longitudinal dynamics model comprising two cars, a leader and a follower. The vehicle model is also used in the "Adaptive Cruise Control System Using Model Predictive Control" (Model Predictive Control Toolbox) example.

Open the model.

```
mdl = "rlACCMdl";
open_system(mdl);

Specify path to the agent block in the model.
agentblk = mdl + "/RL Agent";

Create the observation and action specifications.
% Observation specifications
obsInfo = rlNumericSpec([3 1],LowerLimit=-inf*ones(3,1),UpperLimit=inf*ones(3,1));
obsInfo.Name = "observations";
obsInfo.Description = "information on velocity error and ego velocity";
% Action specifications
actInfo = rlNumericSpec([1 1],LowerLimit=-3,UpperLimit=2);
actInfo.Name = "acceleration";
```

Define environment interface.

The reinforcement learning environment env is a SimulinkEnvWithAgent object.

Extract the action and observation specifications from env.

```
actInfoExt = getActionInfo(env)
actInfoExt =
 rlNumericSpec with properties:
    LowerLimit: -3
    UpperLimit: 2
           Name: "acceleration"
   Description: [0x0 string]
     Dimension: [1 1]
      DataType: "double"
obsInfoExt = getObservationInfo(env)
obsInfoExt =
 rlNumericSpec with properties:
    LowerLimit: [3x1 double]
    UpperLimit: [3x1 double]
           Name: "observations"
   Description: "information on velocity error and ego velocity"
     Dimension: [3 1]
      DataType: "double"
```

The action information contains acceleration values while the observation information contains the velocity and velocity error values of the ego vehicle.

## **Input Arguments**

#### env — Reinforcement learning environment

rlFunctionEnv object | SimulinkEnvWithAgent object | rlNeuralNetworkEnvironment object | predefined MATLAB environment object

Reinforcement learning environment from which to extract the observation information, specified as one of the following objects.

MATLAB environment represented as one of the following objects.

- rlFunctionEnv
- rlNeuralNetworkEnvironment
- Predefined MATLAB environment created using rlPredefinedEnv
- Simulink environment represented as a SimulinkEnvWithAgent object.

For more information on reinforcement learning environments, see "Create MATLAB Reinforcement Learning Environments" and "Create Simulink Reinforcement Learning Environments".

#### agent — Reinforcement learning agent

rlQAgent object | rlSARSAAgent object | rlDQNAgent object | rlPGAgent object | rlDDPGAgent object | rlTD3Agent object | rlACAgent object | rlPPOAgent object | rlTRPOAgent object | rlSACAgent object | rlMBPOAgent object |

Reinforcement learning agent from which to extract the observation information, specified as one of the following objects.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlDDPGAgent
- rlTD3Agent
- rlPGAgent
- rlACAgent
- rlPPOAgent
- rlTRP0Agent
- rlSACAgent
- rlMBPOAgent

For more information on reinforcement learning agents, see "Reinforcement Learning Agents".

#### buffer — Experience buffer

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

## **Output Arguments**

#### obsInfo — Observation data specifications

array of rlNumericSpec objects | array of rlFiniteSetSpec objects

Observation data specifications extracted from the reinforcement learning environment, returned as an array of one of the following:

- rlNumericSpec objects
- rlFiniteSetSpec objects
- A mix of rlNumericSpec and rlFiniteSetSpec objects

# **Version History**

Introduced in R2019a

## See Also

#### **Functions**

getActionInfo

#### **Objects**

rlNumericSpec | rlFiniteSetSpec | rlQAgent | rlSARSAAgent | rlDQNAgent | rlPGAgent | rlACAgent | rlDDPGAgent

## **Topics**

"Create Simulink Reinforcement Learning Environments"

"Reinforcement Learning Agents"

# getValue

Package: rl.function

Obtain estimated value from a critic given environment observations and actions

## **Syntax**

```
value = getValue(valueFcnAppx,obs)
value = getValue(vqValueFcnAppx,obs)
value = getValue(qValueFcnAppx,obs,act)
[value,state] = getValue(____)
```

## **Description**

#### **Value Function Critic**

value = getValue(valueFcnAppx,obs) evaluates the value function critic valueFcnAppx and returns the value corresponding to the observation obs. In this case, valueFcnAppx is an rlValueFunction approximator object.

#### **Q-Value Function Critics**

value = getValue(vqValueFcnAppx,obs) evaluates the discrete-action-space Q-value function critic vqValueFcnAppx and returns the vector value, in which each element represents the estimated value given the state corresponding to the observation obs and the action corresponding to the element number of value. In this case, vqValueFcnAppx is an rlVectorQValueFunction approximator object.

value = getValue(qValueFcnAppx,obs,act) evaluates the Q-value function critic
qValueFcnAppx and returns the scalar value, representing the value given the observation obs and
action act. In this case, qValueFcnAppx is an rlQValueFunction approximator object.

#### **Return Recurrent Neural Network State**

[value, state] = getValue(\_\_\_\_) also returns the updated state of the critic object when it contains a recurrent neural network.

## **Examples**

#### **Obtain Value Function Estimates**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

To approximate the value function within the critic, create a neural network. Define a single path from the network input (the observation) to its output (the value), as an array of layer objects.

```
net = [ featureInputLayer(4) ...
fullyConnectedLayer(1)];
```

Convert the network to a dlnetwork object and display the number of weights.

Create a critic using the network and the observation specification object. When you use this syntax the network input layer is automatically associated with the environment observation according to the dimension specifications in obsInfo.

```
critic = rlValueFunction(net,obsInfo);
```

Obtain a value function estimate for a random single observation. Use an observation array with the same dimensions as the observation specification.

```
val = getValue(critic,{rand(4,1)})
val = single
    0.7904
```

You can also obtain value function estimates for a batch of observations. For example obtain value functions for a batch of 20 observations.

```
batchVal = getValue(critic, \{rand(4,1,20)\}); size(batchVal) ans = 1 \times 2
1 20
```

valBatch contains one value function estimate for each observation in the batch.

#### **Obtain Vector Q-Value Function Estimates**

Create observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles, and the action space as a finite set consisting of three possible values (named 7, 5, and 3 in this case).

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlFiniteSetSpec([7 5 3]);
```

Create a vector Q-value function approximator to use as a critic. A vector Q-value function takes only the observation as input and returns as output a single vector with as many elements as the number of possible actions. The value of each output element represents the expected discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy afterwards.

To model the parametrized vector Q-value function within the critic. The network must have one input layer that accepts a four-element vector, as defined by obsInfo. The output must be a single output layer having as many elements as the number of possible discrete actions (three in this case, as defined by actInfo).

Define a single path from the network input to its output as array of layer objects.

```
net = [
    featureInputLayer(4)
    fullyConnectedLayer(3)
];
```

Convert the network to a dlnetwork object and display the number of weights.

Create the critic using the network, as well as the names of the observation and action specification objects. The network input layers are automatically associated with the observation channels, according to the dimension specifications in obsInfo.

```
critic = rlVectorQValueFunction(net,obsInfo,actInfo);
```

Use getValue to return the values of a random observation, using the current network weights.

```
v = getValue(critic,{rand(obsInfo.Dimension)})
v = 3x1 single column vector
```

```
0.7232
0.8177
-0.2212
```

v contains three value function estimates, one for each possible discrete action.

You can also obtain value function estimates for a batch of observations. For example, obtain value function estimates for a batch of 10 observations.

```
batchV = getValue(critic,{rand([obsInfo.Dimension 10])});
size(batchV)
ans = 1×2
```

```
3 10
```

batchV contains three value function estimates for each observation in the batch.

#### **Obtain Single-Output Q-Value Function Estimates**

Create observation and action specification objects (or alternatively use get0bservationInfo and get0bservationInfo to extract the specification object from an environment). For this example, define the observation space as having two continuous channels, the first one carrying an 8 by 3 matrix, and the second one a continuous four-dimensional vector. The action specification is a continuous column vector containing 2 doubles.

```
obsInfo = [rlNumericSpec([8 3]), rlNumericSpec([4 1])];
actInfo = rlNumericSpec([2 1]);
```

Create a custom basis function and its initial weight matrix.

```
myBasisFcn = @(obsA,obsB,act) [...
    ones(30,1);
    obsA(:); obsB(:); act(:);
    obsA(:).^2; obsB(:).^2; act(:).^2;
    sin(obsA(:)); sin(obsB(:)); sin(act(:));
    cos(obsA(:)); cos(obsB(:)); cos(act(:))];
W0 = rand(150,1);
```

The output of the critic is the scalar W'\*myBasisFcn(obs,act), representing the Q-value function to be approximated.

Create the critic.

```
critic = rlQValueFunction({myBasisFcn,W0}, ...
obsInfo,actInfo);
```

Use **getValue** to return the value of a random observation-action pair, using the current parameter matrix.

```
v = getValue(critic, {rand(8,3),(1:4)'}, {rand(2,1)})
v = 68.8628
```

Create a random observation set of batch size 64 for each channel. The third dimension is the batch size, while the fourth is the sequence length for any recurrent neural network used by the critic (in this case not used).

```
batchobs_ch1 = rand(8,3,64,1);
batchobs_ch2 = rand(4,1,64,1);
```

Create a random action set of batch size 64.

```
batchact = rand(2,1,64,1);
```

Obtain the state-action value function estimate for the batch of observations and actions.

```
bv = getValue(critic,{batchobs_ch1,batchobs_ch2},{batchact});
size(bv)
```

```
ans = 1 \times 2

1 64

bv(23)

ans = 46.6310
```

## **Input Arguments**

#### valueFcnAppx — Value function critic

rlValueFunction object

Value function critic, specified as an rlValueFunction approximator object.

## vqValueFcnAppx — Vector Q-value function critic

rlVectorOValueFunction object

Vector Q-value function critic, specified as an rlVectorQValueFunction approximator object.

#### qValueFcnAppx — Q-value function critic

rlQValueFunction object

Q-value function critic, specified as an rlQValueFunction object.

#### obs — Observations

cell array

Observations, specified as a cell array with as many elements as there are observation input channels. Each element of obs contains an array of observations for a single observation input channel.

The dimensions of each element in obs are  $M_O$ -by- $L_B$ -by- $L_S$ , where:

- $M_0$  corresponds to the dimensions of the associated observation input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ . If the critic object given as first input argument has multiple observation input channels, then  $L_B$  must be the same for all elements of obs.
- $L_S$  specifies the sequence length for a recurrent neural network. If the critic object given as first input argument does not use a recurrent neural network, then  $L_S = 1$ . If the critic object has multiple observation input channels, then  $L_S$  must be the same for all elements of obs.

 $L_{\rm R}$  and  $L_{\rm S}$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

#### act — Action

single-element cell array

Action, specified as a single-element cell array that contains an array of action values.

The dimensions of this array are  $M_A$ -by- $L_B$ -by- $L_S$ , where:

- $M_A$  corresponds to the dimensions of the associated action specification.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ .
- $L_S$  specifies the sequence length for a recurrent neural network. If the critic object given as a first input argument does not use a recurrent neural network, then  $L_S = 1$ .

 $L_B$  and  $L_S$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

## **Output Arguments**

#### value — Estimated value function

array

Estimated value function, returned as array with dimensions N-by- $L_B$ -by- $L_S$ , where:

- *N* is the number of outputs of the critic network.
  - For a state value critics (valueFcnAppx), N = 1.
  - For a single-output state-action value function critics (qValueFcnAppx), N = 1.
  - For a multi-output state-action value function critics (vqValueFcnAppx), N is the number of discrete actions.
- $L_B$  is the batch size.
- $L_S$  is the sequence length for a recurrent neural network.

#### state — Updated state of critic

cell array

Updated state of the critic, returned as a cell array. If the critic does not use a recurrent neural network, then state is an empty cell array.

You can set the state of the critic to state using the setState function. For example:

valueFcnAppx = setState(valueFcnAppx,state);

## Tips

The more general function evaluate behaves, for critic objects, similarly to getValue except that evaluate returns results inside a single-cell array.

para

# **Version History**

Introduced in R2020a

## See Also

## **Functions**

getMaxQValue | evaluate | getAction | getActor | getCritic

## **Topics**

"Train Reinforcement Learning Policy Using Custom Training Loop" "Create Custom Reinforcement Learning Agents"

# gradient

Package: rl.function

Evaluate gradient of function approximator object given observation and action input data

## **Syntax**

```
grad = gradient(fcnAppx,'output-input',inData)
grad = gradient(fcnAppx,'output-parameters',inData)
grad = gradient(fcnAppx,lossFcn,inData,fcnData)
```

## **Description**

grad = gradient(fcnAppx,'output-input',inData) evaluates the gradient of the sum of the outputs of the function approximator object fcnAppx with respect to its inputs. It returns the value of the gradient grad when the input of fcnAppx is inData.

grad = gradient(fcnAppx,'output-parameters',inData) evaluates the gradient of the sum
of the outputs of fcnAppx with respect to its parameters.

grad = gradient(fcnAppx,lossFcn,inData,fcnData) evaluates the gradient of a loss
function associated to the function handle lossFcn, with respect to the parameters of fcnAppx. The
last optional argument fcnData can contain additional inputs for the loss function.

# **Examples**

#### **Calculate Gradients for Continuous Gaussian Actor**

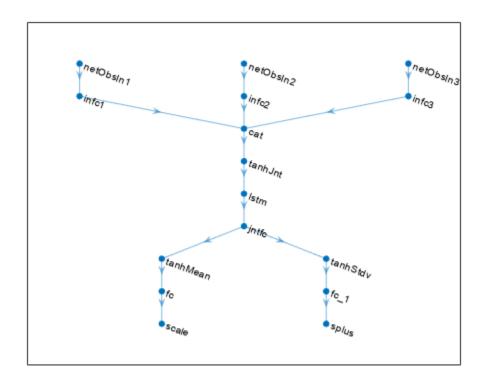
Create observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment). For this example, define an observation space with of three channels. The first channel carries an observation from a continuous three-dimensional space, so that a single observation is a column vector containing three doubles. The second channel carries a discrete observation made of a two-dimensional row vector that can take one of five different values. The third channel carries a discrete scalar observation that can be either zero or one. Finally, the action space is a continuous four-dimensional space, so that a single action is a column vector containing four doubles, each between -10 and 10.

To approximate the policy within the actor, use a recurrent deep neural network. For a continuous Gaussian actor, the network must have two output layers (one for the mean values the other for the standard deviation values), each having as many elements as the dimension of the action space.

Create a the network, defining each path as an array of layer objects. Use sequenceInputLayer as the input layer and include an lstmLayer as one of the other network layers. Also use a softplus layer to enforce nonnegativity of the standard deviations and a ReLU layer to scale the mean values to the desired output range. Get the dimensions of the observation and action spaces from the environment specification objects, and specify a name for the input layers, so you can later explicitly associate them with the appropriate environment channel.

```
inPath1 = [ sequenceInputLayer( ...
                prod(obsInfo(1).Dimension), ...
                Name="net0bsIn1")
            fullyConnectedLayer(prod(actInfo.Dimension), ...
                Name="infc1") 1:
inPath2 = [ sequenceInputLayer( ...
                prod(obsInfo(2).Dimension), ...
                Name="netObsIn2")
            fullyConnectedLayer( ...
                prod(actInfo.Dimension), ...
                Name="infc2") ];
inPath3 = [ sequenceInputLayer( ...
                prod(obsInfo(3).Dimension), ...
                Name="netObsIn3")
            fullyConnectedLayer( ...
                prod(actInfo.Dimension), ...
                Name="infc3") ];
% Concatenate inputs along the first available dimension
jointPath = [ concatenationLayer(1,3,Name="cat")
              tanhLayer(Name="tanhJnt");
              lstmLayer(8,OutputMode="sequence",Name="lstm")
              fullyConnectedLayer( ...
                prod(actInfo.Dimension), ...
                Name="jntfc");
             ];
% Path layers for mean value
% Using scalingLayer to scale range from (-1,1) to (-10,10)
meanPath = [ tanhLayer(Name="tanhMean");
             fullyConnectedLayer(prod(actInfo.Dimension));
             scalingLayer(Name="scale", ...
                Scale=actInfo.UpperLimit) ];
% Path layers for standard deviations
% Using softplus layer to make them nonnegative
sdevPath = [ tanhLayer(Name="tanhStdv");
             fullyConnectedLayer(prod(actInfo.Dimension));
             softplusLayer(Name="splus") ];
% Add layers to network object
net = layerGraph;
net = addLayers(net,inPath1);
net = addLayers(net,inPath2);
net = addLayers(net,inPath3);
net = addLayers(net,jointPath);
net = addLayers(net,meanPath);
net = addLayers(net,sdevPath);
```

```
% Connect layers
net = connectLayers(net, "infc1", "cat/in1");
net = connectLayers(net, "infc2", "cat/in2");
net = connectLayers(net, "infc3", "cat/in3");
net = connectLayers(net, "jntfc", "tanhMean/in");
net = connectLayers(net, "jntfc", "tanhStdv/in");
% Plot network
plot(net)
```



```
% Convert to dlnetwork
net = dlnetwork(net);
% Display the number of weights
summary(net)

Initialized: true

Number of learnables: 784

Inputs:
    1 'netObsIn1' Sequence input with 3 dimensions
    2 'netObsIn2' Sequence input with 2 dimensions
    3 'netObsIn3' Sequence input with 1 dimensions
```

Create the actor with rlContinuousGaussianActor, using the network, the observations and action specification objects, as well as the names of the network input layer and the options object.

```
actor = rlContinuousGaussianActor(net, obsInfo, actInfo, ...
    ActionMeanOutputNames="scale",...
    ActionStandardDeviationOutputNames="splus",...
    ObservationInputNames=["netObsIn1","netObsIn2","netObsIn3"]);
```

To return mean value and standard deviations of the Gaussian distribution as a function of the current observation, use evaluate.

The result is a cell array with two elements, the first one containing a vector of mean values, and the second containing a vector of standard deviations.

#### prob{1}

```
ans = 4x1 single column vector

-1.5454
0.4908
-0.1697
0.8081

prob{2}

ans = 4x1 single column vector
0.6913
0.6141
0.7291
0.6475
```

To return an action sampled from the distribution, use getAction.

Calculate the gradients of the sum of the outputs (all the mean values plus all the standard deviations) with respect to the inputs, given a random observation.

```
gro = gradient(actor,"output-input", ...
{rand(obsInfo(1).Dimension) , ...
```

```
rand(obsInfo(2).Dimension) , ...
rand(obsInfo(3).Dimension)} )

gro=3×1 cell array
{3x1 single}
{2x1 single}
{[ 0.1311]}
```

The result is a cell array with as many elements as the number of input channels. Each element contains the derivatives of the sum of the outputs with respect to each component of the input channel. Display the gradient with respect to the element of the second channel.

#### gro{2}

```
ans = 2x1 single column vector
-1.3404
0.6642
```

Obtain the gradient with respect of five independent sequences, each one made of nine sequential observations.

Display the derivative of the sum of the outputs with respect to the third observation element of the first input channel, after the seventh sequential observation in the fourth independent batch.

```
gro_batch{1}(3,4,7)
ans = single
    0.2020
```

Set the option to accelerate the gradient computations.

```
actor = accelerate(actor,true);
```

Calculate the gradients of the sum of the outputs with respect to the parameters, given a random observation.

```
{ 4x1 single}
{ 4x1 single}
{ 4x1 single}
{ 32x12 single}
{ 32x8 single}
{ 32x1 single}
{ 4x8 single}
{ 4x1 single}
{ 4x4 single}
{ 4x4 single}
{ 4x4 single}
{ 4x4 single}
{ 4x1 single}
```

Each array within a cell contains the gradient of the sum of the outputs with respect to a group of parameters.

```
grp_batch = gradient(actor, "output-parameters", ...
                        {rand([obsInfo(1).Dimension 5 9]) , ...
                         rand([obsInfo(2).Dimension 5 9]) , ...
                         rand([obsInfo(3).Dimension 5 9])} )
grp batch=15×1 cell array
    { 4x3 single}
    { 4x1 single}
    { 4x2 single}
    { 4x1 single}
     4x1 single}
    { 4x1 single}
    {32x12 single}
    {32x8 single}
    {32x1
          single}
    { 4x8
          single}
     4x1 single}
    { 4x4 single}
    { 4x1 single}
    { 4x4 single}
    { 4x1 single}
```

If you use a batch of inputs, gradient uses the whole input sequence (in this case nine steps), and all the gradients with respect to the independent batch dimensions (in this case five) are added together. Therefore, the returned gradient has always the same size as the output from getLearnableParameters.

#### **Calculate Gradients for Vector Q-Value Function**

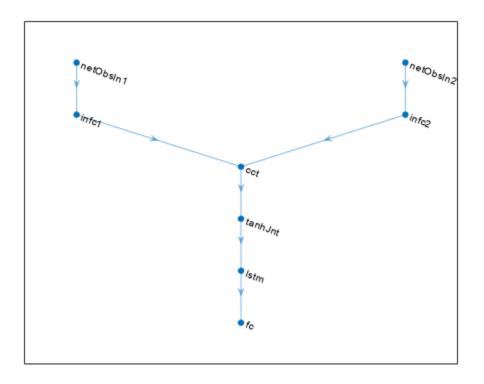
Create observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment). For this example, define an observation space made of two channels. The first channel carries an observation from a continuous four-dimensional space. The second carries a discrete scalar observation that can be either zero or one. Finally, the action space consist of a scalar that can be -1, 0, or 1.

```
actInfo = rlFiniteSetSpec([-1 0 1]);
```

To approximate the vector Q-value function within the critic, use a recurrent deep neural network. The output layer must have three elements, each one expressing the value of executing the corresponding action, given the observation.

Create the neural network, defining each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specification objects, use <code>sequenceInputLayer</code> as the input layer, and include an <code>lstmLayer</code> as one of the other network layers.

```
inPath1 = [ sequenceInputLayer( ...
                prod(obsInfo(1).Dimension), ...
                Name="net0bsIn1")
            fullyConnectedLayer( ...
                prod(actInfo.Dimension), ...
                Name="infc1") ];
inPath2 = [ sequenceInputLayer( ...
                prod(obsInfo(2).Dimension), ...
                Name="netObsIn2")
            fullyConnectedLayer( ...
                prod(actInfo.Dimension), ...
                Name="infc2") ];
% Concatenate inputs along first available dimension
jointPath = [ concatenationLayer(1,2,Name="cct")
              tanhLayer(Name="tanhJnt")
              lstmLayer(8,OutputMode="sequence")
              fullyConnectedLayer(prod(numel(actInfo.Elements))) ];
% Add layers to network object
net = laverGraph:
net = addLayers(net,inPath1);
net = addLayers(net,inPath2);
net = addLayers(net,jointPath);
% Connect layers
net = connectLayers(net, "infc1", "cct/in1");
net = connectLayers(net, "infc2", "cct/in2");
% Plot network
plot(net)
```



Create the critic with rlVectorQValueFunction, using the network and the observation and action specification objects.

```
critic = rlVectorQValueFunction(net,obsInfo,actInfo);
```

To return the value of the actions as a function of the current observation, use getValue or evaluate.

```
val = 1x1 cell array
{3x1 single}
```

When you use evaluate, the result is a single-element cell array, containing a vector with the values of all the possible actions, given the observation.

# val{1} ans = 3x1 single column vector -0.0054 -0.0943 0.0177

Calculate the gradients of the sum of the outputs with respect to the inputs, given a random observation.

The result is a cell array with as many elements as the number of input channels. Each element contains the derivative of the sum of the outputs with respect to each component of the input channel. Display the gradient with respect to the element of the second channel.

```
gro{2}
ans = single
    -0.0396
```

Obtain the gradient with respect of five independent sequences each one made of nine sequential observations.

Display the derivative of the sum of the outputs with respect to the third observation element of the first input channel, after the seventh sequential observation in the fourth independent batch.

```
gro_batch{1}(3,4,7)
ans = single
    0.0443
```

Set the option to accelerate the gradient computations.

```
critic = accelerate(critic,true);
```

Calculate the gradients of the sum of the outputs with respect to the parameters, given a random observation.

```
grp = gradient(critic, "output-parameters", ...
                {rand(obsInfo(1).Dimension) , ...
                 rand(obsInfo(2).Dimension) } )
grp=9×1 cell array
    {[-0.0101 -0.0097 -0.0039 -0.0065]}
    ] }
                               -0.0122]}
    ]}
                               -0.0078]}
    ]}
                               -0.0863]}
    {32x2 single
    {32x8 single
    {32x1 single
    { 3x8 single
    { 3x1 single
```

Each array within a cell contains the gradient of the sum of the outputs with respect to a group of parameters.

```
grp batch = gradient(critic, "output-parameters", ...
                         {rand([obsInfo(1).Dimension 5 9]) , ...
                          rand([obsInfo(2).Dimension 5 9]) } )
grp batch=9×1 cell array
    {[-1.5801 -1.2618 -2.2168 -1.3463]}
    ] }
                              -3.7742]}
                               -6.2158]}
    ]}
    ] }
                              -12.6841]}
    {32x2 single
    {32x8 single
    {32x1 single
                                       }
    { 3x8 single
                                       }
    { 3x1 single
```

If you use a batch of inputs, gradient uses the whole input sequence (in this case nine steps), and all the gradients with respect to the independent batch dimensions (in this case five) are added together. Therefore, the returned gradient always has the same size as the output from getLearnableParameters.

## **Input Arguments**

#### fcnAppx — Function approximator object

function approximator object

Function approximator object, specified:

- rlValueFunction,
- rlQValueFunction,
- rlVectorQValueFunction,

- rlDiscreteCategoricalActor,
- rlContinuousDeterministicActor,
- rlContinuousGaussianActor,
- rlContinuousDeterministicTransitionFunction,
- rlContinuousGaussianTransitionFunction,
- rlContinuousDeterministicRewardFunction,
- rlContinuousGaussianRewardFunction,
- rlIsDoneFunction.

#### inData — Input data for the function approximator

cell array

Input data for the function approximator, specified as a cell array with as many elements as the number of input channels of fcnAppx. In the following section, the number of observation channels is indicated by  $N_O$ .

- If fcnAppx is an rlQValueFunction, an rlContinuousDeterministicTransitionFunction or an rlContinuousGaussianTransitionFunction object, then each of the first  $N_O$  elements of inData must be a matrix representing the current observation from the corresponding observation channel. They must be followed by a final matrix representing the action.
- If fcnAppx is a function approximator object representing an actor or critic (but not an rlQValueFunction object), inData must contain  $N_O$  elements, each one being a matrix representing the current observation from the corresponding observation channel.
- If fcnAppx is an rlContinuousDeterministicRewardFunction, an rlContinuousGaussianRewardFunction, or an rlIsDoneFunction object, then each of the first  $N_O$  elements of inData must be a matrix representing the current observation from the corresponding observation channel. They must be followed by a matrix representing the action, and finally by  $N_O$  elements, each one being a matrix representing the next observation from the corresponding observation channel.

Each element of inData must be a matrix of dimension  $M_C$ -by- $L_B$ -by- $L_S$ , where:

- $M_C$  corresponds to the dimensions of the associated input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of (independent) observations, specify  $L_B > 1$ . If inData has multiple elements, then  $L_B$  must be the same for all elements of inData.
- $L_S$  specifies the sequence length (along the time dimension) for recurrent neural network. If fcnAppx does not use a recurrent neural network, (which is the case of environment function approximators, as they do not support recurrent neural networks) then  $L_S = 1$ . If inData has multiple elements, then  $L_S$  must be the same for all elements of inData.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

```
Example: \{rand(8,3,64,1), rand(4,1,64,1), rand(2,1,64,1)\}
```

#### lossFcn — Loss function

function handle

Loss function, specified as a function handle to a user-defined function. The user defined function can either be an anonymous function or a function on the MATLAB path. The function first input

parameter must be a cell array like the one returned from the evaluation of fcnAppx. For more information, see the description of outData in evaluate. The second, optional, input argument of lossFcn contains additional data that might be needed for the gradient calculation, as described below in fcnData. For an example of the signature that this function must have, see "Train Reinforcement Learning Policy Using Custom Training Loop".

#### fcnData — Additional input data for loss function

any MATLAB data type

Additional data for the loss function, specified as any MATLAB data type, typically a structure or cell array. For an example see "Train Reinforcement Learning Policy Using Custom Training Loop".

## **Output Arguments**

#### grad — Value of the gradient

cell array

Value of the gradient, returned as a cell array.

When the type of gradient is from the sum of the outputs with respect to the inputs of fcnAppx, then grad is a cell array in which each element contains the gradient of the sum of all the outputs with respect to the corresponding input channel.

The numerical array in each cell has dimensions D-by- $L_B$ -by- $L_S$ , where:

- *D* corresponds to the dimensions of the input channel of fcnAppx.
- $L_B$  is the batch size (length of a batch of independent inputs).
- $L_S$  is the sequence length (length of the sequence of inputs along the time dimension) for a recurrent neural network. If fcnAppx does not use a recurrent neural network (which is the case of environment function approximators, as they do not support recurrent neural networks), then  $L_S = 1$ .

When the type of gradient is from the output with respect to the parameters of fcnAppx, then grad is a cell array in which each element contains the gradient of the sum of outputs belonging to an output channel with respect to the corresponding group of parameters. The gradient is calculated using the whole history of  $L_S$  inputs, and all the  $L_B$  gradients with respect to the independent input sequences are added together in grad. Therefore, grad has always the same size as the result from getLearnableParameters.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

# Version History

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | accelerate | getLearnableParameters

#### **Objects**

rlValueFunction | rlQValueFunction | rlVectorQValueFunction | rlContinuousDeterministicActor | rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlContinuousDeterministicTransitionFunction | rlContinuousGaussianTransitionFunction | rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction | rlSDoneFunction

#### **Topics**

"Train Reinforcement Learning Policy Using Custom Training Loop" "Create Custom Reinforcement Learning Agents"

# hyperbolicPenalty

Hyperbolic penalty value for a point with respect to a bounded region

## **Syntax**

```
p = hyperbolicPenalty(x,xmin,xmax)
p = hyperbolicPenalty(____,lambda,tau)
```

## **Description**

p = hyperbolicPenalty(x, xmin, xmax) calculates the nonnegative (hyperbolic) penalty vector p for the point x with respect to the region bounded by xmin and xmax. p has the same dimension as x. This syntax uses the default values of 1 and 0.1 for the lambda and tau parameters of the hyperbolic function, respectively.

p = hyperbolicPenalty(\_\_\_\_,lambda,tau) specifies both the lambda and tau parameters of the hyperbolic function. If lambda is an empty matrix its default value is used. Likewise if tau is an empty matrix or it is omitted, its default value is used instead.

## **Examples**

#### Calculate Hyperbolic Penalty for a Point

This example shows how to use the hyperbolicPenalty function to calculate the hyperbolic penalty for a given point with respect to a bounded region.

Calculate the penalty value for the point 0.1 within the interval [-2,2], using default values for the lambda and tau parameters.

```
hyperbolicPenalty(0.1,-2,2)

ans = 0.0050

Calculate the penalty value for the point 4 outside the interval [-2,2].

hyperbolicPenalty(4,-2,2)

ans = 4.0033
```

Calculate the penalty value for the point 0.1 within the interval [-2,2], using a lambda parameter of 5.

```
hyperbolicPenalty(0.1,-2,2,5)
ans = 0.0010
```

Calculate the penalty value for the point 4 outside the interval [-2,2], using a lambda parameter of 5.

```
hyperbolicPenalty(4,-2,2,5)
```

```
ans = 20.0007
```

Calculate the penalty value for the point 4 outside the interval [-2,2], using a tau parameter of 0.5.

```
hyperbolicPenalty(4,-2,2,5,0.5)
```

```
ans = 20.0167
```

Calculate the penalty value for the point [-2,0,4] with respect to the box defined by the intervals [0,1], [-1,1], and [-2,2] along the x, y, and z dimensions, respectively, using the default value for lambda and a tau parameter of 0.

```
hyperbolic
Penalty([-2 0 4],[0 -1 -2],[1 1 2],1,0)
```

```
ans = 3 \times 1

4

0

4
```

#### **Visualize Penalty Values for an Interval**

Create a vector of 1001 equidistant points distributed between -5 and 5.

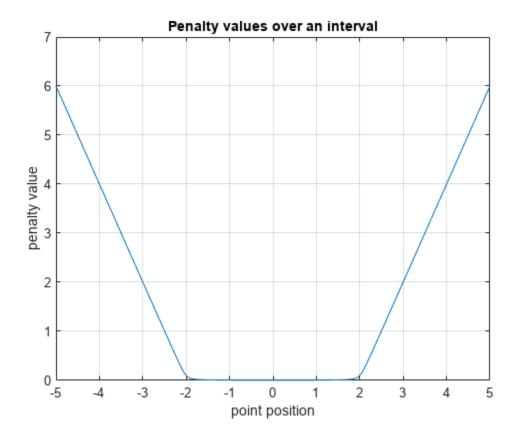
```
x = -5:0.01:5;
```

Calculate penalties for all the points in the vector, using default values for the lambda and tau parameters.

```
p = hyperbolicPenalty(x,-2,2);
```

Plot the points and add grid, labels and title.

```
plot(x,p)
grid
xlabel("point position");
ylabel("penalty value");
title("Penalty values over an interval");
```



## **Input Arguments**

#### x — Point for which the penalty is calculated

scalar | vector | matrix

Point for which the penalty is calculated, specified as a numeric scalar, vector or matrix.

Example: [0.5; 1.6]

## xmin — Lower bounds

scalar | vector | matrix

Lower bounds for x, specified as a numeric scalar, vector or matrix. To use the same minimum value for all elements in x specify xmin as a scalar.

Example: -1

#### **xmax** — Upper bounds

scalar | vector | matrix

Upper bounds for x, specified as a numeric scalar, vector or matrix. To use the same maximum value for all elements in x specify xmax as a scalar.

Example: 2

## lambda — Lambda parameter of the hyperbolic function

1 (default) | nonnegative scalar

Lambda parameter of the hyperbolic function, specified as a scalar.

Example: 3

## tau — Tau parameter of the hyperbolic function

0.1 (default) | nonnegative scalar

Tau parameter of the hyperbolic function, specified as a scalar.

Example: 0.3

## **Output Arguments**

#### p — Penalty value

nonnegative vector

Penalty value, returned as a vector of nonnegative elements. Each element  $p_i$  depends on the position of  $x_i$  with respect to the interval specified by  $xmin_i$  and  $xmax_i$ . The hyperbolic penalty function returns the value:

$$p(x) = -\lambda(x - x_{\min}) + \sqrt{\lambda^2(x - x_{\min})^2 + \tau^2} - \lambda(x_{\max} - x) + \sqrt{\lambda^2(x_{\max} - x)^2 + \tau^2}$$

Here,  $\lambda$  is the argument lambda, and  $\tau$  is the argument tau. Note that for positive values of  $\tau$  the returned penalty value is always positive, because on the right side of the equation the magnitude of the second term is always greater than that of the first, and the magnitude of the fourth term is always greater than that of the third. If  $\tau$  is zero, then the returned penalty is zero inside the interval defined by the bounds, and it grows linearly with x outside this interval. If x is multidimensional, then the calculation is applied independently on each dimension. Penalty functions are typically used to generate negative rewards when constraints are violated, such as in generateRewardFunction.

# **Version History**

**Introduced in R2021b** 

## **Extended Capabilities**

#### C/C++ Code Generation

Generate C and C++ code using MATLAB® Coder™.

#### See Also

#### **Functions**

generateRewardFunction | exteriorPenalty | barrierPenalty

#### Topics

"Generate Reward Function from a Model Predictive Controller for a Servomotor"

"Define Reward Signals"

## inspectTrainingResult

Plot training information from a previous training session

### **Syntax**

inspectTrainingResult(trainResults)

inspectTrainingResult(agentResults)

### Description

By default, the train function shows the training progress and results in the Episode Manager during training. If you configure training to not show the Episode Manager or you close the Episode Manager after training, you can view the training results using the inspectTrainingResult function, which opens the Episode Manager. You can also use inspectTrainingResult to view the training results for agents saved during training.

inspectTrainingResult(trainResults) opens the Episode Manager and plots the training
results from a previous training session.

inspectTrainingResult(agentResults) opens the Episode Manager and plots the training
results from a previously saved agent structure.

### **Examples**

#### **View Results From Previous Training Session**

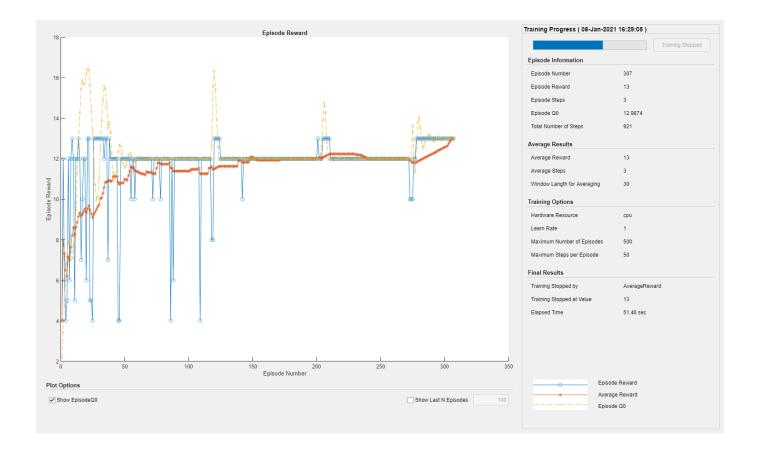
For this example, assume that you have trained the agent in the "Train Reinforcement Learning Agent in MDP Environment" example and subsequently closed the Episode Manager.

Load the training information returned by the train function.

load mdpTrainingStats trainingStats

Reopen the Episode Manager for this training session.

inspectTrainingResult(trainingStats)



#### **View Training Results for Saved Agent**

For this example, load the environment and agent for the "Train Reinforcement Learning Agent in MDP Environment" example.

```
load mdpAgentAndEnvironment
```

Specify options for training the agent. Configure the SaveAgentCriteria and SaveAgentValue options to save all agents after episode 30.

```
trainOpts = rlTrainingOptions;
trainOpts.MaxStepsPerEpisode = 50;
trainOpts.MaxEpisodes = 50;
trainOpts.Plots = "none";
trainOpts.SaveAgentCriteria = "EpisodeCount";
trainOpts.SaveAgentValue = 30;
```

Train the agent. During training, when an episode has a reward greater than or equal to 13, a copy of the agent is saved in a savedAgents folder.

```
rng("default") % for reproducibility
trainingStats = train(qAgent,env,trainOpts);
```

Load the training results for one of the saved agents. This command loads both the agent and a structure that contains the corresponding training results.

load savedAgents/Agent50

View the training results from the saved agent result structure.

inspectTrainingResult(savedAgentResult)

The Episode Manager shows the training progress up to the episode in which the agent was saved.

### **Input Arguments**

#### trainResults — Training episode data

structure | structure array

Training episode data, specified as a structure or structure array returned by the train function.

### agentResults — Saved agent results

structure

Saved agent results, specified as a structure previously saved by the train function. The train function saves agents when you specify the SaveAgentCriteria and SaveAgentValue options in the rlTrainingOptions object used during training.

When you load a saved agent, the agent and its training results are added to the MATLAB workspace as saved\_agent and savedAgentResultStruct, respectively. To plot the training data for this agent, use the following command.

inspectTrainingResult(savedAgentResultStruct)

For multi-agent training, savedAgentResultStruct contains structure fields with training results for all the trained agents.

## **Version History**

Introduced in R2021a

### See Also

### **Functions**

train

#### **Topics**

"Train Reinforcement Learning Agents"

## predict

Package: rl.function

Predict next observation, next reward, or episode termination given observation and action input data

### **Syntax**

```
predNextObs = predict(tsnFcnAppx,obs,act)
predReward = predict(rwdFcnAppx,obs,act,nextObs)
predIsDone = predict(idnFcnAppx,obs,act)
```

### **Description**

predNextObs = predict(tsnFcnAppx,obs,act) evaluates the environment transition function
approximator object tsnFcnAppx and returns the predicted next observation nextObs, given the
current observation obs and the action act.

predReward = predict(rwdFcnAppx,obs,act,nextObs) evaluates the environment reward function approximator object rwdFcnAppx and returns the predicted reward predReward, given the current observation obs, the action act, and the next observation nextObs.

predIsDone = predict(idnFcnAppx,obs,act) evaluates the environment is-done function
approximator object idnFcnAppx and returns the predicted is-done status predIsDone, given the
current observation obs, the action act, and the next observation nextObs.

### **Examples**

#### Predict Next Observation Using Continuous Gaussian Transition Function Approximator

Create observation and action specification objects (or alternatively use getObservationInfo and getActionInfo to extract the specification objects from an environment). For this example, two observation channels carry vectors in a four- and two-dimensional space, respectively. The action is a continuous three-dimensional vector.

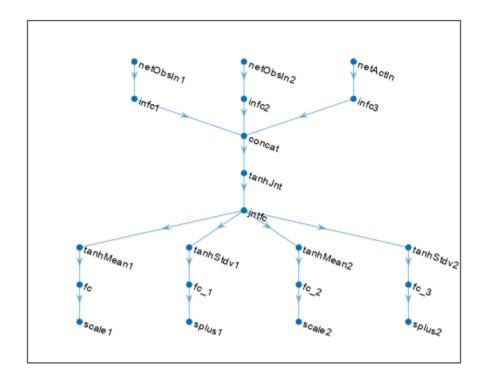
Create a deep neural network to use as approximation model for the transition function approximator. For a continuous Gaussian transition function approximator, the network must have two output layers for each observation (one for the mean values the other for the standard deviation values).

Define each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specification objects, and specify a name for the input layers, so you can later explicitly associate them with the appropriate environment channel.

```
% Input path layers from first observation channel
inPath1 = [ featureInputLayer( ...
```

```
prod(obsInfo(1).Dimension), ...
                Name="netObsIn1")
            fullyConnectedLayer(5,Name="infc1") ];
% Input path layers from second observation channel
inPath2 = [ featureInputLayer( ...
                prod(obsInfo(2).Dimension), ...
                Name="net0bsIn2")
            fullyConnectedLayer(5,Name="infc2") ];
% Input path lavers from action channel
inPath3 = [ featureInputLayer( ...
                prod(actInfo(1).Dimension), ...
                Name="netActIn")
            fullyConnectedLayer(5,Name="infc3") ];
% Joint path layers, concatenate 3 inputs along first dimension
jointPath = [ concatenationLayer(1,3,Name="concat")
              tanhLayer(Name="tanhJnt");
              fullyConnectedLayer(10,Name="intfc") ];
% Path layers for mean values of first predicted obs
% Using scalingLayer to scale range from (-1,1) to (-10,10)
% Note that scale vector must be a column vector
meanPath1 = [ tanhLayer(Name="tanhMean1");
              fullyConnectedLayer(prod(obsInfo(1).Dimension));
              scalingLayer(Name="scale1", ...
                Scale=obsInfo(1).UpperLimit) ];
% Path layers for standard deviations first predicted obs
% Using softplus layer to make them non negative
sdevPath1 = [ tanhLayer(Name="tanhStdv1");
              fullyConnectedLayer(prod(obsInfo(1).Dimension));
              softplusLayer(Name="splus1") ];
% Path layers for mean values of second predicted obs
% Using scalingLayer to scale range from (-1,1) to (-20,20)
% Note that scale vector must be a column vector
meanPath2 = [ tanhLayer(Name="tanhMean2");
              fullyConnectedLayer(prod(obsInfo(2).Dimension));
              scalingLayer(Name="scale2", ...
                Scale=obsInfo(2).UpperLimit(:)) ];
% Path layers for standard deviations second predicted obs
% Using softplus layer to make them non negative
sdevPath2 = [ tanhLayer(Name="tanhStdv2");
              fullyConnectedLayer(prod(obsInfo(2).Dimension));
              softplusLayer(Name="splus2") ];
% Add layers to network object
net = layerGraph;
net = addLayers(net,inPath1);
net = addLayers(net,inPath2);
net = addLayers(net,inPath3);
net = addLayers(net,jointPath);
net = addLayers(net,meanPath1);
net = addLayers(net,sdevPath1);
net = addLayers(net,meanPath2);
```

```
net = addLayers(net,sdevPath2);
% Connect layers
net = connectLayers(net,"infc1","concat/in1");
net = connectLayers(net,"infc2","concat/in2");
net = connectLayers(net,"infc3","concat/in3");
net = connectLayers(net,"infc","tanhMean1/in");
net = connectLayers(net,"jntfc","tanhMean2/in");
net = connectLayers(net,"jntfc","tanhMean2/in");
net = connectLayers(net,"jntfc","tanhStdv2/in");
% Plot network
plot(net)
```



Create a continuous Gaussian transition function approximator object, specifying the names of all the input and output layers.

```
tsnFcnAppx = rlContinuousGaussianTransitionFunction(...
    net,obsInfo,actInfo,...
    ObservationInputNames=["netObsIn1","netObsIn2"], ...
    ActionInputNames="netActIn", ...
    NextObservationMeanOutputNames=["scale1","scale2"], ...
    NextObservationStandardDeviationOutputNames=["splus1","splus2"] );
Predict the next observation for a random observation and action.

predObs = predict(tsnFcnAppx, ...
```

Each element of the resulting cell array represents the prediction for the corresponding observation channel.

To display the mean values and standard deviations of the Gaussian probability distribution for the predicted observations, use evaluate.

The result is a cell array in which the first and second element represent the mean values for the predicted observations in the first and second channel, respectively. The third and fourth element represent the standard deviations for the predicted observations in the first and second channel, respectively.

#### Create Deterministic Reward Function and Predict Reward

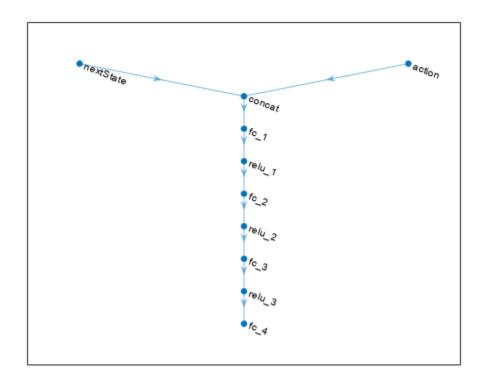
Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the reward function, create a deep neural network. For this example, the network has two input layers, one for the current action and one for the next observations. The single output layer contains a scalar, which represents the value of the predicted reward.

Define each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specifications, and specify a name for the input layers, so you can later explicitly associate them with the appropriate environment channel.

```
actionPath = featureInputLayer( ...
    actInfo.Dimension(1), ...
   Name="action");
nextStatePath = featureInputLayer( ...
    obsInfo.Dimension(1), ...
   Name="nextState");
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(1)];
net = layerGraph(nextStatePath);
net = addLayers(net,actionPath);
net = addLayers(net,commonPath);
net = connectLayers(net,"nextState","concat/in1");
net = connectLayers(net, "action", "concat/in2");
plot(net)
```



Create a dlnetwork object and display the number of weights.

Create a deterministic transition function object.

```
rwdFcnAppx = rlContinuousDeterministicRewardFunction(...
net,obsInfo,actInfo,...
ActionInputNames="action", ...
NextObservationInputNames="nextState");
```

Using this reward function object, you can predict the next reward value based on the current action and next observation. For example, predict the reward for a random action and next observation. Since, for this example, only the action and the next observation influence the reward, use an empty cell array for the current observation.

```
act = rand(actInfo.Dimension);
nxtobs = rand(obsInfo.Dimension);
reward = predict(rwdFcnAppx, {}, {act}, {nxtobs})

reward = single
    0.1034

To predict the reward, you can also use evaluate.

reward_ev = evaluate(rwdFcnAppx, {act,nxtobs}))

reward_ev = 1x1 cell array
    {[0.1034]}
```

#### Create Is-Done Function and Predict Termination

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

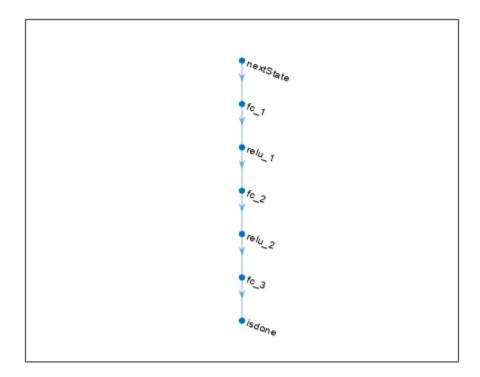
```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the is-done function, use a deep neural network. The network has one input channel for the next observations. The single output channel is for the predicted termination signal.

Create the neural network as a vector of layer objects.

```
commonPath = [
   featureInputLayer( ...
        obsInfo.Dimension(1), ...
        Name="nextState")
```

```
fullyConnectedLayer(64)
  reluLayer
  fullyConnectedLayer(64)
  reluLayer
  fullyConnectedLayer(2)
  softmaxLayer(Name="isdone")];
net = layerGraph(commonPath);
plot(net)
```



Covert the network to a dlnetwork object and display the number of weights.

Using this is-done function approximator object, you can predict the termination signal based on the next observation. For example, predict the termination signal for a random next observation. Since for this example the termination signal only depends on the next observation, use empty cell arrays for the current action and observation inputs.

The first number is the probability of obtaining a 0 (no termination predicted), the second one is the probability of obtaining a 1 (termination predicted).

### **Input Arguments**

### tsnFcnAppx — Environment transition function approximator object

rlContinuousDeterministicTransitionFunction object |
rlContinuousGaussianTransitionFunction object

Environment transition function approximator object, specified as one of the following:

- rlContinuousDeterministicTransitionFunction object
- rlContinuousGaussianTransitionFunction object

#### rwdFcnAppx — Environment reward function

rlContinuousDeterministicRewardFunction object |
rlContinuousGaussianRewardFunction object | function handle

Environment reward function approximator object, specified as one of the following:

- rlContinuousDeterministicRewardFunction object
- rlContinuousGaussianRewardFunction object
- Function handle object. For more information about function handle objects, see "What Is a Function Handle?".

#### idnFcnAppx — Environment is-done function approximator object

rlIsDoneFunction object

Environment is-done function approximator object, specified as an rlisDoneFunction object.

#### obs — Observations

cell array

Observations, specified as a cell array with as many elements as there are observation input channels. Each element of obs contains an array of observations for a single observation input channel.

The dimensions of each element in **obs** are  $M_O$ -by- $L_B$ , where:

- $M_O$  corresponds to the dimensions of the associated observation input channel.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ . If valueRep or qValueRep has multiple observation input channels, then  $L_B$  must be the same for all elements of obs.

 $L_{R}$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

#### act — Action

single-element cell array

Action, specified as a single-element cell array that contains an array of action values.

The dimensions of this array are  $M_A$ -by- $L_B$ , where:

- $M_A$  corresponds to the dimensions of the associated action specification.
- $L_B$  is the batch size. To specify a single observation, set  $L_B = 1$ . To specify a batch of observations, specify  $L_B > 1$ .

 $L_B$  must be the same for both act and obs.

For more information on input and output formats for recurrent neural networks, see the Algorithms section of lstmLayer.

### next0bs — Next observation

cell array

Next observations, that is the observation following the action act from the observation obs, specified as a cell array of the same dimension as obs.

### **Output Arguments**

### predNext0bs — Predicted next observation

cell array

Predicted next observation, that is the observation predicted by the transition function approximator tsnFcnAppx given the current observation obs and the action act, retuned as a cell array of the same dimension as obs.

### predReward — Predicted next observation

single

Predicted reward, that is the reward predicted by the reward function approximator rwdFcnAppx given the current observation obs, the action act, and the following observation nextObs, retuned as a single.

### predIsDone — Predicted next observation

double

Predicted is-done episode status, that is the episode termination status predicted by the is-done function approximator rwdFcnAppx given the current observation obs, the action act, and the following observation nextObs, returned as a double.

Note If fcnAppx is an rlContinuousDeterministicRewardFunction object, then evaluate behaves identically to predict except that it returns results inside a single-cell array. If fcnAppx is an rlContinuousDeterministicTransitionFunction object, then evaluate behaves identically to predict. If fcnAppx is an rlContinuousGaussianTransitionFunction object, then evaluate returns the mean value and standard deviation the observation probability distribution, while predict returns an observation sampled from this distribution. Similarly, for an rlContinuousGaussianRewardFunction object, evaluate returns the mean value and standard deviation the reward probability distribution, while predict returns a reward sampled from this distribution. Finally, if fcnAppx is an rlIsDoneFunction object, then evaluate returns the probabilities of the termination status being false or true, respectively, while predict returns a predicted termination status sampled with these probabilities.

## **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | accelerate | gradient

#### **Objects**

rlNeuralNetworkEnvironment | rlContinuousDeterministicTransitionFunction |
rlContinuousGaussianTransitionFunction |
rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction |
rlIsDoneFunction

#### **Topics**

"Model-Based Policy Optimization (MBPO) Agents"

### reset

Package: rl.policy

Reset environment, agent, experience buffer, or policy object

### **Syntax**

```
initialObs = reset(env)
reset(agent)
agent = reset(agent)
resetPolicy = reset(policy)
reset(buffer)
```

### **Description**

initialObs = reset(env) resets the specified MATLAB environment to an initial state and returns the resulting initial observation value.

Do not use reset for Simulink environments, which are implicitly reset when running a new simulation. Instead, customize the reset behavior using the ResetFcn property of the environment.

reset(agent) resets the specified agent. Resetting a built-in agent performs the following actions, if applicable.

- Empty experience buffer.
- Set recurrent neural network states of actor and critic networks to zero.
- Reset the states of any noise models used by the agent.

agent = reset(agent) also returns the reset agent as an output argument.

resetPolicy = reset(policy) returns the policy object resetPolicy in which any recurrent neural network states are set to zero and any noise model states are set to their initial conditions. This syntax has no effect if the policy object does not use a recurrent neural network and does not have a noise model with state.

reset (buffer) resets the specified replay memory buffer by removing all the experiences.

### **Examples**

#### **Reset Environment**

Create a reinforcement learning environment. For this example, create a continuous-time cart-pole system.

```
env = rlPredefinedEnv("CartPole-Continuous");
```

Reset the environment and return the initial observation.

```
initialObs = reset(env)
initialObs = 4 \times 1

0
0
0.0315
```

#### **Reset Agent**

Create observation and action specifications.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([1 1]);
Create a default DDPG agent using these specifications.
initOptions = rlAgentInitializationOptions(UseRNN=true);
agent = rlDDPGAgent(obsInfo,actInfo,initOptions);
Reset the agent.
agent = reset(agent);
```

#### **Reset Experience Buffer**

Create observation and action specifications.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([1 1]);
Create a replay memory experience buffer.
buffer = rlReplayMemory(obsInfo,actInfo,10000);
```

Add experiences to the buffer. For this example, add 20 random experiences.

```
for i = 1:20
    expBatch(i).Observation = {obsInfo.UpperLimit.*rand(4,1)};
    expBatch(i).Action = {actInfo.UpperLimit.*rand(1,1)};
    expBatch(i).NextObservation = {obsInfo.UpperLimit.*rand(4,1)};
    expBatch(i).Reward = 10*rand(1);
    expBatch(i).IsDone = 0;
end
expBatch(20).IsDone = 1;
append(buffer,expBatch);
```

Reset and clear the buffer.

```
reset(buffer)
```

### **Reset Policy**

Create observation and action specifications.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlFiniteSetSpec([-1 0 1]);
```

To approximate the Q-value function within the critic, use a deep neural network. Create each network path as an array of layer objects.

```
% Create Paths
obsPath = [featureInputLayer(4)
           fullyConnectedLayer(1,Name="obsout")];
actPath = [featureInputLayer(1)
           fullyConnectedLayer(1,Name="actout")];
comPath = [additionLayer(2,Name="add") ...
           fullyConnectedLayer(1)];
% Add Layers
net = layerGraph;
net = addLayers(net,obsPath);
net = addLayers(net,actPath);
net = addLayers(net,comPath);
net = connectLayers(net, "obsout", "add/in1");
net = connectLayers(net, "actout", "add/in2");
% Convert to dlnetwork object
net = dlnetwork(net);
% Diplay the number of weights
summary(net)
   Initialized: true
   Number of learnables: 9
   Inputs:
      1
          'input'
                      4 features
          'input 1'
                      1 features
Create an epsilon-greedy policy object using a Q-value function approximator.
critic = rlQValueFunction(net,obsInfo,actInfo);
policy = rlEpsilonGreedyPolicy(critic)
```

rlEpsilonGreedyPolicy with properties:

UseEpsilonGreedyAction: 1
 EnableEpsilonDecay: 1

QValueFunction: [1x1 rl.function.rlQValueFunction] ExplorationOptions: [1x1 rl.option.EpsilonGreedyExploration]

```
ObservationInfo: [1x1 rl.util.rlNumericSpec]
    ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
    SampleTime: -1
```

Reset the policy.

```
policy = reset(policy);
```

### **Input Arguments**

### env - Reinforcement learning environment

environment object | ...

Reinforcement learning environment, specified as one of the following objects.

- rlFunctionEnv Environment defined using custom functions
- rlMDPEnv Markov decision process environment
- rlNeuralNetworkEnvironment Environment with deep neural network transition models
- Predefined environment created using rlPredefinedEnv
- Custom environment created from a template (rlCreateEnvTemplate)

### agent — Reinforcement learning agent

reinforcement learning agent object | ...

Reinforcement learning agent, specified as one of the following objects.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRP0Agent
- rlMBPOAgent
- Custom agent For more information, see "Create Custom Reinforcement Learning Agents".

**Note** agent is a handle object, so it is reset whether it is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

#### **buffer** — **Experience buffer**

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

#### policy — Reinforcement learning policy

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMaxQPolicy
- rlEpsilonGreedyPolicy
- rlDeterministicActorPolicy
- rlAdditiveNoisePolicy
- rlStochasticActorPolicy

### **Output Arguments**

#### initialObs — Initial environment observation

array | cell array

Initial environment observation after reset, returned as one of the following:

- Array with dimensions matching the observation specification for an environment with a single observation channel.
- Cell array with length equal to the number of observation channel for an environment with multiple observation channels. Each element of the cell array contains an array with dimensions matching the corresponding element of the environment observation specifications.

#### resetPolicy — Reset agent

rlDeterministicActorPolicy object | rlAdditiveNoisePolicy object |
rlEpsilonGreedyPolicy object | rlMaxQPolicy object | rlStochasticActorPolicy object

Reset policy, returned as a policy object of the same type as agent but with its recurrent neural network states set to zero.

### agent — Reset agent

reinforcement learning agent object

Reset agent, returned as an agent object. Note that agent is a handle object. Therefore, if it contains any recurrent neural network, its state is reset whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

## **Version History**

Introduced in R2022a

### See Also

### **Functions**

runEpisode|setup|cleanup

## resize

```
Package: rl.replay
```

Resize replay memory experience buffer

### Syntax

```
resize(buffer,maxLength)
```

### **Description**

resize(buffer, maxLength) resizes experience buffer buffer to have a maximum length of maxLength.

- If maxLength is greater than or equal to the number of experiences stored in the buffer, then buffer retains its stored experiences.
- If maxLength is less than the number of experiences stored in the buffer, then buffer retains only the maxLength most recent experiences.

### **Examples**

#### Resize Experience Buffer in Reinforcement Learning Agent

Create an environment for training the agent. For this example, load a predefined environment.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
```

Extract the observation and action specifications from the agent.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a DQN agent from the environment specifications.

```
agent = rlDQNAgent(obsInfo,actInfo);
```

By default, the agent uses an experience buffer with a maximum size of 10,000.

```
agent.ExperienceBuffer
```

```
ans =
  rlReplayMemory with properties:
    MaxLength: 10000
    Length: 0
```

Increase the maximum size of the experience buffer to 20,000.

```
resize(agent.ExperienceBuffer,20000)
```

View the updated experience buffer.

```
agent.ExperienceBuffer
```

```
ans =
  rlReplayMemory with properties:
    MaxLength: 20000
    Length: 0
```

### **Input Arguments**

#### buffer — Experience buffer

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

### maxLength — Maximum buffer length

nonnegative integer

This property is read-only.

Maximum buffer length, specified as a nonnegative integer.

## **Version History**

**Introduced in R2022b** 

### See Also

#### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory |
rlHindsightPrioritizedReplayMemory

## rlCreateEnvTemplate

Create custom reinforcement learning environment template

### **Syntax**

rlCreateEnvTemplate(className)

### **Description**

rlCreateEnvTemplate(className) creates and opens a MATLAB script that contains a template class representing a reinforcement learning environment. The template class contains an implementation of a simple cart-pole balancing environment. To define your custom environment, modify this template class. For more information, see "Create Custom MATLAB Environment from Template".

### **Examples**

### **Create Custom Environment Template File**

This example shows how to create and open a template file for a reinforcement learning environment.

For this example, name the class myEnvClass

rlCreateEnvTemplate("myEnvClass")

This function opens a MATLAB® script that contains the class. By default, this template class describes a simple cart-pole environment.

Modify this template class, and save the file as myEnvClass.m

### **Input Arguments**

#### className — Name of environment class

string | character vector

Name of environment class, specified as a string or character vector. This name defines the name of the class and the name of the MATLAB script.

# **Version History**

Introduced in R2019a

### See Also

### **Topics**

"Create MATLAB Reinforcement Learning Environments"

## rlDataLogger

Create either a file logger object or a monitor logger object to log training data

### **Syntax**

```
fileLgr = rlDataLogger()
monLgr = rlDataLogger(tpm)
```

### **Description**

fileLgr = rlDataLogger() creates the FileLogger object fileLgr for logging training data to disk.

monLgr = rlDataLogger(tpm) creates the MonitorLogger object monLgr for logging training
data to the TrainingProgressMonitor object tpm, and its associated window.

### **Examples**

### Log Data to Disk during Built-in Training

This example shows how to log data to disk when using train.

Create a FileLogger object using rlDataLogger.

```
logger = rlDataLogger();
```

Specify a directory to save logged data.

```
logger.LoggingOptions.LoggingDirectory = "myDataLog";
```

Create callback functions to log the data (for this example, see the helper function section), and specify the appropriate callback functions in the logger object.

```
logger.EpisodeFinishedFcn = @myEpisodeFinishedFcn;
logger.AgentStepFinishedFcn = @myAgentStepFinishedFcn;
logger.AgentLearnFinishedFcn = @myAgentLearnFinishedFcn;
```

To train the agent, you can now call train, passing logger as an argument such as in the following command.

```
trainResult = train(agent, env, trainOpts, Logger=logger);
```

While the training progresses, data will be logged to the specified directory, according to the rule specified in the FileNameRule property of logger.LoggingOptions.

```
logger.LoggingOptions.FileNameRule
```

```
ans =
"loggedData<id>"
```

#### **Example Logging Functions**

Episode completion logging function. This function is automatically called by the training loop at the end of each episode, and must return a structure containing the episode-related data to log, such as experiences, simulation information, or initial observations.

```
function dataToLog = myEpisodeFinishedFcn(data)
    dataToLog.Experience = data.Experience;
end
```

Agent step completion logging function. This function is automatically called by the training loop at the end of each training step, and must return a structure containing the step-related data to log, such as for example the state of the agent exploration policy.

```
function dataToLog = myAgentStepFinishedFcn(data)
    dataToLog.State = getState(getExplorationPolicy(data.Agent));
end
```

Learn subroutine completion logging function. This function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the learning-related data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

```
function dataToLog = myAgentLearnFinishedFcn(data)
    dataToLog.ActorLoss = data.ActorLoss;
    dataToLog.CriticLoss = data.CriticLoss;
end
```

For the specific function signatures and more information on the function input structure, see the corresponding properties of FileLogger. For a related example, see "Log Training Data to Disk".

#### Log and Visualize Data with a Training Progress Monitor Object

This example shows how to log and visualize data to the window of a trainingProgressMonitor object when using train.

Create a trainingProgressMonitor object. Creating the object also opens a window associated with the object.

```
monitor = trainingProgressMonitor();
```

Time Start time:

04-Mar-2023 01:30:50

00:00:00

Elapsed time:

To monitor metric and information values, set the Metrics and Info properties of the TrainingProgressMonitor object.

Create a MonitorLogger object using rlDataLogger.

```
logger = rlDataLogger(monitor);
```

Create callback functions to log the data (for this example, see the helper function section), and specify the appropriate callback functions in the logger object. For the specific function signatures and more information on the function input structure, see the corresponding properties of MonitorLogger.

logger.AgentLearnFinishedFcn = @myAgentLearnFinishedFcn;

To train the agent, you can now call train, passing logger as an argument such as in the following command.

```
trainResult = train(agent, env, trainOpts, Logger=logger);
```

While the training progresses, data will be logged to the training monitor object, and visualized in the associated window.

Note that only scalar data can be logged with a monitor logger object.

### **Example Logging Functions**

Define a logging function that logs data periodically at the completion of the learning subroutine. This function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the learning-related data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

```
function dataToLog = myAgentLearnFinishedFcn(data)
  if mod(data.AgentLearnCount, 2) == 0
      dataToLog.ActorLoss = data.ActorLoss;
      dataToLog.CriticLoss = data.CriticLoss;
  else
      dataToLog = [];
  end
```

### Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file.

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

flgr.LoggingOptions.FileNameRule, in the folder specified by flgr.LoggingOptions.LoggingDirectory.

```
for iter = 1:10

% Store three random numbers in memory
% as elements of the variable "Context1"
for ct = 1:3
        store(flgr, "Context1", rand, iter);
end

% Store a random number in memory
% as the variable "Context2"
store(flgr, "Context2", rand, iter);

% Write data to file every 4 iterations
if mod(iter,4)==0
        write(flgr);
```

end

#### end

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

cleanup(flgr);

### **Input Arguments**

### tpm — Training progress monitor object

trainingProgressMonitor object

Training progress monitor object, specified as a trainingProgressMonitor object. This object is used to track the progress of training, update information fields, record metric values, and produce training plots for custom deep learning training loops. For more information, see "Monitor Custom Training Loop Progress".

### **Output Arguments**

### fileLgr — File logger object

FileLogger object

File logger object, returned as a FileLogger object.

### monLgr — Monitor logger object

MonitorLogger object

Monitor logger object, returned as a MonitorLogger object.

### Limitations

- Only scalar data is supported when logging data with a MonitorLogger object. The structure returned by the callback functions must contain fields with scalar data.
- Resuming of training from a previous training result is not supported when logging data with a MonitorLogger object.
- Logging data using the AgentStepFinishedFcn callback is not supported when training agents in parallel with the train function.

## **Version History**

Introduced in R2022b

### See Also

#### **Functions**

rlDataViewer|train

#### **Objects**

FileLogger | MonitorLogger | trainingProgressMonitor

**Topics**"Log Training Data to Disk"
"Monitor Custom Training Loop Progress"

## rlDataViewer

Open Reinforcement Learning Data Viewer tool

### **Syntax**

```
rlDataViewer(fileLgr)
rlDataViewer(folders)
```

### **Description**

rlDataViewer(fileLgr) opens the **Reinforcement Learning Data Viewer** tool to visualize data logged using the FileLogger object fileLgr.

rlDataViewer(folders) opens the **Reinforcement Learning Data Viewer** tool to visualize data saved in the folders directories.

### **Examples**

### **Visualize Data Logged In Custom Training Loop**

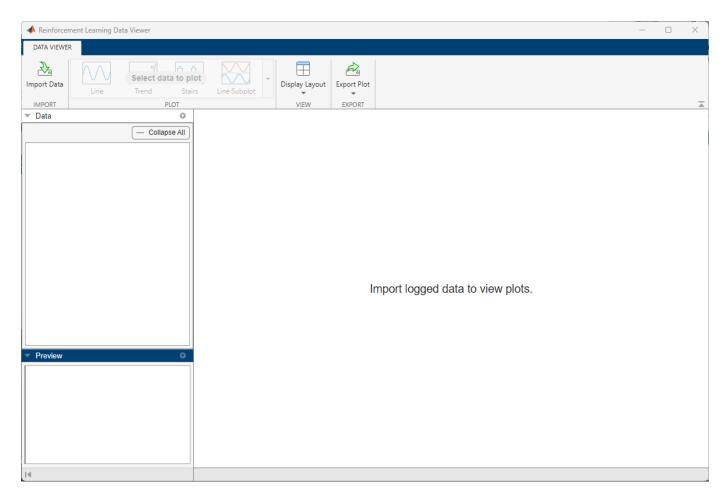
This example shows how to visualize data logged during custom training loop using the **Reinforcement Learning Data Viewer**.

```
Create a FileLogger object using rlDataLogger.
```

```
flgr = rlDataLogger();
```

Open a Reinforcement Learning Data Viewer window using rlDataViewer.

```
rlDataViewer(flgr);
```



Initialize the logger object.

```
setup(flgr);
```

Within a custom training loop, store data to the logger object memory and write data to MAT-files. For this example, store a random scalar, a random 2-by-1 vector, and a random 5-by-5 matrix as variables named RandomScalarData, RandomVectorData, and RandomMatrixData, respectively.

```
for i = 1:100

% Store a random scalar, vector, and matrix in memory
store(flgr, "RandomScalarData", rand, i);
store(flgr, "RandomVectorData", rand(2,1), i);
store(flgr, "RandomMatrixData", rand(5,5), i);

% Write data from memory to a MAT-file
write(flgr);
```

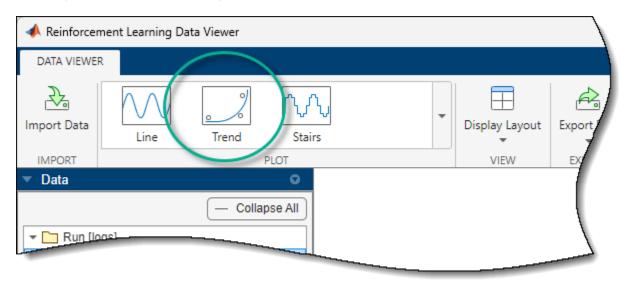
Clean up the logger object. This operation performs cleanup tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

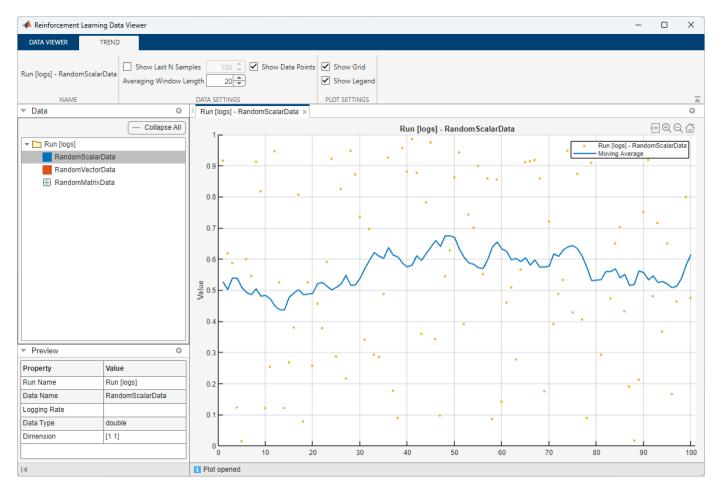
end

The **Data** pane of the **Reinforcement Learning Data Viewer** shows the three variables under the Run [logs] folder.

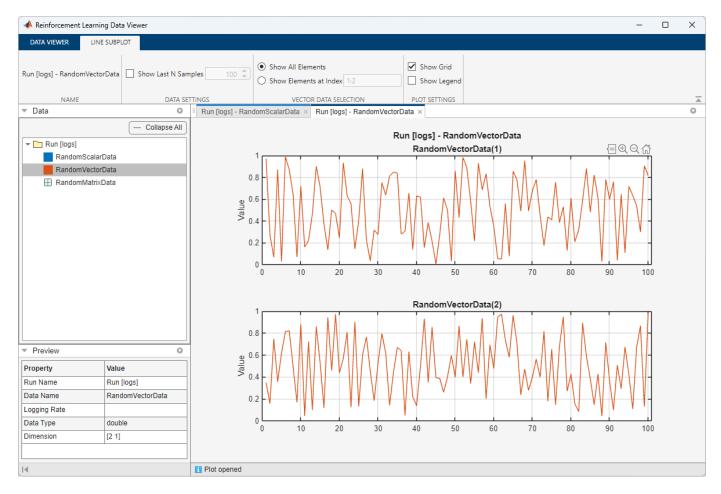
To select the first variable, click on RandomScalarData. The **Preview** pane then shows information such as the name, data type, and dimensions for this variable. To visualize a trend plot of the selected variable, on the **Data Viewer** tab, click **Trend**.



This action displays a moving average plot of the variable (using a 20-sample window), along with the data points.

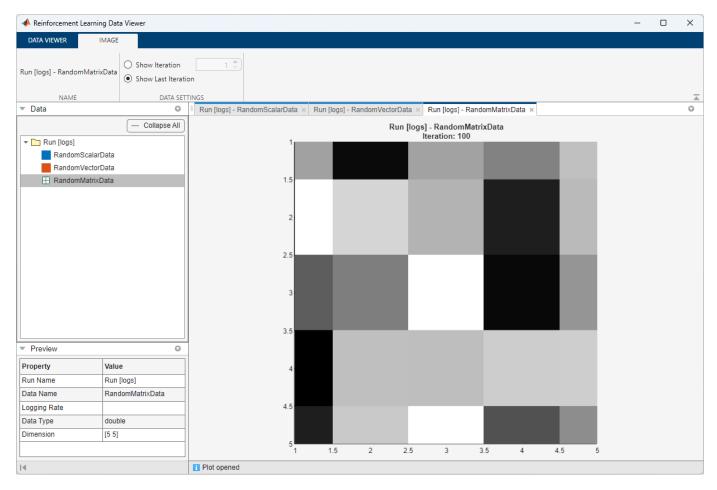


To plot both elements of the second variable, select RandomVectorData in the **Data** pane and, in the **Data Viewer** tab, click **Line Subplot**.



You can select multiple data histories (by holding the CTRL button while clicking their respective squares in the **Data** pane). When these data histories belong to the same run, the **Multiple Lines** button appears in the **Data Viewer** tab. This button allows you to visualize all the selected data in the same plot.

To show the value of RandomMatrixData in the last iteration as a heat map, select RandomMatrixData in the **Data** pane and, in the **Data Viewer** tab, click **Image**.



This image is a heatmap of the matrix, with black corresponding to the maximum value and white the minimum.

#### **Visualize Data Stored in Folder**

This example shows how to use the **Reinforcement Learning Data Viewer** to visualize data logged on disk.

To save data on the disk, use a FileLogger object and use it in a custom loop for three times.

```
flgr = rlDataLogger();
for run=1:3

% Set up logger object
setup(flgr);

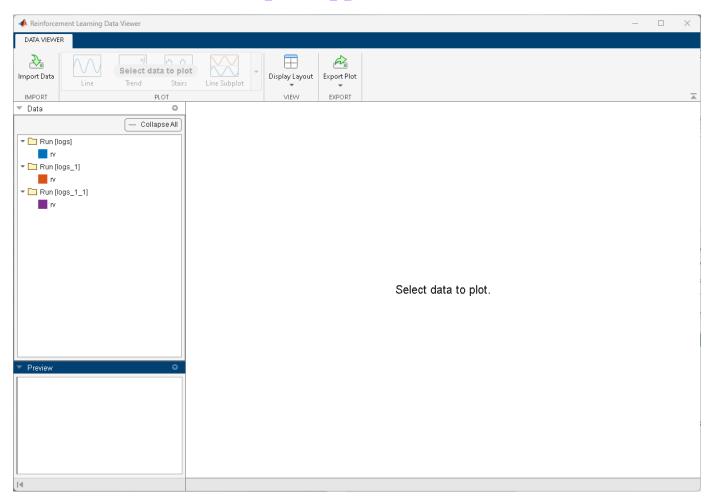
% Within a custom loop, store a 3-by-1 vector in the
% variable rv and write it to disk at each iteration.
for i = 1:20
    store(flgr, "rv", rand(2,1), i);
    write(flgr);
end
```

```
% Clean up logger object.
cleanup(flgr);
end
```

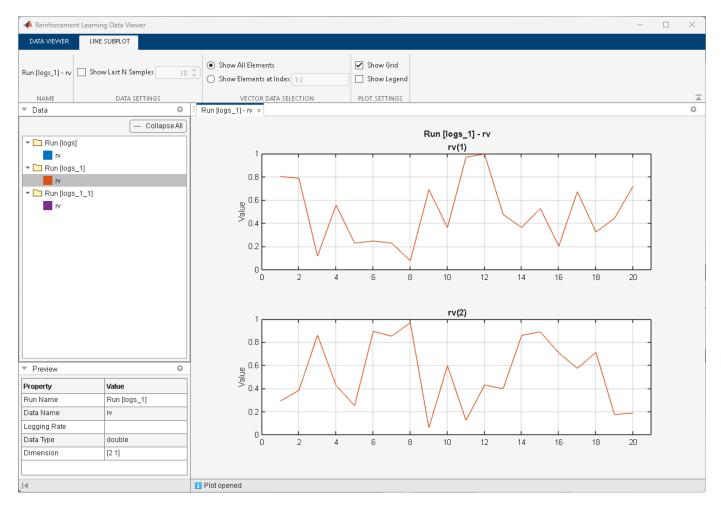
When setup is used on an existing FileLogger object, a \_1 suffix is added to the name of the destination folder. Therefore, the three loops result in data being saved in the folders logs\_l, and logs\_l\_1.

To visualize the saved data, open a **Reinforcement Learning Data Viewer** window using rlDataViewer.

rlDataViewer(["logs" "logs\_1" "logs\_1\_1"]);



To plot the time history of rv in the second run, select the red rv square under the **Run [logs\_1]** folder in the **Data** pane and, in the **Data Viewer** tab, click **Line Subplot**.



You can select multiple data histories (by holding the CTRL button while clicking their respective squares in the **Data** pane). When these data histories belong to the same run, the **Multiple Lines** button appears in the **Data Viewer** tab. This button allows you to visualize all the selected data in the same plot.

### **Input Arguments**

### fileLgr — File logger object

FileLogger object

File logger object, specified as a FileLogger object.

#### folders — Names of the folders containing saved data

string | character array | string array | cell array of character vectors

Names, or fully qualified paths, of the folders containing saved data, specified as string array or cell array of character vectors. These are the names of the directories where the MAT-files containing the logged data are saved.

Example: ["C:\Temp\logs\_1", "C:\Temp\logs\_2"]

**Note** When you pass a FileLogger object to the train function to log training data, the **Reinforcement Learning Episode Manager** displays an **Episode Manager** tab. To open the **Reinforcement Learning Data Viewer**, click **View Logged Data**. For an example, see "Log Training Data to Disk".

# **Version History**

Introduced in R2023a

### See Also

#### **Functions**

rlDataLogger|train

### **Objects**

FileLogger | MonitorLogger | trainingProgressMonitor

#### Topics

"Log Training Data to Disk"

"Monitor Custom Training Loop Progress"

# rlOptimizer

Creates an optimizer object for actors and critics

## **Syntax**

```
algobj = rlOptimizer
algobj = rlOptimizer(algOptions)
```

## **Description**

Create an optimizer object that updates the learnable parameters of an actor or critic in a custom training loop

algobj = rlOptimizer returns a default optimizer object. You can modify the object properties
using dot notation.

algobj = rlOptimizer(algOptions) returns an optimizer object with properties specified by the optimizer options object algOptions.

## **Examples**

### **Create Optimizer Object**

Use rloprimizer to create a default optimizer algorithm object to use for the training of an actor or critic in a custom training loop.

By default, the function returns an rlADAMOptimizer object with default options. You can use dot notation to change some parameters.

```
myAlg.LearnRate = 0.1;
```

You can now create a structure and set its CriticOptimizer or ActorOptimizer field to myAlg. When you call runEpisode, pass the structure as an input parameter. The runEpisode function can then use the update method of myAlg to update the learnable parameters of your actor or critic.

#### Create Optimizer Object Specifying an Option Set

Use rlOprimizerOptions to create an optimizer option object. Specify the algorithm as "rmsprop" and set the learning rate to 0.2.

```
myOptions=rlOptimizerOptions( ...
    Algorithm="rmsprop", ...
    LearnRate=0.2);
```

Use rloptimizer to create an optimizer algorithm object to use for the training of an actor or critic in a custom training loop. Specify the optimizer option set myOptions as input parameter.

```
myAlg=rlOptimizer(myOptions)
```

The function returns an rlRMSPropOptimizer object with default options. You can use dot notation to change some parameters.

```
myAlg.GradientThreshold = 2;
```

You can now create a structure and set its CriticOptimizer or ActorOptimizer field to myAlg. When you call runEpisode, pass the structure as an input parameter. The runEpisode function can then use the update method of myAlg to update the learnable parameters of your actor or critic.

## **Input Arguments**

#### algOptions — Algorithm options object

default Adam option set (default) | rlOptimizerOptions object

Algorithm options object, specified as an rlOptimizerOptions object.

```
Example: rlOptimizerOptions(Algorithm="sqdm", LearnRate=0.2)
```

## **Output Arguments**

#### algobj — Algorithm optimizer object

```
rlADAMOptimizer object | rlSGDMOptimizer object | rlRMSPropOptimizer object
```

Algorithm optimizer object, returned as an rlADAMOptimizer, rlSGDMOptimizer, or rlRMSPropOptimizer object. The runEpisode function uses the update method of the returned object to update the learnable parameter of an actor or critic.

# **Version History**

Introduced in R2022a

## See Also

### **Functions**

## **Objects**

rlOptimizerOptions

## **Topics**

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# rlPredefinedEnv

Create a predefined reinforcement learning environment

## **Syntax**

```
env = rlPredefinedEnv(keyword)
```

## **Description**

env = rlPredefinedEnv(keyword) takes a predefined keyword keyword representing the environment name to create a MATLAB or Simulink reinforcement learning environment env. The environment env models the dynamics with which the agent interacts, generating rewards and observations in response to agent actions.

## **Examples**

#### **Basic Grid World Reinforcement Learning Environment**

Use the predefined "BasicGridWorld" keyword to create a basic grid world reinforcement learning environment.

```
env = rlPredefinedEnv("BasicGridWorld")
env =
   rlMDPEnv with properties:
        Model: [1x1 rl.env.GridWorld]
        ResetFcn: []
```

#### **Continuous Double Integrator Reinforcement Learning Environment**

Use the predefined "DoubleIntegrator-Continuous" keyword to create a continuous double integrator reinforcement learning environment.

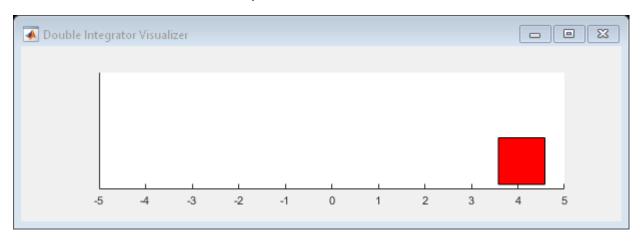
```
env = rlPredefinedEnv("DoubleIntegrator-Continuous")
env =
    DoubleIntegratorContinuousAction with properties:

        Gain: 1
        Ts: 0.1000
        MaxDistance: 5
    GoalThreshold: 0.0100
        Q: [2x2 double]
        R: 0.0100
        MaxForce: Inf
        State: [2x1 double]
```

You can visualize the environment using the plot function and interact with it using the reset and step functions.

```
plot(env)
observation = reset(env)
observation = 2 \times 1
4
0
```

[observation, reward, isDone] = step(env, 16)



```
observation = 2 \times 1

4.0800

1.6000

reward = -16.5559

isDone = logical
```

#### **Create Continuous Simple Pendulum Model Environment**

Use the predefined "SimplePendulumModel-Continuous" keyword to create a continuous simple pendulum model reinforcement learning environment.

### **Input Arguments**

#### keyword — Predefined keyword representing the environment name

```
'BasicGridWorld'|'CartPole-Discrete'|'DoubleIntegrator-Continuous'|
'SimplePendulumWithImage-Discrete'|'SimplePendulumModel-Discrete'|
'SimplePendulumModel-Continuous'|'CartPoleSimscapeModel-Continuous'|...
```

Predefined keyword representing the environment name, specified as one of the following:

#### **MATLAB Environment**

- 'BasicGridWorld'
- 'CartPole-Discrete'
- 'CartPole-Continuous'
- 'DoubleIntegrator-Discrete'
- 'DoubleIntegrator-Continuous'
- 'SimplePendulumWithImage-Discrete'
- 'SimplePendulumWithImage-Continuous'
- 'WaterFallGridWorld-Stochastic'
- 'WaterFallGridWorld-Deterministic'

#### Simulink Environment

- 'SimplePendulumModel-Discrete'
- 'SimplePendulumModel-Continuous'
- 'CartPoleSimscapeModel-Discrete'
- 'CartPoleSimscapeModel-Continuous'

## **Output Arguments**

#### env — MATLAB or Simulink environment object

rlMDPEnv object | CartPoleDiscreteAction object | CartPoleContinuousAction object |
DoubleIntegratorDiscreteAction object | DoubleIntegratorContinuousAction object |
SimplePendlumWithImageDiscreteAction object |
SimplePendlumWithImageContinuousAction object | SimulinkEnvWithAgent object

MATLAB or Simulink environment object, returned as one of the following:

- rlMDPEnv object, when you use one of the following keywords.
  - 'BasicGridWorld'
  - 'WaterFallGridWorld-Stochastic'
  - 'WaterFallGridWorld-Deterministic'
- CartPoleDiscreteAction object, when you use the 'CartPole-Discrete' keyword.
- CartPoleContinuousAction object, when you use the 'CartPole-Continuous' keyword.
- DoubleIntegratorDiscreteAction object, when you use the 'DoubleIntegrator-Discrete' keyword.

- DoubleIntegratorContinuousAction object, when you use the 'DoubleIntegrator-Continuous' keyword.
- SimplePendlumWithImageDiscreteAction object, when you use the 'SimplePendulumWithImage-Discrete' keyword.
- SimplePendlumWithImageContinuousAction object, when you use the 'SimplePendulumWithImage-Continuous' keyword.
- SimulinkEnvWithAgent object, when you use one of the following keywords.
  - 'SimplePendulumModel-Discrete'
  - 'SimplePendulumModel-Continuous'
  - 'CartPoleSimscapeModel-Discrete'
  - 'CartPoleSimscapeModel-Continuous'

## **Version History**

Introduced in R2019a

#### See Also

#### **Topics**

"Create MATLAB Reinforcement Learning Environments"

"Create Simulink Reinforcement Learning Environments"

"Load Predefined Control System Environments"

"Load Predefined Simulink Environments"

# rlRepresentation

(Not recommended) Model representation for reinforcement learning agents

**Note** rlRepresentation is not recommended. Use rlValueRepresentation, rlQValueRepresentation, rlDeterministicActorRepresentation, or rlStochasticActorRepresentation instead. For more information, see "Compatibility Considerations".

## **Syntax**

```
rep = rlRepresentation(net,obsInfo,'Observation',obsNames)
rep = rlRepresentation(net,obsInfo,actInfo,'Observation',obsNames,'Action',actNames)

tableCritic = rlRepresentation(tab)

critic = rlRepresentation(basisFcn,W0,obsInfo)
critic = rlRepresentation(basisFcn,W0,oaInfo)
actor = rlRepresentation(basisFcn,W0,obsInfo,actInfo)

rep = rlRepresentation(____,repOpts)
```

## **Description**

Use rlRepresentation to create a function approximator representation for the actor or critic of a reinforcement learning agent. To do so, you specify the observation and action signals for the training environment and options that affect the training of an agent that uses the representation. For more information on creating representations, see "Create Policies and Value Functions".

rep = rlRepresentation(net,obsInfo,'Observation',obsNames) creates a representation for the deep neural network net. The observation names obsNames are the network input layer names. obsInfo contains the corresponding observation specifications for the training environment. Use this syntax to create a representation for a critic that does not require action inputs, such as a critic for an rlACAgent or rlPGAgent agent.

rep = rlRepresentation(net,obsInfo,actInfo,'Observation',obsNames,'Action',actNames) creates a representation with action signals specified by the names actNames and specification actInfo. Use this syntax to create a representation for any actor, or for a critic that takes both observation and action as input, such as a critic for an rlDQNAgent or rlDDPGAgent agent.

tableCritic = rlRepresentation(tab) creates a critic representation for the value table or Q table tab. When you create a table representation, you specify the observation and action specifications when you create tab.

critic = rlRepresentation(basisFcn,W0,obsInfo) creates a linear basis function representation using the handle to a custom basis function basisFcn and initial weight vector W0. obsInfo contains the corresponding observation specifications for the training environment. Use

this syntax to create a representation for a critic that does not require action inputs, such as a critic for an rlACAgent or rlPGAgent agent.

critic = rlRepresentation(basisFcn,W0,oaInfo) creates a linear basis function
representation using the specification cell array oaInfo, where oaInfo = {obsInfo,actInfo}.
Use this syntax to create a representation for a critic that takes both observations and actions as
inputs, such as a critic for an rlDQNAgent or rlDDPGAgent agent.

actor = rlRepresentation(basisFcn,W0,obsInfo,actInfo) creates a linear basis function representation using the specified observation and action specifications, obsInfo and actInfo, respectively. Use this syntax to create a representation for an actor that takes observations as inputs and generates actions.

rep = rlRepresentation(\_\_\_\_, rep0pts) creates a representation using additional options that specify learning parameters for the representation when you train an agent. Available options include the optimizer used for training and the learning rate. Use rlRepresentationOptions to create the options set rep0pts. You can use this syntax with any of the previous input-argument combinations.

## **Examples**

#### **Create Actor and Critic Representations**

Create an actor representation and a critic representation that you can use to define a reinforcement learning agent such as an Actor Critic (AC) agent.

For this example, create actor and critic representations for an agent that can be trained against the cart-pole environment described in "Train AC Agent to Balance Cart-Pole System". First, create the environment. Then, extract the observation and action specifications from the environment. You need these specifications to define the agent and critic representations.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

For a state-value-function critic such as those used for AC or PG agents, the inputs are the observations and the output should be a scalar value, the state value. For this example, create the critic representation using a deep neural network with one output, and with observation signals corresponding to x,xdot,theta,thetadot as described in "Train AC Agent to Balance Cart-Pole System". You can obtain the number of observations from the obsInfo specification. Name the network layer input 'observation'.

```
numObservation = obsInfo.Dimension(1);
criticNetwork = [
   imageInputLayer([numObservation 1 1],'Normalization','none','Name','observation')
   fullyConnectedLayer(1,'Name','CriticFC')];
```

Specify options for the critic representation using rlRepresentationOptions. These options control parameters of critic network learning, when you train an agent that incorporates the critic representation. For this example, set the learning rate to 0.05 and the gradient threshold to 1.

```
repOpts = rlRepresentationOptions('LearnRate',5e-2,'GradientThreshold',1);
```

Create the critic representation using the specified neural network and options. Also, specify the action and observation information for the critic. Set the observation name to 'observation', which is the name you used when you created the network input layer for criticNetwork.

Similarly, create a network for the actor. An AC agent decides which action to take given observations using an actor representation. For an actor, the inputs are the observations, and the output depends on whether the action space is discrete or continuous. For the actor of this example, there are two possible discrete actions, -10 or 10. Thus, to create the actor, use a deep neural network with the same observation input as the critic, that can output these two values. You can obtain the number of actions from the actInfo specification. Name the output 'action'.

```
numAction = numel(actInfo.Elements);
actorNetwork = [
   imageInputLayer([4 1 1], 'Normalization','none','Name','observation')
   fullyConnectedLayer(numAction,'Name','action')];
```

Create the actor representation using the observation name and specification and the action name and specification. Use the same representation options.

You can now use the actor and critic representations to create an AC agent.

```
agentOpts = rlACAgentOptions(...
    'NumStepsToLookAhead',32,...
    'DiscountFactor',0.99);
agent = rlACAgent(actor,critic,agentOpts)
agent =
    rlACAgent with properties:
    AgentOptions: [1x1 rl.option.rlACAgentOptions]
```

#### **Create Q Table Representation**

This example shows how to create a Q Table representation:

Create an environment interface.

```
env = rlPredefinedEnv("BasicGridWorld");
```

Create a Q table using the action and observation specifications from the environment.

```
qTable = rlTable(getObservationInfo(env),getActionInfo(env));
Create a representation for the Q table.
tableRep = rlRepresentation(qTable);
```

#### **Create Quadratic Basis Function Critic Representation**

This example shows how to create a linear basis function critic representation.

Assume that you have an environment, env. For this example, load the environment used in the "Train Custom LQR Agent" example.

```
load myLQREnv.mat
```

Obtain the observation and action specifications from the environment.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a custom basis function. In this case, use the quadratic basis function from "Train Custom LQR Agent".

Set the dimensions and parameters required for your basis function.

```
n = 6;
```

Set an initial weight vector.

```
w0 = 0.1*ones(0.5*(n+1)*n,1);
```

Create a representation using a handle to the custom basis function.

```
 critic = rlRepresentation(@(x,u) computeQuadraticBasis(x,u,n),w0,{obsInfo,actInfo}); \\
```

Function to compute the quadratic basis from "Train Custom LQR Agent".

```
function B = computeQuadraticBasis(x,u,n)
z = cat(1,x,u);
idx = 1;
for r = 1:n
    for c = r:n
        if idx == 1
            B = z(r)*z(c);
    else
        B = cat(1,B,z(r)*z(c));
    end
    idx = idx + 1;
end
end
end
```

## **Input Arguments**

#### net — Deep neural network for actor or critic

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object

Deep neural network for actor or critic, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

#### obsNames — Observation names

cell array of character vectors

Observation names, specified as a cell array of character vectors. The observation names are the network input layer names you specify when you create net. The names in obsNames must be in the same order as the observation specifications in obsInfo.

Example: {'observation'}

#### obsInfo — Observation specification

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract obsInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

#### actNames — Action name

single-element cell array that contains a character vector

Action name, specified as a single-element cell array that contains a character vector. The action name is the network layer name you specify when you create net. For critic networks, this layer is the first layer of the action input path. For actors, this layer is the last layer of the action output path.

Example: {'action'}

#### actInfo — Action specification

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract actInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

#### tab — Value table or Q table for critic

rlTable object

Value table or Q table for critic, specified as an rlTable object. The learnable parameters of a table representation are the elements of tab.

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined function. For a linear basis function representation, the output of the representation is f = W'B, where W is a weight array and B is the column vector returned by the custom basis function. The learnable parameters of a linear basis function representation are the elements of W.

#### When creating:

 A critic representation with observation inputs only, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here obs1 to obsN are observations in the same order and with the same data type and dimensions as the observation specifications in obsInfo.

 A critic representation with observation and action inputs, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN,act)
```

Here obs1 to obsN are observations in the same order and with the same data type and dimensions as the observation specifications in the first element of oaInfo, and act has the same data type and dimensions as the action specification in the second element of oaInfo.

· An actor representation, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are observations in the same order and with the same data type and dimensions as the observation specifications in obsInfo. The data types and dimensions of the action specification in actInfo affect the data type and dimensions of f.

```
Example: @(x,u) myBasisFunction(x,u)
```

#### W0 — Initial value for linear basis function weight vector

column vector | array

Initial value for linear basis function weight array, W, specified as one of the following:

- Column vector When creating a critic representation or an actor representation with a continuous scalar action signal
- Array When creating an actor representation with a column vector continuous action signal or a discrete action space.

#### oaInfo — Observation and action specifications

cell array

Observation and action specifications for creating linear basis function critic representations, specified as the cell array {obsInfo,actInfo}.

#### rep0pts — Representation options

rlRepresentationOptions object

Representation options, specified as an option set that you create with rlRepresentationOptions. Available options include the optimizer used for training and the learning rate. See rlRepresentationOptions for details.

### **Output Arguments**

#### rep — Deep neural network representation

rlLayerRepresentation object

Deep neural network representation, returned as an rlLayerRepresentation object. Use this representation to create an agent for reinforcement learning. For more information, see "Reinforcement Learning Agents".

#### tableCritic — Value or Q table critic representation

rlTableRepresentation object

Value or Q table critic representation, returned as an rlTableRepresentation object. Use this representation to create an agent for reinforcement learning. For more information, see "Reinforcement Learning Agents".

#### critic — Linear basis function critic representation

rlLinearBasisRepresentation object

Linear basis function critic representation, returned as and rllinearBasisRepresentation object. Use this representation to create an agent for reinforcement learning. For more information, see "Reinforcement Learning Agents".

#### actor — Linear basis function actor representation

rlLinearBasisRepresentation object

Linear basis function actor representation, returned as and rllinearBasisRepresentation object. Use this representation to create an agent for reinforcement learning. For more information, see "Reinforcement Learning Agents".

# **Version History**

Introduced in R2019a

#### R2020a: rlRepresentation is not recommended

Not recommended starting in R2020a

rlRepresentation is not recommended. Depending on the type of representation being created, use one of the following objects instead:

- rlValueRepresentation State value critic, computed based on observations from the environment.
- rlQValueRepresentation State-action value critic, computed based on both actions and observations from the environment.
- rlDeterministicActorRepresentation Actor with deterministic actions, based on observations from the environment.

• rlStochasticActorRepresentation — Actor with stochastic actions, based on observations from the environment.

The following table shows some typical uses of the rlRepresentation function to create neural network-based critics and actors, and how to update your code with one of the new objects instead.

Network-Based Representations: Not Recommended	Network-Based Representations: Recommended
<pre>rep = rlRepresentation(net,obsInfo,'Observat ion',obsName), with net having only observations as inputs, and a single scalar output.</pre>	<pre>rep = rlValueRepresentation(net,obsInfo,'Obs ervation',obsName). Use this syntax to create a representation for a critic that does not require action inputs, such as a critic for an rlACAgent or rlPGAgent agent.</pre>
<pre>rep = rlRepresentation(net,obsInfo,actInfo,' Observation',obsName,'Action',actName) , with net having both observations and action as inputs, and a single scalar output.</pre>	rep = rlQValueRepresentation(net,obsInfo,act Info,'Observation',obsName,'Action',ac tName). Use this syntax to create a single-output state-action value representation for a critic that takes both observation and action as input, such as a critic for an rlDQNAgent or rlDDPGAgent agent.
<pre>rep = rlRepresentation(net,obsInfo,actInfo,' Observation',obsName,'Action',actName) , with net having observations as inputs and actions as outputs, and actInfo defining a continuous action space.</pre>	<pre>rep = rlDeterministicActorRepresentation(net ,obsInfo,actInfo,'Observation',obsName ,'Action',actName). Use this syntax to create a deterministic actor representation for a continuous action space.</pre>
<pre>rep = rlRepresentation(net,obsInfo,actInfo,' Observation',obsName,'Action',actName) , with net having observations as inputs and actions as outputs, and actInfo defining a discrete action space.</pre>	<pre>rep = rlStochasticActorRepresentation(net,ob sInfo,actInfo,'Observation',obsName). Use this syntax to create a stochastic actor representation for a discrete action space.</pre>

The following table shows some typical uses of the rlRepresentation objects to express table-based critics with discrete observation and action spaces, and how to update your code with one of the new objects instead.

Table-Based Representations: Not Recommended	Table-Based Representations: Recommended
observations.	<pre>rep = rlValueRepresentation(tab,obsInfo). Use this syntax to create a representation for a critic that does not require action inputs, such as a critic for an rlACAgent or rlPGAgent agent.</pre>

Table-Based Representations: Not Recommended	Table-Based Representations: Recommended
rep = rlRepresentation(tab), with tab containing a Q-value table with as many rows as the possible observations and as many columns as the possible actions.	rep = rlQValueRepresentation(tab,obsInfo,act Info). Use this syntax to create a single-output state-action value representation for a critic that takes both observation and action as input, such as a critic for an rlDQNAgent or rlDDPGAgent agent.

The following table shows some typical uses of the rlRepresentation function to create critics and actors which use a custom basis function, and how to update your code with one of the new objects instead. In the recommended function calls, the first input argument is a two-elements cell containing both the handle to the custom basis function and the initial weight vector or matrix.

Custom Basis Function-Based Representations: Not Recommended	Custom Basis Function-Based Representations: Recommended
<pre>rep = rlRepresentation(basisFcn,W0,obsInfo), where the basis function has only observations as inputs and W0 is a column vector.</pre>	<pre>rep = rlValueRepresentation({basisFcn,W0},ob sInfo). Use this syntax to create a representation for a critic that does not require action inputs, such as a critic for an rlACAgent or rlPGAgent agent.</pre>
<pre>rep = rlRepresentation(basisFcn,W0, {obsInfo,actInfo}), where the basis function has both observations and action as inputs and W0 is a column vector.</pre>	<pre>rep = rlQValueRepresentation({basisFcn,W0},o bsInfo,actInfo). Use this syntax to create a single-output state-action value representation for a critic that takes both observation and action as input, such as a critic for an rlDQNAgent or rlDDPGAgent agent.</pre>
<pre>rep = rlRepresentation(basisFcn,W0,obsInfo,a ctInfo), where the basis function has observations as inputs and actions as outputs, W0 is a matrix, and actInfo defines a continuous action space.</pre>	<pre>rep = rlDeterministicActorRepresentation({ba sisFcn,W0},obsInfo,actInfo). Use this syntax to create a deterministic actor representation for a continuous action space.</pre>
<pre>rep = rlRepresentation(basisFcn,W0,obsInfo,a ctInfo), where the basis function has observations as inputs and actions as outputs, W0 is a matrix, and actInfo defines a discrete action space.</pre>	<pre>rep = rlStochasticActorRepresentation({basis Fcn,W0},obsInfo,actInfo). Use this syntax to create a deterministic actor representation for a discrete action space.</pre>

### See Also

#### **Functions**

getActionInfo|getObservationInfo

### **Objects**

rlValueRepresentation | rlQValueRepresentation |
rlDeterministicActorRepresentation | rlStochasticActorRepresentation |
rlRepresentationOptions

### **Topics**

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# rlSimulinkEnv

Create reinforcement learning environment using dynamic model implemented in Simulink

## **Syntax**

```
env = rlSimulinkEnv(mdl,agentBlocks)
env = rlSimulinkEnv(mdl,agentBlocks,obsInfo,actInfo)
env = rlSimulinkEnv( ,'UseFastRestart',fastRestartToggle)
```

## **Description**

The rlSimulinkEnv function creates a reinforcement learning environment object from a Simulink model. The environment object acts an interface so that when you call sim or train, these functions in turn call the Simulink model to generate experiences for the agents.

env = rlSimulinkEnv(mdl,agentBlocks) creates the reinforcement learning environment object env for the Simulink model mdl. agentBlocks contains the paths to one or more reinforcement learning agent blocks in mdl. If you use this syntax, each agent block must reference an agent object already in the MATLAB workspace.

env = rlSimulinkEnv(mdl,agentBlocks,obsInfo,actInfo) creates the reinforcement
learning environment object env for the model mdl. The two cell arrays obsInfo and actInfo must
contain the observation and action specifications for each agent block in mdl, in the same order as
they appear in agentBlocks.

env = rlSimulinkEnv(\_\_\_\_, 'UseFastRestart', fastRestartToggle) creates a
reinforcement learning environment object env and additionally enables fast restart. Use this syntax
after any of the input arguments in the previous syntaxes.

## **Examples**

#### Create Simulink Environment Using Agent in Workspace

Create a Simulink environment using the trained agent and corresponding Simulink model from the "Create Simulink Environment and Train Agent" example.

Load the agent in the MATLAB® workspace.

```
load rlWaterTankDDPGAgent
```

Create an environment for the rlwatertank model, which contains an RL Agent block. Since the agent used by the block is already in the workspace, you do not need to pass the observation and action specifications to create the environment.

```
AgentBlock : rlwatertank/RL Agent
ResetFcn : []
UseFastRestart : on
```

Validate the environment by performing a short simulation for two sample times.

```
validateEnvironment(env)
```

You can now train and simulate the agent within the environment by using train and sim, respectively.

#### **Create Reinforcement Learning Environment for Simulink Model**

For this example, consider the rlSimplePendulumModel Simulink model. The model is a simple frictionless pendulum that initially hangs in a downward position.

Open the model.

```
mdl = "rlSimplePendulumModel";
open_system(mdl)
```

Create rlNumericSpec and rlFiniteSetSpec objects for the observation and action information, respectively.

The observation is a vector containing three signals: the sine, cosine, and time derivative of the angle.

```
obsInfo = rlNumericSpec([3 1])
obsInfo =
   rlNumericSpec with properties:
   LowerLimit: -Inf
   UpperLimit: Inf
      Name: [0x0 string]
   Description: [0x0 string]
   Dimension: [3 1]
   DataType: "double"
```

The action is a scalar expressing the torque and can be one of three possible values, -2 Nm, 0 Nm and 2 Nm.

You can use dot notation to assign property values for the rlNumericSpec and rlFiniteSetSpec objects.

```
obsInfo.Name = "observations";
actInfo.Name = "torque";
```

Assign the agent block path information, and create the reinforcement learning environment for the Simulink model using the information extracted in the previous steps.

You can also include a reset function using dot notation. For this example, randomly initialize theta0 in the model workspace.

#### **Create Simulink Environment for Multiple Agents**

Create an environment for the Simulink model from the example "Train Multiple Agents to Perform Collaborative Task".

Load the agents in the MATLAB workspace.

```
load rlCollaborativeTaskAgents
```

Create an environment for the rlCollaborativeTask model, which has two agent blocks. Since the agents used by the two blocks (agentA and agentB) are already in the workspace, you do not need to pass their observation and action specifications to create the environment.

You can now simulate or train the agents within the environment using sim or train, respectively.

## **Input Arguments**

#### mdl — Simulink model name

string | character vector

Simulink model name, specified as a string or character vector. The model must contain at least one RL Agent block.

#### agentBlocks — Agent block paths

```
string | character vector | string array
```

Agent block paths, specified as a string, character vector, or string array.

If mdl contains a single RL Agent block, specify agentBlocks as a string or character vector containing the block path.

If mdl contains multiple RL Agent blocks, specify agentBlocks as a string array, where each element contains the path of one agent block.

mdl can contain RL Agent blocks whose path is not included in agentBlocks. Such agent blocks behave as part of the environment, selecting actions based on their current policies. When you call sim or train, the experiences of these agents are not returned and their policies are not updated.

Multi-agent simulation is not supported for MATLAB environments.

The agent blocks can be inside of a model reference. For more information on configuring an agent block for reinforcement learning, see RL Agent.

#### obsInfo — Observation information

rlNumericSpec object | rlFiniteSetSpec object | array of rlNumericSpec objects | array of rlFiniteSetSpec objects | cell array

Observation information, specified as a specification object, an array of specification objects, or a cell array.

If mdl contains a single agent block, specify obsInfo as an rlNumericSpec object, an rlFiniteSetSpec object, or an array containing a mix of such objects.

If mdl contains multiple agent blocks, specify obsInfo as a cell array, where each cell contains a specification object or array of specification objects for the corresponding block in agentBlocks.

For more information, see getObservationInfo.

#### actInfo — Action information

rlNumericSpec object | rlFiniteSetSpec object | cell array

Action information, specified as a specification object or a cell array.

If mdl contains a single agent block, specify actInfo as an rlNumericSpec or rlFiniteSetSpec object.

If mdl contains multiple agent blocks, specify actInfo as a cell array, where each cell contains a specification object for the corresponding block in agentBlocks.

For more information, see getActionInfo.

### fastRestartToggle — Option to toggle fast restart

'on' (default) | 'off'

Option to toggle fast restart, specified as either 'on' or 'off'. Fast restart allows you to perform iterative simulations without compiling a model or terminating the simulation each time.

For more information on fast restart, see "How Fast Restart Improves Iterative Simulations" (Simulink).

## **Output Arguments**

#### env - Reinforcement learning environment

SimulinkEnvWithAgent object

Reinforcement learning environment, returned as a SimulinkEnvWithAgent object.

For more information on reinforcement learning environments, see "Create Simulink Reinforcement Learning Environments".

## **Version History**

Introduced in R2019a

#### See Also

#### **Functions**

train | sim | getObservationInfo | getActionInfo

#### **Objects**

rlNumericSpec | rlFiniteSetSpec

#### **Blocks**

RL Agent

#### **Topics**

"Train DDPG Agent to Control Double Integrator System"

"Train DDPG Agent to Swing Up and Balance Pendulum"

"Train DDPG Agent to Swing Up and Balance Cart-Pole System"

"Train DDPG Agent to Swing Up and Balance Pendulum with Bus Signal"

"Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation"

"Train DDPG Agent for Adaptive Cruise Control"

"How Fast Restart Improves Iterative Simulations" (Simulink)

# runEpisode

Package: rl.env

Simulate reinforcement learning environment against policy or agent

## **Syntax**

```
output = runEpisode(env,policy)
output = runEpisode(env,agent)
output = runEpisode( ,Name=Value)
```

## **Description**

output = runEpisode(env,policy) runs a single simulation of the environment env against the
policy policy.

output = runEpisode(env,agent) runs a single simulation of the environment env against the
agent agent. During the simulation, the policy of the agent is evaluated to produce actions but
learnable parameters are not updated.

output = runEpisode(\_\_\_\_, Name=Value) specifies nondefault simulation options using one or more name-value arguments.

## **Examples**

#### **Simulate Environment and Agent**

Create a reinforcement learning environment and extract its observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the Q-value function withing the critic, use a neural network. Create a network as an array of layer objects.

```
net = [...
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(2)
    softmaxLayer];
```

Convert the network to a dlnetwork object and display the number of learnable parameters (weights).

```
net = dlnetwork(net);
summary(net)
   Initialized: true
   Number of learnables: 770
   Inputs:
      1
           'input'
                      4 features
Create a discrete categorical actor using the network.
actor = rlDiscreteCategoricalActor(net,obsInfo,actInfo);
Check your actor with a random observation.
act = getAction(actor,{rand(obsInfo.Dimension)})
act = 1x1 cell array
    {[-10]}
Create a policy object from the actor.
policy = rlStochasticActorPolicy(actor);
Create an experience buffer.
buffer = rlReplayMemory(obsInfo,actInfo);
Set up the environment for running multiple simulations. For this example, configure the training to
log any errors rather than send them to the command window.
setup(env,StopOnError="off")
Simulate multiple episodes using the environment and policy. After each episode, append the
experiences to the buffer. For this example, run 100 episodes.
for i = 1:100
    output = runEpisode(env,policy,MaxSteps=300);
    append(buffer,output.AgentData.Experiences)
end
Clean up the environment.
cleanup(env)
Sample a mini-batch of experiences from the buffer. For this example, sample 10 experiences.
batch = sample(buffer,10);
You can then learn from the sampled experiences and update the policy and actor.
```

## **Input Arguments**

```
env — Reinforcement learning environment
```

environment object | ...

Reinforcement learning environment, specified as one of the following objects.

- rlFunctionEnv Environment defined using custom functions
- SimulinkEnvWithAgent Simulink environment created using rlSimulinkEnv or createIntegratedEnv
- rlMDPEnv Markov decision process environment
- rlNeuralNetworkEnvironment Environment with deep neural network transition models
- Predefined environment created using rlPredefinedEnv
- Custom environment created from a template (rlCreateEnvTemplate)

#### policy — Policy

policy object | array of policy objects

Policy object, specified as one of the following objects.

- rlDeterministicActorPolicy
- rlAdditiveNoisePolicy
- rlEpsilonGreedyPolicy
- rlMaxQPolicy
- rlStochasticActorPolicy

If env is a Simulink environment configured for multi-agent training, specify policy as an array of policy objects. The order of the policies in the array must match the agent order used to create env.

For more information on a policy object, at the MATLAB command line, type help followed by the policy object name.

#### agent — Reinforcement learning agent

agent object | array of agent objects

Reinforcement learning agent, specified as one of the following objects.

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRP0Agent
- rlMBPOAgent
- Custom agent For more information, see "Create Custom Reinforcement Learning Agents".

If env is a Simulink environment configured for multi-agent training, specify agent as an array of agent objects. The order of the agents in the array must match the agent order used to create env.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Valuel,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: MaxSteps=1000

#### MaxSteps — Maximum simulation steps

500 (default) | positive integer

Maximum simulation steps, specified as a positive integer.

#### ProcessExperienceFcn — Function for processing experiences

function handle | cell array of function handles

Function for processing experiences and updating the policy or agent based on each experience as it occurs during the simulation, specified as a function handle with the following signature.

[updatedPolicy,updatedData] = myFcn(experience,episodeInfo,policy,data)

#### Here:

- experience is a structure that contains a single experience. For more information on the structure fields, see output.Experiences.
- episodeInfo contains data about the current episode and corresponds to output.EpisodeInfo.
- policy is the policy or agent object being simulated.
- data contains experience processing data. For more information, see ProcessExperienceData.
- updatedPolicy is the updated policy or agent.
- updatedData is the updated experience processing data, which is used as the data input when processing the next experience.

If env is a Simulink environment configured for multi-agent training, specify ProcessExperienceFcn as a cell array of function handles. The order of the function handles in the array must match the agent order used to create env.

#### ProcessExperienceData — Experience processing data

any MATLAB data type | cell array

Experience processing data, specified as any MATLAB data, such as an array or structure. Use this data to pass additional parameters or information to the experience processing function.

You can also update this data within the experience processing function to use different parameters when processing the next experience. The data values that you specify when you call runEpisode are used to process the first experience in the simulation.

If env is a Simulink environment configured for multi-agent training, specify ProcessExperienceData as a cell array. The order of the array elements must match the agent order used to create env.

#### CleanupPostSim — Option to clean up environment

true (default) | false

Option to clean up the environment after the simulation, specified as true or false. When CleanupPostSim is true, runEpisode calls cleanup(env) when the simulation ends.

To run multiple episodes without cleaning up the environment, set CleanupPostSim to false. You can then call cleanup(env) after running your simulations.

If env is a SimulinkEnvWithAgent object and the associated Simulink model is configured to use fast restart, then the model remains in a compiled state between simulations when CleanUpPostSim is false.

### LogExperiences — Option to log experiences

true (default) | false

Option to log experiences for each policy or agent, specified as true or false. When LogExperiences is true, the experiences of the policy or agent are logged in output. Experiences.

### **Output Arguments**

#### output — Simulation output

structure | Future object

Simulation output, returned as a structure with the fields AgentData and SimulationInfo.

The AgentData field is a structure array containing data for each agent or policy. Each AgentData structure has the following fields.

Field	Description
Experiences	Logged experience of the policy or agent, returned as a structure array. Each experience contains the following fields.
	• Observation — Observation
	Action — Action taken
	NextObservation — Resulting next observation
	Reward — Corresponding reward
	• IsDone — Termination signal
Time	Simulation times of experiences, returned as a vector.
EpisodeInfo	Episode information, returned as a structure with the following fields.
	• CumulativeReward — Total reward for all experiences
	StepsTaken — Number of simulation steps taken
	• InitialObservation — Initial observation at the start of the simulation
ProcessExperienceData	Experience processing data
Agent	Policy or agent used in the simulation

The SimulationInfo field is one of the following:

- For MATLAB environments Structure containing the field SimulationError. This structure contains any errors that occurred during simulation.
- For Simulink environments Simulink.SimulationOutput object containing simulation data. Recorded data includes any signals and states that the model is configured to log, simulation metadata, and any errors that occurred.

If env is configured to run simulations on parallel workers, then output is a Future object, which supports deferred outputs for environment simulations that run on workers.

### **Tips**

• You can speed up episode simulation by using parallel computing. To do so, use the setup function and set the UseParallel argument to true.

setup(env,UseParallel=true)

## **Version History**

Introduced in R2022a

#### See Also

#### **Objects**

Future

#### **Functions**

fetchNext | fetchOutputs | cancel | wait | setup | cleanup | reset

#### **Topics**

"Custom Training Loop with Simulink Action Noise"

"Train Reinforcement Learning Policy Using Custom Training Loop"

<sup>&</sup>quot;Create MATLAB Reinforcement Learning Environments"

<sup>&</sup>quot;Create Simulink Reinforcement Learning Environments"

<sup>&</sup>quot;Train Agents Using Parallel Computing and GPUs"

# sample

Package: rl.replay

Sample experiences from replay memory buffer

## **Syntax**

```
experience = sample(buffer,batchSize)
experience = sample(buffer,batchSize,Name=Value)
[experience,Mask] = sample(buffer,batchSize,Name=Value)
```

## **Description**

experience = sample(buffer, batchSize) returns a mini-batch of N experiences from the replay memory buffer, where N is specified using batchSize.

experience = sample(buffer,batchSize,Name=Value) specifies additional sampling options using one or more name-value pair arguments.

[experience, Mask] = sample(buffer, batchSize, Name=Value) returns a sequence padding mask indicating which the padded experiences at the end of a sampled sequence.

## **Examples**

#### **Create Experience Buffer**

Define observation specifications for the environment. For this example, assume that the environment has a single observation channel with three continuous signals in specified ranges.

```
obsInfo = rlNumericSpec([3 1],...
LowerLimit=0,...
UpperLimit=[1;5;10]);
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with two continuous signals in specified ranges.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 20,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,20000);
```

Append a single experience to the buffer using a structure. Each experience contains the following elements: current observation, action, next observation, reward, and is-done.

For this example, create an experience with random observation, action, and reward values. Indicate that this experience is not a terminal condition by setting the IsDone value to 0.

```
exp.Observation = {obsInfo.UpperLimit.*rand(3,1)};
exp.Action = {actInfo.UpperLimit.*rand(2,1)};
exp.Reward = 10*rand(1);
exp.NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
exp.IsDone = 0;
```

Before appending experience to the buffer, you can validate whether the experience is compatible with the buffer. The validateExperience function generates an error if the experience is incompatible with the buffer.

```
validateExperience(buffer,exp)
```

Append the experience to the buffer.

```
append(buffer,exp);
```

You can also append a batch of experiences to the experience buffer using a structure array. For this example, append a sequence of 100 random experiences, with the final experience representing a terminal condition.

```
for i = 1:100
    expBatch(i).Observation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    expBatch(i).Reward = 10*rand(1);
    expBatch(i).NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).IsDone = 0;
end
expBatch(100).IsDone = 1;
validateExperience(buffer,expBatch)
append(buffer,expBatch);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 50 experiences from the buffer.

```
miniBatch = sample(buffer,50);
```

You can sample a horizon of data from the buffer. For example, sample a horizon of 10 consecutive experiences with a discount factor of 0.95.

```
horizonSample = sample(buffer,1,...
    NStepHorizon=10,...
    DiscountFactor=0.95);
```

The returned sample includes the following information.

- Observation and Action are the observation and action from the first experience in the horizon.
- NextObservation and IsDone are the next observation and termination signal from the final experience in the horizon.
- Reward is the cumulative reward across the horizon using the specified discount factor.

You can also sample a sequence of consecutive experiences. In this case, the structure fields contain arrays with values for all sampled experiences.

```
sequenceSample = sample(buffer,1,...
SequenceLength=20);
```

#### **Create Experience Buffer with Multiple Observation Channels**

Define observation specifications for the environment. For this example, assume that the environment has two observation channels: one channel with two continuous observations and one channel with a three-valued discrete observation.

```
obsContinuous = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[1;5]);
obsDiscrete = rlFiniteSetSpec([1 2 3]);
obsInfo = [obsContinuous obsDiscrete];
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with one continuous action in a specified range.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 5,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,5000);
```

Append a sequence of 50 random experiences to the buffer.

```
for i = 1:50
    exp(i).0bservation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    exp(i).NextObservation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Reward = 10*rand(1);
    exp(i).IsDone = 0;
end
append(buffer,exp);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 10 experiences from the buffer.

```
miniBatch = sample(buffer,10);
```

## **Input Arguments**

#### **buffer** — **Experience buffer**

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

#### batchSize — Batch size

positive integer

Batch size of experiences to sample, specified as a positive integer.

If batchSize is greater than the current length of the buffer, then sample returns no experiences.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: DiscountFactor=0.95

#### SequenceLength — Sequence length

1 (default) | positive integer

Sequence length, specified as a positive integer. For each batch element, sample up to SequenceLength consecutive experiences. If a sampled experience has a nonzero IsDone value, stop the sequence at that experience.

#### NStepHorizon — N-step horizon length

1 (default) | positive integer

N-step horizon length, specified as a positive integer. For each batch element, sample up to NStepHorizon consecutive experiences. If a sampled experience has a nonzero IsDone value, stop the horizon at that experience. Return the following experience information based on the sampled horizon.

- Observation and Action values from the first experience in the horizon
- NextObservation and IsDone values from the final experience in the horizon.
- Cumulative reward across the horizon using the specified discount factor, DiscountFactor.

Sampling an n-step horizon is not supported when sampling sequences. Therefore, if SequenceLength > 1, then NStepHorizon must be 1.

#### DiscountFactor — Discount factor

0.99 (default) | nonnegative scalar less than or equal to one

Discount factor, specified as a nonnegative scalar less than or equal to one. When you sample a horizon of experiences (NStepHorizon > 1), sample returns the cumulative reward R computed as follows.

$$R = \sum_{i=1}^{N} \gamma^{i} R_{i}$$

Here:

- *y* is the discount factor.
- N is the sampled horizon length, which can be less than NStepHorizon.
- $R_i$  is the reward for the *i*th horizon step.

DiscountFactor applies only when NStepHorizon is greater than one.

#### DataSourceID — Data source index

-1 (default) | nonnegative integer

Data source index, specified as one of the following:

- -1 Sample from the experiences of all data sources.
- Nonnegative integer Sample from the experiences of only the data source specified by DataSourceID.

### **Output Arguments**

#### experience — Experiences sampled from the buffer

structure

Experiences sampled from the buffer, returned as a structure with the following fields.

#### **Observation** — **Observation**

cell array

Observation, returned as a cell array with length equal to the number of observation specifications specified when creating the buffer. Each element of Observation contains a  $D_O$ -by-batchSize-by-SequenceLength array, where  $D_O$  is the dimension of the corresponding observation specification.

#### Action — Agent action

cell array

Agent action, returned as a cell array with length equal to the number of action specifications specified when creating the buffer. Each element of Action contains a  $D_A$ -by-batchSize-by-SequenceLength array, where  $D_A$  is the dimension of the corresponding action specification.

#### Reward — Reward value

scalar | array

Reward value obtained by taking the specified action from the observation, returned as a 1-by-1-by-SequenceLength array.

#### NextObservation — Next observation

cell array

Next observation reached by taking the specified action from the observation, returned as a cell array with the same format as Observation.

### IsDone — Termination signal

integer | array

Termination signal, returned as a 1-by-1-by-SequenceLength array of integers. Each element of IsDone has one of the following values.

- 0 This experience is not the end of an episode.
- 1 The episode terminated because the environment generated a termination signal.
- 2 The episode terminated by reaching the maximum episode length.

#### Mask — Sequence padding mask

logical array

Sequence padding mask, returned as a logical array with length equal to SequenceLength. When the sampled sequence length is less than SequenceLength, the data returned in experience is padded. Each element of Mask is true for a real experience and false for a padded experience.

You can ignore Mask when SequenceLength is 1.

# **Version History**

Introduced in R2022a

### See Also

#### **Functions**

append

#### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory |
rlHindsightPrioritizedReplayMemory

## setActor

Package: rl.agent

Set actor of reinforcement learning agent

## **Syntax**

```
agent = setActor(agent,actor)
```

## **Description**

agent = setActor(agent,actor) updates the reinforcement learning agent, agent, to use the
specified actor object, actor.

## **Examples**

#### **Modify Actor Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat","agent")
```

Obtain the actor function approximator from the agent.

```
actor = getActor(agent);
```

Obtain the learnable parameters from the actor.

```
params = getLearnableParameters(actor)
```

```
params=2×1 cell array
{[-15.4601 -7.2076]}
{[ 0]}
```

Modify the parameter values. For this example, simply multiply all of the parameters by 2.

```
modifiedParams = cellfun(@(x) x*2,params,"UniformOutput",false);
```

Set the parameter values of the actor to the new modified values.

```
actor = setLearnableParameters(actor,modifiedParams);
```

Set the actor in the agent to the new modified actor.

```
setActor(agent,actor);
```

Display the new parameter values.

```
getLearnableParameters(getActor(agent))
```

```
ans=2×1 cell array
{[-30.9201 -14.4153]}
{[ 0]}
```

### **Modify Deep Neural Networks in Reinforcement Learning Agent**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

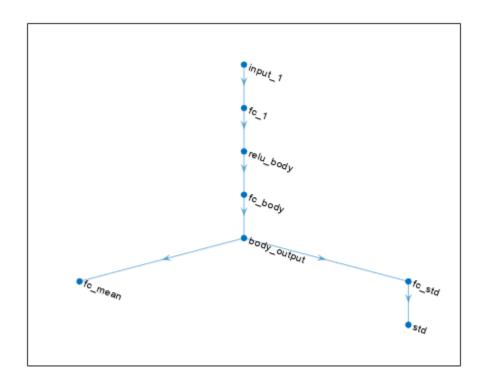
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

```
plot(layerGraph(actorNet))
```



To validate a network, use analyzeNetwork. For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by **getModel**. For more information on building networks, see "Build Networks with Deep Network Designer".

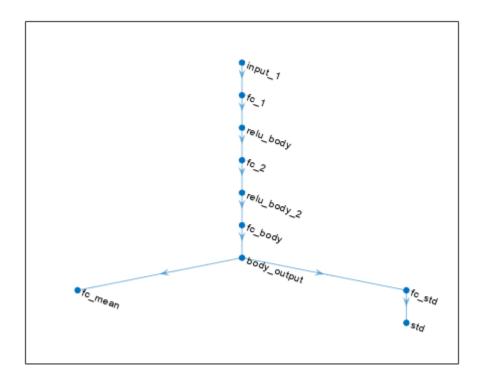
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

create Modified Networks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

## **Input Arguments**

### agent — Reinforcement learning agent

rlPGAgent | rlDDPGAgent | rlTD3Agent | rlACAgent | rlSACAgent | rlPPOAgent |
rlTRPOAgent

Reinforcement learning agent that contains an actor, specified as one of the following:

- rlPGAgent object
- rlDDPGAgent object

- rlTD3Agent object
- rlACAgent object
- rlSACAgent object
- rlPPOAgent object
- rlTRPOAgent object

**Note** agent is an handle object. Therefore is updated by setActor whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

**Note** if agent is an rlMBPOAgent object, to set the actor, use setActor(agent.BaseAgent,actor).

#### actor - Actor

rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object |
rlContinuousGaussianActor object

Actor object, specified as one of the following:

- rlContinuousDeterministicActor object Specify when agent is an rlDDPGAgent or rlTD3Agent object
- rlDiscreteCategoricalActor object Specify when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or rlSACAgent object for an environment with a discrete action space.
- rlContinuousGaussianActor object Specify when agent is an rlACAgent, rlPGAgent, rlPPOAgent, rlTRPOAgent or rlSACAgent object for an environment with a continuous action space.

The input and outputs of the approximation model in the actor (typically, a neural network) must match the observation and action specifications of the original agent.

To create an actor, use one of the following methods:

- Create the actor using the corresponding function approximator object.
- Obtain the existing actor from an agent using getActor.

## **Output Arguments**

### agent — Updated reinforcement learning agent

rlPGAgent | rlDDPGAgent | rlTD3Agent | rlACAgent | rlSACAgent | rlPPOAgent |
rlTRPOAgent

Updated agent, returned as an agent object. Note that agent is an handle object. Therefore its actor is updated by setActor whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

## **Version History**

**Introduced in R2019a** 

### See Also

### **Functions**

getActor|getCritic|setCritic|getModel|setModel|getLearnableParameters|
setLearnableParameters

### Topics

"Create Policies and Value Functions"

<sup>&</sup>quot;Import Neural Network Models"

## setCritic

Package: rl.agent

Set critic of reinforcement learning agent

## **Syntax**

```
agent = setCritic(agent,critic)
```

## **Description**

agent = setCritic(agent,critic) updates the reinforcement learning agent, agent, to use the
specified critic object, critic.

## **Examples**

### **Modify Critic Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
```

Obtain the critic function approximator from the agent.

```
critic = getCritic(agent);
```

Obtain the learnable parameters from the critic.

```
params = getLearnableParameters(critic)
```

```
params=2 \times 1 cell array {[-4.9869 -1.5577 -0.3351 -0.1097 -0.0444 7.5240e-04]} {[ 0]}
```

Modify the parameter values. For this example, simply multiply all of the parameters by 2.

```
modifiedParams = cellfun(@(x) x*2,params,"UniformOutput",false);
```

Set the parameter values of the critic to the new modified values.

```
critic = setLearnableParameters(critic, modifiedParams);
```

Set the critic in the agent to the new modified critic.

```
setCritic(agent,critic);
```

Display the new parameter values.

```
getLearnableParameters(getCritic(agent))
```

### Modify Deep Neural Networks in Reinforcement Learning Agent

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

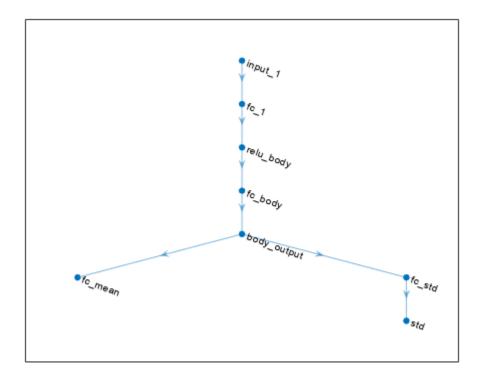
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

```
plot(layerGraph(actorNet))
```



To validate a network, use  ${\tt analyzeNetwork}$ . For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by **getModel**. For more information on building networks, see "Build Networks with Deep Network Designer".

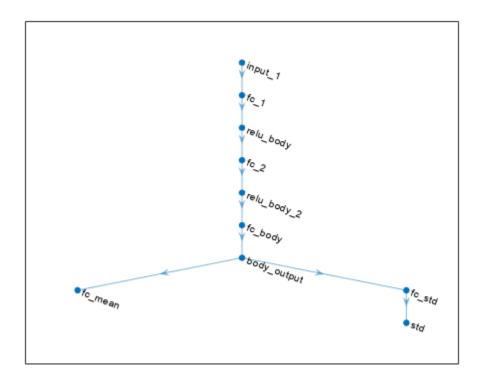
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

create Modified Networks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

## **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent that contains a critic, specified as one of the following:

- rlQAgent
- rlSARSAAgent

- rlDQNAgent
- rlPGAgent (when using a critic to estimate a baseline value function)
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRPOAgent
- rlMBP0Agent

**Note** agent is an handle object. Therefore is updated by setCritic whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

#### critic - Critic

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | twoelement row vector of rlQValueFunction objects

Critic object, specified as one of the following:

- rlValueFunction object Returned when agent is an rlACAgent, rlPGAgent, or rlPPOAgent object.
- rlQValueFunction object Returned when agent is an rlQAgent, rlSARSAAgent, rlDQNAgent, rlDDPGAgent, or rlTD3Agent object with a single critic.
- rlVectorQValueFunction object Returned when agent is an rlQAgent, rlSARSAAgent, rlDQNAgent, object with a discrete action space, vector Q-value function critic.
- Two-element row vector of rlQValueFunction objects Returned when agent is an rlTD3Agent or rlSACAgent object with two critics.

## **Output Arguments**

#### agent — Updated reinforcement learning agent

rlQAgent | rlSARSAAgent | rlDQNAgent | rlPGAgent | rlDDPGAgent | rlTD3Agent | rlACAgent | rlSACAgent | rlPPOAgent | rlTRPOAgent

Updated agent, returned as an agent object. Note that agent is an handle object. Therefore its actor is updated by setCritic whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

## **Version History**

Introduced in R2019a

## See Also

### **Functions**

 $\verb|getActor|| \verb|getCritic|| setActor|| \verb|getModel|| setModel|| \verb|getLearnableParameters|| setLearnableParameters||$ 

### Topics

"Create Policies and Value Functions"

<sup>&</sup>quot;Import Neural Network Models"

## setLearnableParameters

Package: rl.policy

Set learnable parameter values of agent, function approximator, or policy object

## **Syntax**

```
setLearnableParameters(agent)
agent = setLearnableParameters(agent)
newFcn = setLearnableParameters(oldFcn,pars)
newPol = setLearnableParameters(oldPol,pars)
```

## **Description**

#### Agent

setLearnableParameters(agent) sets the learnable parameter values specified in pars in the specified agent.

agent = setLearnableParameters(agent) also returns the new agent as an output argument.

#### **Actor or Critic**

newFcn = setLearnableParameters(oldFcn,pars) returns a new actor or critic function approximator object, newFcn, with the same structure as the original function object, oldFcn, and the learnable parameter values specified in pars.

#### **Policy**

newPol = setLearnableParameters(oldPol,pars) returns a new policy object, newPol, with the same structure as the original function object, oldFcn, and the learnable parameter values specified in pars.

## **Examples**

### **Modify Critic Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

```
load("DoubleIntegDDPG.mat", "agent")
Obtain the critic function approximator from the agent.
critic = getCritic(agent);
Obtain the learnable parameters from the critic.
params = getLearnableParameters(critic)
```

### **Modify Actor Parameter Values**

Assume that you have an existing trained reinforcement learning agent. For this example, load the trained agent from "Train DDPG Agent to Control Double Integrator System".

Display the new parameter values.

```
getLearnableParameters(getActor(agent))
```

```
ans=2 \times 1 cell array {[-30.9201 -14.4153]} {[ 0]}
```

### **Input Arguments**

### agent — Reinforcement learning agent

reinforcement learning agent object

Reinforcement learning agent, specified as one of the following objects:

- rlQAgent
- rlSARSAAgent
- rlDQNAgent
- rlPGAgent
- rlDDPGAgent
- rlTD3Agent
- rlACAgent
- rlSACAgent
- rlPPOAgent
- rlTRPOAgent
- Custom agent For more information, see "Create Custom Reinforcement Learning Agents".

**Note** agent is an handle object. Therefore its parameters are updated by setLearnableParameters whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

### oldFcn — Original actor or critic function object

reinforcement learning function approximator object

Original function approximator object, specified as one of the following:

- rlValueFunction object Value function critic
- rlQValueFunction object Q-value function critic
- rlVectorQValueFunction object Multi-output Q-value function critic with a discrete action space
- rlContinuousDeterministicActor object Deterministic policy actor with a continuous action space
- rlDiscreteCategoricalActor object Stochastic policy actor with a discrete action space
- rlContinuousGaussianActor object Stochastic policy actor with a continuous action space
- rlContinuousDeterministicTransitionFunction object Continuous deterministic transition function for neural network environments

- rlContinuousGaussianTransitionFunction object Continuous Gaussian transition function for neural network environments
- rlContinuousDeterministicRewardFunction object Continuous deterministic reward function for neural network environments
- rlContinuousGaussianRewardFunction object Continuous Gaussian reward function for neural network environments
- rlisDoneFunction object IsDone function for neural network environments

To create an actor or critic function object, use one of the following methods.

- Create a function object directly.
- Obtain the existing critic from an agent using getCritic.
- Obtain the existing actor from an agent using getActor.

### oldPol — Reinforcement learning policy

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlStochasticActorPolicy

Reinforcement learning policy, specified as one of the following objects:

- rlMaxQPolicy
- rlEpsilonGreedyPolicy
- rlDeterministicActorPolicy
- rlAdditiveNoisePolicy
- rlStochasticActorPolicy

#### pars — Learnable parameter values

cell array

Learnable parameter values for the representation object, specified as a cell array. The parameters in pars must be compatible with the structure and parameterization of the agent, function approximator, or policy object passed as a first argument.

To obtain a cell array of learnable parameter values from an existing agent, function approximator, or policy object, which you can then modify, use the getLearnableParameters function.

## **Output Arguments**

### newFcn — New actor or critic object

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object | rlContinuousGaussianActor object

New actor or critic object, returned as a function object of the same type as oldFcn. Apart from the learnable parameter values, newFcn is the same as oldFcn.

### newPol — New reinforcement learning policy

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlStochasticActorPolicy

New reinforcement learning policy, returned as a policy object of the same type as oldPol. Apart from the learnable parameter values, newPol is the same as oldPol.

### agent — Updated agent

reinforcement learning agent object

Updated agent, returned as an agent object. Note that agent is an handle object. Therefore its parameters are updated by setLearnableParameters whether agent is returned as an output argument or not. For more information about handle objects, see "Handle Object Behavior".

## **Version History**

Introduced in R2019a

## R2022a: setLearnableParameters now uses approximator objects instead of representation objects

Behavior changed in R2022a

Using representation objects to create actors and critics for reinforcement learning agents is no longer recommended. Therefore, setLearnableParameters now uses function approximator objects instead.

### R2020a: setLearnableParameterValues is now setLearnableParameters

Behavior changed in R2020a

setLearnableParameterValues is now setLearnableParameters. To update your code, change the function name from setLearnableParameterValues to setLearnableParameters. The syntaxes are equivalent.

### See Also

#### **Functions**

getLearnableParameters | getActor | getCritic | setActor | setCritic | evaluate

#### Objects

rlValueFunction | rlContinuousDeterministicActor |
rlContinuousDeterministicTransitionFunction | rlQAgent | rlPGAgent | rlACAgent |
rlMaxQPolicy

### **Topics**

- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"
- "Import Neural Network Models"

## setModel

Package: rl.function

Set function approximation model for actor or critic

## **Syntax**

```
newFcnAppx = setModel(oldFcnAppx,model)
```

## **Description**

newFcnAppx = setModel(oldFcnAppx,model) returns a new actor or critic function object, newFcnAppx, with the same configuration as the original function object, oldFcnAppx, and the computational model specified in model.

## **Examples**

### Modify Deep Neural Networks in Reinforcement Learning Agent

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System".

Load the predefined environment.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain observation and action specifications.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a PPO agent from the environment observation and action specifications. This agent uses default deep neural networks for its actor and critic.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To modify the deep neural networks within a reinforcement learning agent, you must first extract the actor and critic function approximators.

```
actor = getActor(agent);
critic = getCritic(agent);
```

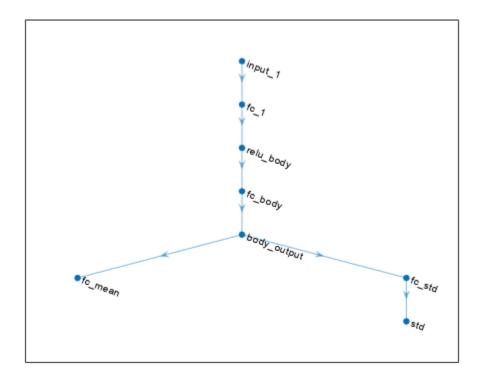
Extract the deep neural networks from both the actor and critic function approximators.

```
actorNet = getModel(actor);
criticNet = getModel(critic);
```

The networks are dlnetwork objects. To view them using the plot function, you must convert them to layerGraph objects.

For example, view the actor network.

plot(layerGraph(actorNet))



To validate a network, use analyzeNetwork. For example, validate the critic network.

analyzeNetwork(criticNet)

You can modify the actor and critic networks and save them back to the agent. To modify the networks, you can use the Deep Network Designer app. To open the app for each network, use the following commands.

deepNetworkDesigner(layerGraph(criticNet))
deepNetworkDesigner(layerGraph(actorNet))

In **Deep Network Designer**, modify the networks. For example, you can add additional layers to your network. When you modify the networks, do not change the input and output layers of the networks returned by <code>getModel</code>. For more information on building networks, see "Build Networks with Deep Network Designer".

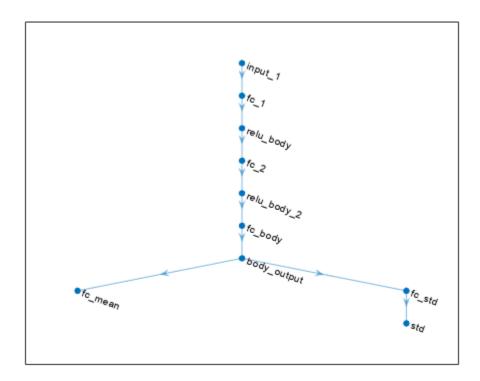
To validate the modified network in **Deep Network Designer**, you must click on **Analyze for dlnetwork**, under the **Analysis** section. To export the modified network structures to the MATLAB® workspace, generate code for creating the new networks and run this code from the command line. Do not use the exporting option in **Deep Network Designer**. For an example that shows how to generate and run code, see "Create DQN Agent Using Deep Network Designer and Train Using Image Observations".

For this example, the code for creating the modified actor and critic networks is in the createModifiedNetworks helper script.

createModifiedNetworks

Each of the modified networks includes an additional fullyConnectedLayer and reluLayer in their main common path. View the modified actor network.

plot(layerGraph(modifiedActorNet))



After exporting the networks, insert the networks into the actor and critic function approximators.

```
actor = setModel(actor,modifiedActorNet);
critic = setModel(critic,modifiedCriticNet);
```

Finally, insert the modified actor and critic function approximators into the actor and critic objects.

```
agent = setActor(agent,actor);
agent = setCritic(agent,critic);
```

## **Input Arguments**

### oldFcnAppx — Original actor or critic function object

rlValueFunction object | rlQValueFunction object | rlVectorQValueFunction object | rlContinuousDeterministicActor object | rlDiscreteCategoricalActor object | rlContinuousGaussianActor object

Original actor or critic function object, specified as one of the following:

- rlValueFunction object Value function critic
- rlQValueFunction object Q-value function critic
- rlVectorQValueFunction object Multi-output Q-value function critic with a discrete action space
- $\bullet \quad \textbf{rlContinuousDeterministicActor} \ object \ Deterministic \ policy \ actor \ with \ a \ continuous \ action \ space \\$
- rlDiscreteCategoricalActor Stochastic policy actor with a discrete action space
- rlContinuousGaussianActor object Stochastic policy actor with a continuous action space

To create an actor or critic function object, use one of the following methods.

- Create a function object directly.
- Obtain the existing critic from an agent using getCritic.
- Obtain the existing actor from an agent using getActor.

### model — Function approximation model

array of Layer objects | layerGraph object | DAGNetwork object | dlnetwork object | rlTable object | 1-by-2 cell array

Function approximation model, specified as one of the following:

- Deep neural network defined as an array of Layer objects, a layerGraph object, a DAGNetwork object, or a dlnetwork object. The input and output layers of model must have the same names and dimensions as the network returned by getModel for the same function object. Here, the output layer is the layer immediately before the output loss layer.
- rlTable object with the same dimensions as the table model defined in newRep.
- 1-by-2 cell array that contains the function handle for a custom basis function and the basis function parameters.

When specifying a new model, you must use the same type of model as the one already defined in newRep.

**Note** For agents with more than one critic, such as TD3 and SAC agents, you must call setModel for each critic representation individually, rather than calling setModel for the array of returned by getCritic.

```
critics = getCritic(myTD3Agent);
% Modify critic networks.
critics(1) = setModel(critics(1),criticNet1);
critics(2) = setModel(critics(2),criticNet2);
myTD3Agent = setCritic(myTD3Agent,critics);
```

## **Output Arguments**

### newFcnAppx — New actor or critic function object

 $\label{lem:continuousDeterministicActor} rl\ Value Function\ object\ |\ rl\ Vector\ QValue Function\ object\ |\ rl\ Continuous\ Deterministic\ Actor\ object\ |\ rl\ Discrete\ Categorical\ Actor\ object\ |\ rl\ Continuous\ Gaussian\ Actor\ object\ |\ rl\ Continuous\ Gaus\ Gaus\$ 

New actor or critic function object, returned as a function object of the same type as oldFcnAppx. Apart from the new computational model, newFcnAppx is the same as oldFcnAppx.

## **Version History**

**Introduced in R2020b** 

R2022a: setModel now uses approximator objects instead of representation objects  $Behavior\ changed\ in\ R2022a$ 

Using representation objects to create actors and critics for reinforcement learning agents is no longer recommended. Therefore, setModel now uses function approximator objects instead.

### See Also

#### **Functions**

getActor|setActor|getCritic|setCritic|getModel

### **Topics**

"Create Policies and Value Functions"

## setup

Package: rl.env

Set up reinforcement learning environment or initialize data logger object

## **Syntax**

```
setup(env)
setup(env,Name=Value)
setup(lgr)
```

## **Description**

When you define a custom training loop for reinforcement learning, you can simulate an agent or policy against an environment using the runEpisode function. Use the setup function to configure the environment for running simulations using multiple calls to runEpisode.

Also use setup to initialize a FileLogger or MonitorLogger object before logging data within a custom training loop.

### **Environment Objects**

setup(env) sets up the specified reinforcement learning environment for running multiple simulations using runEpisode.

setup(env,Name=Value) specifies nondefault configuration options using one or more name-value
pair arguments.

### **Data Logger Objects**

setup(lgr) sets up the specified data logger object. Setup tasks may include setting up a visualization, or creating directories for logging to file.

## **Examples**

#### Simulate Environment and Agent

Create a reinforcement learning environment and extract its observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the Q-value function withing the critic, use a neural network. Create a network as an array of layer objects.

```
net = [...
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(24)
    reluLayer
```

```
fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(2)
    softmaxLayer];
Convert the network to a dlnetwork object and display the number of learnable parameters
(weights).
net = dlnetwork(net);
summary(net)
   Initialized: true
   Number of learnables: 770
   Inputs:
           'input'
      1
                     4 features
Create a discrete categorical actor using the network.
actor = rlDiscreteCategoricalActor(net,obsInfo,actInfo);
Check your actor with a random observation.
act = getAction(actor,{rand(obsInfo.Dimension)})
act = 1x1 cell array
    {[-10]}
Create a policy object from the actor.
policy = rlStochasticActorPolicy(actor);
Create an experience buffer.
buffer = rlReplayMemory(obsInfo,actInfo);
Set up the environment for running multiple simulations. For this example, configure the training to
log any errors rather than send them to the command window.
setup(env,StopOnError="off")
Simulate multiple episodes using the environment and policy. After each episode, append the
experiences to the buffer. For this example, run 100 episodes.
for i = 1:100
    output = runEpisode(env,policy,MaxSteps=300);
    append(buffer,output.AgentData.Experiences)
Clean up the environment.
cleanup(env)
Sample a mini-batch of experiences from the buffer. For this example, sample 10 experiences.
batch = sample(buffer,10);
```

You can then learn from the sampled experiences and update the policy and actor.

#### Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file.

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

flgr.LoggingOptions.FileNameRule, in the folder specified by flgr.LoggingOptions.LoggingDirectory.

```
for iter = 1:10

% Store three random numbers in memory
% as elements of the variable "Context1"
for ct = 1:3
        store(flgr, "Context1", rand, iter);
end

% Store a random number in memory
% as the variable "Context2"
   store(flgr, "Context2", rand, iter);

% Write data to file every 4 iterations
if mod(iter,4)==0
        write(flgr);
end
```

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

end

## **Input Arguments**

#### env — Reinforcement learning environment

 $\verb|rlFunctionEnv| object | SimulinkEnvWithAgent| object | \verb|rlNeuralNetworkEnvironment| object | rlMDPEnv| object | \dots \\$ 

Reinforcement learning environment, specified as one of the following objects.

- rlFunctionEnv Environment defined using custom functions.
- SimulinkEnvWithAgent Simulink environment created using rlSimulinkEnv or createIntegratedEnv
- rlMDPEnv Markov decision process environment
- rlNeuralNetworkEnvironment Environment with deep neural network transition models
- Predefined environment created using rlPredefinedEnv
- Custom environment created from a template (rlCreateEnvTemplate)

### lgr — Date logger object

FileLogger object | MonitorLogger object | ...

Data logger object, specified as either a FileLogger or a MonitorLogger object.

### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: StopOnError="on"

### StopOnError — Option to stop episode when error occurs

"on" (default) | "off"

Option to stop an episode when an error occurs, specified as one of the following:

- "on" Stop the episode when an error occurs and generate an error message in the MATLAB command window.
- "off" Log errors in the SimulationInfo output of runEpisode.

### **UseParallel** — Option for using parallel simulations

false (default) | true

Option for using parallel simulations, specified as a logical value. Using parallel computing allows the usage of multiple cores, processors, computer clusters, or cloud resources to speed up simulation.

When you set UseParallel to true, the output of a subsequent call to runEpisode is an rl.env.Future object, which supports deferred evaluation of the simulation.

### SetupFcn — Function to run on each worker before running an episode

[] (default) | function handle

Function to run on the each worker before running an episode, specified as a handle to a function with no input arguments. Use this function to perform any preprocessing required before running an episode.

### CleanupFcn — Function to run on each worker when cleaning up environment

[] (default) | function handle

Function to run on each worker when cleaning up the environment, specified as a handle to a function with no input arguments. Use this function to clean up the workspace or perform other processing after calling runEpisode.

# TransferBaseWorkspaceVariables — Option to send model and workspace variables to parallel workers

"on" (default) | "off"

Option to send model and workspace variables to parallel workers, specified as "on" or "off". When the option is "on", the client sends variables used in models and defined in the base MATLAB workspace to the workers.

### AttachedFiles — Additional files to attach to parallel pool

string | string array

Additional files to attach to the parallel pool before running an episode, specified as a string or string array.

### WorkerRandomSeeds — Work random seeds

-1 (default) | vector

Worker random seeds, specified as one of the following:

- -1 Set the random seed of each worker to the worker ID.
- Vector with length equal to the number of workers Specify the random seed for each worker.

## **Version History**

Introduced in R2022a

### See Also

#### **Functions**

runEpisode|cleanup|reset|store|write

#### **Objects**

rlFunctionEnv | rlMDPEnv | SimulinkEnvWithAgent | rlNeuralNetworkEnvironment |
FileLogger | MonitorLogger

#### **Topics**

"Custom Training Loop with Simulink Action Noise"

## sim

Package: rl.env

Simulate trained reinforcement learning agents within specified environment

## **Syntax**

## **Description**

experience = sim(env,agents) simulates one or more reinforcement learning agents within an
environment, using default simulation options.

```
experience = sim(agents,env) performs the same simulation as the previous syntax.
```

env = sim(\_\_\_\_, simOpts) uses the simulation options object simOpts. Use simulation options to
specify parameters such as the number of steps per simulation or the number of simulations to run.
Use this syntax after any of the input arguments in the previous syntaxes.

## **Examples**

#### Simulate Reinforcement Learning Environment

Simulate a reinforcement learning environment with an agent configured for that environment. For this example, load an environment and agent that are already configured. The environment is a discrete cart-pole environment created with rlPredefinedEnv. The agent is a policy gradient (rlPGAgent) agent. For more information about the environment and agent used in this example, see "Train PG Agent to Balance Cart-Pole System".

State: [4x1 double]

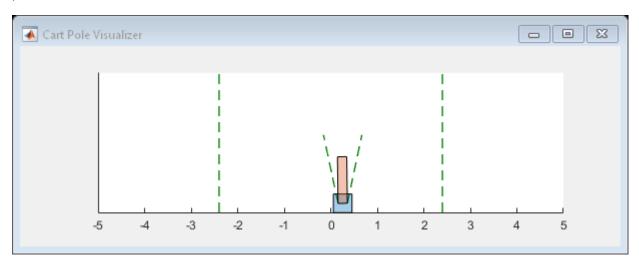
#### agent

Typically, you train the agent using train and simulate the environment to test the performance of the trained agent. For this example, simulate the environment using the agent you loaded. Configure simulation options, specifying that the simulation run for 100 steps.

```
simOpts = rlSimulationOptions(MaxSteps=100);
```

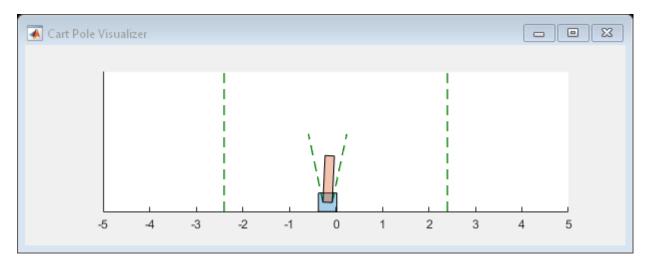
For the predefined cart-pole environment used in this example. you can use plot to generate a visualization of the cart-pole system. When you simulate the environment, this plot updates automatically so that you can watch the system evolve during the simulation.

#### plot(env)



Simulate the environment.

```
experience = sim(env,agent,simOpts)
```



```
experience = struct with fields:
    Observation: [1x1 struct]
         Action: [1x1 struct]
         Reward: [1x1 timeseries]
         IsDone: [1x1 timeseries]
         SimulationInfo: [1x1 struct]
```

The output structure experience records the observations collected from the environment, the action and reward, and other data collected during the simulation. Each field contains a timeseries object or a structure of timeseries data objects. For instance, experience. Action is a timeseries containing the action imposed on the cart-pole system by the agent at each step of the simulation.

#### experience.Action

### Simulate Simulink Environment with Multiple Agents

Simulate an environment created for the Simulink® model used in the example "Train Multiple Agents to Perform Collaborative Task", using the agents trained in that example.

Load the agents in the MATLAB® workspace.

```
load rlCollaborativeTaskAgents
```

Create an environment for the rlCollaborativeTask Simulink® model, which has two agent blocks. Since the agents used by the two blocks (agentA and agentB) are already in the workspace, you do not need to pass their observation and action specifications to create the environment.

```
env = rlSimulinkEnv( ...
    "rlCollaborativeTask", ...
["rlCollaborativeTask/Agent A", "rlCollaborativeTask/Agent B"]);
```

Load the parameters that are needed by the rlCollaborativeTask Simulink® model to run.

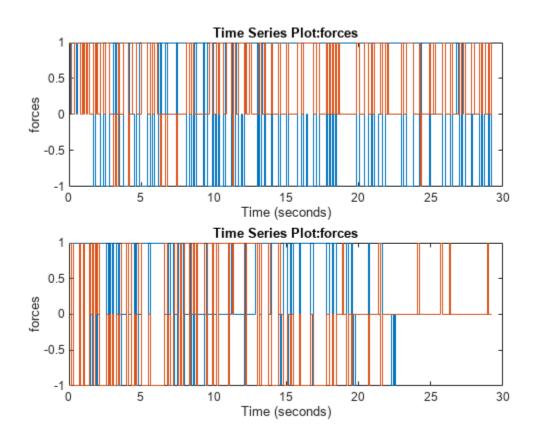
#### rlCollaborativeTaskParams

Simulate the agents against the environment, saving the experiences in xpr.

```
xpr = sim(env,[agentA agentB]);
```

Plot actions of both agents.

```
subplot(2,1,1); plot(xpr(1).Action.forces)
subplot(2,1,2); plot(xpr(2).Action.forces)
```



## **Input Arguments**

#### env — Environment

reinforcement learning environment object

Environment in which the agents act, specified as one of the following kinds of reinforcement learning environment object:

- A predefined MATLAB or Simulink environment created using rlPredefinedEnv. This kind of environment does not support training multiple agents at the same time.
- A custom MATLAB environment you create with functions such as rlFunctionEnv or rlCreateEnvTemplate. This kind of environment does not support training multiple agents at the same time.

• A custom Simulink environment you create using rlSimulinkEnv. This kind of environment supports training multiple agents at the same time.

For more information about creating and configuring environments, see:

- "Create MATLAB Reinforcement Learning Environments"
- "Create Simulink Reinforcement Learning Environments"

When env is a Simulink environment, calling sim compiles and simulates the model associated with the environment.

### agents — Agents

reinforcement learning agent object | array of agent objects

Agents to simulate, specified as a reinforcement learning agent object, such as rlACAgent or rlDDPGAgent, or as an array of such objects.

If env is a multi-agent environment created with rlSimulinkEnv, specify agents as an array. The order of the agents in the array must match the agent order used to create env. Multi-agent simulation is not supported for MATLAB environments.

For more information about how to create and configure agents for reinforcement learning, see "Reinforcement Learning Agents".

#### simOpts — Simulation options

rlSimulationOptions object

Simulation options, specified as an rlSimulationOptions object. Use this argument to specify options such as:

- Number of steps per simulation
- · Number of simulations to run

For details, see rlSimulationOptions.

## **Output Arguments**

#### experience — Simulation results

structure | structure array

Simulation results, returned as a structure or structure array. The number of rows in the array is equal to the number of simulations specified by the NumSimulations option of rlSimulationOptions. The number of columns in the array is the number of agents. The fields of each experience structure are as follows.

### **Observation — Observations**

structure

Observations collected from the environment, returned as a structure with fields corresponding to the observations specified in the environment. Each field contains a timeseries of length N+1, where N is the number of simulation steps.

To obtain the current observation and the next observation for a given simulation step, use code such as the following, assuming one of the fields of Observation is obs1.

```
Obs = getSamples(experience.Observation.obs1,1:N);
NextObs = getSamples(experience.Observation.obs1,2:N+1);
```

These values can be useful if you are writing your own training algorithm using sim to generate experiences for training.

#### Action — Actions

structure

Actions computed by the agent, returned as a structure with fields corresponding to the action signals specified in the environment. Each field contains a timeseries of length N, where N is the number of simulation steps.

#### Reward - Rewards

timeseries

Reward at each step in the simulation, returned as a timeseries of length N, where N is the number of simulation steps.

### IsDone — Flag indicating termination of episode

timeseries

Flag indicating termination of the episode, returned as a timeseries of a scalar logical signal. This flag is set at each step by the environment, according to conditions you specify for episode termination when you configure the environment. When the environment sets this flag to 1, simulation terminates.

#### SimulationInfo — Information collected during simulation

structure | vector of Simulink.SimulationOutput objects

Information collected during simulation, returned as one of the following:

- For MATLAB environments, a structure containing the field SimulationError. This structure contains any errors that occurred during simulation.
- For Simulink environments, a Simulink.SimulationOutput object containing simulation data. Recorded data includes any signals and states that the model is configured to log, simulation metadata, and any errors that occurred.

## **Version History**

Introduced in R2019a

### See Also

#### **Functions**

train

### **Objects**

rlSimulationOptions

#### Topics

"Train Reinforcement Learning Agents"

"Reinforcement Learning Agents"

## store

Package: rl.logging

Store data in the internal memory of a (file or monitor) logger object

## **Syntax**

```
store(lgr,context,data,iter)
```

## **Description**

store(lgr,context,data,iter) stores data into lgr internal memory, grouped in a variable
named context and associated with iteration iter.

## **Examples**

#### Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file.

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

flgr.LoggingOptions.FileNameRule, in the folder specified by flgr.LoggingOptions.LoggingDirectory.

```
for iter = 1:10

% Store three random numbers in memory
% as elements of the variable "Context1"
for ct = 1:3
        store(flgr, "Context1", rand, iter);
end

% Store a random number in memory
% as the variable "Context2"
store(flgr, "Context2", rand, iter);
```

```
% Write data to file every 4 iterations
if mod(iter,4)==0
    write(flgr);
end
```

#### end

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

### **Input Arguments**

### lgr — Date logger object

FileLogger object | MonitorLogger object | ...

Data logger object, specified as either a FileLogger or a MonitorLogger object.

#### context — Name of the saved variable

```
string | char array | ...
```

Name of the saved variable, specified as either a string or character array. All data associated with iteration iter and the name context is vertically concatenated in a single MATLAB variable named context. This variable is then written to the logger target (either a MAT file or a trainingProgressMonitor object) when write is invoked for lgr.

#### data — Data to be saved

any fundamental MATLAB datatype | ...

Data to be saved, specified as any fundamental MATLAB datatype. Data associated with the same iteration and the same context name is grouped in a single variable.

#### iter — Iteration number

```
positive integer | ...
```

Iteration number, specified as a positive integer. When store is executed multiple times with the same iteration number, data is appended to the memory entry for that iteration. This memory entry, which can contain several context variables, is then written to the logger target as a single unit (for example as a single MAT file) when write is invoked for lgr.

## **Version History**

Introduced in R2022b

#### See Also

#### **Functions**

rlDataLogger | train | write | setup | cleanup

#### **Objects**

FileLogger | MonitorLogger

**Topics**"Log Training Data to Disk"
"Function Handles"
"Handle Object Behavior"

## train

Package: rl.agent

Train reinforcement learning agents within a specified environment

## **Syntax**

```
trainStats = train(env,agents)
trainStats = train(agents,env)

trainStats = train(____,trainOpts)

trainStats = train(agents,env,prevTrainStats)
trainStats = train(____,logger=lgr)
```

## **Description**

trainStats = train(env,agents) trains one or more reinforcement learning agents within a specified environment, using default training options. Although agents is an input argument, after each training episode, train updates the parameters of each agent specified in agents to maximize their expected long-term reward from the environment. This is possible because each agent is an handle object. When training terminates, agents reflects the state of each agent at the end of the final training episode.

**Note** To train an off-policy agent offline using existing data, use trainFromData.

trainStats = train(agents, env) performs the same training as the previous syntax.

trainStats = train(\_\_\_\_, trainOpts) trains agents within env, using the training options object trainOpts. Use training options to specify training parameters such as the criteria for terminating training, when to save agents, the maximum number of episodes to train, and the maximum number of steps per episode. Use this syntax after any of the input arguments in the previous syntaxes.

trainStats = train(agents,env,prevTrainStats) resumes training from the last values of the agent parameters and training results contained in prevTrainStats obtained after the previous function call to train.

trainStats = train(\_\_\_\_,logger=lgr) logs training data using the FileLogger or MonitorLogger lgr object.

## **Examples**

### **Train a Reinforcement Learning Agent**

Train the agent configured in the "Train PG Agent to Balance Cart-Pole System" example, within the corresponding environment. The observation from the environment is a vector containing the position

and velocity of a cart, as well as the angular position and velocity of the pole. The action is a scalar with two possible elements (a force of either -10 or 10 Newtons applied to a cart).

Load the file containing the environment and a PG agent already configured for it.

```
load RLTrainExample.mat
```

Specify some training parameters using rlTrainingOptions. These parameters include the maximum number of episodes to train, the maximum steps per episode, and the conditions for terminating training. For this example, use a maximum of 2000 episodes and 500 steps per episode. Instruct the training to stop when the average reward over the previous five episodes reaches 500. Create a default options set and use dot notation to change some of the parameter values.

```
trainOpts = rlTrainingOptions;
trainOpts.MaxEpisodes = 2000;
trainOpts.MaxStepsPerEpisode = 500;
trainOpts.StopTrainingCriteria = "AverageReward";
trainOpts.StopTrainingValue = 500;
trainOpts.ScoreAveragingWindowLength = 5;
```

During training, the train command can save candidate agents that give good results. Further configure the training options to save an agent when the episode reward exceeds 500. Save the agent to a folder called savedAgents.

```
trainOpts.SaveAgentCriteria = "EpisodeReward";
trainOpts.SaveAgentValue = 500;
trainOpts.SaveAgentDirectory = "savedAgents";
```

Turn off the command-line display. Turn on the Reinforcement Learning Episode Manager so you can observe the training progress visually.

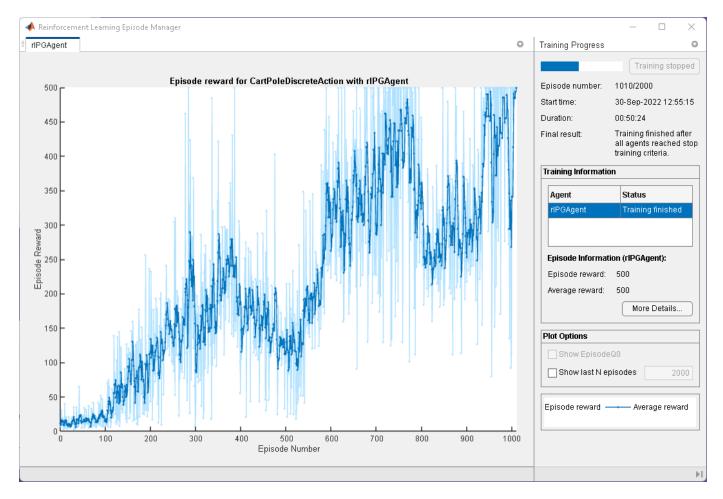
```
trainOpts.Verbose = false;
trainOpts.Plots = "training-progress";
```

You are now ready to train the PG agent. For the predefined cart-pole environment used in this example, you can use plot to generate a visualization of the cart-pole system.

```
plot(env)
```

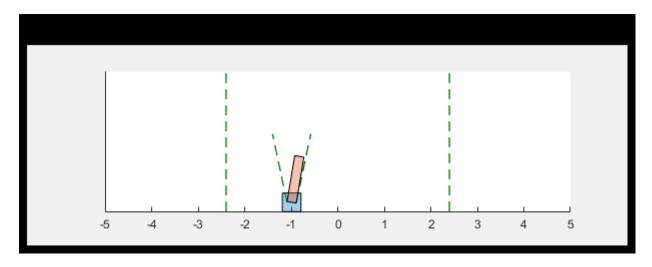
When you run this example, both this visualization and the Reinforcement Learning Episode Manager update with each training episode. Place them side by side on your screen to observe the progress, and train the agent. (This computation can take 20 minutes or more.)

```
trainingInfo = train(agent,env,trainOpts);
```



Episode Manager shows that the training successfully reaches the termination condition of a reward of 500 averaged over the previous five episodes. At each training episode, train updates agent with the parameters learned in the previous episode. When training terminates, you can simulate the environment with the trained agent to evaluate its performance. The environment plot updates during simulation as it did during training.

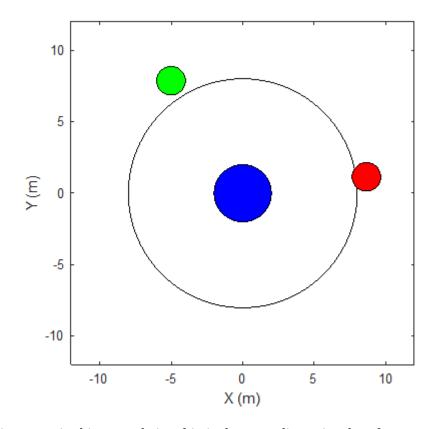
```
simOptions = rlSimulationOptions(MaxSteps=500);
experience = sim(env,agent,simOptions);
```



During training, train saves to disk any agents that meet the condition specified with trainOps.SaveAgentCritera and trainOpts.SaveAgentValue. To test the performance of any of those agents, you can load the data from the data files in the folder you specified using trainOpts.SaveAgentDirectory, and simulate the environment with that agent.

# **Train Multiple Agents to Perform Collaborative Task**

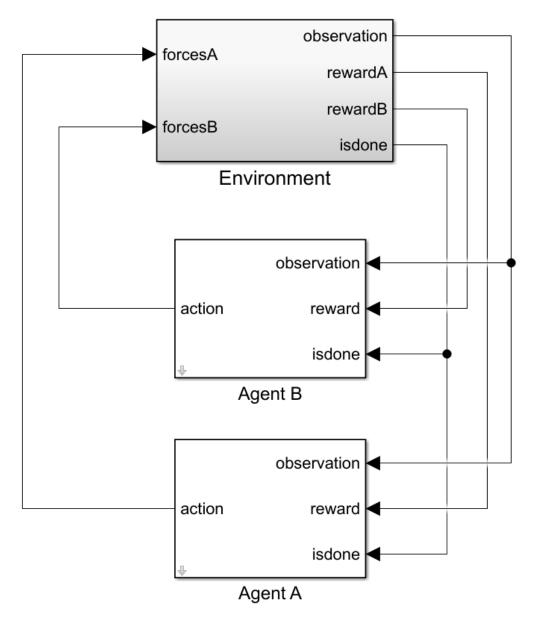
This example shows how to set up a multi-agent training session on a Simulink® environment. In the example, you train two agents to collaboratively perform the task of moving an object.



The environment in this example is a frictionless two dimensional surface containing elements represented by circles. A target object C is represented by the blue circle with a radius of 2 m, and robots A (red) and B (green) are represented by smaller circles with radii of 1 m each. The robots attempt to move object C outside a circular ring of a radius 8 m by applying forces through collision. All elements within the environment have mass and obey Newton's laws of motion. In addition, contact forces between the elements and the environment boundaries are modeled as spring and mass damper systems. The elements can move on the surface through the application of externally applied forces in the X and Y directions. There is no motion in the third dimension and the total energy of the system is conserved.

Set the random seed and create the set of parameters required for this example.

```
rng(10)
rlCollaborativeTaskParams
Open the Simulink model.
mdl = "rlCollaborativeTask";
open_system(mdl)
```



# For this environment:

- The 2-dimensional space is bounded from -12 m to 12 m in both the X and Y directions.
- The contact spring stiffness and damping values are 100 N/m and 0.1 N/m/s, respectively.
- The agents share the same observations for positions, velocities of A, B, and C and the action values from the last time step.
- The simulation terminates when object C moves outside the circular ring.
- At each time step, the agents receive the following reward:

```
\begin{aligned} r_A &= r_{\text{global}} + r_{\text{local},A} \\ r_B &= r_{\text{global}} + r_{\text{local},B} \\ r_{\text{global}} &= 0.001 d_C \\ r_{\text{local},A} &= -0.005 d_{\text{AC}} - 0.008 u_A^2 \\ r_{\text{local},B} &= -0.005 d_{\text{BC}} - 0.008 u_R^2 \end{aligned}
```

#### Here:

- $r_A$  and  $r_B$  are the rewards received by agents A and B, respectively.
- r<sub>global</sub> is a team reward that is received by both agents as object C moves closer towards the boundary of the ring.
- r<sub>local, A</sub> and r<sub>local, B</sub> are local penalties received by agents A and B based on their distances from
  object C and the magnitude of the action from the last time step.
- $d_C$  is the distance of object C from the center of the ring.
- $d_{AC}$  and  $d_{BC}$  are the distances between agent A and object C and agent B and object C, respectively.
- The agents apply external forces on the robots that result in motion.  $u_A$  and  $u_B$  are the action values of the two agents A and B from the last time step. The range of action values is between -1 and 1.

#### **Environment**

To create a multi-agent environment, specify the block paths of the agents using a string array. Also, specify the observation and action specification objects using cell arrays. The order of the specification objects in the cell array must match the order specified in the block path array. When agents are available in the MATLAB workspace at the time of environment creation, the observation and action specification arrays are optional. For more information on creating multi-agent environments, see rlSimulinkEnv.

Create the I/O specifications for the environment. In this example, the agents are homogeneous and have the same I/O specifications.

Create the Simulink environment interface.

```
blks = ["rlCollaborativeTask/Agent A", "rlCollaborativeTask/Agent B"];
obsInfos = {oinfo,oinfo};
actInfos = {ainfo,ainfo};
env = rlSimulinkEnv(mdl,blks,obsInfos,actInfos);
```

Specify a reset function for the environment. The reset function resetRobots ensures that the robots start from random initial positions at the beginning of each episode.

```
env.ResetFcn = @(in) resetRobots(in,RA,RB,RC,boundaryR);
```

### **Agents**

This example uses two Proximal Policy Optimization (PPO) agents with continuous action spaces. The agents apply external forces on the robots that result in motion. To learn more about PPO agents, see "Proximal Policy Optimization (PPO) Agents".

The agents collect experiences until the experience horizon (600 steps) is reached. After trajectory completion, the agents learn from mini-batches of 300 experiences. An objective function clip factor of 0.2 is used to improve training stability and a discount factor of 0.99 is used to encourage long-term rewards.

Specify the agent options for this example.

```
agentOptions = rlPPOAgentOptions(...
    ExperienceHorizon=600,...
    ClipFactor=0.2,...
    EntropyLossWeight=0.01,...
    MiniBatchSize=300,...
    NumEpoch=4,...
    AdvantageEstimateMethod="gae",...
    GAEFactor=0.95,...
    SampleTime=Ts,...
    DiscountFactor=0.99);
agentOptions.ActorOptimizerOptions.LearnRate = le-4;
agentOptions.CriticOptimizerOptions.LearnRate = le-4;
```

Create the agents using the default agent creation syntax. For more information see rlPPOAgent.

```
agentA = rlPPOAgent(oinfo, ainfo, ...
    rlAgentInitializationOptions(NumHiddenUnit= 200), agentOptions);
agentB = rlPPOAgent(oinfo, ainfo, ...
    rlAgentInitializationOptions(NumHiddenUnit= 200), agentOptions);
```

#### **Training**

To train multiple agents, you can pass an array of agents to the train function. The order of agents in the array must match the order of agent block paths specified during environment creation. Doing so ensures that the agent objects are linked to their appropriate I/O interfaces in the environment.

You can train multiple agents in a decentralized or centralized manner. In decentralized training, agents collect their own set of experiences during the episodes and learn independently from those experiences. In centralized training, the agents share the collected experiences and learn from them together. The actor and critic functions are synchronized between the agents after trajectory completion.

To configure a multi-agent training, you can create agent groups and specify a learning strategy for each group through the rlMultiAgentTrainingOptions object. Each agent group may contain

unique agent indices, and the learning strategy can be "centralized" or "decentralized". For example, you can use the following command to configure training for three agent groups with different learning strategies. The agents with indices [1,2] and [3,4] learn in a centralized manner while agent 4 learns in a decentralized manner.

```
opts = rlMultiAgentTrainingOptions(AgentGroups= {[1,2], 4, [3,5]},
LearningStrategy= ["centralized","decentralized","centralized"])
```

For more information on multi-agent training, type help rlMultiAgentTrainingOptions in MATLAB.

You can perform decentralized or centralized training by running one of the following sections using the Run Section button.



### 1. Decentralized Training

To configure decentralized multi-agent training for this example:

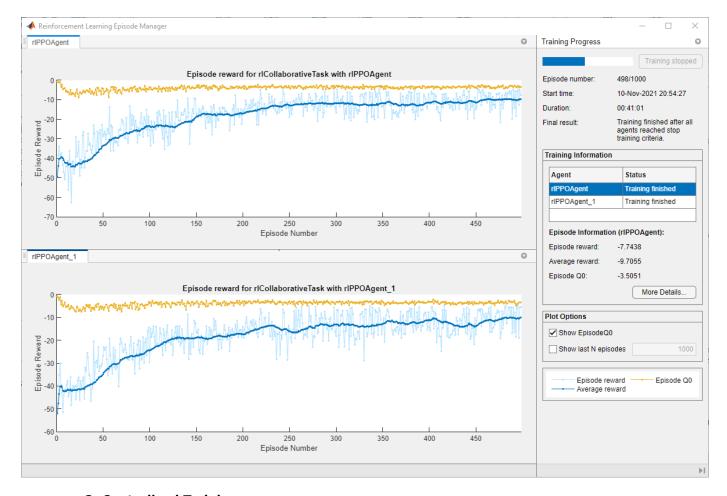
- Automatically assign agent groups using the AgentGroups=auto option. This allocates each
  agent in a separate group.
- Specify the "decentralized" learning strategy.
- Run the training for at most 1000 episodes, with each episode lasting at most 600 time steps.
- Stop the training of an agent when its average reward over 30 consecutive episodes is -10 or more.

```
trainOpts = rlMultiAgentTrainingOptions(...
    AgentGroups="auto",...
    LearningStrategy="decentralized",...
    MaxEpisodes=1000,...
    MaxStepsPerEpisode=600,...
    ScoreAveragingWindowLength=30,...
    StopTrainingCriteria="AverageReward",...
    StopTrainingValue=-10);
```

Train the agents using the train function. Training can take several hours to complete depending on the available computational power. To save time, load the MAT file decentralizedAgents.mat which contains a set of pretrained agents. To train the agents yourself, set doTraining to true.

```
doTraining = false;
if doTraining
    decentralizedTrainResults = train([agentA,agentB],env,trainOpts);
else
    load("decentralizedAgents.mat");
end
```

The following figure shows a snapshot of decentralized training progress. You can expect different results due to randomness in the training process.



# 2. Centralized Training

To configure centralized multi-agent training for this example:

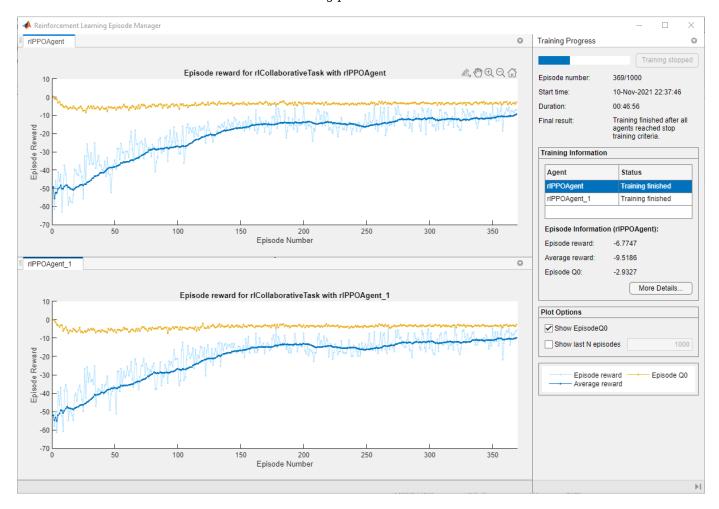
- Allocate both agents (with indices 1 and 2) in a single group. You can do this by specifying the agent indices in the "AgentGroups" option.
- Specify the "centralized" learning strategy.
- Run the training for at most 1000 episodes, with each episode lasting at most 600 time steps.
- Stop the training of an agent when its average reward over 30 consecutive episodes is -10 or more.

```
trainOpts = rlMultiAgentTrainingOptions(...
    AgentGroups={[1,2]},...
    LearningStrategy="centralized",...
    MaxEpisodes=1000,...
    MaxStepsPerEpisode=600,...
    ScoreAveragingWindowLength=30,...
    StopTrainingCriteria="AverageReward",...
    StopTrainingValue=-10);
```

Train the agents using the train function. Training can take several hours to complete depending on the available computational power. To save time, load the MAT file centralizedAgents.mat which contains a set of pretrained agents. To train the agents yourself, set doTraining to true.

```
doTraining = false;
if doTraining
    centralizedTrainResults = train([agentA,agentB],env,trainOpts);
else
    load("centralizedAgents.mat");
end
```

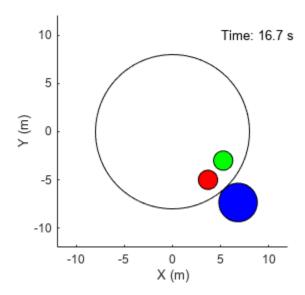
The following figure shows a snapshot of centralized training progress. You can expect different results due to randomness in the training process.



# **Simulation**

Once the training is finished, simulate the trained agents with the environment.

```
simOptions = rlSimulationOptions(MaxSteps=300);
exp = sim(env,[agentA agentB],simOptions);
```



For more information on agent simulation, see rlSimulationOptions and sim.

# Stop and Resume Training Using Existing Training Data

This example shows how to resume training using existing training data for training Q-learning. For more information on these agents, see "Q-Learning Agents" and "SARSA Agents".

# **Create Grid World Environment**

For this example, create the basic grid world environment.

```
env = rlPredefinedEnv("BasicGridWorld");
```

To specify that the initial state of the agent is always [2,1], create a reset function that returns the state number for the initial agent state.

```
x0 = [1:12 \ 15:17 \ 19:22 \ 24];
env.ResetFcn = @() x0(randi(numel(x0)));
```

Fix the random generator seed for reproducibility.

rng(1)

#### **Create Q-Learning Agent**

To create a Q-learning agent, first create a Q table using the observation and action specifications from the grid world environment. Set the learning rate of the representation to 1.

```
qTable = rlTable(getObservationInfo(env),getActionInfo(env));
qVf = rlQValueFunction(qTable,getObservationInfo(env),getActionInfo(env));
```

Next, create a Q-learning agent using this table representation and configure the epsilon-greedy exploration. For more information on creating Q-learning agents, see rlQAgent and rlQAgentOptions. Keep the default value of the discount factor to 0.99.

```
agentOpts = rlQAgentOptions;
agentOpts.EpsilonGreedyExploration.Epsilon = 0.2;
agentOpts.CriticOptimizerOptions.LearnRate = 0.2;
agentOpts.EpsilonGreedyExploration.EpsilonDecay = 1e-3;
agentOpts.EpsilonGreedyExploration.EpsilonMin = 1e-3;
agentOpts.DiscountFactor = 1;
qAgent = rlQAgent(qVf,agentOpts);
```

# **Train Q-Learning Agent for 100 Episodes**

To train the agent, first specify the training options. For more information, see rlTrainingOptions.

```
trainOpts = rlTrainingOptions;
trainOpts.MaxStepsPerEpisode = 200;
trainOpts.MaxEpisodes = le6;
trainOpts.Plots = "none";
trainOpts.Verbose = false;
trainOpts.StopTrainingCriteria = "EpisodeCount";
trainOpts.StopTrainingValue = 100;
trainOpts.ScoreAveragingWindowLength = 30;
```

Train the Q-learning agent using the train function. Training can take several minutes to complete. To save time while running this example, load a pretrained agent by setting doTraining to false. To train the agent yourself, set doTraining to true.

```
trainingStats = train(qAgent,env,trainOpts);
Display index of last episode.
trainingStats.EpisodeIndex(end)
ans = 100
```

#### Train Q-Learning Agent for 200 More Episodes

```
Set the training to stop after episode 300.
```

```
trainingStats.TrainingOptions.StopTrainingValue = 300;
```

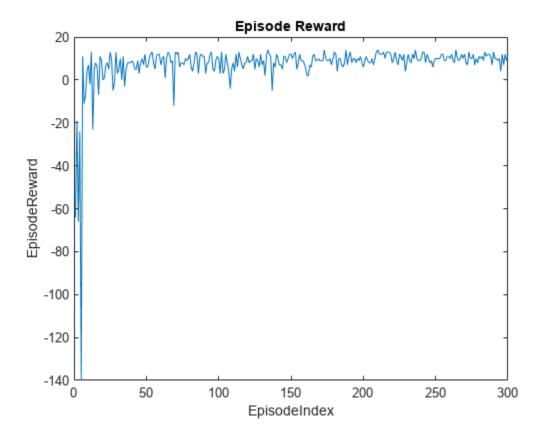
Resume the training using the training data that exists in trainingStats.

```
trainingStats = train(qAgent,env,trainingStats);
```

Display index of last episode.

ylabel("EpisodeReward")

```
trainingStats.EpisodeIndex(end)
ans = 300
Plot episode reward.
figure()
plot(trainingStats.EpisodeIndex,trainingStats.EpisodeReward)
title("Episode Reward")
xlabel("EpisodeIndex")
```



Display the final Q-value table.

```
qAgentFinalQ = getLearnableParameters(getCritic(qAgent));
qAgentFinalQ{1}
```

```
ans = 25x4 single matrix
    4.8373
             10.0000
                        -1.3036
                                    0.8020
    9.2058
              4.3147
                        11.0000
                                    5.8501
              3.3987
                         4.5830
   10.0000
                                   -6.4751
    6.3569
              6.0000
                         8.9971
                                    5.4393
    5.0433
              5.8399
                         7.0067
                                    4.1439
    5.1031
              8.5228
                        10.9936
                                    0.1200
    9.9616
              8.8647
                        12.0000
                                   10.0026
   11.0000
              8.4131
                         8.6974
                                    6.0001
   10.0000
              6.9997
                         5.8122
                                    8.5523
    7.1164
              7.0019
                         8.0000
                                    5.8196
```

# Validate Q-Learning Results

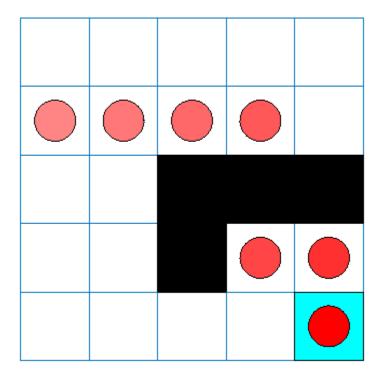
To validate the training results, simulate the agent in the training environment.

Before running the simulation, visualize the environment and configure the visualization to maintain a trace of the agent states.

```
plot(env)
env.ResetFcn = @() 2;
env.Model.Viewer.ShowTrace = true;
env.Model.Viewer.clearTrace;
```

Simulate the agent in the environment using the sim function.

sim(qAgent,env)



# **Input Arguments**

# agents — Agents

agent object | array of agent objects

Agents to train, specified as a reinforcement learning agent object, such as rlACAgent or rlDDPGAgent, or as an array of such objects.

If env is a multi-agent environment created with rlSimulinkEnv, specify agents as an array. The order of the agents in the array must match the agent order used to create env. Multi-agent training is not supported for MATLAB environments.

**Note** train updates the agents as training progresses. This is possible because each agent is an handle object. To preserve the original agent parameters for later use, save the agent to a MAT-file (if you copy the agent into a new variable, the new variable will also always point to the most recent

agent version with updated parameters). For more information about handle objects, see "Handle Object Behavior".

**Note** When training terminates, agents reflects the state of each agent at the end of the final training episode. The rewards obtained by the final agents are not necessarily the highest achieved during the training process, due to continuous exploration. To save agents during training, create an rlTrainingOptions object specifying the SaveAgentCriteria and SaveAgentValue properties and pass it to train as a trainOpts argument.

For more information about how to create and configure agents for reinforcement learning, see "Reinforcement Learning Agents".

#### env — Environment

reinforcement learning environment object

Environment in which the agents act, specified as one of the following kinds of reinforcement learning environment object:

- A predefined MATLAB or Simulink environment created using rlPredefinedEnv. This kind of environment does not support training multiple agents at the same time.
- A custom MATLAB environment you create with functions such as rlFunctionEnv or rlCreateEnvTemplate. This kind of environment does not support training multiple agents at the same time.
- A custom Simulink environment you create using rlSimulinkEnv. This kind of environment supports training multiple agents at the same time.

For more information about creating and configuring environments, see:

- "Create MATLAB Reinforcement Learning Environments"
- "Create Simulink Reinforcement Learning Environments"

When env is a Simulink environment, calling train compiles and simulates the model associated with the environment.

#### trainOpts — Training parameters and options

rlTrainingOptions object | rlMultiAgentTrainingOptions object

Training parameters and options, specified as either an rlTrainingOptions or an rlMultiAgentTrainingOptions object. Use this argument to specify parameters and options such as:

- Criteria for ending training
- Criteria for saving candidate agents
- How to display training progress
- Options for parallel computing

For details, see rlTrainingOptions and rlMultiAgentTrainingOptions.

# prevTrainStats — Training episode data

rlTrainingResult object | array of rlTrainingResult objects

Training episode data, specified as an:

- rlTrainingResult object, when training a single agent.
- Array of rlTrainingResult objects when training multiple agents.

Use this argument to resume training from the exact point at which it stopped. This starts the training from the last values of the agent parameters and training results object obtained after the previous train function call. prevTrainStats contains, as one of its properties, the rlTrainingOptions object or the rlMultiAgentTrainingOptions object specifying the training option set. Therefore, to restart the training with updated training options, first change the training options in trainResults using dot notation. If the maximum number of episodes was already reached in the previous training session, you must increase the maximum number of episodes.

For details about the rlTrainingResult object properties, see the "trainStats" on page 2-0 output argument.

### lgr — Logger object

FileLogger object | MonitorLogger object

Logger object, specified either as a FileLogger or as a MonitorLogger object. For more information on reinforcement logger objects, see rlDataLogger.

# **Output Arguments**

# trainStats — Training episode data

rlTrainingResult object | array of rlTrainingResult objects

Training episode data, returned as an:

- rlTrainingResult object, when training a single agent.
- Array of rlTrainingResult objects when training multiple agents.

The following properties pertain to the rlTrainingResult object:

# **EpisodeIndex** — **Episode numbers**

[1;2;...;N]

Episode numbers, returned as the column vector [1;2;...;N], where N is the number of episodes in the training run. This vector is useful if you want to plot the evolution of other quantities from episode to episode.

# EpisodeReward — Reward for each episode

column vector

Reward for each episode, returned in a column vector of length N. Each entry contains the reward for the corresponding episode.

# EpisodeSteps — Number of steps in each episode

column vector

Number of steps in each episode, returned in a column vector of length N. Each entry contains the number of steps in the corresponding episode.

# AverageReward — Average reward over the averaging window

column vector

Average reward over the averaging window specified in trainOpts, returned as a column vector of length N. Each entry contains the average award computed at the end of the corresponding episode.

# Total Agent Steps — Total number of steps

column vector

Total number of agent steps in training, returned as a column vector of length N. Each entry contains the cumulative sum of the entries in EpisodeSteps up to that point.

# **EpisodeQ0** — Critic estimate of expected cumulative long-term reward for each episode column vector

Critic estimate of expected cumulative long-term reward using the current agent and the environment initial conditions, returned as a column vector of length N. Each entry is the critic estimate  $(Q_0)$  for the agent of the corresponding episode. This field is present only for agents that have critics, such as rlDDPGAgent and rlDONAgent.

# SimulationInfo — Information collected during simulation

structure | vector of Simulink.SimulationOutput objects

Information collected during the simulations performed for training, returned as:

- For training in MATLAB environments, a structure containing the field SimulationError. This field is a column vector with one entry per episode. When the StopOnError option of rlTrainingOptions is "off", each entry contains any errors that occurred during the corresponding episode.
- For training in Simulink environments, a vector of Simulink.SimulationOutput objects containing simulation data recorded during the corresponding episode. Recorded data for an episode includes any signals and states that the model is configured to log, simulation metadata, and any errors that occurred during the corresponding episode.

# TrainingOptions — Training options set

rlTrainingOptions object | rlMultiAgentTrainingOptions object

Training options set, returned as:

- For a single agent, an rlTrainingOptions object. For more information, see rlTrainingOptions.
- For multiple agents, an rlMultiAgentTrainingOptions object. For more information, see rlMultiAgentTrainingOptions.

# Tips

- train updates the agents as training progresses. To preserve the original agent parameters for later use, save the agents to a MAT-file.
- By default, calling train opens the Reinforcement Learning Episode Manager, which lets you visualize the progress of the training. The Episode Manager plot shows the reward for each episode, a running average reward value, and the critic estimate  $Q_0$  (for agents that have critics). The Episode Manager also displays various episode and training statistics. To turn off the Reinforcement Learning Episode Manager, set the Plots option of trainOpts to "none".

- If you use a predefined environment for which there is a visualization, you can use plot(env) to visualize the environment. If you call plot(env) before training, then the visualization updates during training to allow you to visualize the progress of each episode. (For custom environments, you must implement your own plot method.)
- Training terminates when the conditions specified in trainOpts are satisfied. To terminate training in progress, in the Reinforcement Learning Episode Manager, click **Stop Training**. Because train updates the agent at each episode, you can resume training by calling train(agent,env,trainOpts) again, without losing the trained parameters learned during the first call to train.
- During training, you can save candidate agents that meet conditions you specify with trainOpts.
   For instance, you can save any agent whose episode reward exceeds a certain value, even if the
   overall condition for terminating training is not yet satisfied. train stores saved agents in a MATfile in the folder you specify with trainOpts. Saved agents can be useful, for instance, to allow
   you to test candidate agents generated during a long-running training process. For details about
   saving criteria and saving location, see rlTrainingOptions.

# **Algorithms**

In general, train performs the following iterative steps:

- 1 Initialize agent.
- **2** For each episode:
  - a Reset the environment.
  - **b** Get the initial observation  $s_0$  from the environment.
  - **c** Compute the initial action  $a_0 = \mu(s_0)$ .
  - Set the current action to the initial action  $(a \leftarrow a_0)$  and set the current observation to the initial observation  $(s \leftarrow s_0)$ .
  - **e** While the episode is not finished or terminated:
    - **i** Step the environment with action a to obtain the next observation s' and the reward r.
    - ii Learn from the experience set (s,a,r,s').
    - iii Compute the next action  $a' = \mu(s')$ .
    - **iv** Update the current action with the next action ( $a\leftarrow a'$ ) and update the current observation with the next observation ( $s\leftarrow s'$ ).
    - Break if the episode termination conditions defined in the environment are met.
- If the training termination condition defined by trainOpts is met, terminate training. Otherwise, begin the next episode.

The specifics of how train performs these computations depends on your configuration of the agent and environment. For instance, resetting the environment at the start of each episode can include randomizing initial state values, if you configure your environment to do so.

# **Version History**

Introduced in R2019a

R2022a: train now returns an object instead of a structure

Behavior change in future release

Starting in R2022a, train returns an object or an array of objects instead of a structure. The properties of the object match the fields of the structure returned in previous versions. Therefore, the code based on dot notation works in the same way.

For example, if you train an agent using the following command:

```
trainStats = train(agent,env,trainOptions);
```

When training terminates, either because a termination condition is reached or because you click **Stop Training** in the Reinforcement Learning Episode Manager, trainStats is returned as an rlTrainingResult object.

The rlTrainingResult object contains the same training statistics previously returned in a structure along with data to correctly recreate the training scenario and update the episode manager.

You can use trainStats as third argument for another train call, which (when executed with the same agents and environment) will cause training to resume from the exact point at which it stopped.

# **Extended Capabilities**

# **Automatic Parallel Support**

Accelerate code by automatically running computation in parallel using Parallel Computing Toolbox™.

To train in parallel, set the UseParallel and ParallelizationOptions options in the option set trainOpts. Parallel training is not supported for multi-agent environments. For more information, see rlTrainingOptions.

# See Also

# **Functions**

sim | rlDataLogger | trainFromData

# **Objects**

rlTrainingOptions | rlMultiAgentTrainingOptions | FileLogger | MonitorLogger

### **Topics**

"Train Reinforcement Learning Agents"

"Reinforcement Learning Agents"

# trainFromData

Package: rl.agent

Train off-policy reinforcement learning agent using existing data

# **Syntax**

```
tfdStats = trainFromData(agent)
tfdStats = trainFromData(agent,dataStore)
tfdStats = trainFromData(____,tfdOpts)
tfdStats = trainFromData(____,logger=lgr)
```

# **Description**

tfdStats = trainFromData(agent) trains the off-policy agent agent offline, using data stored in its ExperienceBuffer property. Note that agent is an handle object and it is updated during training, despite being an input argument.

tfdStats = trainFromData(agent,dataStore) trains the off-policy agent agent offline, using data stored according to the FileDataStore object dataStore.

```
tfdStats = trainFromData(____,tfdOpts) also specifies nondefault training options using the rlTrainingFromDataOptions object trainFDOpts.
```

tfdStats = trainFromData( \_\_\_\_, logger=lgr) logs training data using the FileLogger object
lgr.

# **Examples**

# Train Agent From Data Collected by Training Another Agent

To collect training data, first, create an environment.

To log the experiences on disk, assign an appropriate logger function to the logger object. This function is automatically called by the training loop at the end of each episode, and is defined at the end of the example.

```
flgr.EpisodeFinishedFcn = @myEpisodeFinishedFcn;
```

Define a training option object to train agent1 for no more than 100 epochs, without visualizing any training progress.

```
tOpts = rlTrainingOptions(MaxEpisodes=100,Plots="none");
```

Train agent1, logging the experience data.

```
train(agent1,env,tOpts,Logger=flgr);
```

At the end of this training, files containing experience data for each episode are saved in the logs folder.

Note that the only purpose of training agent1 is to collect experience data from the environment. Collecting experiences by simulating the environment in closed loop with a controller (using a for loop), or indeed collecting a series of observations caused by random actions, would also accomplish the same result.

To allow the trainFromData function to read the experience data stored in the logs folder, create a read function that, given a file name, returns the respective experience structure. For this example, the myReadFcn function is defined at the end of the example.

Check that the function can successfully retrieve data from an episode.

Create a FileDataStore object using fileDatastore. Pass as arguments the name of the folder where files are stored and the read function. The read function is called automatically when the datastore is accessed for reading and is defined at the end of the example.

```
fds = fileDatastore("./logs", "ReadFcn", @myReadFcn);
```

Create a built-in DQN agent with default networks to be trained from the collected dataset.

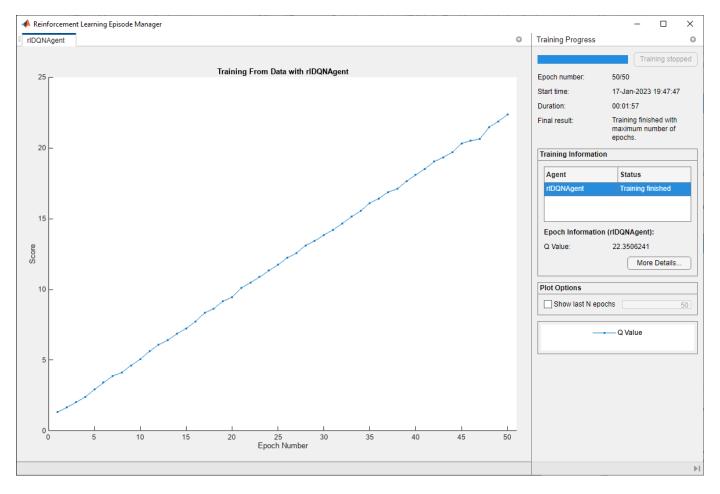
```
agent2 = rlDQNAgent( ...
    getObservationInfo(env), ...
    getActionInfo(env));
```

Define an options object to train agent2 from data for 50 epochs.

```
tfdOpts = rlTrainingFromDataOptions("MaxEpochs",50);
```

To train agent2 from data, use trainFromData. Pass the fileDataStore object fds as second input argument.

trainFromData(agent2,fds,tfdOpts);



Here, the estimated Q-value seems to grow indefinitely over time. This often happens during offline training because the agent updates its estimated Q-value based on the current estimated Q-value, without using any environment feedback. To prevent the Q-value from becoming increasingly large (and inaccurate) over time, stop the training earlier or use data regularizer options such as rlConservativeQLearningOptions (for DQN or SAC agents) or rlBehaviorCloningRegularizerOptions (for DDPG, TD3 or SAC agents).

In general, the Q-value calculated as above for an agent trained offline is not necessarily indicative of the performance of the agent within an environment. Therefore, best practice is to validate the agent within an environment after offline training.

#### Support functions

The data logging function. This function is automatically called by the training loop at the end of each episode, and must return a structure containing the data to log, such as experiences, simulation information, or initial observations. Here, data is a structure that contains the following fields:

• EpisodeCount — current episode number

- Environment environment object
- Agent agent object
- Experience structure array containing the experiences. Each element of this array corresponds
  to a step and is a structure containing the fields NextObservation, Observation, Action,
  Reward and IsDone.
- Agent agent object
- EpisodeInfo structure containing the fields CumulativeReward, StepsTaken and InitialObservation.
- SimulationInfo contains simulation information from the episode. For MATLAB environments this is a structure with the field SimulationError, and for Simulink fallback for tm\_simulink environments it is a Simulink.SimulationOutput object.

```
function dataToLog = myEpisodeFinishedFcn(data)
    dataToLog.Experience = data.Experience;
end
```

For more information on logging data on disk, see FileLogger.

The data store read function. This function is automatically called by the training loop when the data store is accessed for reading. It must take a filename and return the experience structure array. Each element of this array corresponds to a step and is a structure containing the fields NextObservation, Observation, Action, Reward and IsDone.

```
function experiences = myReadFcn(fileName)
if contains(fileName, "loggedData")
    data = load(fileName);
    experiences = data.episodeData.Experience{1};
else
    experiences = [];
end
```

# Input Arguments

# agent — Off-policy agent

rlDQNAgent object | rlDDPGAgent object | rlTD3Agent object | rlSACAgent object | rlMBPOAgent object |

Off-policy agent to train, specified as a reinforcement learning agent object, such as an rlsACAgent object.

**Note** trainFromData updates the agent as training progresses. For more information on how to preserve the original agent, how to save an agent during training, and on the state of agent after training, see the notes and the tips section in train. For more information about handle objects, see "Handle Object Behavior".

For more information about how to create and configure agents for reinforcement learning, see "Reinforcement Learning Agents".

#### dataStore — Data store

FileDataStore object

Data store, specified as a FileDataStore. The function specified in the ReadFcn property of dataStore must return a structure array of experiences with the Observation, Action, Reward, NextObservation, and IsDone fields. The dimensions of the arrays in Observation and NextObservation in each experience must be the same as the dimensions specified in the ObservationInfo of agent. The dimension of the array in Action must be the same as the dimension specified in the ActionInfo of agent. The Reward and IsDone fields must contain scalar values. For more information, see fileDatastore.

# tfd0pts — Training from data parameters and options

rlTrainingFromDataOptions object

Training from data parameters and options, specified as an rlTrainingFromDataOptions object. Use this argument to specify parameters and options such as:

- · Number of epochs
- Number of steps for each epochs
- Criteria for saving candidate agents
- How to display training progress

**Note** trainFromData does not support parallel computing.

For details, see rlTrainingFromDataOptions.

#### lgr — Logger object

FileLogger object | MonitorLogger object

Logger object, specified either as a FileLogger or as a MonitorLogger object. For more information on reinforcement logger objects, see rlDataLogger.

# **Output Arguments**

# tfdStats — Training results

rlTrainingFromDataResult object

Training results, returned as an rlTrainingFromDataResult object, which has the following properties:

# **EpochIndex** — **Epoch numbers**

[1:2:...:N]

Epoch numbers, returned as the column vector [1;2;...;N], where N is the number of epochs in the training run. This vector is useful if you want to plot the evolution of other quantities from epoch to epoch.

# **EpochSteps** — **Number of steps in each epoch**

column vector

Number of steps in each epoch, returned as a column vector of length N. Each entry contains the number of steps in the corresponding epoch.

# TotalSteps — Total number of steps

column vector

Total number of agent steps in training, returned as a column vector of length N. Each entry contains the cumulative sum of the entries in <code>EpochSteps</code> up to that point.

### **OValue** — O-value estimates for each epoch

column vector

Q-value estimates for each epoch, returned as a column vector of length N. Each element is the average Q-value of the policy, over the observations specified in the QValueObservations property of tfdOpts, evaluated at the end of the epoch, and using the policy parameters at the end of the epoch.

**Note** During offline training, the agent updates its estimated Q-value based on the current estimated Q-value (without any environment feedback). As a result, the estimated Q-value can become inaccurate (and often increasingly large) over time. To prevent the Q-value from growing indefinitely, stop the training earlier or use data regularizer options. For more information, see rlBehaviorCloningRegularizerOptions and rlConservativeQLearningOptions.

**Note** The Q-value calculated as above for an agent trained offline is not indicative of the performance of the agent within an environment. Therefore, it is good practice to validate the agent within an environment after offline training.

# TrainingOptions — Training options set

rlTrainingFromDataOptions object

Training options set, returned as an rlTrainingFromDataOptions object.

# **Version History**

Introduced in R2023a

# See Also

#### **Functions**

train | sim | fileDatastore | rlDataLogger

#### **Objects**

rlTrainingFromDataOptions | rlBehaviorCloningRegularizerOptions |
rlConservativeQLearningOptions | rlReplayMemory | FileLogger

#### **Topics**

"Train Reinforcement Learning Agents"

"Reinforcement Learning Agents"

"Getting Started with Datastore"

# validateEnvironment

Package: rl.env

Validate custom reinforcement learning environment

# **Syntax**

validateEnvironment(env)

# **Description**

validateEnvironment(env) validates a reinforcement learning environment. This function is useful when:

- You are using a custom environment for which you supplied your own step and reset functions, such as an environment created using rlCreateEnvTemplate.
- You are using an environment you created from a Simulink model using rlSimulinkEnv.

validateEnvironment resets the environment, generates an initial observation and action, and simulates the environment for one or two steps (see "Algorithms" on page 2-308). If there are no errors during these operations, validation is successful, and validateEnvironment returns no result. If errors occur, these errors appear in the MATLAB command window. Use the errors to determine what to change in your observation specification, action specification, custom functions, or Simulink model.

# **Examples**

#### Validate Simulink Environment

This example shows how to validate a Simulink environment.

Create and validate and environment for the rlwatertank model, which represents a control system containing a reinforcement learning agent (For details about this model, see "Create Simulink Environment and Train Agent".)

```
open system("rlwatertank")
```

Create observation and action specifications for the environment.

```
obsInfo = rlNumericSpec([3 1],...
    LowerLimit=[-inf -inf 0 ]',...
    UpperLimit=[ inf inf inf]');
obsInfo.Name = "observations";
obsInfo.Description = 'integrated error, error, and measured height';
numObservations = obsInfo.Dimension(1);
actInfo = rlNumericSpec([1 1]);
actInfo.Name = "flow";
numActions = numel(actInfo);
```

Create an environment from the model.

```
env = rlSimulinkEnv("rlwatertank", "rlwatertank/RL Agent", obsInfo, actInfo);
```

Now you use validateEnvironment to check whether the model is configured correctly.

validateEnvironment(env)

Error using rl.env.SimulinkEnvWithAgent/validateEnvironment (line 187) Simulink environment validation requires an agent in the MATLAB base workspace or in a data dictionary linked to the model. Specify the agent in the Simulink model.

validateEnvironment attempts to compile the model, initialize the environment and the agent, and simulate the model. In this case, the RL Agent block is configured to use an agent called agent, but no such variable exists in the MATLAB® workspace. Thus, the function returns an error indicating the problem.

Create an appropriate agent for this system using the commands detailed in the "Create Simulink Environment and Train Agent" example. In this case, load the agent from the rlWaterTankDDPGAgent.mat file.

load rlWaterTankDDPGAgent

Now, run validateEnvironment again.

validateEnvironment(env)

# **Input Arguments**

#### env — Environment to validate

environment object

Environment to validate, specified as a reinforcement learning environment object, such as:

- A custom MATLAB environment you create with rlCreateEnvTemplate. In this case, validateEnvironment checks that the observations and actions generated during simulation of the environment are consistent in size, data type, and value range with the observation specification and action specification. It also checks that your custom step and reset functions run without error. (When you create a custom environment using rlFunctionEnv, the software runs validateEnvironment automatically.)
- A custom Simulink environment you create using rlSimulinkEnv. If you use a Simulink
  environment, you must also have an agent defined and associated with the RL Agent block in the
  model. For a Simulink model, validateEnvironment checks that the model compiles and runs
  without error. The function does not dirty your model.

For more information about creating and configuring environments, see:

- "Create MATLAB Reinforcement Learning Environments"
- "Create Simulink Reinforcement Learning Environments"

# **Algorithms**

validateEnvironment works by running a brief simulation of the environment and making sure that the generated signals match the observation and action specifications you provided when you created the environment.

# **MATLAB Environments**

For MATLAB environments, validation includes the following steps.

- **1** Reset the environment using the reset function associated with the environment.
- 2 Obtain the first observation and check whether it is consistent with the dimension, data type, and range of values in the observation specification.
- **3** Generate a test action based on the dimension, data type, and range of values in the action specification.
- 4 Simulate the environment for one step using the generated action and the step function associated with the environment.
- 5 Obtain the new observation signal and check whether it is consistent with the dimension, data type, and range of values in the observation specification.

If any of these operations generates an error, validateEnvironment returns the error. If validateEnvironment returns no result, then validation is successful.

#### **Simulink Environments**

For Simulink environments, validation includes the following steps.

- **1** Reset the environment.
- **2** Simulate the model for two time steps.

If any of these operations generates an error, validateEnvironment returns the error. If validateEnvironment returns no result, then validation is successful.

validateEnvironment performs these steps without dirtying the model, and leaves all model parameters in the state they were in when you called the function.

# **Version History**

Introduced in R2019a

# See Also

#### **Functions**

rlCreateEnvTemplate | rlSimulinkEnv

# **Objects**

rlFunctionEnv

#### Topics

"Create Simulink Environment and Train Agent"

"Create Custom MATLAB Environment from Template"

# validateExperience

Package: rl.replay

Validate experiences for replay memory

# **Syntax**

validateExperience(buffer,experience)

# **Description**

validateExperience(buffer, experience) validates whether the experiences in experience are compatible with replay memory buffer. If the experiences are not compatible with the replay memory, validateExperience generates an error message in the MATLAB command window.

# **Examples**

# **Create Experience Buffer**

Define observation specifications for the environment. For this example, assume that the environment has a single observation channel with three continuous signals in specified ranges.

```
obsInfo = rlNumericSpec([3 1],...
    LowerLimit=0,...
    UpperLimit=[1;5;10]);
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with two continuous signals in specified ranges.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 20,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,20000);
```

Append a single experience to the buffer using a structure. Each experience contains the following elements: current observation, action, next observation, reward, and is-done.

For this example, create an experience with random observation, action, and reward values. Indicate that this experience is not a terminal condition by setting the IsDone value to 0.

```
exp.Observation = {obsInfo.UpperLimit.*rand(3,1)};
exp.Action = {actInfo.UpperLimit.*rand(2,1)};
exp.Reward = 10*rand(1);
exp.NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
exp.IsDone = 0;
```

Before appending experience to the buffer, you can validate whether the experience is compatible with the buffer. The validateExperience function generates an error if the experience is incompatible with the buffer.

```
validateExperience(buffer,exp)
```

Append the experience to the buffer.

```
append(buffer,exp);
```

You can also append a batch of experiences to the experience buffer using a structure array. For this example, append a sequence of 100 random experiences, with the final experience representing a terminal condition.

```
for i = 1:100
    expBatch(i).Observation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    expBatch(i).Reward = 10*rand(1);
    expBatch(i).NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).IsDone = 0;
end
expBatch(100).IsDone = 1;
validateExperience(buffer,expBatch)
append(buffer,expBatch);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 50 experiences from the buffer.

```
miniBatch = sample(buffer,50);
```

You can sample a horizon of data from the buffer. For example, sample a horizon of 10 consecutive experiences with a discount factor of 0.95.

```
horizonSample = sample(buffer,1,...
    NStepHorizon=10,...
    DiscountFactor=0.95);
```

The returned sample includes the following information.

- Observation and Action are the observation and action from the first experience in the horizon.
- NextObservation and IsDone are the next observation and termination signal from the final experience in the horizon.
- Reward is the cumulative reward across the horizon using the specified discount factor.

You can also sample a sequence of consecutive experiences. In this case, the structure fields contain arrays with values for all sampled experiences.

```
sequenceSample = sample(buffer,1,...
SequenceLength=20);
```

# **Input Arguments**

### buffer — Experience buffer

rlReplayMemory object | rlPrioritizedReplayMemory object | rlHindsightReplayMemory
object | rlHindsightPrioritizedReplayMemory object

Experience buffer, specified as one of the following replay memory objects.

- rlReplayMemory
- rlPrioritizedReplayMemory
- rlHindsightReplayMemory
- rlHindsightPrioritizedReplayMemory

# experience — Experience to append to the buffer

structure | structure array

Experience to append to the buffer, specified as a structure or structure array with the following fields.

# **Observation** — **Observation**

cell array

Observation, specified as a cell array with length equal to the number of observation specifications specified when creating the buffer. The dimensions of each element in <code>Observation</code> must match the dimensions in the corresponding observation specification.

#### Action — Agent action

cell array

Action taken by the agent, specified as a cell array with length equal to the number of action specifications specified when creating the buffer. The dimensions of each element in Action must match the dimensions in the corresponding action specification.

# Reward - Reward value

scalar

Reward value obtained by taking the specified action from the starting observation, specified as a scalar.

#### NextObservation — Next observation

cell array

Next observation reached by taking the specified action from the starting observation, specified as a cell array with the same format as Observation.

# IsDone — Termination signal

0 | 1 | 2

Termination signal, specified as one of the following values.

- 0 This experience is not the end of an episode.
- 1 The episode terminated because the environment generated a termination signal.
- 2 The episode terminated by reaching the maximum episode length.

# **Version History**

Introduced in R2023a

# **See Also**

**Objects**rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory | rlHindsightPrioritizedReplayMemory

# wait

Package: rl.env

Wait for reinforcement learning environment simulations running on a workers to finish

# **Syntax**

wait(F)

# **Description**

wait(F) blocks the command prompt and waits for all elements of F (each corresponding to a simulation scheduled on a worker) to reach a finished state.

# **Examples**

# **Defer Outputs of Simulations Running on Workers**

This example shows how to use Future objects and their methods fetchNext, fetchOutput, cancel, and wait to defer output retrieval for environment simulations running on workers, monitor the status of ongoing simulations, fetch outputs of completed simulations, cancel ongoing simulations, or wait for ongoing simulations to complete.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train AC Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Discrete");
load("MATLABCartpoleAC.mat", "agent")
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To display the simulation completion times, start a timer.

tic

Schedule six simulation to run on the available workers. At the beginning of the simulation, the reset function of the cart-pole environment sets the initial angle of the pole to a random position in the neighborhood of zero (the upward position). This randomization ensures that each simulation is different.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Each element of the Future array ftr represents a scheduled simulation.

#### ftr

```
ftr=1×6 object
 1×6 Future array with properties:
    Read
    State
    Diary
    ID
```

Display the state of each simulation.

#### ftr.State

```
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
ans =
'queued'
ans =
'queued'
```

Two simulations are ongoing while the others are queued.

Use fetchNext with a timeout of 0.1 seconds to retrieve results for simulations that complete within that time (if any).

```
[idx,out] = fetchNext(ftr,0.1)
idx =
    []
out =
```

Both the outputs are empty, which means that none of the four simulation has completed yet.

Display how many output results have been already retrieved.

#### ftr.Read

```
ans = logical
```

```
\begin{array}{l} \operatorname{ans} &= \operatorname{logical} \\ 0 \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \end{array}
\operatorname{ans} &= \operatorname{logical} \\ \\ \\ \operatorname{ans} &= \operatorname{logical} \\ \\ \\ \end{array}
```

Use fetchNext without any timeout to wait until an unretrieved simulation output becomes available and then return the results.

Display the state of the simulations.

#### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
```

As expected, the first two simulations, which were running in parallel on the two workers, are finished, while the next two, which were previously queued, are now running, and the final two are still queued.

Display the time taken for the first two simulations to complete.

#### toc

```
Elapsed time is 10.451231 seconds.
```

Note that once the results from a simulation has been already retrieved, any attempt to use fetchNext to retrieve it again, such as in fetchNext(ftr(2)), will result in an error. To retrieve the results from a Future object that has already been read, you can use fetchOuptuts, such as in fetchOutputs(ftr(2)).

Retrieve the next available result, and display the time elapsed since the simulations started.

Elapsed time is 11.945070 seconds.

As expected, fetchNext promptly returns the results from the second simulation, since it was already available.

Display how many output results have been already retrieved.

#### ftr.Read

```
\begin{array}{l} \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 1 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \text{ans} &=& logical \\ 0 & \\ \\ \end{array}
```

Cancel the last simulation.

```
cancel(ftr(6))
```

Wait for the fourth simulation to complete. The wait function blocks the command prompt until the fourth simulation is completed.

```
wait(ftr(4))
```

Display the elapsed time since the simulations started.

#### toc

```
Elapsed time is 12.414076 seconds.
```

Display the state of the simulations.

#### ftr.State

```
ans =
'finished'

ans =
'finished'
```

The status of the last element of the array, for which the simulation has been canceled, is classified as 'finished'.

Since any attempt to retrieve results from a simulation that has been canceled will result in an error, remove the canceled object from the array.

## ftr(6)=[]

```
ftr=1×5 object
  1×5 Future array with properties:
    Read
    State
    Diary
    ID
```

Use fetchOutputs to wait until all remaining simulations are completed and then retrieve all outputs.

```
outs = fetchOutputs(ftr)
```

```
outs=5×1 struct array with fields:
    SimulationInfo
    AgentData
```

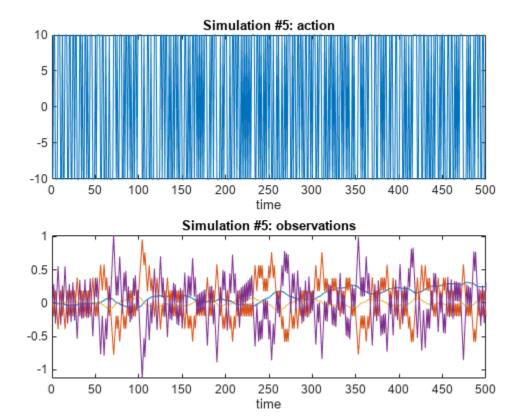
Display the elapsed time.

```
toc
```

Elapsed time is 16.265069 seconds.

Plot the action and observations from the fifth simulation.

## figure



Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

```
clear ftr
clear env
delete(pp)
```

## **Input Arguments**

## **F** — Future simulation outputs

Future object | array of Future objects

Future simulation outputs, specified as a Future object or as an array of Future objects. To create an element of F, set the UseParallel property of a reinforcement learning environment to true, and then use runEpisode to simulate an agent or a policy within this environment. Assign the element of F to the output of runEpisode.

# **Version History**

Introduced in R2022a

## See Also

## **Objects**

Future | Future | Simulink. Simulation. Future

#### **Functions**

fetchNext | fetchOutputs | cancel | runEpisode | setup | cleanup | reset

## **Topics**

"Custom Training Loop with Simulink Action Noise"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Train Agents Using Parallel Computing and GPUs"

## write

Package: rl.logging

Transfer stored data from the internal logger memory to the logging target

## **Syntax**

write(lgr)

## **Description**

write(lgr) transfers all stored logging contexts into the logger's target (either a MAT file or a trainingProgressMonitor object).

## **Examples**

### Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file.

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

flgr.LoggingOptions.FileNameRule, in the folder specified by flgr.LoggingOptions.LoggingDirectory.

```
for iter = 1:10

% Store three random numbers in memory
% as elements of the variable "Context1"
for ct = 1:3
        store(flgr, "Context1", rand, iter);
end

% Store a random number in memory
% as the variable "Context2"
store(flgr, "Context2", rand, iter);
```

```
% Write data to file every 4 iterations
if mod(iter,4)==0
    write(flgr);
end
```

## end

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

## **Input Arguments**

## lgr — Date logger object

FileLogger object | MonitorLogger object | ...

Data logger object, specified as either a FileLogger or a MonitorLogger object.

# **Version History**

Introduced in R2022b

## See Also

## **Functions**

rlDataLogger | train | setup | store | cleanup

## **Objects**

FileLogger | MonitorLogger

## **Topics**

"Log Training Data to Disk"

"Function Handles"

"Handle Object Behavior"

# **Objects**

# quadraticLayer

Quadratic layer for actor or critic network

# **Description**

A quadratic layer takes an input vector and outputs a vector of quadratic monomials constructed from the input elements. This layer is useful when you need a layer whose output is a quadratic function of its inputs. For example, to recreate the structure of quadratic value functions such as those used in LQR controller design.

For example, consider an input vector  $U = [u1 \ u2 \ u3]$ . For this input, a quadratic layer gives the output  $Y = [u1*u1 \ u1*u2 \ u2*u2 \ u1*u3 \ u2*u3 \ u3*u3]$ . For an example that uses a QuadraticLayer, see "Train DDPG Agent to Control Double Integrator System".

**Note** The QuadraticLayer layer does not support inputs coming directly or indirectly from a featureInputLayer or sequenceInputLayer.

The parameters of a QuadraticLayer object are not learnable.

## Creation

# **Syntax**

```
qLayer = quadraticLayer
qLayer = quadraticLayer(Name, Value)
```

## **Description**

qLayer = quadraticLayer creates a quadratic layer with default property values.

qLayer = quadraticLayer(Name, Value) sets properties on page 3-2 using name-value pairs.
For example, quadraticLayer('Name', 'quadlayer') creates a quadratic layer and assigns the name 'quadlayer'.

# **Properties**

## Name — Name of layer

'quadratic' (default) | character vector

Name of layer, specified as a character vector. To include a layer in a layer graph, you must specify a nonempty unique layer name. If you train a series network with this layer and Name is set to '', then the software automatically assigns a name to the layer at training time.

## Description — Description of layer

'quadratic layer' (default) | character vector

This property is read-only.

Description of layer, specified as a character vector. When you create the quadratic layer, you can use this property to give it a description that helps you identify its purpose.

## **Examples**

#### **Create Quadratic Layer**

Create a quadratic layer that converts an input vector  $\boldsymbol{U}$  into a vector of quadratic monomials constructed from binary combinations of the elements of  $\boldsymbol{U}$ .

```
qLayer = quadraticLayer

qLayer =
   QuadraticLayer with properties:
   Name: 'quadratic'
   Learnable Parameters
   No properties.

State Parameters
   No properties.

Show all properties
```

Confirm that the layer produces the expected output. For instance, for  $U = [u1 \ u2 \ u3]$ , the expected output is  $[u1*u1 \ u1*u2 \ u2*u2 \ u1*u3 \ u2*u3 \ u3*u3]$ .

```
predict(qLayer,[1 2 3])
ans = 1 \times 3
1 4 9
```

You can incorporate qLayer into an actor network or critic network for reinforcement learning.

# **Version History**

Introduced in R2019a

## See Also

#### **Functions**

scalingLayer|softplusLayer

#### Topics

"Train DDPG Agent to Control Double Integrator System"

<sup>&</sup>quot;Create Policies and Value Functions"

# **FileLogger**

Log reinforcement learning training data to MAT files

# **Description**

Use a FileLogger object to log data to MAT files, within the train function or inside a custom training loop. To log data when using the train function, specify appropriate callback functions in FileLogger, as shown in the examples. These callbacks are executed at different stages of training, for example, EpisodeFinishedFcn is executed after the completion of an episode. The output of a callback function is a structure containing the data to log at that stage of training.

**Note** Using a FileLogger object to log data when using the train function does not affect (and is not affected by) any option to save agents during training specified within an rlTrainingOptions object.

**Note** FileLogger is a handle object. If you assign an existing FileLogger object to a new FileLogger object, both the new object and the original one refer to the same underlying object in memory. To preserve the original object parameters for later use, save the object to a MAT-file. For more information about handle objects, see "Handle Object Behavior".

## Creation

Create a FileLogger object using rlDataLogger without any input arguments.

# **Properties**

## LoggingOptions — Object containing a set of logging options

MATFileLoggingOptions object (default)

Object containing a set of logging options, returned as a MATFileLoggingOptions object. A MATFileLoggingOptions object has the following properties, which you can access using dot notation after creating the FileLogger object.

## LoggingDirectory — Name of the logging directory

"logs" subdirectory of the current directory (default) | string or character array

Name or fully qualified path of the logging directory, specified as a string or a character array. This is the name of the directory where the MAT files containing the logged data are saved. As a default, a subdirectory called logs is created in the current folder during setup and files are saved there during training.

Example: LoggingDirectory=mylogs

#### FileNameRule — Rule to name the MAT files

"loggedData<id>" (default) | string | char array

Rule to name the MAT files, specified as a string or a character array. For example, the naming rule "episode<id>" results in the file names episode001.mat, episode002.mat and so on.

Example: FileNameRule="ThirdRun<id>"

#### Version — MAT files version

"-v7" (default) | string | char array

MAT file versions, specified as a string or character array. The default is "-v7". For more information, see "MAT-File Versions".

Example: Version="-v7.3"

## **UseCompression** — **Option to use compression**

true (default) | false

Option to use compression when saving data to a MAT file, specified as a logical variable. The default is true. For more information, see "MAT-File Versions".

Example: UseCompression=false

## DataWriteFrequency — Disk data write period

1 (default) | positive integer

Disk data write period, specified as a positive integer. It is the number of episodes after which data is saved to disk. For example, if DataWriteFrequency is 5 then data from episodes 1 to 5 will be cached in memory and be written to disk at the end of the 5-th episode. This improves performance in some cases. The default is 1.

Example: DataWriteFrequency=10

## MaxEpisodes — Maximum number of episodes

500 (default) | positive integer

Maximum number of episodes, specified as a positive integer. When using train, the value is automatically initialized. Set this value when using the logger object in a custom training loop. The default is 500.

Example: MaxEpisodes=1000

## EpisodeFinishedFcn — Callback to log data after episode completion

[] (default) | function handle

Callback to log data after episode completion, specified as a function handle object. The specified function is automatically called by the training loop at the end of each episode, and must return a structure containing the data to log, such as experiences, simulation information, or initial observations.

Your function must have the following signature.

function dataToLog = myEpisodeFinishedFcn(data)

Here, data is a structure that contains the following fields:

- EpisodeCount current episode number
- Environment environment object

- Agent agent object
- Experience structure array containing the experiences. Each element of this array corresponds to a step and is a structure containing the fields NextObservation, Observation, Action. Reward and IsDone.
- EpisodeInfo structure containing the fields CumulativeReward, StepsTaken and InitialObservation.
- SimulationInfo contains simulation information from the episode. For MATLAB environments this is a structure with the field SimulationError, and for Simulink environments it is a Simulink.SimulationOutput object.

The function output dataToLog is the structure containing the data to be logged to disk.

Example: EpisodeFinishedFcn=@myEpLoggingFcn

## AgentStepFinishedFcn — Callback to log data after training step completion

[] (default) | function handle | cell array of function handles

Callback to log data after training step completion within an episode, specified as a function handle object. The specified function is automatically called by the training loop at the end of each training step, and must return a structure containing the data to log, such as for example the state of the agent's exploration policy.

Your function must have the following signature.

function dataToLog = myAgentStepFinishedFcn(data)

Here, data is a structure that contains the following fields:

- EpisodeCount current episode number
- AgentStepCount cumulative number of steps taken by the agent
- SimulationTime current simulation time in the environment
- Agent agent object

The function output dataToLog is the structure containing the data to be logged to disk.

For multi agent training, AgentStepFinishedFcn can be a cell array of function handles with as many elements as number of agent groups.

**Note** Logging data using the AgentStepFinishedFcn callback is not supported when training agents in parallel with the train function.

Example: AgentStepFinishedFcn=@myAgtStepLoggingFcn

# AgentLearnFinishedFcn — Callback to log data after completion of the learn subroutine [] (default) | function handle | cell array of function handles

Callback to log data after completion of the learn subroutine, specified as a function handle object. The specified function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

Your function must have the following signature.

function dataToLog = myAgentLearnFinishedFcn(data)

Here, data is a structure that contains the following fields:

- EpisodeCount current episode number
- AgentStepCount cumulative number of steps taken by the agent
- AgentLearnCount cumulative number of learning steps taken by the agent
- EnvModelTrainingInfo structure containing model-based agents related fields TransitionFcnLoss, RewardFcnLoss and IsDoneFcnLoss.
- Agent agent object
- ActorLoss loss of the actor
- CriticLoss loss of the critic

The function output dataToLog is the structure containing the data to be logged to disk.

For multi agent training, AgentLearnFinishedFcn can be a cell array of function handles with as many elements as number of agent groups.

Example: AgentLearnFinishedFcn=@myAgtLearnLoggingFcn

## **Object Functions**

setup Set up reinforcement learning environment or initialize data logger object cleanup Clean up reinforcement learning environment or data logger object

## **Examples**

#### Log Data to Disk during Built-in Training

This example shows how to log data to disk when using train.

Create a FileLogger object using rlDataLogger.

```
logger = rlDataLogger();
```

Specify a directory to save logged data.

```
logger.LoggingOptions.LoggingDirectory = "myDataLog";
```

Create callback functions to log the data (for this example, see the helper function section), and specify the appropriate callback functions in the logger object.

```
logger.EpisodeFinishedFcn = @myEpisodeFinishedFcn;
logger.AgentStepFinishedFcn = @myAgentStepFinishedFcn;
logger.AgentLearnFinishedFcn = @myAgentLearnFinishedFcn;
```

To train the agent, you can now call train, passing logger as an argument such as in the following command.

```
trainResult = train(agent, env, trainOpts, Logger=logger);
```

While the training progresses, data will be logged to the specified directory, according to the rule specified in the FileNameRule property of logger.LoggingOptions.

```
logger.LoggingOptions.FileNameRule
ans =
"loggedData<id>"
```

#### **Example Logging Functions**

Episode completion logging function. This function is automatically called by the training loop at the end of each episode, and must return a structure containing the episode-related data to log, such as experiences, simulation information, or initial observations.

```
function dataToLog = myEpisodeFinishedFcn(data)
    dataToLog.Experience = data.Experience;
end
```

Agent step completion logging function. This function is automatically called by the training loop at the end of each training step, and must return a structure containing the step-related data to log, such as for example the state of the agent exploration policy.

```
function dataToLog = myAgentStepFinishedFcn(data)
    dataToLog.State = getState(getExplorationPolicy(data.Agent));
end
```

Learn subroutine completion logging function. This function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the learning-related data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

```
function dataToLog = myAgentLearnFinishedFcn(data)
    dataToLog.ActorLoss = data.ActorLoss;
    dataToLog.CriticLoss = data.CriticLoss;
end
```

For the specific function signatures and more information on the function input structure, see the corresponding properties of FileLogger. For a related example, see "Log Training Data to Disk".

## Log Data to Disk in a Custom Training Loop

This example shows how to log data to disk when training an agent using a custom training loop.

Create a FileLogger object using rlDataLogger.

```
flgr = rlDataLogger();
```

Set up the logger object. This operation initializes the object performing setup tasks such as, for example, creating the directory to save the data files.

```
setup(flgr);
```

Within a custom training loop, you can now store data to the logger object memory and write data to file

For this example, store random numbers to the file logger object, grouping them in the variables Context1 and Context2. When you issue a write command, a MAT file corresponding to an iteration and containing both variables is saved with the name specified in

Clean up the logger object. This operation performs clean up tasks like for example writing to file any data still in memory.

```
cleanup(flgr);
```

## Limitations

• Logging data using the AgentStepFinishedFcn callback is not supported when training agents in parallel with the train function.

# **Version History**

Introduced in R2022b

## See Also

#### **Functions**

rlDataLogger | rlDataViewer | train | setup | store | write | cleanup

#### Objects

MonitorLogger | rlTrainingOptions

## **Topics**

```
"Log Training Data to Disk"
```

<sup>&</sup>quot;Function Handles"

<sup>&</sup>quot;Handle Object Behavior"

## **Future**

Object that supports deferred outputs for reinforcement learning environment simulations running on workers

# **Description**

When runEpisode runs in the background it returns a Future object as a result. Use this object to monitor the status of ongoing simulations, fetch outputs of completed simulations, or cancel ongoing simulations.

## Creation

A Future object is returned by runEpisode when the environment is configured for parallel simulations (such as in setup(env,UseParallel=true)).

Note that creating a Future object requires Parallel Computing Toolbox™ software.

## **Properties**

## Read — Flag indicating whether outputs have been read

true | false

Flag indicating whether outputs have been read, returned as a logical value. This property is readonly.

## State — Current state of the future object array

```
'pending'|'queued'|'running'|'finished'|'failed'|'unavailable'
```

Current state of the future object array, returned as one of the following character arrays:

- 'pending' The simulation result is pending.
- 'queued' The simulation is gueued.
- 'running' The simulation is running.
- 'finished' The simulation is finished.
- 'failed' The simulation has failed.
- 'unavailable' The result is not available.

This property is read-only.

#### Diary — Simulation outputs log

character array

Simulation outputs log, returned as a character array. This property is read-only.

## ID — Numeric identifier of the object

scalar integer

Numeric identifier of the Future object, returned as a scalar integer. This property is read-only.

## **Object Functions**

## **Examples**

#### **Defer Outputs of Simulations Running on Workers**

This example shows how to use Future objects and their methods fetchNext, fetchOutput, cancel, and wait to defer output retrieval for environment simulations running on workers, monitor the status of ongoing simulations, fetch outputs of completed simulations, cancel ongoing simulations, or wait for ongoing simulations to complete.

Load a predefined environment and a suitable agent. For this example use both the environment and agent described in "Train AC Agent to Balance Cart-Pole System".

```
env = rlPredefinedEnv("CartPole-Discrete");
load("MATLABCartpoleAC.mat", "agent")
```

Start a parallel pool and set up the environment so that it simulates on workers.

```
pp = parpool(2);
Starting parallel pool (parpool) using the 'Processes' profile ...
Connected to parallel pool with 2 workers.
setup(env,UseParallel=true);
```

To display the simulation completion times, start a timer.

tic

Schedule six simulation to run on the available workers. At the beginning of the simulation, the reset function of the cart-pole environment sets the initial angle of the pole to a random position in the neighborhood of zero (the upward position). This randomization ensures that each simulation is different.

```
for i=1:6
    ftr(i) = runEpisode(env,agent,CleanupPostSim=false);
end
```

Each element of the Future array ftr represents a scheduled simulation.

```
ftr
```

```
ftr=1×6 object
  1×6 Future array with properties:
    Read
    State
    Diary
    ID
```

Display the state of each simulation.

#### ftr.State

```
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
ans =
'queued'
ans =
'queued'
```

Two simulations are ongoing while the others are queued.

Use fetchNext with a timeout of 0.1 seconds to retrieve results for simulations that complete within that time (if any).

```
[idx,out] = fetchNext(ftr,0.1)
idx =
    []
out =
    []
```

Both the outputs are empty, which means that none of the four simulation has completed yet.

Display how many output results have been already retrieved.

## ftr.Read

```
\begin{array}{l} \text{ans} &=& logical \\ 0 \\ \\ \text{ans} &=& logical \\ 0 \\ \\ \text{ans} &=& logical \\ 0 \\ \\ \text{ans} &=& logical \\ \end{array}
```

```
ans = logical
```

Use fetchNext without any timeout to wait until an unretrieved simulation output becomes available and then return the results.

```
[idx,out] = fetchNext(ftr)
idx = 2
out = struct with fields:
    SimulationInfo: [1×1 struct]
    AgentData: [1×1 struct]
```

Display the state of the simulations.

#### ftr.State

```
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'running'
ans =
'queued'
ans =
'queued'
```

As expected, the first two simulations, which were running in parallel on the two workers, are finished, while the next two, which were previously queued, are now running, and the final two are still queued.

Display the time taken for the first two simulations to complete.

#### toc

```
Elapsed time is 10.451231 seconds.
```

Note that once the results from a simulation has been already retrieved, any attempt to use fetchNext to retrieve it again, such as in fetchNext(ftr(2)), will result in an error. To retrieve the results from a Future object that has already been read, you can use fetchOuptuts, such as in fetchOutputs(ftr(2)).

Retrieve the next available result, and display the time elapsed since the simulations started.

```
[idx,out] = fetchNext(ftr)
idx = 1
out = struct with fields:
    SimulationInfo: [1×1 struct]
```

```
AgentData: [1×1 struct]
```

#### toc

Elapsed time is 11.945070 seconds.

As expected, fetchNext promptly returns the results from the second simulation, since it was already available.

Display how many output results have been already retrieved.

#### ftr.Read

```
\begin{array}{l} \operatorname{ans} &=& logical \\ 1 & \\ \\ \operatorname{ans} &=& logical \\ 0 & \\ \\ \end{array}
```

Cancel the last simulation.

```
cancel(ftr(6))
```

Wait for the fourth simulation to complete. The wait function blocks the command prompt until the fourth simulation is completed.

```
wait(ftr(4))
```

Display the elapsed time since the simulations started.

#### toc

```
Elapsed time is 12.414076 seconds.
```

Display the state of the simulations.

## ftr.State

```
ans =
'finished'
```

```
ans =
'finished'
ans =
'finished'
ans =
'finished'
ans =
'running'
ans =
'finished'
```

The status of the last element of the array, for which the simulation has been canceled, is classified as 'finished'.

Since any attempt to retrieve results from a simulation that has been canceled will result in an error, remove the canceled object from the array.

```
ftr(6)=[]
ftr=1×5 object
  1×5 Future array with properties:
    Read
    State
    Diary
    ID
```

Use fetchOutputs to wait until all remaining simulations are completed and then retrieve all outputs.

```
outs = fetchOutputs(ftr)
outs=5×1 struct array with fields:
    SimulationInfo
    AgentData
```

Display the elapsed time.

#### toc

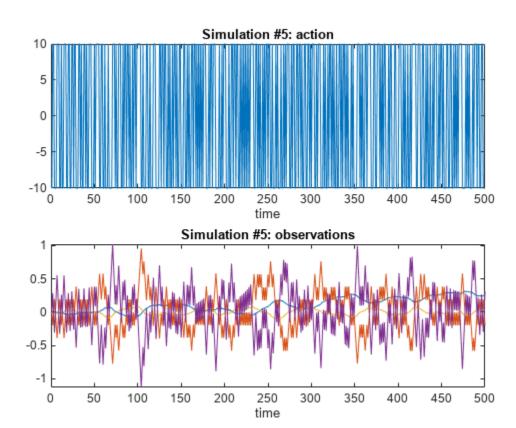
```
Elapsed time is 16.265069 seconds.
```

Plot the action and observations from the fifth simulation.

# figure

```
subplot(2,1,1);
plot(outs(5).AgentData.Time(2:end), ...
    cell2mat([outs(5).AgentData.Experiences.Action]))
title('Simulation #5: action');
xlabel('time');
subplot(2,1,2)
```

```
plot(outs(5).AgentData.Time(2:end), ...
        cell2mat([outs(5).AgentData.Experiences.Observation]))
title('Simulation #5: observations')
xlabel('time');
```



Clear the array of Future objects, the environment, and delete the parallel pool (this is the reverse order in which they were created).

```
clear ftr
clear env
delete(pp)
```

# **Version History**

Introduced in R2022a

## **See Also**

## **Objects**

Future | Simulink. Simulation. Future

## **Functions**

fetchNext | fetchOutputs | cancel | wait | runEpisode | setup | cleanup | reset

## **Topics**

"Custom Training Loop with Simulink Action Noise"
"Train Reinforcement Learning Policy Using Custom Training Loop"
"Create MATLAB Reinforcement Learning Environments"

"Create Simulink Reinforcement Learning Environments"

"Train Agents Using Parallel Computing and GPUs"

# MonitorLogger

Log reinforcement learning training data to monitor window

# **Description**

Use a MonitorLogger object to log data to a monitor window, within the train function or inside a custom training loop. To log data when using the train function, specify appropriate callback functions in MonitorLogger, as shown in the examples. These callbacks are executed at different stages of training, for example, EpisodeFinishedFcn is executed after the completion of an episode. The output of a callback function is a structure containing the data to log at that stage of training.

**Note** Using a MonitorLogger object to log data when using the train function does not affect (and is not affected by) any option to save agents during training specified within an rlTrainingOptions object.

**Note** MonitorLogger is an handle object. If you assign an existing MonitorLogger object to a new MonitorLogger object, both the new object and the original one refer to the same underlying object in memory. To preserve the original object parameters for later use, save the object to a MAT-file. For more information about handle objects, see "Handle Object Behavior".

# Creation

Create a MonitorLogger object using rlDataLogger specifying a trainingProgressMonitor object as input argument.

# **Properties**

#### LoggingOptions — Object containing a set of logging options

MonitorLoggingOptions object (default)

Object containing a set of logging options, returned as a MonitorLoggingOptions object. A MonitorLoggingOptions object has the following properties, which you can access using dot notation after creating the MonitorLogger object.

#### DataWriteFrequency — Monitor data write period

1 (default) | positive integer

Monitor data write period, specified as a positive integer. It is the number of episodes after which data is transmitted to the trainingProgressMonitor object. For example, if DataWriteFrequency is 5 then data from episodes 1 to 5 will be cached in memory and be transmitted to the monitor object at the end of the 5-th episode. This improves performance in some cases. The default is 1.

Example: DataWriteFrequency=10

## MaxEpisodes — Maximum number of episodes

500 (default) | positive integer

Maximum number of episodes, specified as a positive integer. When using train, the value is automatically initialized. Set this value when using the logger object in a custom training loop. The default is 500.

Example: MaxEpisodes=1000

## EpisodeFinishedFcn — Callback to log data after episode completion

[] (default) | function handle

Callback to log data after episode completion, specified as a function handle object. The specified function is automatically called by the training loop at the end of each episode, and must return a structure containing the data to log, such as experiences, simulation information, or initial observations.

Your function must have the following signature.

function dataToLog = myEpisodeFinishedFcn(data)

Here, data is a structure that contains the following fields:

- EpisodeCount current episode number
- Environment environment object
- Agent agent object
- Experience structure array containing the experiences. Each element of this array corresponds to a step and is a structure containing the fields NextObservation, Observation, Action, Reward and IsDone.
- EpisodeInfo structure containing the fields CumulativeReward, StepsTaken and InitialObservation.
- SimulationInfo contains simulation information from the episode. For MATLAB environments this is a structure with the field SimulationError, and for Simulink environments it is a Simulink.SimulationOutput object.

The function output dataToLog is the structure containing the data to be logged to disk.

Example: EpisodeFinishedFcn=@myEpLoggingFcn

#### AgentStepFinishedFcn — Callback to log data after training step completion

[] (default) | function handle | cell array of function handles

Callback to log data after training step completion within an episode, specified as a function handle object. The specified function is automatically called by the training loop at the end of each training step, and must return a structure containing the data to log, such as for example the state of the agent's exploration policy.

Your function must have the following signature.

function dataToLog = myAgentStepFinishedFcn(data)

Here, data is a structure that contains the following fields:

EpisodeCount — current episode number

- AgentStepCount cumulative number of steps taken by the agent
- SimulationTime current simulation time in the environment
- Agent agent object

The function output dataToLog is the structure containing the data to be logged to disk.

For multi agent training, AgentStepFinishedFcn can be a cell array of function handles with as many elements as number of agent groups.

**Note** Logging data using the AgentStepFinishedFcn callback is not supported when training agents in parallel with the train function.

Example: AgentStepFinishedFcn=@myAgtStepLoggingFcn

# AgentLearnFinishedFcn — Callback to log data after completion of the learn subroutine [] (default) | function handle | cell array of function handles

Callback to log data after completion of the learn subroutine, specified as a function handle object. The specified function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

Your function must have the following signature.

function dataToLog = myAgentLearnFinishedFcn(data)

Here, data is a structure that contains the following fields:

- EpisodeCount current episode number
- AgentStepCount cumulative number of steps taken by the agent
- AgentLearnCount cumulative number of learning steps taken by the agent
- EnvModelTrainingInfo structure containing model-based agents related fields TransitionFcnLoss, RewardFcnLoss and IsDoneFcnLoss.
- Agent agent object
- ActorLoss loss of the actor
- CriticLoss loss of the critic

The function output dataToLog is the structure containing the data to be logged to disk.

For multi agent training, AgentLearnFinishedFcn can be a cell array of function handles with as many elements as number of agent groups.

Example: AgentLearnFinishedFcn=@myAgtLearnLoggingFcn

# **Object Functions**

setup Set up reinforcement learning environment or initialize data logger object cleanup Clean up reinforcement learning environment or data logger object

# **Examples**

## Log and Visualize Data with a Training Progress Monitor Object

This example shows how to log and visualize data to the window of a trainingProgressMonitor object when using train.

Create a trainingProgressMonitor object. Creating the object also opens a window associated with the object.

monitor = trainingProgressMonitor();

Time
Start time: 04-Mar-2023 01:30:50
Elapsed time: 00:00:00

To monitor metric and information values, set the Metrics and Info properties of the TrainingProgressMonitor object.

Create a MonitorLogger object using rlDataLogger.

logger = rlDataLogger(monitor);

Create callback functions to log the data (for this example, see the helper function section), and specify the appropriate callback functions in the logger object. For the specific function signatures and more information on the function input structure, see the corresponding properties of MonitorLogger.

logger.AgentLearnFinishedFcn = @myAgentLearnFinishedFcn;

To train the agent, you can now call train, passing logger as an argument such as in the following command.

```
trainResult = train(agent, env, trainOpts, Logger=logger);
```

While the training progresses, data will be logged to the training monitor object, and visualized in the associated window.

Note that only scalar data can be logged with a monitor logger object.

#### **Example Logging Functions**

Define a logging function that logs data periodically at the completion of the learning subroutine. This function is automatically called by the training loop at the end of each learning subroutine, and must return a structure containing the learning-related data to log, such as the training losses of the actor and critic networks, or, for a model-based agent, the environment model training losses.

```
function dataToLog = myAgentLearnFinishedFcn(data)
  if mod(data.AgentLearnCount, 2) == 0
      dataToLog.ActorLoss = data.ActorLoss;
      dataToLog.CriticLoss = data.CriticLoss;
  else
      dataToLog = [];
  end
```

## Limitations

- Only scalar data is supported when logging data with a MonitorLogger object. The structure returned by the callback functions must contain fields with scalar data.
- Resuming of training from a previous training result is not supported when logging data with a MonitorLogger object.

# **Version History**

Introduced in R2022b

## See Also

#### **Functions**

```
rlDataLogger | train | setup | store | write | cleanup
```

#### Objects

FileLogger | trainingProgressMonitor | rlTrainingOptions

#### **Topics**

```
"Log Training Data to Disk"
```

<sup>&</sup>quot;Monitor Custom Training Loop Progress"

<sup>&</sup>quot;Function Handles"

<sup>&</sup>quot;Handle Object Behavior"

# rlACAgent

Actor-critic (AC) reinforcement learning agent

# **Description**

Actor-critic (AC) agents implement actor-critic algorithms such as A2C and A3C, which are model-free, online, on-policy reinforcement learning methods. The actor-critic agent optimizes the policy (actor) directly and uses a critic to estimate the return or future rewards. The action space can be either discrete or continuous. For continuous action spaces, this agent does not enforce constraints set in the action specification; therefore, if you need to enforce action constraints, you must do so within the environment.

For more information, see "Actor-Critic (AC) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

# Creation

## **Syntax**

```
agent = rlACAgent(observationInfo,actionInfo)
agent = rlACAgent(observationInfo,actionInfo,initOpts)
agent = rlACAgent(actor,critic)
agent = rlACAgent(____,agentOptions)
```

#### **Description**

## **Create Agent from Observation and Action Specifications**

agent = rlACAgent(observationInfo,actionInfo) creates an actor-critic agent for an
environment with the given observation and action specifications, using default initialization options.
The actor and critic in the agent use default deep neural networks built from the observation
specification observationInfo and the action specification actionInfo. The ObservationInfo
and ActionInfo properties of agent are set to the observationInfo and actionInfo input
arguments, respectively.

agent = rlACAgent(observationInfo,actionInfo,initOpts) creates an actor-critic agent for an environment with the given observation and action specifications. The agent uses default networks in which each hidden fully connected layer has the number of units specified in the initOpts object. Actor-critic agents do not support recurrent neural networks. For more information on the initialization options, see rlAgentInitializationOptions.

#### **Create Agent from Actor and Critic**

agent = rlACAgent(actor,critic) creates an actor-critic agent with the specified actor and critic, using the default options for the agent.

## **Specify Agent Options**

agent = rlACAgent(\_\_\_\_, agentOptions) creates an actor-critic agent and sets the
AgentOptions property to the agentOptions input argument. Use this syntax after any of the input
arguments in the previous syntaxes.

#### **Input Arguments**

#### initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object. Actor-critic agents do not support recurrent neural networks.

#### actor - Actor

rlDiscreteCategoricalActorobject | rlContinuousGaussianActorobject

Actor that implements the policy, specified as an rlDiscreteCategoricalActor or rlContinuousGaussianActor function approximator object. For more information on creating actor approximators, see "Create Policies and Value Functions".

#### critic — Critic

rlValueFunction object

Critic that estimates the discounted long-term reward, specified as an rlValueFunction object. For more information on creating critic approximators, see "Create Policies and Value Functions".

## **Properties**

## **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

## ActionInfo — Action specification

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

## AgentOptions — Agent options

rlACAgentOptions object

Agent options, specified as an rlACAgentOptions object.

## **UseExplorationPolicy** — **Option to use exploration policy**

true (default) | false

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions in sim and generatePolicyFunction. In this case, the agent selects its actions by sampling its probability distribution, the policy is therefore stochastic and the agent explores its observation space.
- false Use the base agent greedy policy (the action with maximum likelihood) when selecting actions in sim and generatePolicyFunction. In this case, the simulated agent and generated policy behave deterministically.

**Note** This option affects only simulation and deployment; it does not affect training.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train	Train reinforcement learning agents within a specified environment
sim	Simulate trained reinforcement learning agents within specified environment
getAction	Obtain action from agent, actor, or policy object given environment observations
getActor	Extract actor from reinforcement learning agent
setActor	Set actor of reinforcement learning agent
getCritic	Extract critic from reinforcement learning agent
setCritic	Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy object

## **Examples**

## Create Discrete Actor-Critic Agent from Observation and Action Specifications

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of -2, -1, 0, 1, or 2 Nm applied to a swinging pole).

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
Obtain observation and action specifications
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create an actor-critic agent from the environment observation and action specifications.

```
agent = rlACAgent(obsInfo,actInfo);
```

To check your agent, use getAction to return the action from random observations.

```
getAction(agent,{rand(obsInfo(1).Dimension),rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
{[-2]}
```

You can now test and train the agent within the environment. You can also use getActor and getCritic to extract the actor and critic, respectively, and getModel to extract the approximator model (by default a deep neural network) from the actor or critic.

## Create Continuous Actor-Critic Agent Using Initialization Options

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

```
% Load predefined environment
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
```

```
% Obtain observation and action specifications
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons (instead of the default number, 256).

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. You can ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create an actor-critic agent from the environment observation and action specifications.

```
agent = rlACAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural networks from both the agent actor and critic.

```
actorNet = getModel(getActor(agent));
criticNet = getModel(getCritic(agent));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

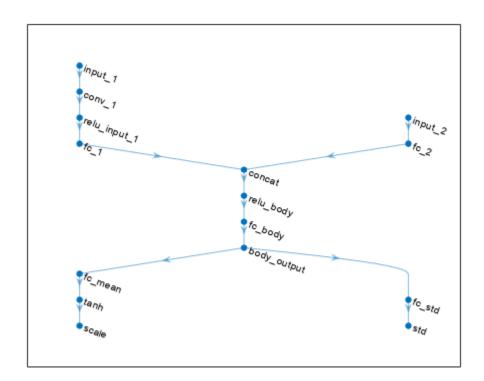
```
criticNet.Layers
```

or visualize the structure interactively using analyzeNetwork.

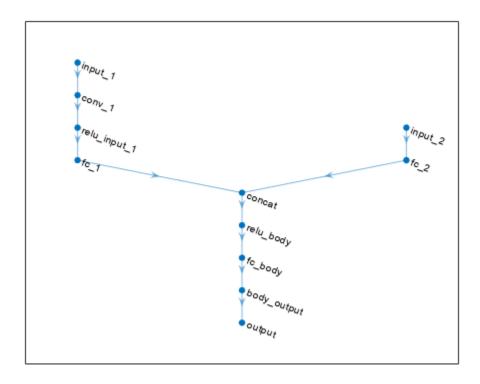
```
analyzeNetwork(criticNet)
```

Plot actor and critic networks

```
plot(layerGraph(actorNet))
```



plot(layerGraph(criticNet))



To check your agent, use getAction to return the action from a random observation. getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)}) ans =  $1 \times 1 \text{ cell array}$  {[0.9228]}

You can now test and train the agent within the environment.

## Create Discrete Actor-Critic Agent from Actor and Critic

Create an environment with a discrete action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DQN Agent to Balance Cart-Pole System". This environment has a four-dimensional observation vector (cart position and velocity, pole angle, and pole angle derivative), and a scalar action with two possible elements (a force of either -10 or +10 N applied on the cart).

```
env = rlPredefinedEnv("CartPole-Discrete");
Obtain observation and action specifications.
obsInfo = getObservationInfo(env)
obsInfo =
  rlNumericSpec with properties:
```

```
LowerLimit: -Inf
UpperLimit: Inf
Name: "CartPole States"

Description: "x, dx, theta, dtheta"
Dimension: [4 1]
DataType: "double"

actInfo = getActionInfo(env)

actInfo =
rlFiniteSetSpec with properties:

Elements: [-10 10]
Name: "CartPole Action"

Description: [0x0 string]
Dimension: [1 1]
DataType: "double"
```

The agent creation function initializes the actor and critic networks randomly. You can ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Actor-critic agents use a parametrized value function approximator to estimate the value of the policy. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects, and get the dimension of the observation space from the environment specification objects.

```
criticNet = [
   featureInputLayer(prod(obsInfo.Dimension))
   fullyConnectedLayer(50)
   reluLayer
   fullyConnectedLayer(1)
];
```

Convert the network to a dlnetwork object, and display the number of weights.

Create the critic approximator object using criticNet and the observation specification. For more information on value function approximators, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
Check your critic with a random observation input.
getValue(critic, {rand(obsInfo.Dimension)})
ans = single
    -0.1411
```

Actor-critic agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo. Note that numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects.

```
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Dimension))
    ];
```

Convert the network to a dlnetwork object, and display the number of weights.

Create the actor using actorNet and the observation and action specifications. For more information on discrete categorical actors, see rlDiscreteCategoricalActor.

```
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
```

Check your actor with a random observation input.

```
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[10]}
```

Create the AC agent using the actor and the critic.

```
agent = rlACAgent(actor,critic)
agent =
  rlACAgent with properties:
            AgentOptions: [1x1 rl.option.rlACAgentOptions]
   UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
```

Specify some options for the agent, including training options for the actor and critic.

```
agent.AgentOptions.NumStepsToLookAhead=32;
agent.AgentOptions.DiscountFactor=0.99;
agent.AgentOptions.CriticOptimizerOptions.LearnRate=8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold=1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate=8e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold=1;
Check your agent with a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
   {[-10]}
```

You can now test and train the agent within the environment.

### Create Continuous Actor-Critic Agent from Actor and Critic

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the double integrator continuous action space environment used in the example "Train DDPG Agent to Control Double Integrator System".

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
Obtain observation and action specifications.
obsInfo = getObservationInfo(env)
obsInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "states"
   Description: "x, dx"
      Dimension: [2 1]
       DataType: "double"
```

actInfo = getActionInfo(env)

```
actInfo =
  rlNumericSpec with properties:
    LowerLimit: -Inf
    UpperLimit: Inf
        Name: "force"
  Description: [0x0 string]
    Dimension: [1 1]
    DataType: "double"
```

In this example, the action is a scalar value representing a force ranging from -2 to 2 Newton. To make sure that the output from the agent is in this range, you perform an appropriate scaling operation. Store these limits so you can easily access them later.

```
% Make sure action space upper and lower limits are finite
actInfo.LowerLimit=-2;
actInfo.UpperLimit=2;
```

The actor and critic networks are initialized randomly. You can ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Actor-critic agents use a parametrized value function approximator to estimate the value of the policy. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
criticNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(50)
    reluLayer
    fullyConnectedLayer(1)
];
```

Convert the network to a dlnetwork object and display the number of weights.

Create the critic approximator object using criticNet and the observation specification. For more information on value function approximators, see rlValueFunction.

Actor-critic agents use a parametrized stochastic policy, which for continuous action spaces is implemented by a continuous Gaussian actor. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

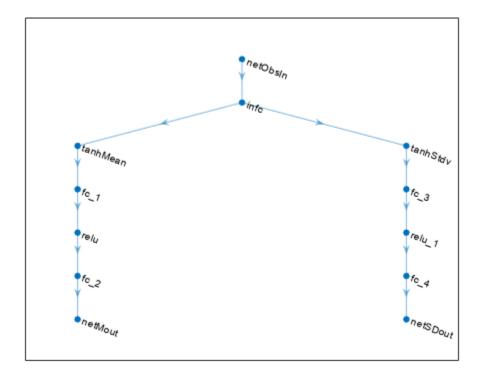
Note that standard deviations must be nonnegative and mean values must fall within the range of the action. Therefore the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity, while the output layer that returns the mean values must be a scaling layer, to scale the mean values to the output range.

For this example the environment has only one observation channel and therefore the network has only one input layer.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Input path
inPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="netObsIn")
    fullyConnectedLayer(prod(actInfo.Dimension),Name="infc")
   ];
% Mean value path
meanPath = [
    tanhLayer(Name="tanhMean");
    fullyConnectedLayer(50)
    reluLaver
    fullyConnectedLayer(prod(actInfo.Dimension));
    scalingLayer( ...
   Name="netMout", ...
   Scale=actInfo.UpperLimit) % scale to range
   ];
% Standard deviation path
sdevPath = [
    tanhLayer(Name="tanhStdv");
    fullyConnectedLayer(50)
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension));
    softplusLayer(Name="netSDout") % nonnegative
    ];
% Add layers to network object
```

```
actorNet = layerGraph;
actorNet = addLayers(actorNet,inPath);
actorNet = addLayers(actorNet,meanPath);
actorNet = addLayers(actorNet,sdevPath);
% Connect layers
actorNet = connectLayers(actorNet,"infc","tanhMean/in");
actorNet = connectLayers(actorNet,"infc","tanhStdv/in");
% Plot network
plot(actorNet)
```



Convert the network to a  ${\tt dlnetwork}$  object and display the number of learnable parameters (weights).

```
actorNet = dlnetwork(actorNet);
summary(actorNet)

Initialized: true

Number of learnables: 305

Inputs:
    1 'netObsIn' 2 features
```

Create the actor approximator object using actorNet and the environment specifications. For more information, on continuous Gaussian actors, see rlContinuousGaussianActor.

```
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
    ActionMeanOutputNames="netMout",...
    ActionStandardDeviationOutputNames="netSDout",...
    ObservationInputNames="netObsIn");
Check your actor with a random input observation.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-1.2332]}
Create the AC agent using the actor and the critic.
agent = rlACAgent(actor,critic)
agent =
  rlACAgent with properties:
            AgentOptions: [1x1 rl.option.rlACAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlNumericSpec]
              SampleTime: 1
Specify agent options, including training options for its actor and critic.
agent.AgentOptions.NumStepsToLookAhead = 32;
agent.AgentOptions.DiscountFactor=0.99;
agent.AgentOptions.CriticOptimizerOptions.LearnRate=8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold=1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate=8e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold=1;
Check your agent using a random input observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-1.5401]}
```

You can now test and train the agent within the environment.

#### Create a Discrete Actor-Critic Agent with Recurrent Neural Networks

For this example load the predefined environment used for the "Train DQN Agent to Balance Cart-Pole System" example. This environment has a four-dimensional observation vector (cart position and velocity, pole angle, and pole angle derivative), and a scalar action with two possible elements (a force of either -10 or +10 N applied on the cart).

```
env = rlPredefinedEnv("CartPole-Discrete");
```

Get observation and action information.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

To model the parametrized value function within the critic, use a recurrent neural network, which must have one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value).

Define the network as an array of layer objects. To create a recurrent network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
criticNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    lstmLayer(10)
    reluLayer
    fullyConnectedLayer(1)
];
```

Convert the network to a dlnetwork object and display the number of learnable parameters (weights).

```
criticNet = dlnetwork(criticNet);
summary(criticNet)

Initialized: true

Number of learnables: 611

Inputs:
    1 'sequenceinput' Sequence input with 4 dimensions
```

Create the critic approximator object using criticNet and the observation specification. For more information on value function approximators, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
```

Check the critic with a random input observation.

```
getValue(critic, {rand(obsInfo.Dimension)})
ans = single
   -0.0344
```

Since the critic has a recurrent network, the (discrete categorical) actor must also use a recurrent network. The network must have one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which must return a vector of probabilities for each possible action, as specified by actInfo).

Define the recurrent network as an array of layer objects.

```
actorNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
```

```
lstmLayer(20)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
Convert the network to a dlnetwork object and display the number of weights.
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
   Number of learnables: 2k
   Inputs:
      1
           'sequenceinput'
                             Sequence input with 4 dimensions
Create the actor using actorNet and the observation and action specifications. For more information
on discrete categorical actors, see rlDiscreteCategoricalActor.
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
Check the actor with a random input observation.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[10]}
Set some training options for the critic.
criticOpts = rlOptimizerOptions( ...
    LearnRate=8e-3, GradientThreshold=1);
Set some training options for the actor.
actorOpts = rlOptimizerOptions( ...
    LearnRate=8e-3,GradientThreshold=1);
Specify training options for the agent, and include actorOpts and criticOpts. Since the agent
uses recurrent neural networks, NumStepsToLookAhead is treated as the training trajectory length.
agentOpts = rlACAgentOptions( ...
    NumStepsToLookAhead=32, ...
    DiscountFactor=0.99, ...
    CriticOptimizerOptions=criticOpts, ...
    ActorOptimizerOptions=actorOpts);
Create an AC agent using the actor, the critic, and the agent options object.
agent = rlACAgent(actor,critic,agentOpts)
agent =
  rlACAgent with properties:
            AgentOptions: [1x1 rl.option.rlACAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
```

```
ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
SampleTime: 1
```

To check your agent, return the action from a random observation.

```
getAction(agent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[10]}
```

To evaluate the agent using sequential observations, use the sequence length (time) dimension. For example, obtain actions for a sequence of 9 observations.

```
[action,state] = getAction(agent, ...
{rand([obsInfo.Dimension 1 9])});
```

Display the action corresponding to the seventh element of the observation.

```
action = action{1};
action(1,1,1,7)
ans = -10
```

You can now test and train the agent within the environment.

### **Configure Options for A3C Training**

To train an agent using the asynchronous advantage actor-critic (A3C) method, you must set the agent and parallel training options appropriately.

When creating the AC agent, set the NumStepsToLookAhead value to be greater than 1. Common values are 64 and 128.

```
agentOpts = rlACAgentOptions(NumStepsToLookAhead=64);
```

Use agentOpts when creating your agent. Alternatively, create your agent first and then modify its options, including the actor and critic options later using dot notation.

Configure the training algorithm to use asynchronous parallel training.

```
trainOpts = rlTrainingOptions(UseParallel=true);
trainOpts.ParallelizationOptions.Mode = "async";
```

Configure the workers to return gradient data to the host. Also, set the number of steps before the workers send data back to the host to match the number of steps to look ahead.

```
trainOpts.ParallelizationOptions.DataToSendFromWorkers = ...
    "gradients";
trainOpts.ParallelizationOptions.StepsUntilDataIsSent = ...
    agentOpts.NumStepsToLookAhead;
```

Use trainOpts when training your agent.

For an example on asynchronous advantage actor-critic agent training, see "Train AC Agent to Balance Cart-Pole System Using Parallel Computing".

### **Tips**

• For continuous action spaces, the rlACAgent object does not enforce the constraints set by the action specification, so you must enforce action space constraints within the environment.

# **Version History**

Introduced in R2019a

### See Also

### Apps

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

getAction|getActor|getCritic|generatePolicyFunction|generatePolicyBlock|
getActionInfo|getObservationInfo

### **Objects**

rlACAgentOptions | rlAgentInitializationOptions | rlValueFunction |
rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlPGAgent | rlPPOAgent |
rlTRPOAgent

### **Blocks**

RL Agent | Policy

#### Topics

"Train AC Agent to Balance Cart-Pole System"
"Train Reinforcement Learning Agents"
"Actor-Critic (AC) Agents"
"Reinforcement Learning Agents"

# rlACAgentOptions

Options for AC agent

# **Description**

Use an rlACAgentOptions object to specify options for creating actor-critic (AC) agents. To create an actor-critic agent, use rlACAgent

For more information see "Actor-Critic (AC) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

# **Syntax**

opt = rlACAgentOptions
opt = rlACAgentOptions(Name, Value)

### **Description**

opt = rlACAgentOptions creates a default option set for an AC agent. You can modify the object
properties using dot notation.

opt = rlACAgentOptions(Name, Value) sets option properties on page 3-41 using name-value pairs. For example, rlDQNAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

# **Properties**

### NumStepsToLookAhead — Number of steps ahead

32 (default) | positive integer

Number of steps the agent interacts with the environment before learning from its experience, specified as a positive integer. When the agent uses a recurrent neural network, NumStepsToLookAhead is treated as the training trajectory length. When the agent is trained in parallel, NumStepsToLookAhead is ignored, and the whole episode is used to compute the gradients.

### EntropyLossWeight — Entropy loss weight

0 (default) | scalar value between 0 and 1

Entropy loss weight, specified as a scalar value between 0 and 1. A higher entropy loss weight value promotes agent exploration by applying a penalty for being too certain about which action to take. Doing so can help the agent move out of local optima.

When gradients are computed during training, an additional gradient component is computed for minimizing this loss function.

### ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### SampleTime — Sample time of agent

```
1 (default) | positive scalar | -1
```

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent

# **Examples**

#### Create AC Agent Options Object

Create an AC agent options object, specifying the discount factor.

```
opt = rlACAgentOptions(DiscountFactor=0.95)
opt =
  rlACAgentOptions with properties:
```

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

### **Configure Options for A3C Training**

To train an agent using the asynchronous advantage actor-critic (A3C) method, you must set the agent and parallel training options appropriately.

When creating the AC agent, set the NumStepsToLookAhead value to be greater than 1. Common values are 64 and 128.

```
agentOpts = rlACAgentOptions(NumStepsToLookAhead=64);
```

Use agentOpts when creating your agent. Alternatively, create your agent first and then modify its options, including the actor and critic options later using dot notation.

Configure the training algorithm to use asynchronous parallel training.

```
trainOpts = rlTrainingOptions(UseParallel=true);
trainOpts.ParallelizationOptions.Mode = "async";
```

Configure the workers to return gradient data to the host. Also, set the number of steps before the workers send data back to the host to match the number of steps to look ahead.

```
trainOpts.ParallelizationOptions.DataToSendFromWorkers = ...
    "gradients";
trainOpts.ParallelizationOptions.StepsUntilDataIsSent = ...
    agentOpts.NumStepsToLookAhead;
```

Use trainOpts when training your agent.

For an example on asynchronous advantage actor-critic agent training, see "Train AC Agent to Balance Cart-Pole System Using Parallel Computing".

# **Version History**

Introduced in R2019a

**R2022a:** Simulation and deployment: UseDeterministicExploitation will be removed  $Warns\ starting\ in\ R2022a$ 

The property UseDeterministicExploitation of the rlACAgentOptions object will be removed in a future release. Use the UseExplorationPolicy property of rlACAgent instead.

Previously, you set UseDeterministicExploitation as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.AgentOptions.UseDeterministicExploitation = true;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.AgentOptions.UseDeterministicExploitation = false;
```

Starting in R2022a, set UseExplorationPolicy as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.UseExplorationPolicy = false;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.UseExplorationPolicy = true;
```

Similarly to UseDeterministicExploitation, UseExplorationPolicy affects only simulation and deployment; it does not affect training.

### R2020b: Default value for NumStepsToLookAhead changed to 32

Behavior change in future release

A value of 32 for this property should work better than 1 for most environments. If you nave MATLAB R2020b or a later version and you want to reproduce how rlACAgent behaved on versions prior to R2020b, set this value to 1.

### See Also

#### **Objects**

rlACAgent | rlAgentInitializationOptions

#### Topics

"Train AC Agent to Balance Cart-Pole System"

"Train Reinforcement Learning Agents"

"Actor-Critic (AC) Agents"

"Reinforcement Learning Agents"

# rlAdditiveNoisePolicy

Policy object to generate continuous noisy actions for custom training loops

# **Description**

This object implements an additive noise policy, which returns continuous deterministic actions with added noise, given an input observation. You can create an rlAdditiveNoisePolicy object from an rlContinuousDeterministicActor or extract it from an rlDDPGAgent or rlTD3Agent. You can then train the policy object using a custom training loop. If UseNoisyAction is set to 0 the policy does not explore. This object is not compatible with generatePolicyBlock and generatePolicyFunction. For more information on policies and value functions, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
policy = rlAdditiveNoisePolicy(actor)
policy = rlAdditiveNoisePolicy(actor,NoiseType=noiseType)
```

### Description

policy = rlAdditiveNoisePolicy(actor) creates the additive noise policy object policy from the continuous deterministic actor actor. It also sets the Actor property of policy to the input argument actor.

policy = rlAdditiveNoisePolicy(actor,NoiseType=noiseType) specifies the type of noise
distribution for the policy. noiseType can be either "gaussian" (Gaussian noise) or "ou"
(Ornstein-Uhlenbeck noise). This syntax also sets the NoiseType property of policy to the input
argument noiseType.

# **Properties**

### Actor — Continuous deterministic actor

rlContinuousDeterministicActor object

Continuous deterministic actor, specified as an rlContinuousDeterministicActor object.

# NoiseType — Noise type

```
"gaussian" (default) | "ou"
```

Noise type, specified as either "gaussian" (default, Gaussian noise) or "ou" (Ornstein-Uhlenbeck noise). For more information on noise models, see "Noise Models" on page 3-422.

```
Example: "ou"
```

### NoiseOptions — Noise model options

GaussianActionNoise object (default) | OrnsteinUhlenbeckActionNoise object

Noise model options, specified as a GaussianActionNoise object or an OrnsteinUhlenbeckActionNoise object. Changing the noise state or any noise option of an rlAdditiveNoisePolicy object deployed through code generation is not supported.

For more information on noise models, see "Noise Models" on page 3-422.

### EnableNoiseDecay — Option to enable noise decay

true (default) | false

Option to enable noise decay, specified as a logical value: either true (default, enabling noise decay) or false (disabling noise decay).

Example: false

### UseNoisyAction — Option to enable noisy action

true (default) | false

Option to enable noisy actions, specified as a logical value: either true (default, adding noise to actions, which helps exploration) or false (no noise is added to the actions). When noise is not added to the actions the policy is deterministic and therefore it does not explore.

Example: false

### ObservationInfo — Observation specifications

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation channels.

### ActionInfo — Action specifications

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

#### SampleTime — Sample time of policy

positive scalar | -1 (default)

Sample time of the policy, specified as a positive scalar or as -1 (default). Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the policy is specified executes every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the policy is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience. If SampleTime is -1, the sample time is treated as being equal to 1.

Example: 0.2

### **Object Functions**

getAction Obtain action from agent, actor, or policy object given environment

observations

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

reset Reset environment, agent, experience buffer, or policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

# **Examples**

### Create Additive Noise Policy from Continuous Deterministic Actor

Create observation and action specification objects. For this example, define the observation and action spaces as continuous four- and two-dimensional spaces, respectively.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([2 1]);
```

Alternatively, use getObservationInfo and getActionInfo to extract the specification objects from an environment.

Create a continuous deterministic actor. This actor must accept an observation as input and return an action as output.

To approximate the policy function within the actor, use a deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects.

```
layers = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))
    l;
```

Convert the network to a dlnetwork object and display the number of weights.

Create the actor using model, and the observation and action specifications.

```
actor = rlContinuousDeterministicActor(model,obsInfo,actInfo)
actor =
  rlContinuousDeterministicActor with properties:
```

```
ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlNumericSpec]
          UseDevice: "cpu"
Check the actor with a random observation input.
act = getAction(actor, {rand(obsInfo.Dimension)});
act{1}
ans = 2x1 single column vector
    0.4013
    0.0578
Create a policy object from actor.
policy = rlAdditiveNoisePolicy(actor)
policy =
  rlAdditiveNoisePolicy with properties:
               Actor: [1x1 rl.function.rlContinuousDeterministicActor]
           NoiseType: "gaussian"
        NoiseOptions: [1x1 rl.option.GaussianActionNoise]
    EnableNoiseDecay: 1
      UseNoisyAction: 1
     ObservationInfo: [1x1 rl.util.rlNumericSpec]
          ActionInfo: [1x1 rl.util.rlNumericSpec]
          SampleTime: -1
You can access the policy options using dot notation. For example, change the upper and lower limits
of the distribution.
policy.NoiseOptions.LowerLimit = -3;
policy.NoiseOptions.UpperLimit = 3;
```

```
Check the policy with a random observation input.
act = getAction(policy,{rand(obsInfo.Dimension)});
act{1}
ans = 2 \times 1
    0.1878
   -0.1645
```

You can now train the policy with a custom training loop and then deploy it to your application.

### Create Additive Noise Policy Specifying Noise Model

Create observation and action specification objects. For this example, define the observation and action spaces as continuous three- and one-dimensional spaces, respectively.

```
obsInfo = rlNumericSpec([3 1]);
actInfo = rlNumericSpec([1 1]);
```

Alternatively, use getObservationInfo and getActionInfo to extract the specification objects from an environment

Create a continuous deterministic actor. This actor must accept an observation as input and return an action as output.

To approximate the policy function within the actor, use a deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects.

```
layers = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(9)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))
];
```

Convert the network to a dlnetwork object and display the number of weights.

Create the actor using model, and the observation and action specifications.

```
actor = rlContinuousDeterministicActor(model,obsInfo,actInfo)
actor =
   rlContinuousDeterministicActor with properties:
```

```
ObservationInfo: [1x1 rl.util.rlNumericSpec]
    ActionInfo: [1x1 rl.util.rlNumericSpec]
    UseDevice: "cpu"
```

Check the actor with a random observation input.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
ans = single
    -0.2535
```

Create a policy object from actor, specifying an Ornstein-Uhlenbeck probability distribution for the noise.

```
policy = rlAdditiveNoisePolicy(actor,NoiseType="ou")
policy =
    rlAdditiveNoisePolicy with properties:
```

```
Actor: [1x1 rl.function.rlContinuousDeterministicActor]
NoiseType: "ou"
NoiseOptions: [1x1 rl.option.OrnsteinUhlenbeckActionNoise]
EnableNoiseDecay: 1
UseNoisyAction: 1
ObservationInfo: [1x1 rl.util.rlNumericSpec]
ActionInfo: [1x1 rl.util.rlNumericSpec]
SampleTime: -1
```

You can access the policy options using dot notation. For example, change the standard deviation of the distribution.

```
policy.NoiseOptions.StandardDeviation = 0.6;
Check the policy with a random observation input.
act = getAction(policy,{rand(obsInfo.Dimension)});
act{1}
ans = -0.1625
```

You can now train the policy with a custom training loop and then deploy it to your application.

# **Version History**

Introduced in R2022a

### See Also

#### **Functions**

getGreedyPolicy|getExplorationPolicy|generatePolicyBlock|
generatePolicyFunction|getAction|getLearnableParameters|
setLearnableParameters

### **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlStochasticActorPolicy | rlTD3Agent | rlDDPGAgent

### **Blocks**

Policy | RL Agent

#### **Topics**

"Model-Based Reinforcement Learning Using Custom Training Loop"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Policies and Value Functions"

# rlAgentInitializationOptions

Options for initializing reinforcement learning agents

# **Description**

Use the rlAgentInitializationOptions object to specify initialization options for an agent. To create an agent, use the specific agent creation function, such as rlACAgent.

## Creation

## **Syntax**

```
initOpts = rlAgentInitializationOptions
initOpts = rlAgentInitializationOptions(Name, Value)
```

### **Description**

initOpts = rlAgentInitializationOptions returns a default options object for initializing a reinforcement learning agent that supports default networks. Use the initialization options to specify agent initialization parameters, such as the number of units for each hidden layer of the agent networks and whether to use a recurrent neural network.

initOpts = rlAgentInitializationOptions(Name, Value) creates an initialization options
object and sets its properties on page 3-51 by using one or more name-value pair arguments.

# **Properties**

### NumHiddenUnit — Number of units in each hidden fully connected layer

256 (default) | positive integer

Number of units in each hidden fully connected layer of the agent networks, except for the fully connected layer just before the network output, specified as a positive integer. The value you set also applies to any LSTM layers.

Example: 'NumHiddenUnit',64

### UseRNN — Flag to use recurrent neural network

false (default) | true

Flag to use recurrent neural network, specified as a logical.

If you set UseRNN to true, during agent creation the software inserts a recurrent LSTM layer with the output mode set to sequence in the output path of the agent networks. Policy gradient and actor-critic agents do not support recurrent neural networks. For more information on LSTM, see "Long Short-Term Memory Neural Networks".

**Note** TRPO agents do not support recurrent networks.

Example: 'UseRNN', true

### **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlDDPGAgent Deep deterministic policy gradient (DDPG) reinforcement learning agent

rlDQNAgent Deep Q-network (DQN) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

rlTD3Agent Twin-delayed deep deterministic (TD3) policy gradient reinforcement learning agent

rlSACAgent Soft actor-critic (SAC) reinforcement learning agent

rlTRPOAgent Trust region policy optimization (TRPO) reinforcement learning agent

# **Examples**

### **Create Agent Initialization Options Object**

Create an agent initialization options object, specifying the number of hidden neurons and use of a recurrent neural network.

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=64,UseRNN=true)
```

You can modify the options using dot notation. For example, set the agent sample time to 0.5.

```
initOpts.NumHiddenUnit = 128
```

```
initOpts =
  rlAgentInitializationOptions with properties:
    NumHiddenUnit: 128
        UseRNN: 1
```

To create your agent, use initOpts as an input argument of an agent constructor function.

# **Version History**

Introduced in R2020b

### See Also

### **Objects**

 $\verb|rlACAgent|| \verb|rlDDPGAgent|| \verb|rlDDNAgent|| \verb|rlPPOAgent|| \verb|rlTD3Agent|| \verb|rlTRPOAgent|| \\$ 

**Topics** "Reinforcement Learning Agents"

# rlBehaviorCloningRegularizerOptions

Regularizer options object to train DDPG, TD3 and SAC agents

# **Description**

Use an rlBehaviorCloningRegularizerOptions object to specify behavioral cloning regularizer options to train a DDPG, TD3, or SAC agent. The only option you can specify is the regularizer weight, which balances the actor loss with the behavioral cloning penalty and is mostly useful to train agents offline (specifically to deal with possible differences between the probability distribution of the dataset and the one generated by the environment). To enable the behavioral cloning regularizer when training an agent, set the BatchDataRegularizerOptions property of the agent options object to an rlBehaviorCloningRegularizerOptions object that has your preferred regularizer weight.

# Creation

## **Syntax**

bcOpts = rlBehaviorCloningRegularizerOptions
bcOpts = rlBehaviorCloningRegularizerOptions(Name=Value)

### **Description**

bcOpts = rlBehaviorCloningRegularizerOptions returns a default behavioral cloning regularizer options set.

bcOpts = rlBehaviorCloningRegularizerOptions(Name=Value) creates the behavioral cloning regularizer option set bcOpts and sets its properties using one or more name-value arguments.

# **Properties**

# BehaviorCloningRegularizerWeight — Behavioral cloning regularizer weight

2.5 (default) | positive scalar

Behavioral cloning regularizer weight, specified as a positive scalar. This weight controls the trade-off between the actor loss and the behavioral cloning penalty.

Specifically, the behavioral cloning regularizer  $k^2(\pi(s_i)-a_i)^2$  is added to the actor loss  $L_{actor}$ , where  $a_i$  is an action from the minibatch (which stores N experiences) and  $\pi(s_i)$  is an action from the current actor given the observation  $s_i$  (also taken from the minibatch). The actor is therefore updated to minimize the loss function,  $L'_{actor}$ :

$$L'_{actor} = \frac{1}{N} \sum_{i=1}^{N} \left( \lambda L_{actor}(s_i, \pi(s_i)) + k^2 (\pi(s_i) - a_i)^2 \right)$$

Here the normalization term  $\lambda$  depends on the behavioral cloning weight  $W_{bc}$ , which regulates the importance of the standard  $L_{actor}$  factor:

$$\lambda = \frac{W_{bc}}{\frac{1}{N} \sum_{i=1}^{N} |Q(s_i, a_i)|}$$

The scaling factor k scales the regularization term to the appropriate action range:

$$k = \frac{2}{A_{mx} - A_{mn}}$$

Here  $A_{mx}$  and  $A_{mn}$  are the upper and lower limits of the action range. These limits are taken from the action specifications (or are otherwise estimated if unavailable).

To set  $W_{bc}$ , assign a value to the BehaviorCloningRegularizerWeight property of the rlBehaviorCloningRegularizerOptions object. For more information, see [1].

Example: BehaviorCloningRegularizerWeight=5

## **Object Functions**

## **Examples**

### Create Behavioral Cloning Regularizer Options Object

Create an rlBehaviorCloningRegularizerOptions object specifying the BehaviorCloningRegularizerWeight.

```
opt = rlBehaviorCloningRegularizerOptions( ...
    BehaviorCloningRegularizerWeight=5)

opt =
    rlBehaviorCloningRegularizerOptions with properties:
    BehaviorCloningRegularizerWeight: 5
```

You can modify options using dot notation. For example, set BehaviorCloningRegularizerWeight to 3.

```
opt.BehaviorCloningRegularizerWeight = 3;
```

To specify this behavioral cloning option set for an agent, first create the agent options object. For this example, create a default rlTD3AgentOptions object for a TD3 agent.

```
agentOpts = rlTD3AgentOptions;
```

Then, assign the rlBehaviorCloningRegularizerOptions object to the BatchDataRegularizerOptions property.

```
agentOpts.BatchDataRegularizerOptions = opt;
```

When you create the agent, use agentOpts as the last input argument for the agent constructor function rlTD3Agent.

# **Version History**

Introduced in R2023a

### References

[1] Fujimoto, Scott, and Shixiang Shane Gu. "A minimalist approach to offline reinforcement learning." *Advances in Neural Information Processing Systems* 34 (2021): 20132-20145.

### See Also

### **Objects**

rlConservativeQLearningOptions | rlDDPGAgentOptions | rlTD3AgentOptions |
rlSACAgentOptions

### **Topics**

- "Soft Actor-Critic (SAC) Agents"
- "Deep Deterministic Policy Gradient (DDPG) Agents"
- "Twin-Delayed Deep Deterministic (TD3) Policy Gradient Agents"

# rlConservativeQLearningOptions

Regularizer options object to train DQN and SAC agents

# **Description**

Use an rlConservativeQLearningOptions object to specify conservative Q-learning regularizer options to train a DQN or SAC agents. The options you can specify are the minimum weight and the number of random actions used for Q-value compensation, and are mostly useful to train agents offline (specifically to deal with possible differences between the probability distribution of the dataset and the one generated by the environment). To enable the conservative Q-learning regularizer when training an agent, set the BatchDataRegularizerOptions property of the agent options object to a rlConservativeQLearningOptions object (that has your preferred minimum weight and number of samples).

## Creation

## **Syntax**

cq0pts = rlConservativeQLearningOptions
cq0pts = rlConservativeQLearningOptions(Name=Value)

### **Description**

cqOpts = rlConservativeQLearningOptions returns a default conservative Q-learning
regularizer options set.

cqOpts = rlConservativeQLearningOptions(Name=Value) creates the conservative Qlearning regularizer option set cqOpts and sets its properties using one or more name-value arguments.

# **Properties**

#### MinQValueWeight — Weight used for Q-value compensation

1 (default) | positive scalar

Weight used for Q-value compensation, specified as a positive scalar. For more information, see "Algorithms" on page 3-58.

Example: MinQValueWeight=0.1

# NumSampledActions — Number of sampled actions used for Q-value compensation 10 (default) | positive integer

Number of sampled actions used for Q-value compensation, specified as a positive integer. This is the number of random actions used to estimate the logarithm of the sum of Q-values for the SAC agent. For more information see "Continuous Actions Regularizer (SAC)" on page 3-59.

Example: NumSampledActions=30

## **Object Functions**

## **Examples**

### **Create Conservative Q-Learning Options Object**

Create an rlConservativeQLearningOptions object specifying the weight to be used for Q-value compensation.

```
opt = rlConservativeQLearningOptions( ...
    MinQValueWeight=5)

opt =
    rlConservativeQLearningOptions with properties:
        MinQValueWeight: 5
        NumSampledActions: 10
```

You can modify options using dot notation. For example, set NumSampledActions to 20.

```
opt.NumSampledActions = 20;
```

To specify this behavioral cloning option set for an agent, first create the agent options object. For this example, create a default rlDQNAgentOptions object for a DQN agent.

```
agentOpts = rlDQNAgentOptions;
```

Then, assign the rlBehaviorCloningRegularizerOptions object to the BatchDataRegularizerOptions property.

```
agentOpts.BatchDataRegularizerOptions = opt;
```

When you create the agent, use agentOpts as the last input argument for the agent constructor function rlDQNAgent.

# **Algorithms**

In conservative Q-learning the regularizer that is added to the critic loss relies on the difference between the expected Q-values of the actions from the current policy and the Q-values of the actions from the data set.

### **Discrete Actions Regularizer (DQN)**

For an agent with a discrete action space, the resulting loss function that the agents minimizes is the following:

$$L = W_{cq} \frac{1}{M} \sum_{i=1}^{M} \left( \log \left( \sum_{a \in A} \exp(Q(s_i, a)) \right) - Q(s_i, a_i) + \frac{1}{2} (Q(s_i, a_i) - y_i)^2 \right)$$

Here, A is the set of all possible actions, M is the number of experiences in the minibatch,  $s_i$  is an observation stored in the minibatch, and  $y_i$  the target Q-value corresponding to  $Q(s_i, a_i)$ .

To set  $W_{cq}$ , assign a value to the MinQValueWeight property of the rlConservativeQLearningOptions object. For more information, see [1].

### **Continuous Actions Regularizer (SAC)**

Similarly to the discrete action case, for an agent with a continuous action space, the resulting loss function that the agents minimizes is the following:

$$L = \frac{1}{M} \sum_{i=1}^{M} \left( W_{cq} \left( \log \left( \sum_{A} \exp(Q(s_i, a)) \right) - Q(s_i, a_i) \right) + \frac{1}{2} (Q(s_i, a_i) - y_i)^2 \right)$$

Here A is the (continuous) action set, M the number of experiences in the minibatch,  $s_i$  an observation stored in the minibatch, and  $y_i$  the target Q-value corresponding to  $Q(s_i,a_i)$ . The first, logarithmic, term in the sum is properly defined for a continuous action space in [1] and is approximated as follows:

$$\begin{split} \log \left( \sum_{A} \exp(Q(s_i, a)) \right) &\approx \log \left( \frac{1}{2N} \sum_{a_k \sim Unif(A_{mn}, A_{mx})}^{N} \frac{\exp(Q(s_i, a_k))}{f_{Unif(A_{mn}, A_{mx})}(a_k)} \right) \\ &+ \frac{1}{2N} \sum_{a_h \sim \pi(\cdot \mid s_i)}^{N} \frac{\exp(Q(s_i, a_h))}{\pi(\cdot \mid s_i)} \right) \end{split}$$

In this second equation,  $Unif(A_{mn},A_{mx})$  is an uniform distribution of action values from  $A_{mn}$  to  $A_{mx}$ , which are the lower and upper limits of the action range. These limits are taken from the action specifications (or are otherwise estimated if unavailable). The probability density function of the distribution, evaluated at  $a_k$  is:

$$f_{Unif(A_{mn}, A_{mx})}(a_k) = \frac{1}{A_{mx} - A_{mn}}$$

Finally,  $\pi(\bullet|s_i)$  is the distribution of the current policy given  $s_i$ .

To set  $W_{cq}$  in the first equation, assign a value to the MinQValueWeight property of the rlConservativeQLearningOptions object.

To set N (the number of actions to be sampled to estimate the logarithm term in the second equation), assign a value to the NumSampledActions property of the rlConservativeQLearningOptions object.

For more information, see [1].

# **Version History**

Introduced in R2023a

### References

[1] Kumar, Aviral, Aurick Zhou, George Tucker, and Sergey Levine. "Conservative q-learning for offline reinforcement learning." *Advances in Neural Information Processing Systems* 33 (2020): 1179-1191.

# See Also

# Objects

rlDQNAgentOptions | rlSACAgentOptions | rlBehaviorCloningRegularizerOptions

### **Topics**

"Deep Q-Network (DQN) Agents" "Soft Actor-Critic (SAC) Agents"

# rlContinuousDeterministicActor

Deterministic actor with a continuous action space for reinforcement learning agents

# **Description**

This object implements a function approximator to be used as a deterministic actor within a reinforcement learning agent with a continuous action space. A continuous deterministic actor takes an environment observation as input and returns as output an action that is a parametrized deterministic function of the observation, thereby implementing a parametrized deterministic policy. After you create an rlContinuousDeterministicActor object, use it to create a suitable agent, such as rlDDPGAgent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
actor = rlContinuousDeterministicActor(net,observationInfo,actionInfo)
actor = rlContinuousDeterministicActor(net,observationInfo,
actionInfo,ObservationInputNames=netObsNames)
actor = rlContinuousDeterministicActor({basisFcn,W0},observationInfo,actionInfo)
actor = rlContinuousDeterministicActor(____,UseDevice=useDevice)
```

### Description

actor = rlContinuousDeterministicActor(net,observationInfo,actionInfo) creates a continuous deterministic actor object using the deep neural network net as underlying approximation model. For this actor, actionInfo must specify a continuous action space. The network input layers are automatically associated with the environment observation channels according to the dimension specifications in observationInfo. The network must have a single output layer with the same data type and dimensions as the action specified in actionInfo. This function sets the ObservationInfo and ActionInfo properties of actor to the observationInfo and actionInfo input arguments, respectively.

actor = rlContinuousDeterministicActor(net,observationInfo, actionInfo,ObservationInputNames=netObsNames) specifies the names of the network input layers to be associated with the environment observation channels. The function assigns, in sequential order, each environment observation channel specified in observationInfo to the layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

actor = rlContinuousDeterministicActor({basisFcn,W0},observationInfo,
actionInfo) creates a continuous deterministic actor object using a custom basis function as

underlying approximation model. The first input argument is a two-element cell array whose first element is the handle basisFcn to a custom basis function and whose second element is the initial weight vector W0. This function sets the ObservationInfo and ActionInfo properties of actor to the observationInfo and actionInfo input arguments, respectively.

actor = rlContinuousDeterministicActor(\_\_\_\_, UseDevice=useDevice) specifies the device used to perform computational operations on the actor object, and sets the UseDevice property of actor to the useDevice input argument. You can use this syntax with any of the previous input-argument combinations.

### **Input Arguments**

### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object (preferred)

Deep neural network used as the underlying approximation model within the actor, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

**Note** Among the different network representation options, <code>dlnetwork</code> is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a <code>dlnetwork</code> object. However, best practice is to convert other representations to <code>dlnetwork</code> explicitly <code>before</code> using it to create a critic or an actor for a reinforcement learning agent. You can do so using <code>dlnet=dlnetwork(net)</code>, where <code>net</code> is any Deep Learning Toolbox neural network object. The resulting <code>dlnet</code> is the <code>dlnetwork</code> object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

The network must have as many input layers as the number of environment observation channels (with each input layer receiving input from an observation channel), and a single output layer returning the action.

rlContinuousDeterministicActor objects support recurrent deep neural networks. For an example, see "Create Deterministic Actor from Recurrent Neural Network" on page 3-69.

The learnable parameters of the actor are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

# ${\tt net0bsNames-Network\ input\ layers\ names\ corresponding\ to\ the\ environment\ observation\ channels}$

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels. When you use the pair value arguments 'ObservationInputNames' with netObsNames, the function assigns, in

sequential order, each environment observation channel specified in observationInfo to each network input layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

```
Example: {"NetInput1 airspeed", "NetInput2 altitude"}
```

### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The action to be taken based on the current observation, which is the output of the actor, is the vector a = W'\*B, where W is a weight matrix containing the learnable parameters and B is the column vector returned by the custom basis function.

Your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are inputs in the same order and with the same data type and dimensions as the environment observation channels defined in observationInfo.

```
Example: @(obs1,obs2,obs3) [obs3(2)*obs1(1)^2; abs(obs2(5)+obs3(1))]
```

### W0 — Initial value of basis function weights

matrix

Initial value of the basis function weights W, specified as a matrix having as many rows as the length of the vector returned by the basis function and as many columns as the dimension of the action space.

# **Properties**

### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlContinuousDeterministicActor sets the ObservationInfo property of actor to the input observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

### ActionInfo — Action specifications

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

rlContinuousDeterministicActor sets the ActionInfo property of actor to the input observationInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually.

For custom basis function representations, the action signal must be a scalar, a column vector, or a discrete action.

### UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA® enabled NVIDIA® GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: "gpu"

### **Object Functions**

rlDDPGAgent	Deep deterministic policy gradient (DDPG) reinforcement learning agent
rlTD3Agent	Twin-delayed deep deterministic (TD3) policy gradient reinforcement

learning agent

getAction Obtain action from agent, actor, or policy object given environment

observations

evaluate Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

bject

setModel Set function approximation model for actor or critic getModel Get function approximator model from actor or critic

### **Examples**

### **Create Continuous Deterministic Actor from Deep Neural Network**

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

A continuous deterministic actor implements a parametrized deterministic policy for a continuous action space. This actor takes the current observation as input and returns as output an action that is a deterministic function of the observation.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which returns the action to the environment action channel, as specified by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects.

```
net = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(32)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))
];
```

Convert the network to a dlnetwork object and display the number of learnable parameters.

Create the actor object with rlContinuousDeterministicActor, using the network and the observation and action specification objects as input arguments. The network input layer is automatically associated with the environment observation channel according to the dimension specifications in obsInfo.

```
actor = rlContinuousDeterministicActor( ...
    net, ...
    obsInfo, ...
    actInfo)
```

```
actor =
  rlContinuousDeterministicActor with properties:
   ObservationInfo: [1x1 rl.util.rlNumericSpec]
        ActionInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

To check your actor, use getAction to return the action from a random observation, using the current network weights.

You can now use the actor (along with a critic) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a continuous deterministic actor, are rlDDPGAgent and rlTD3Agent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

### Create Continuous Deterministic Actor Specifying Network Input Layer Name

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

A continuous deterministic actor implements a parametrized deterministic policy for a continuous action space. This actor takes the current observation as input and returns an action as output.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which returns the action to the environment action channel, as specified by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects. Name the network input layer netObsIn so you can later explicitly associate it to the observation input channel.

```
net = [
    featureInputLayer(obsInfo.Dimension(1),Name="netObsIn")
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))];
```

Convert the network to a dlnetwork object, and display the number of learnable parameters.

Create the actor object with rlContinuousDeterministicActor, using the network, the observation and action specification objects, and the name of the network input layer to be associated with the environment observation channel.

To check your actor, use getAction to return the action from a random observation, using the current network weights.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
ans = 2x1 single column vector
    0.4013
    0.0578
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a continuous deterministic actor, are rlDDPGAgent and rlTD3Agent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

## **Create Continuous Deterministic Actor from Custom Basis Function**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as

consisting of two environment channels, the first containing a two-by-two continuous matrix and the second containing a scalar that can be only 0 or 1.

Create a continuous action space specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous three-dimensional space, so that the environment action channel carries a column vector containing three doubles.

```
actInfo = rlNumericSpec([3 1]);
```

A continuous deterministic actor implements a parametrized deterministic policy for a continuous action space. This actor takes the current observation as input and returns as output an action that is a deterministic function of the observation.

To model the parametrized policy within the actor, use a custom basis function with two input arguments (one for each observation channel).

The output of the actor is the vector W'\*myBasisFcn(obsA,obsB), which is the action taken as a result of the given observation. The weight matrix W contains the learnable parameters and must have as many rows as the length of the basis function output and as many columns as the dimension of the action space.

Define an initial parameter matrix.

```
W0 = rand(4,3);
```

Create the actor. The first argument is a two-element cell containing both the handle to the custom function and the initial weight matrix. The second and third arguments are, respectively, the observation and action specification objects.

```
actor = rlContinuousDeterministicActor({myBasisFcn,W0},obsInfo,actInfo)
actor =
    rlContinuousDeterministicActor with properties:

    ObservationInfo: [2x1 rl.util.RLDataSpec]
         ActionInfo: [1x1 rl.util.rlNumericSpec]
         UseDevice: "cpu"
```

To check your actor, use the **getAction** function to return the action from a given observation, using the current parameter matrix.

```
a = getAction(actor,{rand(2,2),0})
a = 1x1 cell array
{3x1 double}
a{1}
```

```
ans = 3 \times 1
1.3192
0.8420
1.5053
```

Note that the actor does not enforce the set constraint for the discrete set elements.

```
a = getAction(actor, {rand(2,2),-1});
a{1}
ans = 3×1
2.7890
1.8375
3.0855
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given specification objects. Examples of agents that can work with a mixed observation space, a continuous action space, and use a continuous deterministic actor, are rlDDPGAgent and rlTD3Agent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Deterministic Actor from Recurrent Neural Network

Create observation and action information. You can also obtain these specifications from an environment. For this example, define the observation space as a continuous four-dimensional space, so that a single observation channel carries a column vector containing four doubles, and the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([2 1]);
```

A continuous deterministic actor implements a parametrized deterministic policy for a continuous action space. This actor takes the current observation as input and returns as output an action that is a deterministic function of the observation.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which returns the action to the environment action channel, as specified by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects. Since this network is recurrent, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
net = [
    sequenceInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(10)
    reluLayer
```

```
lstmLayer(8,OutputMode="sequence")
fullyConnectedLayer(20)
fullyConnectedLayer(actInfo.Dimension(1))
tanhLayer
];
```

Convert the network to a dlnetwork object and display the number of learnable parameters.

```
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 880

Inputs:
    1 'sequenceinput' Sequence input with 4 dimensions
```

Create a deterministic actor representation for the network.

```
actor = rlContinuousDeterministicActor( ...
    net, ...
    obsInfo, ...
    actInfo);
```

To check your actor, use getAction to return the action from a random observation, given the current network weights.

You can use getState and setState to extract and set the current state of the recurrent neural network in the actor.

```
getState(actor)
```

```
ans=2×1 cell array
    {8x1 single}
    {8x1 single}

actor = setState(actor, ...
    {-0.01*single(rand(8,1)), ...
        0.01*single(rand(8,1))};
```

To evaluate the actor using sequential observations, use the sequence length (time) dimension. For example, obtain actions for 5 independent sequences each one consisting of 9 sequential observations.

```
[action,state] = getAction(actor, ...
{rand([obsInfo.Dimension 5 9])});
```

Display the action corresponding to the seventh element of the observation sequence in the fourth sequence.

```
action = action{1};
action(1,1,4,7)

ans = single
   -0.2426
```

Display the updated state of the recurrent neural network.

#### state

```
state=2×1 cell array
    {8x5 single}
    {8x5 single}
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a continuous deterministic actor, are rlDDPGAgent and rlTD3Agent.

For more information on input and output format for recurrent neural networks, see the Algorithms section of lstmLayer. For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

getAction|getModel|evaluate|getActionInfo|getObservationInfo

#### **Objects**

rlNumericSpec | rlFiniteSetSpec | rlContinuousGaussianActor |
rlDiscreteCategoricalActor | rlTD3Agent | rlDDPGAgent

#### **Topics**

"Train DDPG Agent to Control Double Integrator System"

"Train TD3 Agent for PMSM Control"

"Load Predefined Control System Environments"

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# rlContinuousDeterministicRewardFunction

Deterministic reward function approximator object for neural network-based environment

# **Description**

When creating a neural network-based environment using rlNeuralNetworkEnvironment, you can specify the reward function approximator using an

rlContinuousDeterministicRewardFunction object. Do so when you do not know a ground-truth reward signal for your environment but you expect the reward signal to be deterministic.

The reward function approximator object uses a deep neural network as internal approximation model to predict the reward signal for the environment given one of the following input combinations.

- Observations, actions, and next observations
- · Observations and actions
- · Actions and next observations
- Next observations

To specify a stochastic reward function, use an rlContinuousGaussianRewardFunction object.

## Creation

# **Syntax**

rwdFcnAppx = rlContinuousDeterministicRewardFunction(net,observationInfo, actionInfo,Name=Value)

#### **Description**

rwdFcnAppx = rlContinuousDeterministicRewardFunction(net,observationInfo,
actionInfo,Name=Value) creates the deterministic reward function approximator object
rwdFcnAppx using the deep neural network net and sets the ObservationInfo and ActionInfo
properties.

When creating a reward function you must specify the names of the deep neural network inputs using one of the following combinations of name-value pair arguments.

- ObservationInputNames, ActionInputNames, and NextObservationInputNames
- ObservationInputNames and ActionInputNames
- ActionInputNames and NextObservationInputNames
- NextObservationInputNames

You can also specify the UseDevice property using and an optional name-value pair argument. For example, to use a GPU for prediction, specify UseDevice="gpu".

## **Input Arguments**

### net — Deep neural network

dlnetwork object

Deep neural network with a scalar output value, specified as a dlnetwork object.

The input layer names for this network must match the input names specified using the ObservationInputNames, ActionInputNames, and NextObservationInputNames. The dimensions of the input layers must match the dimensions of the corresponding observation and action specifications in ObservationInfo and ActionInfo, respectively.

## **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: ObservationInputNames="velocity"

## **ObservationInputNames** — **Observation input layer names**

string | string array

Observation input layer names, specified as a string or string array. Specify ObservationInputNames when you expect the reward signal to depend on the current environment observation.

The number of observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

## ActionInputNames — Action input layer names

string | string array

Action input layer names, specified as a string or string array. Specify ActionInputNames when you expect the reward signal to depend on the current action value.

The number of action input names must match the length of ActionInfo and the order of the names must match the order of the specifications in ActionInfo.

## NextObservationInputNames — Next observation input layer names

string | string array

Next observation input layer names, specified as a string or string array. Specify NextObservationInputNames when you expect the reward signal to depend on the next environment observation.

The number of next observation input names must match the length of <code>ObservationInfo</code> and the order of the names must match the order of the specifications in <code>ObservationInfo</code>.

# **Properties**

## **ObservationInfo** — **Observation specifications**

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using qetObservationInfo. You can also construct the specifications manually using rlNumericSpec.

## ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

## UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter updates, and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA-enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use <code>gpuDevice</code> (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating a network on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

## **Object Functions**

## **Examples**

### **Create Deterministic Reward Function and Predict Reward**

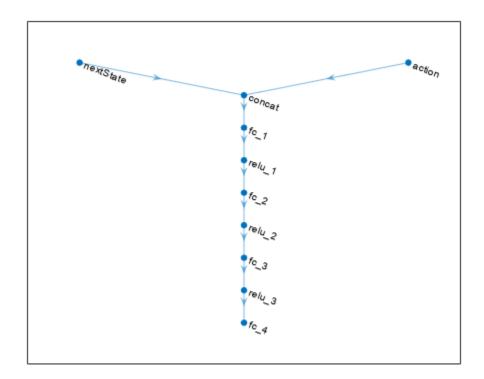
Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the reward function, create a deep neural network. For this example, the network has two input layers, one for the current action and one for the next observations. The single output layer contains a scalar, which represents the value of the predicted reward.

Define each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specifications, and specify a name for the input layers, so you can later explicitly associate them with the appropriate environment channel.

```
actionPath = featureInputLayer( ...
    actInfo.Dimension(1), ...
    Name="action");
nextStatePath = featureInputLayer( ...
    obsInfo.Dimension(1), ...
    Name="nextState");
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(1)];
net = layerGraph(nextStatePath);
net = addLayers(net,actionPath);
net = addLayers(net,commonPath);
net = connectLayers(net, "nextState", "concat/in1");
net = connectLayers(net, "action", "concat/in2");
plot(net)
```



Create a dlnetwork object and display the number of weights.

Create a deterministic transition function object.

```
rwdFcnAppx = rlContinuousDeterministicRewardFunction(...
net,obsInfo,actInfo,...
ActionInputNames="action", ...
NextObservationInputNames="nextState");
```

Using this reward function object, you can predict the next reward value based on the current action and next observation. For example, predict the reward for a random action and next observation. Since, for this example, only the action and the next observation influence the reward, use an empty cell array for the current observation.

```
act = rand(actInfo.Dimension);
nxtobs = rand(obsInfo.Dimension);
reward = predict(rwdFcnAppx, {}, {act}, {nxtobs})
```

```
reward = single
    0.1034

To predict the reward, you can also use evaluate.

reward_ev = evaluate(rwdFcnAppx, {act,nxtobs} )

reward_ev = 1x1 cell array
    {[0.1034]}
```

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

evaluate | gradient | accelerate

## **Objects**

rlNeuralNetworkEnvironment | rlContinuousDeterministicTransitionFunction | rlContinuousGaussianTransitionFunction | rlContinuousGaussianRewardFunction | rlIsDoneFunction | rlMBPOAgent | rlMBPOAgentOptions

#### Topics

"Train MBPO Agent to Balance Cart-Pole System"

"Model-Based Policy Optimization (MBPO) Agents"

# rlContinuousDeterministicTransitionFunction

Deterministic transition function approximator object for neural network-based environment

# **Description**

When creating a neural network-based environment using rlNeuralNetworkEnvironment, you can specify deterministic transition function approximators using rlContinuousDeterministicTransitionFunction objects.

A transition function approximator object uses a deep neural network to predict the next observations based on the current observations and actions.

To specify stochastic transition function approximators, use rlContinuousGaussianTransitionFunction objects.

## Creation

## **Syntax**

tsnFcnAppx = rlContinuousDeterministicTransitionFunction(net,observationInfo,
actionInfo,Name=Value)

## **Description**

tsnFcnAppx = rlContinuousDeterministicTransitionFunction(net,observationInfo,actionInfo,Name=Value) creates a deterministic transition function approximator object using the deep neural network net and sets the ObservationInfo and ActionInfo properties.

When creating a deterministic transition function approximator you must specify the names of the deep neural network inputs and outputs using the ObservationInputNames, ActionInputNames, and NextObservationOutputNames name-value pair arguments.

You can also specify the PredictDiff and UseDevice properties using optional name-value pair arguments. For example, to use a GPU for prediction, specify UseDevice="gpu".

## **Input Arguments**

#### net — Deep neural network

dlnetwork object

Deep neural network, specified as a dlnetwork object.

The input layer names for this network must match the input names specified using <code>ObservationInputNames</code> and <code>ActionInputNames</code>. The dimensions of the input layers must match the dimensions of the corresponding observation and action specifications in <code>ObservationInfo</code> and <code>ActionInfo</code>, respectively.

The output layer names for this network must match the output names specified using NextObservationOutputNames. The dimensions of the input layers must match the dimensions of the corresponding observation specifications in ObservationInfo.

## **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Valuel,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: ObservationInputNames="velocity"

## ObservationInputNames — Observation input layer names

string | string array

Observation input layer names, specified as a string or string array.

The number of observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

## ActionInputNames — Action input layer names

string | string array

Action input layer names, specified as a string or string array.

The number of action input names must match the length of ActionInfo and the order of the names must match the order of the specifications in ActionInfo.

#### NextObservationOutputNames — Next observation output layer names

string | string array

Next observation output layer names, specified as a string or string array.

The number of next observation output names must match the length of <code>ObservationInfo</code> and the order of the names must match the order of the specifications in <code>ObservationInfo</code>.

# **Properties**

## **ObservationInfo** — **Observation specifications**

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlNumericSpec.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

# PredictDiff — Option to predict the difference between the current observation and the next observation

false (default) | true

Option to predict the difference between the current observation and the next observation, specified as one of the following logical values.

- false Select this option if net outputs the value of the next observation.
- true Select this option if net outputs the difference between the next observation and the current observation. In this case, the predict function computes the next observation by adding the current observation to the output of net.

## UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter updates, and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA-enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating a network on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

# **Object Functions**

# **Examples**

## **Create Deterministic Transition Function and Predict Next Observation**

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlnumericSpec and rlfiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

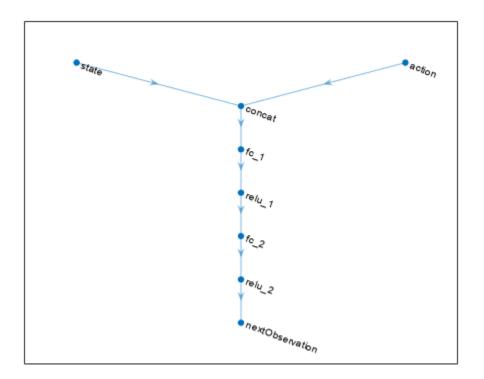
To approximate the transition function, create a deep neural network. The network has two input layers, one for the current observation channel and one for the current action channel. The single output layer is for the predicted next observation.

Define each network path as an array of layer objects. Get the dimensions of the observation and action spaces from the environment specifications, and specify a name for the input and output layers, so you can later explicitly associate them with the appropriate environment channel.

```
statePath = featureInputLayer(obsInfo.Dimension(1),Name="state");
actionPath = featureInputLayer(actInfo.Dimension(1),Name="action");
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(obsInfo.Dimension(1),Name="nextObservation")];

tsnNet = layerGraph(statePath);
tsnNet = addLayers(tsnNet,actionPath);
tsnNet = addLayers(tsnNet,commonPath);

tsnNet = connectLayers(tsnNet,"state","concat/in1");
tsnNet = connectLayers(tsnNet,"action","concat/in2");
plot(tsnNet)
```



Create a dlnetwork object, and display the number of weights.

```
tsnNet = dlnetwork(tsnNet);
summary(tsnNet)

Initialized: true

Number of learnables: 4.8k

Inputs:
    1 'state' 4 features
    2 'action' 1 features
```

Create a deterministic transition function object.

```
tsnFcnAppx = rlContinuousDeterministicTransitionFunction(...
    tsnNet,obsInfo,actInfo,...
    ObservationInputNames="state", ...
    ActionInputNames="action", ...
    NextObservationOutputNames="nextObservation");
```

Using this transition function object, you can predict the next observation based on the current observation and action. For example, predict the next observation for a random observation and action.

```
obs = rand(obsInfo.Dimension);
act = rand(actInfo.Dimension);
nextObsP = predict(tsnFcnAppx,{obs},{act})
```

```
nextObsP = 1x1 cell array
    {4x1 single}
next0bsP{1}
ans = 4x1 single column vector
   -0.1172
   0.1168
   0.0493
   -0.0155
You can also obtain the same result using evaluate.
nextObsE = evaluate(tsnFcnAppx,{obs,act})
nextObsE = 1x1 cell array
    {4x1 single}
next0bsE{1}
ans = 4x1 single column vector
   -0.1172
   0.1168
   0.0493
   -0.0155
```

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

evaluate | gradient | accelerate

### **Objects**

rlNeuralNetworkEnvironment | rlContinuousGaussianTransitionFunction |
rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction |
rlIsDoneFunction | rlMBPOAgent | rlMBPOAgentOptions

### **Topics**

"Train MBPO Agent to Balance Cart-Pole System"  $\,$ 

"Model-Based Policy Optimization (MBPO) Agents"

# rlContinuousGaussianActor

Stochastic Gaussian actor with a continuous action space for reinforcement learning agents

# **Description**

This object implements a function approximator to be used as a stochastic actor within a reinforcement learning agent with a continuous action space. A continuous Gaussian actor takes an environment observation as input and returns as output a random action sampled from a parametrized Gaussian probability distribution, thereby implementing a parametrized stochastic policy. After you create an rlContinuousGaussianActor object, use it to create a suitable agent, such as an rlACAgent or rlPGAgent agent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
actor = rlContinuousGaussianActor(net,observationInfo,
actionInfo,ActionMeanOutputNames=
netMeanActName,ActionStandardDeviationOutputNames=netStdvActName)
actor = rlContinuousGaussianActor(net,observationInfo,
actionInfo,ActionMeanOutputNames=
netMeanActName,ActionStandardDeviationOutputNames=
netStdActName,ObservationInputNames=netObsNames)
actor = rlContinuousGaussianActor( ,UseDevice=useDevice)
```

#### **Description**

actor = rlContinuousGaussianActor(net,observationInfo,
actionInfo,ActionMeanOutputNames=
netMeanActName,ActionStandardDeviationOutputNames=netStdvActName) creates a
Gaussian stochastic actor with a continuous action space using the deep neural network net as
approximation model. Here, net must have two differently named output layers, each with as many
elements as the number of dimensions of the action space, as specified in actionInfo. The two
output layers must return the mean and standard deviation of each component of the action,
respectively. The actor uses the output from these layers, according to the names specified in the
strings netMeanActName and netStdActName, to represent the Gaussian probability distribution
from which the action is sampled. This syntax sets the ObservationInfo and ActionInfo
properties of actor to the input arguments observationInfo and actionInfo, respectively.

**Note** actor does not enforce constraints set by the action specification. When using this actor anywhere else than in a SAC agent, you must enforce action space constraints within the environment.

```
actor = rlContinuousGaussianActor(net,observationInfo,
actionInfo,ActionMeanOutputNames=
```

netMeanActName,ActionStandardDeviationOutputNames=
netStdActName,ObservationInputNames=netObsNames) specifies the names of the network
input layers to be associated with the environment observation channels. The function assigns, in
sequential order, each environment observation channel specified in observationInfo to the layer
specified by the corresponding name in the string array netObsNames. Therefore, the network input

layers, ordered as the names in netObsNames, must have the same data type and dimensions as the

actor = rlContinuousGaussianActor(\_\_\_\_, UseDevice=useDevice) specifies the device
used to perform computational operations on the actor object, and sets the UseDevice property of
actor to the useDevice input argument. You can use this syntax with any of the previous inputargument combinations.

### **Input Arguments**

#### net — Deep neural network

observation specifications, as ordered in observationInfo.

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object (preferred)

Deep neural network used as the underlying approximation model within the actor. It must have as many input layers as the number of environment observation channels (with each input layer receiving input from an observation channel). The network must have two differently named output layers each with as many elements as the number of dimensions of the action space, as specified in actionInfo. The two output layers return the mean and standard deviation of each component of the action. The actor uses these layers, according to the names specified in the strings netMeanActName and netStdActName, to represent the Gaussian probability distribution from which the action is sampled.

**Note** Since standard deviations must be nonnegative, the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity. Also, unless the actor is used in a SAC agent, the mean values must fall within the range of the action. In this case, to scale the mean values to the output range, use a scaling layer as the output layer for the mean values, preceded by an hyperbolic tangent layer. SAC agents automatically read the action range from the UpperLimit and LowerLimit properties of the action specification and then internally scale the distribution and bounds the action. Therefore, if the actor must be used in a SAC agent, do not add any layer that scales or bounds the mean values output.

You can specify the network as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

**Note** Among the different network representation options, dlnetwork is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a dlnetwork object. However, best practice is to convert other representations to dlnetwork explicitly *before* using it to create a critic or an actor for a reinforcement learning agent. You can do so using dlnet=dlnetwork(net), where net is any

neural network object from the Deep Learning Toolbox. The resulting dlnet is the dlnetwork object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

rlContinuousGaussianActor objects support recurrent deep neural networks.

The learnable parameters of the actor are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

# netMeanActName — Names of the network output layers corresponding to the mean values of the action channel

string | character vector

Names of the network output layers corresponding to the mean values of the action channel, specified as a string or character vector. The actor uses this name to select the network output layer that returns the mean values of each elements of the action channel. Therefore, this network output layer must be named as indicated in netMeanActName. Furthermore, it must be a scaling layer that scales the returned mean values to the desired action range.

Example: "myNetOut\_Force\_Mean\_Values"

# netStdvActName — Names of the network output layers corresponding to the standard deviations of the action channel

string | character vector

Names of the network output layers corresponding to the standard deviations of the action channel, specified as a string or character vector. The actor uses this name to select the network output layer that returns the standard deviations of each elements of the action channel. Therefore, this network output layer must be named as indicated in netStdvActName. Furthermore, it must be a softplus or ReLU layer, to enforce nonnegativity of the returned standard deviations.

Example: "myNetOut Force Standard Deviations"

# netObsNames — Network input layers names corresponding to the environment observation channels

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels, specified as a string array or a cell array of character vectors. When you use this argument after 'ObservationInputNames', the function assigns, in sequential order, each environment observation channel specified in observationInfo to each network input layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

Example: {"NetInput1 airspeed", "NetInput2 altitude"}

# **Properties**

### ObservationInfo — Observation specifications

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlContinuousGaussianActor sets the ObservationInfo property of actor to the input observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

## ActionInfo — Action specifications

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

rlContinuousGaussianActor sets the ActionInfo property of critic to the input actionInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

## UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: 'UseDevice', "gpu"

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

rlSACAgent Soft actor-critic (SAC) reinforcement learning agent

getAction Obtain action from agent, actor, or policy object given environment

observations

evaluate Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

setModel Set function approximation model for actor or critic getModel Get function approximator model from actor or critic

## **Examples**

#### **Create Continuous Gaussian Actor**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous six-dimensional space, so that there is a single observation channel that carries a column vector containing five doubles.

```
obsInfo = rlNumericSpec([5 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous three-dimensional space, so that the action channel carries a column vector containing three doubles, each between -10 and 10.

```
actInfo = rlNumericSpec([3 1], ...
LowerLimit=-10, ...
UpperLimit=10);
```

A continuous Gaussian actor implements a parametrized stochastic policy for a continuous action space. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

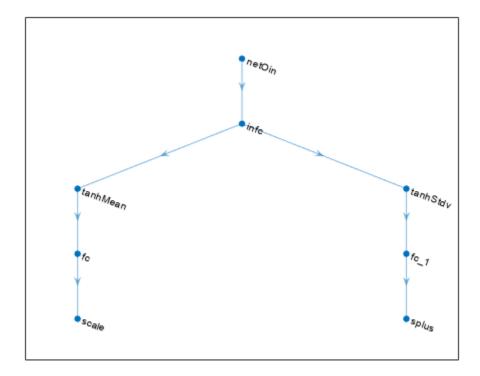
Note that standard deviations must be nonnegative and mean values must fall within the range of the action. Therefore the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity, while the output layer that returns the mean values must be a scaling layer, to scale the mean values to the output range. However, do not add a tanhLayer as the last nonlinear layer in the mean output path if you are going to use the actor within a SAC agent. For more information see "Soft Actor-Critic (SAC) Agents".

For this example the environment has only one observation channel and therefore the network has only one input layer. Note that prod(obsInfo.Dimension) and prod(actInfo.Dimension)

return the number of dimensions of the observation and action spaces, respectively, regardless of whether they are arranged as row vectors, column vectors, or matrices.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Input path layers
inPath = [
    featureInputLayer( ...
        prod(obsInfo.Dimension), ...
        Name="net0in")
    fullyConnectedLayer( ...
        prod(actInfo.Dimension), ...
        Name="infc")
    ];
% Path layers for mean value
% Using scalingLayer to scale range from (-1,1) to (-10,10)
meanPath = [
    tanhLayer(Name="tanhMean");
    fullyConnectedLayer(prod(actInfo.Dimension));
    scalingLayer(Name="scale", ...
    Scale=actInfo.UpperLimit)
    ];
% Path layers for standard deviations
% Using softplus layer to make them non negative
sdevPath = [
    tanhLayer(Name="tanhStdv");
    fullyConnectedLayer(prod(actInfo.Dimension));
    softplusLayer(Name="splus")
    ];
% Add layers to network object
net = layerGraph(inPath);
net = addLayers(net,meanPath);
net = addLayers(net,sdevPath);
% Connect layers
net = connectLayers(net,"infc","tanhMean/in");
net = connectLayers(net,"infc","tanhStdv/in");
% Plot the network
plot(net)
```



Convert the network to a dlnetwork object and display the number of learnable parameters (weights).

Create the actor with rlContinuousGaussianActor, using the network, the observation and action specification objects, and the names of the network input and output layers.

```
actor = rlContinuousGaussianActor(net, obsInfo, actInfo, ...
    ActionMeanOutputNames="scale",...
    ActionStandardDeviationOutputNames="splus",...
    ObservationInputNames="netOin");
```

To check your actor, use getAction to return an action from a random observation vector, using the current network weights. Each of the three elements of the action vector is a random sample from the Gaussian distribution with mean and standard deviation calculated, as a function of the current observation, by the neural network.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
```

```
ans = 3x1 single column vector
-12.0285
1.7628
10.8733
```

To return the Gaussian distribution of the action, given an observation, use evaluate.

```
dist = evaluate(actor, {rand(obsInfo.Dimension)});
```

Display the vector of mean values.

#### dist{1}

```
ans = 3x1 single column vector
-5.6127
3.9449
9.6213
```

Display the vector of standard deviations.

#### dist{2}

```
ans = 3x1 single column vector

0.8516

0.8366

0.7004
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a continuous Gaussian actor, are rlACAgent, rlPGAgent, rlPAGent, rlPPOAgent, and rlTRPOAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

getAction|getModel|evaluate|getActionInfo|getObservationInfo

## **Objects**

rlNumericSpec | rlFiniteSetSpec | rlDiscreteCategoricalActor |
rlContinuousDeterministicActor | rlPGAgent | rlACAgent | rlSACAgent | rlPPOAgent |
rlTRPOAgent

**Topics**"Train SAC Agent for Ball Balance Control"
"Create Policies and Value Functions"
"Reinforcement Learning Agents"

# rlContinuousGaussianRewardFunction

Stochastic Gaussian reward function approximator object for neural network-based environment

# **Description**

When creating a neural network-based environment using rlNeuralNetworkEnvironment, you can specify the reward function approximator using an

rlContinuousDeterministicRewardFunction object. Do so when you do not know a ground-truth reward signal for your environment and you expect the reward signal to be stochastic.

The reward function object uses a deep neural network as internal approximation model to predict the reward signal for the environment given one of the following input combinations.

- · Observations, actions, and next observations
- Observations and actions
- · Actions and next observations
- Next observations

To specify a deterministic reward function approximator, use an rlContinuousDeterministicRewardFunction object.

## Creation

# Syntax

rwdFcnAppx = rlContinuousGaussianRewardFunction(net,observationInfo, actionInfo,Name=Value)

#### **Description**

rwdFcnAppx = rlContinuousGaussianRewardFunction(net,observationInfo,
actionInfo,Name=Value) creates a stochastic reward function using the deep neural network net
and sets the ObservationInfo and ActionInfo properties.

When creating a reward function you must specify the names of the deep neural network inputs using one of the following combinations of name-value pair arguments.

- ObservationInputNames, ActionInputNames, and NextObservationInputNames
- ObservationInputNames and ActionInputNames
- ActionInputNames and NextObservationInputNames
- NextObservationInputNames

You must also specify the names of the deep neural network outputs using the RewardMeanOutputName and RewardStandardDeviationOutputName name-value pair arguments.

You can also specify the UseDevice property using an optional name-value pair argument. For example, to use a GPU for prediction, specify UseDevice="gpu".

## **Input Arguments**

### net — Deep neural network

dlnetwork object

Deep neural network with a scalar output value, specified as a dlnetwork object.

The input layer names for this network must match the input names specified using the ObservationInputNames, ActionInputNames, and NextObservationInputNames. The dimensions of the input layers must match the dimensions of the corresponding observation and action specifications in ObservationInfo and ActionInfo, respectively.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Valuel,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: ObservationInputNames="velocity"

### **ObservationInputNames** — **Observation input layer names**

string | string array

Observation input layer names, specified as a string or string array. Specify ObservationInputNames when you expect the reward signal to depend on the current environment observation.

The number of observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

### ActionInputNames — Action input layer names

string | string array

Action input layer names, specified as a string or string array. Specify ActionInputNames when you expect the reward signal to depend on the current action value.

The number of action input names must match the length of ActionInfo and the order of the names must match the order of the specifications in ActionInfo.

### NextObservationInputNames — Next observation input layer names

string | string array

Next observation input layer names, specified as a string or string array. Specify NextObservationInputNames when you expect the reward signal to depend on the next environment observation.

The number of next observation input names must match the length of <code>ObservationInfo</code> and the order of the names must match the order of the specifications in <code>ObservationInfo</code>.

## RewardMeanOutputName — Reward mean output layer name

string

Reward mean output layer name, specified as a string.

## 

Reward standard deviation output layer name, specified as a string.

## **Properties**

#### **ObservationInfo** — **Observation specifications**

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlNumericSpec.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

#### **Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

## UseDevice — Computation device used for training and simulation

"cpu" (default) | "gpu"

Computation device used to perform operations such as gradient computation, parameter updates, and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA-enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating a network on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

## **Object Functions**

## **Examples**

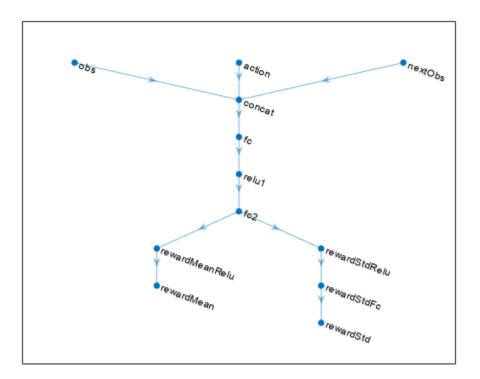
#### Create Stochastic Reward Function and Predict Reward

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a deep neural network. The network has two input channels, one for the current action and one for the next observations. The single output channel is for the predicted reward value.

```
statePath = featureInputLayer(obsInfo.Dimension(1),Name="obs");
actionPath = featureInputLayer(actInfo.Dimension(1),Name="action");
nextStatePath = featureInputLayer(obsInfo.Dimension(1),Name="nextObs");
commonPath = [concatenationLayer(1,3,Name="concat")
    fullyConnectedLayer(32,Name="fc")
    reluLayer(Name="relu1")
    fullyConnectedLayer(32,Name="fc2")];
meanPath = [reluLayer(Name="rewardMeanRelu")
    fullyConnectedLayer(1,Name="rewardMean")];
stdPath = [reluLayer(Name="rewardStdRelu")
    fullyConnectedLayer(1,Name="rewardStdFc")
    softplusLayer(Name="rewardStd")];
rwdNet = layerGraph(statePath);
rwdNet = addLayers(rwdNet,actionPath);
rwdNet = addLayers(rwdNet,nextStatePath);
rwdNet = addLayers(rwdNet,commonPath);
rwdNet = addLayers(rwdNet,meanPath);
rwdNet = addLayers(rwdNet,stdPath);
rwdNet = connectLayers(rwdNet, "next0bs", "concat/in1");
rwdNet = connectLayers(rwdNet, "action", "concat/in2");
rwdNet = connectLayers(rwdNet,"obs","concat/in3");
rwdNet = connectLayers(rwdNet,"fc2","rewardMeanRelu");
rwdNet = connectLayers(rwdNet,"fc2","rewardStdRelu");
plot(rwdNet)
```



Create a dlnetwork object.

```
rwdNet = dlnetwork(rwdNet);
```

Create a stochastic reward function object.

```
rwdFncAppx = rlContinuousGaussianRewardFunction(...
    rwdNet,obsInfo,actInfo,...
    ObservationInputNames="obs",...
    ActionInputNames="action", ...
    NextObservationInputNames="nextObs", ...
    RewardMeanOutputNames="rewardMean", ...
    RewardStandardDeviationOutputNames="rewardStd");
```

Using this reward function object, you can predict the next reward value based on the current action and next observation. For example, predict the reward for a random action and next observation. The reward value is sampled from a Gaussian distribution with the mean and standard deviation output by the reward network.

```
obs = rand(obsInfo.Dimension);
act = rand(actInfo.Dimension);
nextObs = rand(obsInfo.Dimension(1),1);
predRwd = predict(rwdFncAppx,{obs},{act},{nextObs})

predRwd = single
    -0.1308
```

You can obtain the mean value and standard deviation of the Gaussian distribution for the predicted reward using evaluate.

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

evaluate | gradient | accelerate

## **Objects**

 $\label{lem:continuousDeterministicTransitionFunction} $$rl\ensuremath{\mathsf{ContinuousGaussianTransitionFunction}} \ | \ rl\ensuremath{\mathsf{IIsDoneFunction}} \ | \ rl\ensuremath{\mathsf{IMBPOAgent}} \ | \ rl\ensuremath{\mathsf{IMBPOAgent} \ | \ rl\ensuremath{\mathsf{IMBPOAgent}} \ |$ 

### **Topics**

"Train MBPO Agent to Balance Cart-Pole System"  $\,$ 

"Model-Based Policy Optimization (MBPO) Agents"

# rlContinuousGaussianTransitionFunction

Stochastic Gaussian transition function approximator object for neural network-based environment

# **Description**

When creating a neural network-based environment using rlNeuralNetworkEnvironment, you can specify stochastic transition function approximators using rlContinuousDeterministicTransitionFunction objects.

A transition function approximator object uses a deep neural network as internal approximation model to predict the next observations based on the current observations and actions.

To specify deterministic transition function approximators, use rlContinuousGaussianTransitionFunction objects.

## Creation

## Syntax

tsnFcnAppx = rlContinuousGaussianTransitionFunction(net,observationInfo,
actionInfo,Name=Value)

#### **Description**

tsnFcnAppx = rlContinuousGaussianTransitionFunction(net,observationInfo,
actionInfo,Name=Value) creates the stochastic transition function approximator object
tsnFcnAppx using the deep neural network net and sets the ObservationInfo and ActionInfo
properties.

When creating a stochastic transition function approximator, you must specify the names of the deep neural network inputs and outputs using the ObservationInputNames, ActionInputNames, NextObservationMeanOutputNames, and NextObservationStandardDeviationOutputNames name-value pair arguments.

You can also specify the PredictDiff and UseDevice properties using optional name-value pair arguments. For example, to use a GPU for prediction, specify UseDevice="gpu".

## **Input Arguments**

### net — Deep neural network

dlnetwork object

Deep neural network, specified as a dlnetwork object.

The input layer names for this network must match the input names specified using <code>ObservationInputNames</code> and <code>ActionInputNames</code>. The dimensions of the input layers must match the dimensions of the corresponding observation and action specifications in <code>ObservationInfo</code> and <code>ActionInfo</code>, respectively.

The output layer names for this network must match the output names specified using NextObservationOutputNames. The dimensions of the input layers must match the dimensions of the corresponding observation specifications in ObservationInfo.

## **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: ObservationInputNames="velocity"

### ObservationInputNames — Observation input layer names

string | string array

Observation input layer names, specified as a string or string array.

The number of observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

## ActionInputNames — Action input layer names

string | string array

Action input layer names, specified as a string or string array.

The number of action input names must match the length of ActionInfo and the order of the names must match the order of the specifications in ActionInfo.

# NextObservationMeanOutputNames — Next observation mean output layer names string | string array

Next observation mean output layer names, specified as a string or string array.

The number of next observation mean output names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

# NextObservationStandardDeviationOutputNames — Next observation standard deviation output layer names

string | string array

Next observation standard deviation output layer names, specified as a string or string array.

The number of next observation standard deviation output names must match the length of <code>ObservationInfo</code> and the order of the names must match the order of the specifications in <code>ObservationInfo</code>.

# **Properties**

### **ObservationInfo** — **Observation specifications**

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlNumericSpec.

## **ActionInfo** — **Action specifications**

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

## UseDevice — Computation device used for training and simulation

"cpu" (default) | "gpu"

Computation device used to perform operations such as gradient computation, parameter updates, and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA-enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating a network on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

# PredictDiff — Option to predict the difference between the current observation and the next observation

false (default) | true

Option to predict the difference between the current observation and the next observation, specified as one of the following logical values.

- false Select this option if net outputs the value of the next observation.
- true Select this option if net outputs the difference between the next observation and the current observation. In this case, the predict function computes the next observation by adding the current observation to the output of net.

# **Object Functions**

## **Examples**

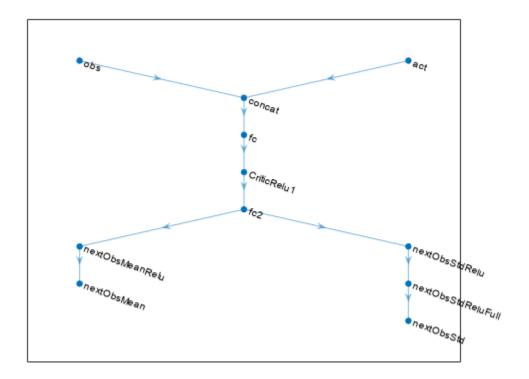
#### **Create Stochastic Transition Function and Predict Next Observation**

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Define the layers for the deep neural network. The network has two input channels, one for the current observations and one for the current actions. The output of the network is the predicted Gaussian distribution for each next observation. The two output channels correspond to the means and standard deviations of these distribution.

```
statePath = featureInputLayer(obsInfo.Dimension(1),Name="obs");
actionPath = featureInputLayer(actInfo.Dimension(1),Name="act");
commonPath = [concatenationLayer(1,2,Name="concat")
  fullyConnectedLayer(32,Name="fc")
  reluLayer(Name="CriticRelu1")
  fullyConnectedLayer(32,Name="fc2")];
meanPath = [reluLayer(Name="next0bsMeanRelu")
  fullyConnectedLayer(obsInfo.Dimension(1),Name="next0bsMean")];
stdPath = [reluLayer(Name="next0bsStdRelu")
  fullyConnectedLayer(obsInfo.Dimension(1),Name="nextObsStdReluFull")
  softplusLaver(Name="next0bsStd")1:
tsnNet = layerGraph(statePath);
tsnNet = addLayers(tsnNet,actionPath);
tsnNet = addLayers(tsnNet,commonPath);
tsnNet = addLayers(tsnNet,meanPath);
tsnNet = addLayers(tsnNet,stdPath);
tsnNet = connectLayers(tsnNet,"obs","concat/in1");
tsnNet = connectLayers(tsnNet,"act","concat/in2");
tsnNet = connectLayers(tsnNet,"fc2","next0bsMeanRelu");
tsnNet = connectLayers(tsnNet,"fc2","next0bsStdRelu");
plot(tsnNet)
```



Create a dlnetwork object.

```
tsnNet = dlnetwork(tsnNet);
```

Create a stochastic transition function object.

```
tsnFcnAppx = rlContinuousGaussianTransitionFunction(tsnNet,obsInfo,actInfo, ...
   ObservationInputNames="obs",...
   ActionInputNames="act",...
   NextObservationMeanOutputNames="nextObsMean",...
   NextObservationStandardDeviationOutputNames="nextObsStd");
```

Using this transition function object, you can predict the next observation based on the current observation and action. For example, predict the next observation for a random observation and action. The next observation values are sampled from Gaussian distributions with the means and standard deviations output by the transition network.

```
1.2414
0.7307
-0.5588
-0.9567
```

You can also obtain the mean value and standard deviation of the Gaussian distribution of the predicted next observation using evaluate.

# **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | gradient | accelerate

## **Objects**

rlNeuralNetworkEnvironment | rlContinuousDeterministicTransitionFunction | rlContinuousDeterministicRewardFunction | rlIsDoneFunction | rlMBPOAgent | rlMBPOAgentOptions

#### **Topics**

"Train MBPO Agent to Balance Cart-Pole System" "Model-Based Policy Optimization (MBPO) Agents"

# rlDDPGAgent

Deep deterministic policy gradient (DDPG) reinforcement learning agent

# **Description**

The deep deterministic policy gradient (DDPG) algorithm is an actor-critic, model-free, online, off-policy reinforcement learning method which computes an optimal policy that maximizes the long-term reward. The action space can only be continuous.

For more information, see "Deep Deterministic Policy Gradient (DDPG) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

```
agent = rlDDPGAgent(observationInfo,actionInfo)
agent = rlDDPGAgent(observationInfo,actionInfo,initOpts)
agent = rlDDPGAgent(actor,critic,agentOptions)
agent = rlDDPGAgent(____,agentOptions)
```

#### **Description**

#### **Create Agent from Observation and Action Specifications**

agent = rlDDPGAgent(observationInfo,actionInfo) creates a deep deterministic policy gradient agent for an environment with the given observation and action specifications, using default initialization options. The actor and critic in the agent use default deep neural networks built from the observation specification observationInfo and the action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlDDPGAgent(observationInfo,actionInfo,initOpts) creates a deep
deterministic policy gradient agent for an environment with the given observation and action
specifications. The agent uses default networks configured using options specified in the initOpts
object. For more information on the initialization options, see rlAgentInitializationOptions.

#### **Create Agent from Actor and Critic**

agent = rlDDPGAgent(actor,critic,agentOptions) creates a DDPG agent with the specified
actor and critic, using default DDPG agent options.

#### **Specify Agent Options**

agent = rlDDPGAgent(\_\_\_\_, agentOptions) creates a DDPG agent and sets the AgentOptions
property to the agentOptions input argument. Use this syntax after any of the input arguments in
the previous syntaxes.

#### **Input Arguments**

#### initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

#### actor - Actor

rlContinuousDeterministicActor object

Actor, specified as an rlContinuousDeterministicActor. For more information on creating actors, see "Create Policies and Value Functions".

#### critic - Critic

rlQValueFunction object

Critic, specified as an rlQValueFunction object. For more information on creating critics, see "Create Policies and Value Functions".

## **Properties**

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### ActionInfo — Action specification

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

## **Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlNumericSpec.

#### AgentOptions — Agent options

rlDDPGAgentOptions object

Agent options, specified as an rlDDPGAgentOptions object.

If you create a DDPG agent with default actor and critic that use recurrent neural networks, the default value of AgentOptions.SequenceLength is 32.

#### ExperienceBuffer — Experience buffer

rlReplayMemory object

Experience buffer, specified as an rlReplayMemory object. During training the agent stores each of its experiences (S,A,R,S',D) in a buffer. Here:

- *S* is the current observation of the environment.
- *A* is the action taken by the agent.
- *R* is the reward for taking action *A*.
- S' is the next observation after taking action A.
- *D* is the is-done signal after taking action *A*.

#### UseExplorationPolicy — Option to use exploration policy

false (default) | true

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions.
- false Use the base agent greedy policy when selecting actions.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train Train reinforcement learning agents within a specified environment sim Simulate trained reinforcement learning agents within specified

environment

getAction Obtain action from agent, actor, or policy object given environment

observations

getActor Extract actor from reinforcement learning agent setActor Set actor of reinforcement learning agent getCritic Extract critic from reinforcement learning agent

setCritic Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

## **Examples**

#### **Create DDPG Agent from Observation and Action Specifications**

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
Obtain observation and action specifications.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a policy gradient agent from the environment observation and action specifications.

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent,{rand(obsInfo(1).Dimension)})
ans = 1x1 cell array
    {[0.0182]}
```

You can now test and train the agent within the environment. You can also use getActor and getCritic to extract the actor and critic, respectively, and getModel to extract the approximator model (by default a deep neural network) from the actor or critic.

#### Create DDPG Agent Using Initialization Options

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a

50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
```

Obtain observation and action specifications

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons (instead of the default number, 256).

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. You can ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a DDPG agent from the environment observation and action specifications.

```
agent = rlDDPGAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural networks from both the agent actor and critic.

```
actorNet = getModel(getActor(agent));
criticNet = getModel(getCritic(agent));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

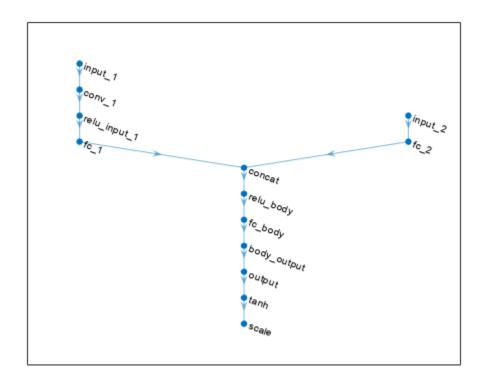
```
criticNet.Layers
```

or visualize the structure interactively using analyzeNetwork.

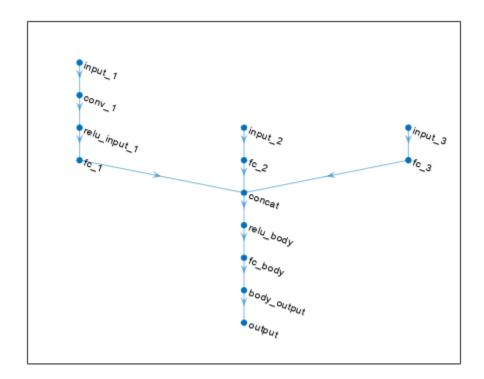
```
analyzeNetwork(criticNet)
```

Plot actor and critic networks

```
plot(layerGraph(actorNet))
```



plot(layerGraph(criticNet))



To check your agent, use getAction to return the action from a random observation. getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})

```
ans = 1x1 cell array \{[-0.0364]\}
```

You can now test and train the agent within the environment.

#### **Create DDPG Agent from Actor and Critic**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
```

Obtain the environment observation and action specification objects.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The actor and critic networks are initialized randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

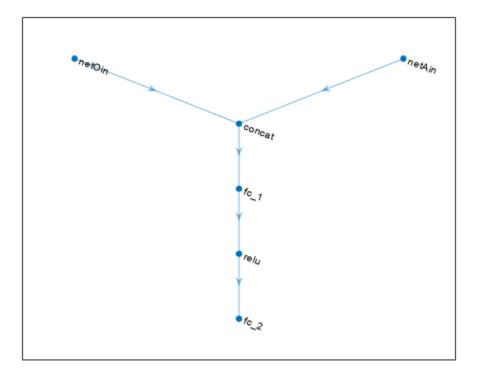
DDPG agents use a parametrized Q-value function approximator to estimate the value of the policy. A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critic, use a neural network with two input layers (one for the observation channel, as specified by obsInfo, and the other for the action channel, as specified by actInfo) and one output layer (which returns the scalar value).

Note that prod(obsInfo.Dimension) and prod(actInfo.Dimension) return the number of dimensions of the observation and action spaces, respectively, regardless of whether they are arranged as row vectors, column vectors, or matrices.

Define each network path as an array of layer objects and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Define observation and action paths
obsPath = featureInputLayer(prod(obsInfo.Dimension), Name="net0in");
actPath = featureInputLayer(prod(actInfo.Dimension), Name="netAin");
% Define common path: concatenate along first dimension
commonPath = [
    concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(50)
    reluLaver
    fullyConnectedLayer(1)
    ];
% Add paths to layerGraph network
criticNet = layerGraph(obsPath);
criticNet = addLayers(criticNet, actPath);
criticNet = addLayers(criticNet, commonPath);
% Connect paths
criticNet = connectLayers(criticNet, "net0in", "concat/in1");
criticNet = connectLayers(criticNet, "netAin", "concat/in2");
% Plot the network
plot(criticNet)
```



Create the critic approximator object using criticNet, the environment observation and action specifications, and the names of the network input layers to be connected with the environment observation and action channels. For more information, see rlQValueFunction.

```
critic = rlQValueFunction(criticNet,obsInfo,actInfo,...
    ObservationInputNames="netOin", ...
    ActionInputNames="netAin");

Check the critic with random observation and action inputs.

getValue(critic,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})

ans = single
    -0.4260
```

DDPG agents use a parametrized deterministic policy over continuous action spaces, which is implemented by a continuous deterministic actor. This actor takes the current observation as input and returns as output an action that is a deterministic function of the observation.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which returns the action to the environment action channel, as specified by actInfo).

Define the network as an array of layer objects.

```
% Create a network to be used as underlying actor approximator
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(16)
    tanhLayer
    fullyConnectedLayer(16)
    tanhLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
    ];
% Convert to dlnetwork object
actorNet = dlnetwork(actorNet);
% Display the number of weights
summary(actorNet)
   Initialized: true
   Number of learnables: 337
   Inputs:
          'input'
      1
                    2 features
```

Create the actor using actorNet and the observation and action specifications. For more information on continuous deterministic actors, see rlContinuousDeterministicActor.

```
actor = rlContinuousDeterministicActor(actorNet,obsInfo,actInfo);
```

Check the actor with a random observation input.

```
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
     {[-0.5493]}
```

Create the DDPG agent using the actor and critic.

```
SampleTime: 1
```

Specify agent options, including training options for the actor and critic.

```
agent.AgentOptions.SampleTime=env.Ts;
agent.AgentOptions.TargetSmoothFactor=1e-3;
agent.AgentOptions.ExperienceBufferLength=1e6;
agent.AgentOptions.DiscountFactor=0.99;
agent.AgentOptions.MiniBatchSize=32;
agent.AgentOptions.CriticOptimizerOptions.LearnRate=5e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold=1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate=1e-4;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold=1;
Check the agent with a random observation input.
getAction(agent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[-0.5947]}
```

You can now train the agent within the environment.

#### Create DDPG Agent with Recurrent Neural Networks

For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
Get the observation and action specification objects.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

DDPG agents use a parametrized Q-value function approximator to estimate the value of the policy.

To model the parametrized Q-value function within the critic, use a recurrent neural network, which must have two input layers and one output layer (returning the scalar value).

Define each network path as an array of layer objects. To create a recurrent neural network, use sequenceInputLayer as the input layer and include an lstmLayer as one of the other network layers.

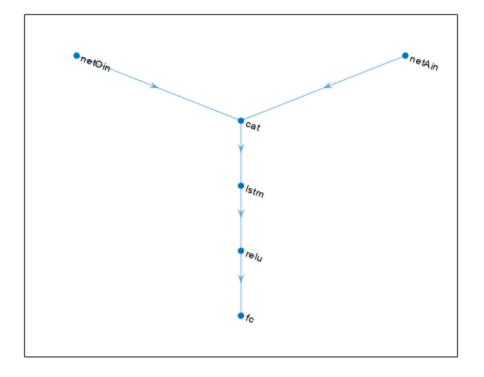
```
% Define observation and action paths
obsPath = sequenceInputLayer(prod(obsInfo.Dimension),Name="net0in");
actPath = sequenceInputLayer(prod(actInfo.Dimension),Name="netAin");
% Define common path: concatenate along first dimension
```

```
commonPath = [
    concatenationLayer(1,2,Name="cat")
    lstmLayer(50)
    reluLayer
    fullyConnectedLayer(1)
    l;

% Add paths to layerGraph network
criticNet = layerGraph(obsPath);
criticNet = addLayers(criticNet, actPath);
criticNet = addLayers(criticNet, commonPath);

% Connect paths
criticNet = connectLayers(criticNet, "netOin", "cat/in1");
criticNet = connectLayers(criticNet, "netAin", "cat/in2");

% Plot the network
plot(criticNet)
```



```
% Convert to dlnetwork object
criticNet = dlnetwork(criticNet);
% Display the number of weights
summary(criticNet)
    Initialized: true
    Number of learnables: 10.8k
```

```
Inputs:
    1 'netOin' Sequence input with 2 dimensions
    2 'netAin' Sequence input with 1 dimensions
```

Create the critic approximator object using criticNet, the environment observation and action specifications, and the names of the network input layers to be connected with the environment observation and action channels. For more information, see rlQValueFunction.

```
critic = rlQValueFunction(criticNet,obsInfo,actInfo,...
    ObservationInputNames="net0in",ActionInputNames="netAin");
```

Check the critic with random observation and action inputs.

```
getValue(critic, {rand(obsInfo.Dimension)}, {rand(actInfo.Dimension)})
ans = single
   -0.0074
```

DDPG agents use a continuous deterministic actor to approximate the policy. Since the critic has a recurrent network, you must use a recurrent network for the actor too.

Define the network as an array of layer objects.

```
actorNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    lstmLayer(10)
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
];
```

Convert to dlnetwork and display the number of weights.

```
actorNet = dlnetwork(actorNet);
summary(actorNet)

Initialized: true

Number of learnables: 531

Inputs:
    1 'sequenceinput' Sequence input with 2 dimensions
```

Create the actor using actorNet and the observation and action specifications. For more information on continuous deterministic actors, see rlContinuousDeterministicActor.

```
actor = rlContinuousDeterministicActor(actorNet,obsInfo,actInfo);
```

Check the actor with random observation input.

```
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[0.0246]}
```

Specify some training options for the critic.

```
criticOpts = rlOptimizerOptions( ...
    LearnRate=5e-3, GradientThreshold=1);
Specify some training options for the actor.
actorOpts = rlOptimizerOptions( ...
    LearnRate=1e-4, GradientThreshold=1);
Specify agent options. To use a DDPG agent with recurrent neural networks, you must specify a
SequenceLength greater than 1.
agentOpts = rlDDPGAgentOptions(...
    SampleTime=env.Ts,...
    TargetSmoothFactor=1e-3,...
    ExperienceBufferLength=1e6,...
    DiscountFactor=0.99,...
    SequenceLength=20,...
    MiniBatchSize=32, ...
    CriticOptimizerOptions=criticOpts, ...
    ActorOptimizerOptions=actorOpts);
Create the DDPG agent using the actor and critic.
agent = rlDDPGAgent(actor,critic,agentOpts)
agent =
  rlDDPGAgent with properties:
        ExperienceBuffer: [1x1 rl.replay.rlReplayMemory]
            AgentOptions: [1x1 rl.option.rlDDPGAgentOptions]
    UseExplorationPolicy: 0
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
               ActionInfo: [1x1 rl.util.rlNumericSpec]
               SampleTime: 0.1000
To check your agent return the action from a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0158]}
To evaluate the agent using sequential observations, use the sequence length (time) dimension. For
example, obtain actions for a sequence of 9 observations.
[action, state] = getAction(agent, ...
    {rand([obsInfo.Dimension 1 9])});
Display the action corresponding to the seventh element of the observation.
action = action{1};
action(1,1,1,7)
ans = 0.0780
```

You can now test and train the agent within the environment.

# **Version History**

Introduced in R2019a

#### See Also

#### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

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#### **Objects**

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#### **Blocks**

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#### **Topics**

"Train DDPG Agent to Control Double Integrator System"

"Train Reinforcement Learning Agents"

"Deep Deterministic Policy Gradient (DDPG) Agents"

"Reinforcement Learning Agents"

# rIDDPGAgentOptions

Options for DDPG agent

# **Description**

Use an rlDDPGAgentOptions object to specify options for deep deterministic policy gradient (DDPG) agents. To create a DDPG agent, use rlDDPGAgent.

For more information, see "Deep Deterministic Policy Gradient (DDPG) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

```
opt = rlDDPGAgentOptions
opt = rlDDPGAgentOptions(Name, Value)
```

### **Description**

opt = rlDDPGAgentOptions creates an options object for use as an argument when creating a DDPG agent using all default options. You can modify the object properties using dot notation.

opt = rlDDPGAgentOptions(Name, Value) sets option properties on page 3-120 using name-value pairs. For example, rlDDPGAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

# **Properties**

#### NoiseOptions — Noise model options

OrnsteinUhlenbeckActionNoise object

Noise model options, specified as an OrnsteinUhlenbeckActionNoise object. For more information on the noise model, see "Noise Model" on page 3-123.

For an agent with multiple actions, if the actions have different ranges and units, it is likely that each action requires different noise model parameters. If the actions have similar ranges and units, you can set the noise parameters for all actions to the same value.

For example, for an agent with two actions, set the standard deviation of each action to a different value while using the same decay rate for both standard deviations.

```
opt = rlDDPGAgentOptions;
opt.NoiseOptions.StandardDeviation = [0.1 0.2];
opt.NoiseOptions.StandardDeviationDecayRate = 1e-4;
```

#### ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### BatchDataRegularizerOptions — Batch data regularizer options

[] (default) | rlBehaviorCloningRegularizerOptions object

Batch data regularizer options, specified as an rlBehaviorCloningRegularizerOptions object. These options are typically used to train the agent offline, from existing data. If you leave this option empty, no regularizer is used.

For more information, see rlBehaviorCloningRegularizerOptions.

Example: opt.BatchDataRegularizerOptions =
rlBehaviorCloningRegularizerOptions(BehaviorCloningRegularizerWeight=10)

#### TargetSmoothFactor — Smoothing factor for target actor and critic updates

1e-3 (default) | positive scalar less than or equal to 1

Smoothing factor for target actor and critic updates, specified as a positive scalar less than or equal to 1. For more information, see "Target Update Methods".

# TargetUpdateFrequency — Number of steps between target actor and critic updates 1 (default) | positive integer

Number of steps between target actor and critic updates, specified as a positive integer. For more information, see "Target Update Methods".

# ResetExperienceBufferBeforeTraining — Option for clearing the experience buffer false (default) | true

Option for clearing the experience buffer before training, specified as a logical value.

# SequenceLength — Maximum batch-training trajectory length when using RNN 1 (default) | positive integer

Maximum batch-training trajectory length when using a recurrent neural network, specified as a positive integer. This value must be greater than 1 when using a recurrent neural network and 1 otherwise.

#### MiniBatchSize — Size of random experience mini-batch

64 (default) | positive integer

Size of random experience mini-batch, specified as a positive integer. During each training episode, the agent randomly samples experiences from the experience buffer when computing gradients for updating the critic properties. Large mini-batches reduce the variance when computing gradients but increase the computational effort.

# NumStepsToLookAhead — Number of future rewards used to estimate the value of the policy 1 (default) | positive integer

Number of future rewards used to estimate the value of the policy, specified as a positive integer. For more information, see [1], Chapter 7.

#### ExperienceBufferLength — Experience buffer size

10000 (default) | positive integer

Experience buffer size, specified as a positive integer. During training, the agent computes updates using a mini-batch of experiences randomly sampled from the buffer.

#### SampleTime — Sample time of agent

```
1 (default) | positive scalar | -1
```

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

# **Object Functions**

rlDDPGAgent Deep deterministic policy gradient (DDPG) reinforcement learning agent

# **Examples**

#### **Create DDPG Agent Options Object**

Create an rlDDPGAgentOptions object that specifies the mini-batch size.

```
opt = rlDDPGAgentOptions(MiniBatchSize=48)
opt =
  rlDDPGAgentOptions with properties:
```

NoiseOptions: [1x1 rl.option.OrnsteinUhlenbeckActionNoise]

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

## **Algorithms**

#### Noise Model

DDPG agents use an Ornstein-Uhlenbeck action noise model for exploration.

#### **Ornstein-Uhlenbeck Action Noise**

An OrnsteinUhlenbeckActionNoise object has the following numeric value properties.

Property	Description	Default Value
InitialAction	Initial value of action	0
Mean	Noise mean value	0
MeanAttractionConstant	Constant specifying how quickly the noise model output is attracted to the mean	0.15
StandardDeviationDecayRa te	Decay rate of the standard deviation	0
StandardDeviation	Initial value of noise standard deviation	0.3
StandardDeviationMin	Minimum standard deviation	0

At each sample time step k, the noise value v(k) is updated using the following formula, where Ts is the agent sample time, and the initial value v(1) is defined by the InitialAction parameter.

At each sample time step, the standard deviation decays as shown in the following code.

```
\label{eq:decayedStandardDeviation} decayedStandardDeviation(k).*(1 - StandardDeviationDecayRate); \\ StandardDeviation(k+1) = \max(decayedStandardDeviation,StandardDeviationMin); \\
```

You can calculate how many samples it will take for the standard deviation to be halved using this simple formula.

halflife = log(0.5)/log(1-StandardDeviationDecayRate);

Note that StandardDeviation is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches StandardDeviationMin.

For continuous action signals, it is important to set the noise standard deviation appropriately to encourage exploration. It is common to set StandardDeviation\*sqrt(Ts) to a value between 1% and 10% of your action range.

If your agent converges on local optima too quickly, promote agent exploration by increasing the amount of noise; that is, by increasing the standard deviation. Also, to increase exploration, you can reduce the StandardDeviationDecayRate.

# **Version History**

Introduced in R2019a

# R2021a: Property names defining noise probability distribution in the OrnsteinUhlenbeckActionNoise object have changed

Behavior changed in R2021a

The properties defining the probability distribution of the Ornstein-Uhlenbeck (OU) noise model have been renamed. DDPG agents use OU noise for exploration.

- The Variance property has been renamed StandardDeviation.
- The VarianceDecayRate property has been renamed StandardDeviationDecayRate.
- The VarianceMin property has been renamed StandardDeviationMin.

The default values of these properties remain the same. When an OrnsteinUhlenbeckActionNoise noise object saved from a previous MATLAB release is loaded, the values of Variance, VarianceDecayRate, and VarianceMin are copied in the StandardDeviation, StandardDeviationDecayRate, and StandardDeviationMin, respectively.

The Variance, VarianceDecayRate, and VarianceMin properties still work, but they are not recommended. To define the probability distribution of the OU noise model, use the new property names instead.

#### **Update Code**

This table shows how to update your code to use the new property names for rlDDPGAgentOptions object ddpgopt.

Not Recommended	Recommended
	<pre>ddpgopt.NoiseOptions.StandardDeviation = 0.5;</pre>
	<pre>ddpgopt.NoiseOptions.StandardDeviation DecayRate = 0.1;</pre>

Not Recommended	Recommended
	<pre>ddpgopt.NoiseOptions.StandardDeviation Min = 0;</pre>

# **R2020a: Target update method settings for DDPG agents have changed** *Behavior changed in R2020a*

Target update method settings for DDPG agents have changed. The following changes require updates to your code:

- The TargetUpdateMethod option has been removed. Now, DDPG agents determine the target update method based on the TargetUpdateFrequency and TargetSmoothFactor option values.
- The default value of TargetUpdateFrequency has changed from 4 to 1.

To use one of the following target update methods, set the TargetUpdateFrequency and TargetSmoothFactor properties as indicated.

Update Method	TargetUpdateFrequency	TargetSmoothFactor
Smoothing	1	Less than 1
Periodic	Greater than 1	1
Periodic smoothing (new method in R2020a)	Greater than 1	Less than 1

The default target update configuration, which is a smoothing update with a TargetSmoothFactor value of 0.001, remains the same.

#### **Update Code**

This table shows some typical uses of rlDDPGAgentOptions and how to update your code to use the new option configuration.

Not Recommended	Recommended
<pre>opt = rlDDPGAgentOptions('TargetUpdateMethod ',"smoothing");</pre>	<pre>opt = rlDDPGAgentOptions;</pre>
<pre>opt = rlDDPGAgentOptions('TargetUpdateMethod ',"periodic");</pre>	<pre>opt = rlDDPGAgentOptions; opt.TargetUpdateFrequency = 4; opt.TargetSmoothFactor = 1;</pre>
<pre>opt = rlDDPGAgentOptions; opt.TargetUpdateMethod = "periodic"; opt.TargetUpdateFrequency = 5;</pre>	<pre>opt = rlDDPGAgentOptions; opt.TargetUpdateFrequency = 5; opt.TargetSmoothFactor = 1;</pre>

# R2022a: The default value of the ResetExperienceBufferBeforeTraining property has changed

Behavior changed in R2022a

The default value of the ResetExperienceBufferBeforeTraining has changed from true to false.

When creating a new DDPG agent, if you want to clear the experience buffer before training, you must specify ResetExperienceBufferBeforeTraining as true. For example, before training, set the property using dot notation.

agent.AgentOptions.ResetExperienceBufferBeforeTraining = true;

Alternatively, you can set the property to true in an rlDDPGAgentOptions object and use this object to create the DDPG agent.

#### References

[1] Sutton, Richard S., and Andrew G. Barto. *Reinforcement Learning: An Introduction*. Second edition. Adaptive Computation and Machine Learning. Cambridge, Mass: The MIT Press, 2018.

#### See Also

#### **Objects**

rlDDPGAgent | rlAgentInitializationOptions | rlBehaviorCloningRegularizerOptions

#### **Topics**

"Train DDPG Agent to Control Double Integrator System"

"Deep Deterministic Policy Gradient (DDPG) Agents"

# rlDeterministicActorPolicy

Policy object to generate continuous deterministic actions for custom training loops and application deployment

# **Description**

This object implements a deterministic policy, which returns continuous deterministic actions given an input observation. You can create an rlDeterministicActorPolicy object from an rlContinuousDeterministicActor or extract it from an rlDDPGAgent or rlTD3Agent. You can then train the policy object using a custom training loop or deploy it for your application using generatePolicyBlock or generatePolicyFunction. This policy is always deterministic and does not perform any exploration. For more information on policies and value functions, see "Create Policies and Value Functions".

## Creation

## **Syntax**

policy = rlDeterministicActorPolicy(actor)

#### **Description**

policy = rlDeterministicActorPolicy(actor) creates the deterministic actor policy object
policy from the continuous deterministic actor actor. It also sets the Actor property of policy to
the input argument actor.

# **Properties**

#### Actor — Continuous deterministic actor

rlContinuousDeterministicActor object

Continuous deterministic actor, specified as an rlContinuousDeterministicActor object.

#### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation channels.

#### ActionInfo — Action specifications

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

#### SampleTime — Sample time of policy

```
positive scalar | -1 (default)
```

Sample time of the policy, specified as a positive scalar or as -1 (default). Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the policy is specified executes every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the policy is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience. If SampleTime is -1, the sample time is treated as being equal to 1.

Example: 0.2

## **Object Functions**

generatePolicyBlock Generate Simulink block that evaluates policy of an agent or policy object generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

getAction Obtain action from agent, actor, or policy object given environment

observations

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

reset Reset environment, agent, experience buffer, or policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

## **Examples**

### **Create Deterministic Actor Policy from Continuous Deterministic Actor**

Create observation and action specification objects. For this example, define the observation and action spaces as continuous four- and two-dimensional spaces, respectively.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlNumericSpec([2 1]);
```

Alternatively, use getObservationInfo and getActionInfo to extract the specification objects from an environment

Create a continuous deterministic actor. This actor must accept an observation as input and return an action as output.

To approximate the policy function within the actor, use a deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation and action spaces from the environment specification objects.

```
layers = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(actInfo.Dimension(1))
    l;
```

Convert the network to a dlnetwork object and display the number of weights.

model = dlnetwork(layers);

Create the actor using model, and the observation and action specifications.

```
actor = rlContinuousDeterministicActor(model,obsInfo,actInfo)
actor =
```

```
rlContinuousDeterministicActor with properties:
   ObservationInfo: [1x1 rl.util.rlNumericSpec]
        ActionInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

Check the actor with a random observation input.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
ans = 2x1 single column vector
    0.4013
    0.0578
```

Create a policy object from actor.

Check the policy with a random observation input.

```
act = getAction(policy, {rand(obsInfo.Dimension)});
act{1}
ans = 2×1
0.4313
-0.3002
```

You can now train the policy with a custom training loop and then deploy it to your application.

# **Version History**

Introduced in R2022a

## See Also

#### **Functions**

getGreedyPolicy|getExplorationPolicy|generatePolicyBlock|
generatePolicyFunction|getAction|getLearnableParameters|
setLearnableParameters

#### **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlStochasticActorPolicy | rlTD3Agent | rlDDPGAgent

#### **Blocks**

Policy | RL Agent

#### **Topics**

"Model-Based Reinforcement Learning Using Custom Training Loop"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Policies and Value Functions"

# rlDeterministicActorRepresentation

(Not recommended) Deterministic actor representation for reinforcement learning agents

**Note** rlDeterministicActorRepresentation is not recommended. Use rlContinuousDeterministicActor instead. For more information, see "rlDeterministicActorRepresentation is not recommended".

# **Description**

This object implements a function approximator to be used as a deterministic actor within a reinforcement learning agent with a *continuous* action space. A deterministic actor takes observations as inputs and returns as outputs the action that maximizes the expected cumulative long-term reward, thereby implementing a deterministic policy. After you create an rlDeterministicActorRepresentation object, use it to create a suitable agent, such as an rlDDPGAgent agent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## Syntax

actor = rlDeterministicActorRepresentation(net,observationInfo,
actionInfo,'Observation',obsName,'Action',actName)
actor = rlDeterministicActorRepresentation({basisFcn,W0},observationInfo,
actionInfo)
actor = rlDeterministicActorRepresentation(\_\_\_\_,options)

### Description

actor = rlDeterministicActorRepresentation(net,observationInfo, actionInfo,'Observation',obsName,'Action',actName) creates a deterministic actor using the deep neural network net as approximator. This syntax sets the ObservationInfo and ActionInfo properties of actor to the inputs observationInfo and actionInfo, containing the specifications for observations and actions, respectively. actionInfo must specify a continuous action space, discrete action spaces are not supported. obsName must contain the names of the input layers of net that are associated with the observations. The action names actName must be the names of the output layers of net that are associated with the action specifications.

actor = rlDeterministicActorRepresentation({basisFcn,W0}, observationInfo, actionInfo) creates a deterministic actor using a custom basis function as underlying approximator. The first input argument is a two-elements cell in which the first element contains the handle basisFcn to a custom basis function, and the second element contains the initial weight matrix W0. This syntax sets the ObservationInfo and ActionInfo properties of actor respectively to the inputs observationInfo and actionInfo.

actor = rlDeterministicActorRepresentation( \_\_\_\_, options) creates a deterministic
actor using the additional options set options, which is an rlRepresentationOptions object.

This syntax sets the Options property of actor to the options input argument. You can use this syntax with any of the previous input-argument combinations.

#### **Input Arguments**

#### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object

Deep neural network used as the underlying approximator within the actor, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

The network input layers must be in the same order and with the same data type and dimensions as the signals defined in ObservationInfo. Also, the names of these input layers must match the observation names listed in obsName.

The network output layer must have the same data type and dimension as the signal defined in ActionInfo. Its name must be the action name specified in actName.

rlDeterministicActorRepresentation objects support recurrent deep neural networks.

For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

#### obsName — Observation names

string | character vector | cell array of character vectors

Observation names, specified as a cell array of strings or character vectors. The observation names must be the names of the input layers in net.

```
Example: {'my obs'}
```

#### actName — Action name

string | character vector | single-element cell array containing a character vector

Action name, specified as a single-element cell array that contains a character vector. It must be the name of the output layer of net.

```
Example: {'my act'}
```

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The action to be taken based on the current observation, which is the output of the actor, is the vector a = W'\*B, where W is a weight matrix containing the learnable parameters and B is the column vector returned by the custom basis function.

When creating a deterministic actor representation, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here obs1 to obsN are observations in the same order and with the same data type and dimensions as the signals defined in observationInfo

```
Example: @(obs1, obs2, obs3) [obs3(2)*obs1(1)^2; abs(obs2(5)+obs3(1))]
```

#### W0 — Initial value of the basis function weights

column vector

Initial value of the basis function weights, W, specified as a matrix having as many rows as the length of the vector returned by the basis function and as many columns as the dimension of the action space.

## **Properties**

### **Options** — Representation options

rlRepresentationOptions object

Representation options, specified as an rlRepresentationOptions object. Available options include the optimizer used for training and the learning rate.

#### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation signals.

rlDeterministicActorRepresentation sets the ObservationInfo property of actor to the input observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

#### ActionInfo — Action specifications

rlNumericSpec object

Action specifications for a continuous action space, specified as an rlNumericSpec object defining properties such as dimensions, data type and name of the action signals. The deterministic actor representation does not support discrete actions.

rlDeterministicActorRepresentation sets the ActionInfo property of actor to the input observationInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually.

For custom basis function representations, the action signal must be a scalar, a column vector, or a discrete action.

## **Object Functions**

rlDDPGAgent Deep deterministic policy gradient (DDPG) reinforcement learning agent
rlTD3Agent Twin-delayed deep deterministic (TD3) policy gradient reinforcement learning agent
getAction Obtain action from agent, actor, or policy object given environment observations

## **Examples**

#### **Create Deterministic Actor from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that a single action is a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

Create a deep neural network approximator for the actor. The input of the network (here called myobs) must accept a four-element vector (the observation vector just defined by obsInfo), and its output must be the action (here called myact) and be a two-element vector, as defined by actInfo.

```
net = [featureInputLayer(4,'Normalization','none','Name','myobs')
    fullyConnectedLayer(2,'Name','myact')];
```

Create the critic with rlQValueRepresentation, using the network, the observations and action specification objects, as well as the names of the network input and output layers.

To check your actor, use getAction to return the action from a random observation, using the current network weights.

```
act = getAction(actor,{rand(4,1)}); act{1}
ans = 2x1 single column vector
   -0.5054
   1.5390
```

You can now use the actor to create a suitable agent (such as an rlACAgent, rlPGAgent, or rlDDPGAgent agent).

#### Create Deterministic Actor from Custom Basis Function

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 3 doubles.

```
obsInfo = rlNumericSpec([3 1]);
```

The deterministic actor does not support discrete action spaces. Therefore, create a *continuous action space* specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that a single action is a column vector containing 2 doubles.

```
actInfo = rlNumericSpec([2 1]);
```

Create a custom basis function. Each element is a function of the observations defined by obsInfo.

```
myBasisFcn = @(myobs) [myobs(2)^2; myobs(1); 2*myobs(2)+myobs(1); -myobs(3)]
myBasisFcn = function_handle with value:
    @(myobs)[myobs(2)^2;myobs(1);2*myobs(2)+myobs(1);-myobs(3)]
```

The output of the actor is the vector W'\*myBasisFcn(myobs), which is the action taken as a result of the given observation. The weight matrix W contains the learnable parameters and must have as many rows as the length of the basis function output and as many columns as the dimension of the action space.

Define an initial parameter matrix.

```
W0 = rand(4,2);
```

Create the actor. The first argument is a two-element cell containing both the handle to the custom function and the initial weight matrix. The second and third arguments are, respectively, the observation and action specification objects.

To check your actor, use the **getAction** function to return the action from a given observation, using the current parameter matrix.

```
a = getAction(actor,{[1 2 3]'});
a{1}
ans =
   2x1 dlarray
```

```
2.0595 2.3788
```

You can now use the actor (along with an critic) to create a suitable continuous action space agent.

#### Create Deterministic Actor from Recurrent Neural Network

Create observation and action information. You can also obtain these specifications from an environment.

```
obsinfo = rlNumericSpec([4 1]);
actinfo = rlNumericSpec([2 1]);
numObs = obsinfo.Dimension(1);
numAct = actinfo.Dimension(1);
```

Create a recurrent deep neural network for the actor. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

Create a deterministic actor representation for the network.

```
actorOptions = rlRepresentationOptions('LearnRate',1e-3,'GradientThreshold',1);
actor = rlDeterministicActorRepresentation(net,obsinfo,actinfo,...
'Observation',{'state'},'Action',{'tanh1'});
```

# **Version History**

Introduced in R2020a

#### R2022a: rlDeterministicActorRepresentation is not recommended

Not recommended starting in R2022a

rlDeterministicActorRepresentation is not recommended. Use rlContinuousDeterministicActor instead.

The following table shows some typical uses of rlDeterministicActorRepresentation, and how to update your code with rlContinuousDeterministicActor instead. The first table entry uses a neural network, the second one uses a basis function.

rlDeterministicActorRepresentation: Not Recommended	rlContinuousDeterministicActor: Recommended
myActor = rlDeterministicActorRepresentation(net ,obsInfo,actInfo,'Observation',obsName s,'Action',actNames) with actInfo defining a continuous action space and net having observations as inputs and a single output layer with as many elements as the number of dimensions of the continuous action space.	<pre>myActor = rlContinuousDeterministicActor(net,obs Info,actInfo,'ObservationInputNames',o bsNames). Use this syntax to create a deterministic actor object with a continuous action space.</pre>
<pre>rep = rlDeterministicActorRepresentation({ba sisFcn,W0},obsInfo,actInfo), where the basis function has observations as inputs and actions as outputs, W0 is a matrix with as many columns as the number of possible actions, and actInfo defines a continuous action space.</pre>	<pre>rep = rlContinuousDeterministicActor({basisF cn,W0},obsInfo,actInfo). Use this syntax to create a deterministic actor object with a continuous action space.</pre>

# See Also

## **Functions**

getActionInfo|getObservationInfo

## Objects

rlContinuousDeterministicActor | rlRepresentationOptions

## **Topics**

"Create Policies and Value Functions"
"Reinforcement Learning Agents"

# rlDiscreteCategoricalActor

Stochastic categorical actor with a discrete action space for reinforcement learning agents

# **Description**

This object implements a function approximator to be used as a stochastic actor within a reinforcement learning agent with a discrete action space. A discrete categorical actor takes an environment observation as input and returns as output a random action sampled from a categorical (also known as Multinoulli) probability distribution, thereby implementing a parametrized stochastic policy. After you create an rlDiscreteCategoricalActor object, use it to create a suitable agent, such as rlACAgent or rlPGAgent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
actor = rlDiscreteCategoricalActor(net,observationInfo,actionInfo)
actor = rlDiscreteCategoricalActor(net,observationInfo,
actionInfo,ObservationInputNames=netObsNames)
actor = rlDiscreteCategoricalActor({basisFcn,W0},observationInfo,actionInfo)
actor = rlDiscreteCategoricalActor(____,UseDevice=useDevice)
```

#### **Description**

actor = rlDiscreteCategoricalActor(net,observationInfo,actionInfo) creates a stochastic actor with a discrete action space, using the deep neural network net as underlying approximation model. For this actor, actionInfo must specify a discrete action space. The network input layers are automatically associated with the environment observation channels according to the dimension specifications in observationInfo. The network must have a single output layer with as many elements as the number of possible discrete actions, as specified in actionInfo. This function sets the ObservationInfo and ActionInfo properties of actor to the inputs observationInfo and actionInfo, respectively.

actor = rlDiscreteCategoricalActor(net,observationInfo, actionInfo,ObservationInputNames=netObsNames) specifies the names of the network input layers to be associated with the environment observation channels. The function assigns, in sequential order, each environment observation channel specified in observationInfo to the layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

 $actor = rlDiscreteCategoricalActor(\{basisFcn, W0\}, observationInfo, actionInfo) \\ creates a discrete space stochastic actor using a custom basis function as underlying approximation model. The first input argument is a two-element cell array whose first element is the handle$ 

basisFcn to a custom basis function and whose second element is the initial weight matrix W0. This function sets the ObservationInfo and ActionInfo properties of actor to the inputs observationInfo and actionInfo, respectively.

actor = rlDiscreteCategoricalActor(\_\_\_\_, UseDevice=useDevice) specifies the device
used to perform computational operations on the actor object, and sets the UseDevice property of
actor to the useDevice input argument. You can use this syntax with any of the previous inputargument combinations.

#### **Input Arguments**

#### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object (preferred)

Deep neural network used as the underlying approximator within the actor, specified as one of the following:

- · Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

**Note** Among the different network representation options, <code>dlnetwork</code> is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a <code>dlnetwork</code> object. However, best practice is to convert other representations to <code>dlnetwork</code> explicitly <code>before</code> using it to create a critic or an actor for a reinforcement learning agent. You can do so using <code>dlnet=dlnetwork(net)</code>, where net is any Deep Learning Toolbox neural network object. The resulting <code>dlnet</code> is the <code>dlnetwork</code> object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

The network must have as many input layers as the number of environment observation channels (with each input layer receiving input from an observation channel), and a single output layer with as many elements as the number of possible discrete actions. Since the actor must return the probability of executing each possible action, the software automatically adds a softmaxLayer as a final output layer if you do not specify it explicitly. When computing the action, the actor then randomly samples the distribution to return an action.

rlDiscreteCategoricalActor objects support recurrent deep neural networks. For an example, see "Create Discrete Categorical Actor from Deep Recurrent Neural Network" on page 3-147.

The learnable parameters of the actor are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

# net0bsNames — Network input layers names corresponding to the environment observation channels

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels, specified as a string array or a cell array of character vectors. When you use this argument after 'ObservationInputNames', the function assigns, in sequential order, each environment observation channel specified in observationInfo to each network input layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation specifications, as ordered in observationInfo.

Example: {"NetInput1 airspeed", "NetInput2 altitude"}

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The number of the action to be taken based on the current observation, which is the output of the actor, is randomly sampled from a categorical distribution with probabilities p = softmax(W'\*B), where W is a weight matrix containing the learnable parameters and B is the column vector returned by the custom basis function. Each element of p represents the probability of executing the corresponding action from the observed state.

Your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are inputs in the same order and with the same data type and dimensions as the environment observation channels defined in observationInfo.

```
Example: @(obs1,obs2,obs3) [obs3(2)*obs1(1)^2; abs(obs2(5)+obs3(1))]
```

#### W0 — Initial value of the basis function weights

matrix

Initial value of the basis function weights W, specified as a matrix having as many rows as the length of the vector returned by the basis function and as many columns as the dimension of the action space.

# **Properties**

#### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlDiscreteCategoricalActor sets the ObservationInfo property of actor to the input observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

#### ActionInfo — Action specifications

rlFiniteSetSpec object

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

rlDiscreteCategoricalActor sets the ActionInfo property of critic to the input actionInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

### UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: "qpu"

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent getAction Obtain action from agent, actor, or policy object given environment

observations

evaluate Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

setModel	Set function approximation model for actor or critic
getModel	Get function approximator model from actor or critic

## **Examples**

#### **Create Discrete Categorical Actor from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as consisting of three actions, labeled -10, 0, and 10.

```
actInfo = rlFiniteSetSpec([-10 0 10]);
```

A discrete categorical actor implements a parametrized stochastic policy for a discrete action space. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the probability distribution within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer.

The output layer must return a vector of probabilities of taking each possible action, as specified by actInfo. Therefore, each element of the output vector must be between 0 and 1. Using softmax as the output layer enforces this requirement (the software automatically adds a softmaxLayer as a final output layer if you do not specify it explicitly).

Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is arranged as a column vector, row vector, or matrix, while numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects.

```
net = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    l;
```

Convert the network to a dlnetwork object and display the number of learnable parameters.

```
net = dlnetwork(net);
summary(net)
Initialized: true
```

```
Number of learnables: 403

Inputs:
    1 'input' 4 features
```

Create the actor with rlDiscreteCategoricalActor, using the network, the observations and action specification objects. When the network has multiple input layers, they are automatically associated with the environment observation channels according to the dimension specifications in obsInfo.

```
actor = rlDiscreteCategoricalActor(net,obsInfo,actInfo);
```

To check your actor, use **getAction** to return an action from a random observation vector, given the current network weights.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act
act = 1x1 cell array
{[-10]}
```

To return the probability distribution of the actions, given an observation, use evaluate.

```
prb = evaluate(actor,{rand(obsInfo.Dimension)});
prb{1}
ans = 3x1 single column vector
    0.3736
    0.1875
    0.4389
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with a continuous observation space, a discrete action space, and use a discrete categorical actor, are rlACAgent, rlPGAgent, rlPPOAgent, and rlTRPOAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Discrete Categorical Actor from Deep Neural Network Specifying Input Layer Name

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as consisting of three actions, labeled -10, 0, and 10.

```
actInfo = rlFiniteSetSpec([-10 0 10]);
```

A discrete categorical actor implements a parametrized stochastic policy for a discrete action space. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the probability distribution within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo. Therefore, each element of the output vector must be between 0 and 1. Using softmax as the output layer enforces this requirement (the software automatically adds a softmaxLayer as a final output layer if you do not specify it explicitly).

Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is arranged as a column vector, row vector, or matrix, while numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects. Specify a name for the input layer, so you can later explicitly associate it with the observation channel.

```
net = [
    featureInputLayer( ...
        prod(obsInfo.Dimension), ...
        Name="netObsIn")
    fullyConnectedLayer(32)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    softmaxLayer(Name="actionProb")
    ];
```

Convert the network to a dlnetwork object and display the number of learnable parameters (weights).

Create the actor with rlDiscreteCategoricalActor, using the network, the observations and action specification objects, and the name of the network input layer.

```
actor = rlDiscreteCategoricalActor(net, ...
    obsInfo,actInfo,...
    Observation="netObsIn");
```

To validate your actor, use **getAction** to return an action from a random observation, given the current network weights.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
ans = -10
```

To return the probability distribution of the possible actions as a function of a random observation, and given the current network weights, use evaluate.

You can now use the actor (along with a critic) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with a continuous observation space, a discrete action space, and use a discrete categorical actor, are rlACAgent, rlPGAgent, rlPPOAgent, and rlTRPOAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### **Create Discrete Categorical Actor from Custom Basis Function**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as consisting of two channels, the first carrying a two-dimensional vector in a continuous space, the second carrying a two dimensional vector that can assume only three values, -[1 2], [0 1], and [1 3]. Therefore a single observation consists of two two-dimensional vectors, one continuous, the other discrete.

```
obsInfo = [rlNumericSpec([2 1]) rlFiniteSetSpec({-[1 2],[0 1],[1 3]})];
```

Create a discrete action space specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of three possible actions, labeled 7, 5, and 3.

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

A discrete categorical actor implements a parametrized stochastic policy for a discrete action space. To model the parametrized probability distribution within the actor, use a custom basis function with two inputs (which receive the content of the environment observation channels, as specified by obsInfo).

Create a function that returns a vector of four elements, depending on a given observation.

```
 \begin{tabular}{ll} myBasisFcn = @(obsC,obsD) & [obsC(1)^2-obsD(2)^2;\\ & obsC(2)^2-obsD(1)^2;\\ & exp(obsC(2))+abs(obsD(1));\\ & exp(obsC(1))+abs(obsD(2))]; \end{tabular}
```

The actor samples the action randomly, according to the probability distribution softmax(W'\*myBasisFcn(obsC,obsD)). Here, W is a weight matrix, containing the learnable parameters, which must have as many rows as the length of the basis function output (for this example, four), and as many columns as the number of possible actions (for this example, three).

Define an initial parameter matrix.

```
W0 = rand(4,3);
```

Create the actor. The first argument is a two-element cell containing both the handle to the custom function and the initial parameter matrix. The second and third arguments are, respectively, the observation and action specification objects.

```
actor = rlDiscreteCategoricalActor({myBasisFcn,W0},obsInfo,actInfo);
```

To check your actor use the **getAction** function to return one of the three possible actions, depending on a given random observation and on the current parameter matrix.

Note that the discrete set constraint is not enforced.

To return the probability of each action as a function of a random observation (and given the current weights), use evaluate.

You can now use the actor (along with a critic) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with a mixed observation space, a discrete action space, and use a discrete categorical actor, are rlacAgent, rlPGAgent, and rlPPOAgent. rlTRPOAgent does not support actors or critics that use custom basis functions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Discrete Categorical Actor from Deep Recurrent Neural Network

This example shows you how to create a stochastic actor with a discrete action space using a recurrent neural network.

For this example, use the same environment used in "Train PG Agent to Balance Cart-Pole System". Load the environment and obtain the observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env)
obsInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "CartPole States"
   Description: "x, dx, theta, dtheta"
      Dimension: [4 1]
      DataType: "double"
actInfo = getActionInfo(env)
actInfo =
  rlFiniteSetSpec with properties:
       Elements: [-10 10]
           Name: "CartPole Action"
   Description: [0x0 string]
      Dimension: [1 1]
      DataType: "double"
```

A discrete categorical actor implements a parametrized stochastic policy for a discrete action space. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the probability distribution within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer.

The output layer must return a vector of probabilities of taking each possible action, as specified by actInfo. Therefore, each element of the output vector must be between 0 and 1. Using softmax as the output layer enforces this requirement (the software automatically adds a softmaxLayer as a final output layer if you do not specify it explicitly).

Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is arranged as a column vector, row vector, or matrix, while numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects. To create a recurrent network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
net = [
    sequenceInputLayer( ...
        prod(obsInfo.Dimension), ...
        Name="netObsIn")
    fullyConnectedLayer(8)
    reluLayer
    lstmLayer(8)
    fullyConnectedLayer( ...
    numel(actInfo.Elements))
    l;
```

Convert the network to a dlnetwork object and display the number of learnable parameters (weights).

```
net = dlnetwork(net);
summary(net)
    Initialized: true
    Number of learnables: 602
    Inputs:
        1 'netObsIn' Sequence input with 4 dimensions
```

Create a discrete categorical actor using the network, the environment specifications, and the name of the network input layer to be associated with the observation channel.

```
actor = rlDiscreteCategoricalActor(net, ...
   obsInfo,actInfo,...
   Observation="netObsIn");
```

To check your actor use **getAction** to return one of the two possible actions, depending on a given random observation and on the current network weights.

```
act = getAction(actor,{rand(obsInfo.Dimension)});
act{1}
ans = -10
```

To return the probability of each of the two possible action, use evaluate. Note that the type of the returned numbers is single, not double.

```
prb = evaluate(actor,{rand(obsInfo.Dimension)});
prb{1}
ans = 2x1 single column vector
    0.4704
    0.5296
```

You can use getState and setState to extract and set the current state of the recurrent neural network in the actor.

```
getState(actor)
```

```
ans=2×1 cell array
    {8x1 single}
    {8x1 single}

actor = setState(actor, ...
    {-0.01*single(rand(8,1)), ...
        0.01*single(rand(8,1))});
```

To evaluate the actor using sequential observations, use the sequence length (time) dimension. For example, obtain actions for 5 independent sequences each one consisting of 9 sequential observations.

```
[action, state] = getAction(actor, ...
{rand([obsInfo.Dimension 5 9])});
```

Display the action corresponding to the seventh element of the observation sequence in the fourth sequence.

```
action = action{1};
action(1,1,4,7)
ans = 10
```

Display the updated state of the recurrent neural network.

#### state

```
state=2×1 cell array
    {8x5 single}
    {8x5 single}
```

You can now use the actor (along with a critic) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with a continuous observation space, a discrete action space, and use a discrete categorical actor, are rlacAgent, rlPGAgent, and rlPPOAgent. rlTRPOAgent does not support actors or critics with recurrent neural networks.

For more information on input and output format for recurrent neural networks, see the Algorithms section of <code>lstmLayer</code>. For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

getAction|getModel|evaluate|getActionInfo|getObservationInfo

#### Objects

```
rlNumericSpec | rlFiniteSetSpec | rlContinuousGaussianActor |
rlContinuousDeterministicActor | rlPGAgent | rlACAgent | rlPPOAgent | rlTRPOAgent
```

### **Topics**

"Train PG Agent to Balance Cart-Pole System"
"Train AC Agent to Balance Cart-Pole System"
"Train PPO Agent for a Lander Vehicle"
"Load Predefined Control System Environments"
"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# rlDQNAgent

Deep Q-network (DQN) reinforcement learning agent

# **Description**

The deep Q-network (DQN) algorithm is a model-free, online, off-policy reinforcement learning method. A DQN agent is a value-based reinforcement learning agent that trains a critic to estimate the return or future rewards. DQN is a variant of Q-learning, and it operates only within discrete action spaces.

For more information, "Deep Q-Network (DQN) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

```
agent = rlDQNAgent(observationInfo,actionInfo)
agent = rlDQNAgent(observationInfo,actionInfo,initOpts)
agent = rlDQNAgent(critic)
agent = rlDQNAgent(critic,agentOptions)
```

#### Description

#### **Create Agent from Observation and Action Specifications**

agent = rlDQNAgent(observationInfo,actionInfo) creates a DQN agent for an environment with the given observation and action specifications, using default initialization options. The critic in the agent uses a default vector (that is, multi-output) Q-value deep neural network built from the observation specification observationInfo and the action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlDQNAgent(observationInfo,actionInfo,initOpts) creates a DQN agent for an environment with the given observation and action specifications. The agent uses a default network configured using options specified in the initOpts object. For more information on the initialization options, see rlAgentInitializationOptions.

#### **Create Agent from Critic**

agent = rlDQNAgent(critic) creates a DQN agent with the specified critic network using a
default option set for a DQN agent.

#### **Specify Agent Options**

agent = rlDQNAgent(critic,agentOptions) creates a DQN agent with the specified critic
network and sets the AgentOptions property to the agentOptions input argument. Use this syntax
after any of the input arguments in the previous syntaxes..

#### **Input Arguments**

#### initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

#### critic — Critic

rlQValueFunction object | rlVectorQValueFunction object

Critic, specified as an rlQValueFunction or as the generally more efficient rlVectorQValueFunction object. For more information on creating critics, see "Create Policies and Value Functions".

Your critic can use a recurrent neural network as its function approximator. However, only rlVectorQValueFunction supports recurrent neural networks. For an example, see "Create DQN Agent with Recurrent Neural Network" on page 3-160.

## **Properties**

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying a critic object, the value of <code>ObservationInfo</code> matches the value specified in <code>critic</code>.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### ActionInfo — Action specification

rlFiniteSetSpec object

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

#### **Note** Only one action channel is allowed.

If you create the agent by specifying a critic object, the value of ActionInfo matches the value specified in critic.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec.

#### AgentOptions — Agent options

rlDQNAgentOptions object

Agent options, specified as an rlDQNAgentOptions object.

If you create a DQN agent with a default critic that uses a recurrent neural network, the default value of AgentOptions. SequenceLength is 32.

#### ExperienceBuffer — Experience buffer

rlReplayMemory object

Experience buffer, specified as an rlReplayMemory object. During training the agent stores each of its experiences (S,A,R,S',D) in a buffer. Here:

- *S* is the current observation of the environment.
- *A* is the action taken by the agent.
- *R* is the reward for taking action *A*.
- S' is the next observation after taking action A.
- *D* is the is-done signal after taking action *A*.

#### UseExplorationPolicy — Option to use exploration policy

false (default) | true

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions.
- false Use the base agent greedy policy when selecting actions.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train Train reinforcement learning agents within a specified environment sim Simulate trained reinforcement learning agents within specified

environment

getAction Obtain action from agent, actor, or policy object given environment

observations

getActor Extract actor from reinforcement learning agent setActor Set actor of reinforcement learning agent getCritic Extract critic from reinforcement learning agent

setCritic Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

# **Examples**

% Load predefined environment

#### Create DQN Agent from Observation and Action Specifications

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of -2, -1, 0, 1, or 2 Nm applied to a swinging pole).

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
% Obtain observation and action specifications
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
The agent creation function initializes the actor and critic networks randomly. You can ensure
reproducibility by fixing the seed of the random generator.
rng(0)
Create a deep Q-network agent from the environment observation and action specifications.
agent = rlDQNAgent(obsInfo,actInfo);
To check your agent, use getAction to return the action from a random observation.
getAction(agent,{rand(obsInfo(1).Dimension),rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
{[1]}
```

You can now test and train the agent within the environment.

#### **Create DQN Agent Using Initialization Options**

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of either -2, -1, 0, 1, or 2 Nm applied to a swinging pole).

```
% Load predefined environment
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
% Obtain observation and action specifications
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons (instead of the default number, 256).

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a policy gradient agent from the environment observation and action specifications.

```
agent = rlDQNAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural network from both the critic.

```
criticNet = getModel(getCritic(agent));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

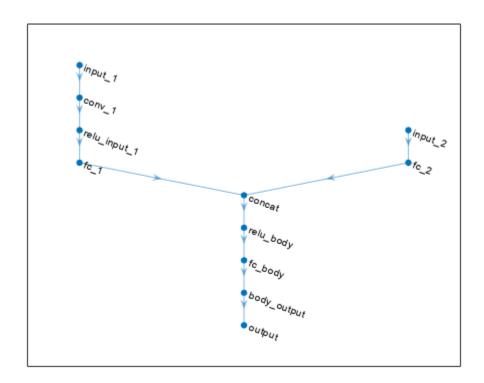
```
criticNet.Layers
```

or visualize the structure interactively using analyzeNetwork.

```
analyzeNetwork(criticNet)
```

Plot the critic network

plot(layerGraph(criticNet))



To check your agent, use getAction to return the action from random observations.

```
getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
{[0]}
```

You can now test and train the agent within the environment.

#### Create a DQN Agent Using a Multi-Output Critic

Create an environment interface and obtain its observation and action specifications. For this example load the predefined environment used for the "Train DQN Agent to Balance Cart-Pole System" example. This environment has a continuous four-dimensional observation space (the positions and velocities of both cart and pole) and a discrete one-dimensional action space consisting on the application of two possible forces, -10N or 10N.

Create the predefined environment.

```
env = rlPredefinedEnv("CartPole-Discrete");
Get the observation and action specification objects.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

A DQN agent approximates the long-term reward, given observations and actions, using a parametrized Q-value function critic.

For DQN agents with a discrete action space, you have the option to create a vector (that is a multioutput) Q-value function critic, which is generally more efficient than a comparable single-output critic. A vector Q-value function is a mapping from an environment observation to a vector in which each element represents the expected discounted cumulative long-term reward when an agent starts from the state corresponding to the given observation and executes the action corresponding to the element number (and follows a given policy afterwards).

To model the Q-value function within the critic, use a deep neural network. The network must have one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the vector of values for all the possible actions).

Define the network as an array of layer objects, and get the dimensions of the observation space (that is, prod(obsInfo.Dimension)) and the number of possible actions (that is, numel(actInfo.Elements)) directly from the environment specification objects.

```
dnn = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))];
```

Convert the network to a dlnetwork object ad display the number of weights.

```
dnn = dlnetwork(dnn);
summary(dnn)
   Initialized: true
   Number of learnables: 770
   Inputs:
          'input'
                     4 features
      1
Create the critic using rlVectorQValueFunction, the network dnn as well as the observation and
action specifications.
critic = rlVectorQValueFunction(dnn,obsInfo,actInfo);
Check that the critic works with a random observation input.
getValue(critic, {rand(obsInfo.Dimension)})
ans = 2x1 single column vector
   -0.0361
    0.0913
Create the DQN agent using the critic.
agent = rlDQNAgent(critic)
agent =
  rlDQNAgent with properties:
        ExperienceBuffer: [1x1 rl.replay.rlReplayMemory]
            AgentOptions: [1x1 rl.option.rlDQNAgentOptions]
    UseExplorationPolicy: 0
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
Specify agent options, including training options for the critic.
agent.AgentOptions.UseDoubleDQN=false;
agent.AgentOptions.TargetUpdateMethod="periodic";
agent.AgentOptions.TargetUpdateFrequency=4;
agent.AgentOptions.ExperienceBufferLength=100000;
agent.AgentOptions.DiscountFactor=0.99;
agent.AgentOptions.MiniBatchSize=256;
agent.AgentOptions.CriticOptimizerOptions.LearnRate=1e-2;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold=1;
To check your agent, use getAction to return the action from a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[10]}
```

You can now test and train the agent within the environment.

#### Create a DQN Agent Using a Single-Output Critic

Create an environment interface and obtain its observation and action specifications. For this example load the predefined environment used for the "Train DQN Agent to Balance Cart-Pole System" example. This environment has a continuous four-dimensional observation space (the positions and velocities of both cart and pole) and a discrete one-dimensional action space consisting on the application of two possible forces,  $-10~\rm N$  or  $10~\rm N$ .

Create the predefined environment.

```
env = rlPredefinedEnv("CartPole-Discrete");
Get the observation and action specification objects.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

For DQN agents, you can use multi-output Q-value function critics, which are generally more efficient than a comparable single-output critics. However, for this example, create a single-output Q-value function critic instead.

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critic, use a neural network with two input layers (one for the observation channel, as specified by obsInfo, and the other for the action channel, as specified by actInfo) and one output layer (which returns the scalar value).

Note that prod(obsInfo.Dimension) and prod(actInfo.Dimension) return the number of dimensions of the observation and action spaces, respectively, regardless of whether they are arranged as row vectors, column vectors, or matrices.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path, so you can connect the paths.

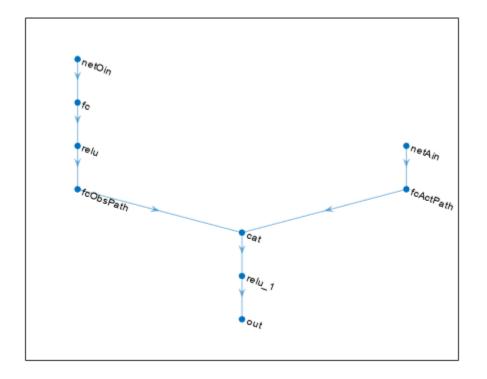
```
% Observation path
obsPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="netOin")
    fullyConnectedLayer(24)
    reluLayer
    fullyConnectedLayer(24,Name="fcObsPath")
    ];
% Action path
actPath = [
    featureInputLayer(prod(actInfo.Dimension),Name="netAin")
    fullyConnectedLayer(24,Name="fcActPath")
    ];
% Common path (concatenate inputs along dim #1)
commonPath = [
```

```
concatenationLayer(1,2,Name="cat")
  reluLayer
  fullyConnectedLayer(1,Name="out")
  ];

% Add paths to network
net = layerGraph;
net = addLayers(net,obsPath);
net = addLayers(net,actPath);
net = addLayers(net,commonPath);

% Connect layers
net = connectLayers(net,"fcObsPath","cat/in1");
net = connectLayers(net,"fcActPath","cat/in2");

% Plot network
plot(net)
```



```
% Convert to dlnetwork object
net = dlnetwork(net);
% Display the number of weights
summary(net)
    Initialized: true
    Number of learnables: 817
```

```
Inputs:
      1
          'net0in'
                    4 features
          'netAin' 1 features
      2
Create the critic approximator object using net, the environment observation and action
specifications, and the names of the network input layers to be connected with the environment
observation and action channels. For more information, see rlQValueFunction.
critic = rlQValueFunction(net, ...
    obsInfo, ...
    actInfo, ...
    ObservationInputNames="netOin", ...
    ActionInputNames="netAin");
Check the critic with a random observation and action input.
getValue(critic, {rand(obsInfo.Dimension)}, {rand(actInfo.Dimension)})
ans = single
    -0.0232
Create the DQN agent using the critic.
agent = rlDQNAgent(critic)
agent =
  rlDQNAgent with properties:
        ExperienceBuffer: [1x1 rl.replay.rlReplayMemory]
            AgentOptions: [1x1 rl.option.rlDQNAgentOptions]
    UseExplorationPolicy: 0
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
Specify agent options, including training options for the critic.
agent.AgentOptions.UseDoubleDQN=false;
agent.AgentOptions.TargetUpdateMethod="periodic";
agent.AgentOptions.TargetUpdateFrequency=4;
agent.AgentOptions.ExperienceBufferLength=100000;
agent.AgentOptions.DiscountFactor=0.99;
agent.AgentOptions.MiniBatchSize=256;
agent.AgentOptions.CriticOptimizerOptions.LearnRate=1e-2;
```

```
To check your agent, use getAction to return the action from a random observation.
```

agent.AgentOptions.CriticOptimizerOptions.GradientThreshold=1;

```
getAction(agent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[10]}
```

You can now test and train the agent within the environment.

#### **Create DQN Agent with Recurrent Neural Network**

For this example load the predefined environment used for the "Train DQN Agent to Balance Cart-Pole System" example. This environment has a continuous four-dimensional observation space (the positions and velocities of both cart and pole) and a discrete one-dimensional action space consisting on the application of two possible forces, -10N or 10N.

```
env = rlPredefinedEnv("CartPole-Discrete");
Get the observation and action specification objects.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

For DQN agents, only the vector function approximator, rlVectorQValueFunction, supports recurrent neural networks models. The network must have one input layer (taking the content of the observation channel) and one output layer (returning the vector of values for all the possible actions).

Define the network as an array of layer objects. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
net = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(50)
    reluLayer
    lstmLayer(20,OutputMode="sequence");
    fullyConnectedLayer(20)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
```

Convert to a dlnetwork object and display the number of weights.

Create the critic approximator object using net and the environment specifications.

```
critic = rlVectorQValueFunction(net,obsInfo,actInfo);
```

Check your critic with a random input observation.

```
getValue(critic, {rand(obsInfo.Dimension)})
ans = 2x1 single column vector
    0.0136
    0.0067
```

Define some training options for the critic.

```
criticOptions = rlOptimizerOptions( ...
    LearnRate=1e-3, ...
    GradientThreshold=1);
Specify options for creating the DQN agent. To use a recurrent neural network, you must specify a
SequenceLength greater than 1.
agentOptions = rlDQNAgentOptions(...
    UseDoubleDQN=false, ...
    TargetSmoothFactor=5e-3,
    ExperienceBufferLength=1e6, ...
    SequenceLength=32, ...
    CriticOptimizerOptions=criticOptions);
agentOptions.EpsilonGreedyExploration.EpsilonDecay = 1e-4;
Create the agent. The actor and critic networks are initialized randomly.
agent = rlDQNAgent(critic,agentOptions)
agent =
  rlDONAgent with properties:
        ExperienceBuffer: [1x1 rl.replay.rlReplayMemory]
            AgentOptions: [1x1 rl.option.rlDQNAgentOptions]
    UseExplorationPolicy: 0
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
               ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
               SampleTime: 1
Check your agent using getAction to return the action from a random observation.
getAction(agent, rand(obsInfo.Dimension))
ans = 1x1 cell array
    {[-10]}
To evaluate the agent using sequential observations, use the sequence length (time) dimension. For
example, obtain actions for a sequence of 9 observations.
[action, state] = getAction(agent, ...
    {rand([obsInfo.Dimension 1 9])});
Display the action corresponding to the seventh element of the observation.
action = action{1};
action(1,1,1,7)
```

You can now test and train the agent within the environment.

# **Version History**

Introduced in R2019a

ans = -10

## See Also

#### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

getAction | getActor | getCritic | getModel | generatePolicyFunction |
generatePolicyBlock | getActionInfo | getObservationInfo

#### **Objects**

rlDQNAgentOptions | rlAgentInitializationOptions | rlVectorQValueFunction |
rlQValueFunction | rlQAgent | rlSARSAAgent

#### **Blocks**

RL Agent | Policy

#### **Topics**

"Train AC Agent to Balance Cart-Pole System"

"Train Reinforcement Learning Agents"

"Deep Q-Network (DQN) Agents"

"Reinforcement Learning Agents"

# rlDQNAgentOptions

Options for DQN agent

# **Description**

Use an rlDQNAgentOptions object to specify options for deep Q-network (DQN) agents. To create a DQN agent, use rlDQNAgent.

For more information, see "Deep Q-Network (DQN) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

```
opt = rlDQNAgentOptions
opt = rlDQNAgentOptions(Name, Value)
```

#### **Description**

opt = rlDQNAgentOptions creates an options object for use as an argument when creating a DQN
agent using all default settings. You can modify the object properties using dot notation.

opt = rlDQNAgentOptions(Name, Value) sets option properties on page 3-164 using name-value pairs. For example, rlDQNAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

# **Properties**

#### UseDoubleDQN — Flag for using double DQN

true (default) | false

Flag for using double DQN for value function target updates, specified as a logical value. For most application set UseDoubleDQN to "on". For more information, see "Deep Q-Network (DQN) Agents".

#### EpsilonGreedyExploration — Options for epsilon-greedy exploration

EpsilonGreedyExploration object

Options for epsilon-greedy exploration, specified as an EpsilonGreedyExploration object with the following properties.

Property	Description	Default Value
Epsilon	Probability threshold to either randomly select an action or select the action that maximizes the state-action value function. A larger value of Epsilon means that the agent randomly explores the action space at a higher rate.	1
EpsilonMin	Minimum value of Epsilon	0.01
EpsilonDecay	Decay rate	0.0050

At the end of each training time step, if Epsilon is greater than EpsilonMin, then it is updated using the following formula.

Epsilon = Epsilon\*(1-EpsilonDecay)

Note that Epsilon is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches EpsilonMin.

If your agent converges on local optima too quickly, you can promote agent exploration by increasing <code>Epsilon</code>.

To specify exploration options, use dot notation after creating the rlDQNAgentOptions object opt. For example, set the epsilon value to 0.9.

opt.EpsilonGreedyExploration.Epsilon = 0.9;

#### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### BatchDataRegularizerOptions — Batch data regularizer options

[] (default) | rlConservativeQLearningOptions object

Batch data regularizer options, specified as an rlConservativeQLearningOptions object. These options are used to train the agent offline, from existing data. If you leave this option empty, a default rlConservativeQLearningOptions options object is used (if needed).

For more information, see rlConservativeQLearningOptions.

Example: opt.BatchDataRegularizerOptions =
rlConservativeQLearningOptions(MinQValueWeight=5)

#### TargetSmoothFactor — Smoothing factor for target critic updates

1e-3 (default) | positive scalar less than or equal to 1

Smoothing factor for target critic updates, specified as a positive scalar less than or equal to 1. For more information, see "Target Update Methods".

#### TargetUpdateFrequency — Number of steps between target critic updates

1 (default) | positive integer

Number of steps between target critic updates, specified as a positive integer. For more information, see "Target Update Methods".

# ResetExperienceBufferBeforeTraining — Option for clearing the experience buffer false (default) | true

Option for clearing the experience buffer before training, specified as a logical value.

# SequenceLength — Maximum batch-training trajectory length when using RNN 1 (default) | positive integer

1 (default) | positive integer

Maximum batch-training trajectory length when using a recurrent neural network for the critic, specified as a positive integer. This value must be greater than 1 when using a recurrent neural network for the critic and 1 otherwise.

#### MiniBatchSize — Size of random experience mini-batch

64 (default) | positive integer

Size of random experience mini-batch, specified as a positive integer. During each training episode, the agent randomly samples experiences from the experience buffer when computing gradients for updating the critic properties. Large mini-batches reduce the variance when computing gradients but increase the computational effort.

When using a recurrent neural network for the critic, MiniBatchSize is the number of experience trajectories in a batch, where each trajectory has length equal to SequenceLength.

# $\label{lem:numStepsToLookAhead} \textbf{--Number of future rewards used to estimate the value of the policy} \ 1 \ (default) \ | \ positive \ integer$

Number of future rewards used to estimate the value of the policy, specified as a positive integer. For more information, see chapter 7 of [1].

N-step Q learning is not supported when using a recurrent neural network for the critic. In this case, NumStepsToLookAhead must be 1.

#### ExperienceBufferLength — Experience buffer size

10000 (default) | positive integer

Experience buffer size, specified as a positive integer. During training, the agent computes updates using a mini-batch of experiences randomly sampled from the buffer.

## SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience

returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

## **Object Functions**

rlDQNAgent Deep Q-network (DQN) reinforcement learning agent

## **Examples**

#### **Create DQN Agent Options Object**

Create an rlDQNAgentOptions object that specifies the agent mini-batch size.

```
opt = rlDQNAgentOptions(MiniBatchSize=48)
opt =
 rlDQNAgentOptions with properties:
                           UseDoubleDON: 1
               EpsilonGreedyExploration: [1x1 rl.option.EpsilonGreedyExploration]
                 CriticOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
            BatchDataRegularizerOptions: []
                     TargetSmoothFactor: 1.0000e-03
                  TargetUpdateFrequency: 1
   ResetExperienceBufferBeforeTraining: 0
                         SequenceLength: 1
                          MiniBatchSize: 48
                    NumStepsToLookAhead: 1
                 ExperienceBufferLength: 10000
                             SampleTime: 1
                         DiscountFactor: 0.9900
                             InfoToSave: [1x1 struct]
```

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

# **Version History**

Introduced in R2019a

# R2020a: Target update method settings for DQN agents have changed

Behavior changed in R2020a

Target update method settings for DQN agents have changed. The following changes require updates to your code:

- The TargetUpdateMethod option has been removed. Now, DQN agents determine the target update method based on the TargetUpdateFrequency and TargetSmoothFactor option values.
- The default value of TargetUpdateFrequency has changed from 4 to 1.

To use one of the following target update methods, set the TargetUpdateFrequency and TargetSmoothFactor properties as indicated.

Update Method	TargetUpdateFrequency	TargetSmoothFactor
Smoothing	1	Less than 1
Periodic	Greater than 1	1
Periodic smoothing (new method in R2020a)	Greater than 1	Less than 1

The default target update configuration, which is a smoothing update with a TargetSmoothFactor value of 0.001, remains the same.

#### **Update Code**

This table shows some typical uses of rlDQNAgentOptions and how to update your code to use the new option configuration.

Not Recommended	Recommended
<pre>opt = rlDQNAgentOptions('TargetUpdateMethod' ,"smoothing");</pre>	<pre>opt = rlDQNAgentOptions;</pre>
<pre>opt = rlDQNAgentOptions('TargetUpdateMethod' ,"periodic");</pre>	<pre>opt = rlDQNAgentOptions; opt.TargetUpdateFrequency = 4; opt.TargetSmoothFactor = 1;</pre>
<pre>opt = rlDQNAgentOptions; opt.TargetUpdateMethod = "periodic"; opt.TargetUpdateFrequency = 5;</pre>	<pre>opt = rlDQNAgentOptions; opt.TargetUpdateFrequency = 5; opt.TargetSmoothFactor = 1;</pre>

# ${\bf R2022a: The\ default\ value\ of\ the\ ResetExperience} BufferBeforeTraining\ property\ has\ changed$

Behavior changed in R2022a

The default value of the ResetExperienceBufferBeforeTraining has changed from true to false.

When creating a new DQN agent, if you want to clear the experience buffer before training, you must specify ResetExperienceBufferBeforeTraining as true. For example, before training, set the property using dot notation.

agent.AgentOptions.ResetExperienceBufferBeforeTraining = true;

Alternatively, you can set the property to true in an rlDQNAgentOptions object and use this object to create the DQN agent.

# References

[1] Sutton, Richard S., and Andrew G. Barto. *Reinforcement Learning: An Introduction*. Second edition. Adaptive Computation and Machine Learning. Cambridge, Mass: The MIT Press, 2018.

# See Also

#### **Objects**

rlDQNAgent|rlAgentInitializationOptions|rlConservativeQLearningOptions

#### **Topics**

"Train DQN Agent to Balance Cart-Pole System"
"Deep Q-Network (DQN) Agents"

# rlEpsilonGreedyPolicy

Policy object to generate discrete epsilon-greedy actions for custom training loops

# **Description**

This object implements an epsilon-greedy policy, which returns either the action that maximizes a discrete action-space Q-value function, with probability 1-Epsilon, or a random action otherwise, given an input observation. You can create an rlEpsilonGreedyPolicy object from an rlQValueFunction or rlVectorQValueFunction object, or extract it from an rlQAgent, rlDQNAgent or rlSARSAAgent. You can then train the policy object using a custom training loop or deploy it for your application. If UseEpsilonGreedyAction is set to 0 the policy is deterministic, therefore in this case it does not explore. This object is not compatible with generatePolicyBlock and generatePolicyFunction. For more information on policies and value functions, see "Create Policies and Value Functions".

## Creation

## **Syntax**

policy = rlEpsilonGreedyPolicy(qValueFunction)

#### **Description**

policy = rlEpsilonGreedyPolicy(qValueFunction) creates the epsilon-greedy policy object
policy from the discrete action-space Q-value function qValueFunction. It also sets the
QValueFunction property of policy to the input argument qValueFunction.

# **Properties**

#### QValueFunction — Discrete action-space Q-value function

rlQValueFunction object | rlVectorQValueFunction object

Discrete action-space Q-value function approximator, specified as an rlQValueFunction or rlVectorQValueFunction object.

#### ExplorationOptions — Noise model options

EpsilonGreedyExploration object

Exploration options, specified as an EpsilonGreedyExploration object. Changing the noise state or any exploration option of an rlEpsilonGreedyPolicy object deployed through code generation is not supported.

For more information see the EpsilonGreedyExploration property in rlQAgentOptions.

#### UseEpsilonGreedyAction — Option to enable epsilon-greedy actions

true (default) | false

Option to enable epsilon-greedy actions, specified as a logical value: either true (default, enabling epsilon-greedy actions, which helps exploration) or false (epsilon-greedy actions not enabled). When epsilon-greedy actions are disabled the policy is deterministic and therefore it does not explore.

Example: false

### **EnableEpsilonDecay** — Option to enable epsilon decay

true (default) | false

Option to enable epsilon decay, specified as a logical value: either true (default, enabling epsilon decay) or false (disabling epsilon decay).

Example: false

#### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation channels.

#### ActionInfo — Action specifications

rlFiniteSetSpec object

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

#### SampleTime — Sample time of policy

positive scalar | -1 (default)

Sample time of the policy, specified as a positive scalar or as -1 (default). Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the policy is specified executes every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the policy is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience. If SampleTime is -1, the sample time is treated as being equal to 1.

Example: 0.2

# **Object Functions**

getAction Obtain action from agent, actor, or policy object given environment

observations

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

reset Reset environment, agent, experience buffer, or policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

## **Examples**

#### Create Epsilon-Greedy Policy Object from Vector Q-Value Function

Create observation and action specification objects. For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles, and the action space as a finite set consisting of two possible row vectors, [1 0] and [0 1].

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlFiniteSetSpec({[1 0],[0 1]});
```

Alternatively, use getObservationInfo and getActionInfo to extract the specification objects from an environment.

Create a vector Q-value function approximator to use as critic. A vector Q-value function must accept an observation as input and return a single vector with as many elements as the number of possible discrete actions.

To model the parametrized vector Q-value function within the critic, use a neural network. Define a single path from the network input to its output as an array of layer objects.

```
layers = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(10)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
```

Convert the network to a dlnetwork object and display the number of weights.

Create a vector Q-value function using model, and the observation and action specifications.

```
qValueFcn = rlVectorQValueFunction(model,obsInfo,actInfo)
```

```
qValueFcn =
    rlVectorQValueFunction with properties:
    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
         UseDevice: "cpu"
```

Check the critic with a random observation input.

```
getValue(qValueFcn,{rand(obsInfo.Dimension)})
ans = 2x1 single column vector
```

```
0.6486
```

Create a policy object from qValueFcn.

getAction(policy, {rand(obsInfo.Dimension)})

You can now train the policy with a custom training loop and then deploy it to your application.

# **Version History**

Introduced in R2022a

ans = 1x1 cell array  $\{[1 \ 0]\}$ 

#### See Also

#### **Functions**

getGreedyPolicy|getExplorationPolicy|generatePolicyBlock|
generatePolicyFunction|getAction|getLearnableParameters|
setLearnableParameters

#### **Objects**

rlMaxQPolicy | rlDeterministicActorPolicy | rlAdditiveNoisePolicy |
rlStochasticActorPolicy | rlQValueFunction | rlVectorQValueFunction | rlSARSAAgent
| rlQAgent | rlDQNAgent

#### **Blocks**

Policy | RL Agent

#### **Topics**

"Model-Based Reinforcement Learning Using Custom Training Loop"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Policies and Value Functions"

# rlFiniteSetSpec

Create discrete action or observation data specifications for reinforcement learning environments

# **Description**

An rlFiniteSetSpec object specifies discrete action or observation data specifications for reinforcement learning environments.

# Creation

## Syntax

spec = rlFiniteSetSpec(elements)

#### **Description**

spec = rlFiniteSetSpec(elements) creates a data specification with a discrete set of actions or
observations, setting the Elements property.

## **Properties**

#### **Elements — Set of valid actions or observations**

vector | cell array

Set of valid actions or observations for the environment, specified as one of the following:

- Vector Specify valid numeric values for a single action or single observation.
- Cell array Specify valid numeric value combinations when you have more than one action or observation. Each entry of the cell array must have the same dimensions.

#### Name — Name of the rlFiniteSetSpec object

string

Name of the rlFiniteSetSpec object, specified as a string. Use this property to set a meaningful name for the signal carried by this data channel. This property is used by the RL Agent block to match the bus signals with their corresponding environment channels.

#### Description — Description of the rlFiniteSetSpec object

string

Description of the rlFiniteSetSpec object, specified as a string. You can use this property to specify a meaningful description of the signal carried by this environment channel.

#### Dimension — Size of each element

vector (default)

This property is read-only.

Size of each element, specified as a vector.

If you specify Elements as a vector, then Dimension is [1 1]. Otherwise, if you specify a cell array, then Dimension indicates the size of the entries in Elements. This property is essential to construct agents and function approximators objects that work with a given environment.

#### DataType — Information about the type of data

```
"double" (default) | string
```

This property is read-only.

Information about the type of data, specified as a string, such as "double" or "single". The software uses this property to enforce data type consistency for observations and actions.

### **Object Functions**

rlSimulinkEnv Create reinforcement learning environment using dynamic model

implemented in Simulink

rlFunctionEnv Specify custom reinforcement learning environment dynamics

using functions

rlValueFunction Value function approximator object for reinforcement learning

agents

rlQValueFunction Q-Value function approximator object for reinforcement learning

agents

rlVectorQValueFunction Vector Q-value function approximator for reinforcement learning

agents

rlContinuousDeterministicActor Deterministic actor with a continuous action space for

reinforcement learning agents

rlDiscreteCategoricalActor Stochastic categorical actor with a discrete action space for

reinforcement learning agents

rlContinuousGaussianActor Stochastic Gaussian actor with a continuous action space for

reinforcement learning agents

## **Examples**

#### Create Reinforcement Learning Environment for Simulink Model

For this example, consider the rlSimplePendulumModel Simulink model. The model is a simple frictionless pendulum that initially hangs in a downward position.

Open the model.

```
mdl = "rlSimplePendulumModel";
open_system(mdl)
```

Create rlNumericSpec and rlFiniteSetSpec objects for the observation and action information, respectively.

The observation is a vector containing three signals: the sine, cosine, and time derivative of the angle.

```
obsInfo = rlNumericSpec([3 1])
obsInfo =
  rlNumericSpec with properties:
```

```
LowerLimit: -Inf
UpperLimit: Inf
Name: [0x0 string]
Description: [0x0 string]
Dimension: [3 1]
DataType: "double"
```

The action is a scalar expressing the torque and can be one of three possible values, -2 Nm, 0 Nm and 2 Nm.

```
actInfo = rlFiniteSetSpec([-2 0 2])
actInfo =
    rlFiniteSetSpec with properties:

        Elements: [3x1 double]
        Name: [0x0 string]
        Description: [0x0 string]
        Dimension: [1 1]
        DataType: "double"
```

You can use dot notation to assign property values for the rlNumericSpec and rlFiniteSetSpec objects.

```
obsInfo.Name = "observations";
actInfo.Name = "torque";
```

Assign the agent block path information, and create the reinforcement learning environment for the Simulink model using the information extracted in the previous steps.

You can also include a reset function using dot notation. For this example, randomly initialize theta0 in the model workspace.

#### Specify Discrete Value Set for Multiple Actions

If the actor for your reinforcement learning agent has multiple outputs, each with a discrete action space, you can specify the possible discrete actions combinations using an rlFiniteSetSpec object.

Suppose that the valid values for a two-output system are [1 2] for the first output and [10 20 30] for the second output. Create a discrete action space specification for all possible input combinations.

## **Version History**

Introduced in R2019a

### See Also

#### Functions

rlSimulinkEnv|getActionInfo|getObservationInfo

#### **Objects**

rlNumericSpec | rlValueRepresentation | rlQValueRepresentation |
rlDeterministicActorRepresentation | rlStochasticActorRepresentation |
rlFunctionEnv

#### **Blocks**

RL Agent

#### **Topics**

"Create MATLAB Reinforcement Learning Environments"

<sup>&</sup>quot;Create Simulink Reinforcement Learning Environments"

## rlFunctionEnv

Specify custom reinforcement learning environment dynamics using functions

## **Description**

Use rlFunctionEnv to define a custom reinforcement learning environment. You provide MATLAB functions that define the step and reset behavior for the environment. This object is useful when you want to customize your environment beyond the predefined environments available with rlPredefinedEnv.

## Creation

### **Syntax**

env = rlFunctionEnv(observationInfo,actionInfo,stepfcn,resetfcn)

#### **Description**

env = rlFunctionEnv(observationInfo,actionInfo,stepfcn,resetfcn) creates a reinforcement learning environment using the provided observation and action specifications, observationInfo and actionInfo, respectively. You also set the StepFcn and ResetFcn properties using MATLAB functions.

#### **Input Arguments**

#### observationInfo — Observation specifications

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

#### actionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

## **Properties**

#### StepFcn — Step behavior for the environment

function name | function handle | anonymous function handle

Step behavior for the environment, specified as a function name, function handle, or anonymous function.

StepFcn is a function that you provide which describes how the environment advances to the next state from a given action. When using a function name or function handle, this function must have two inputs and four outputs, as illustrated by the following signature.

[Observation,Reward,IsDone,LoggedSignals] = myStepFunction(Action,LoggedSignals)

To use additional input arguments beyond the required set, specify StepFcn using an anonymous function handle.

The step function computes the values of the observation and reward for the given action in the environment. The required input and output arguments are as follows.

- Action Current action, which must match the dimensions and data type specified in actionInfo.
- Observation Returned observation, which must match the dimensions and data types specified in observationInfo.
- Reward Reward for the current step, returned as a scalar value.
- IsDone Logical value indicating whether to end the simulation episode. The step function that you define can include logic to decide whether to end the simulation based on the observation, reward, or any other values.
- LoggedSignals Any data that you want to pass from one step to the next, specified as a structure.

For an example showing multiple ways to define a step function, see "Create MATLAB Environment Using Custom Functions".

#### ResetFcn — Reset behavior for the environment

function name | function handle | anonymous function handle

Reset behavior for the environment, specified as a function, function handle, or anonymous function handle.

The reset function that you provide must have no inputs and two outputs, as illustrated by the following signature.

[InitialObservation,LoggedSignals] = myResetFunction

To use input arguments with your reset function, specify ResetFcn using an anonymous function handle.

The reset function sets the environment to an initial state and computes the initial values of the observation signals. For example, you can create a reset function that randomizes certain state values, such that each training episode begins from different initial conditions.

The sim function calls the reset function to reset the environment at the start of each simulation, and the train function calls it at the start of each training episode.

The InitialObservation output must match the dimensions and data type of observationInfo.

To pass information from the reset condition into the first step, specify that information in the reset function as the output structure LoggedSignals.

For an example showing multiple ways to define a reset function, see "Create MATLAB Environment Using Custom Functions".

#### LoggedSignals — Information to pass to next step

structure

Information to pass to the next step, specified as a structure. When you create the environment, whatever you define as the LoggedSignals output of ResetFcn initializes this property. When a step occurs, the software populates this property with data to pass to the next step, as defined in StepFcn.

### **Object Functions**

getActionInfo Obtain action data specifications from reinforcement learning environment,

agent, or experience buffer

qetObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

train Train reinforcement learning agents within a specified environment

sim Simulate trained reinforcement learning agents within specified environment

validate Environment Validate custom reinforcement learning environment

## **Examples**

#### Create Custom MATLAB Environment

Create a reinforcement learning environment by supplying custom dynamic functions in MATLAB®. Using rlFunctionEnv, you can create a MATLAB reinforcement learning environment from an observation specification, action specification, and step and reset functions that you define.

For this example, create an environment that represents a system for balancing a cart on a pole. The observations from the environment are the cart position, cart velocity, pendulum angle, and pendulum angle derivative. (For additional details about this environment, see "Create MATLAB Environment Using Custom Functions".) Create an observation specification for those signals.

```
oinfo = rlNumericSpec([4 1]);
oinfo.Name = "CartPole States";
oinfo.Description = 'x, dx, theta, dtheta';
```

The environment has a discrete action space where the agent can apply one of two possible force values to the cart, -10 N or 10 N. Create the action specification for those actions.

```
ActionInfo = rlFiniteSetSpec([-10 10]);
ActionInfo.Name = "CartPole Action";
```

Next, specify the custom step and reset functions. For this example, use the supplied functions myResetFunction.m and myStepFunction.m. For details about these functions and how they are constructed, see "Create MATLAB Environment Using Custom Functions".

Construct the custom environment using the defined observation specification, action specification, and function names.

```
env = rlFunctionEnv(oinfo,ActionInfo,"myStepFunction","myResetFunction");
```

You can create agents for env and train them within the environment as you would for any other reinforcement learning environment.

As an alternative to using function names, you can specify the functions as function handles. For more details and an example, see "Create MATLAB Environment Using Custom Functions".

## **Version History**

Introduced in R2019a

#### See Also

#### **Functions**

rlPredefinedEnv|rlCreateEnvTemplate

#### **Topics**

"Create MATLAB Environment Using Custom Functions"

## rlHindsightPrioritizedReplayMemory

Hindsight replay memory experience buffer with prioritized sampling

## **Description**

An off-policy reinforcement learning agent stores experiences in a circular experience buffer. During training, the agent samples mini-batches of experiences from the buffer and uses these mini-batches to update its actor and critic function approximators.

By default, built-in off-policy agents (DQN, DDPG, TD3, SAC, MBPO) use an rlReplayMemory object as their experience buffer. For goal-conditioned tasks, where the observation includes both the goal and a goal measurement, you can use an rlHindsightPrioritizedReplayMemory object.

rlHindsightReplayMemory objects uniformly sample experiences from the buffer. To use prioritized nonuniform sampling, which can improve sample efficiency, use an rlHindsightPrioritizedReplayMemory object.

A hindsight replay memory experience buffer:

- Generates additional experiences by replacing goals with goal measurements
- Improves sample efficiency for tasks with sparse rewards
- Requires a ground-truth reward function and is-done function
- Is not necessary when you have a well-shaped reward function

For more information on hindsight experience replay and prioritized sampling, see "Algorithms" on page 3-187.

## Creation

## **Syntax**

buffer = rlHindsightPrioritizedReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,goalConditionInfo)
buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,goalConditionInfo,maxLength)

#### **Description**

buffer = rlHindsightPrioritizedReplayMemory(obsInfo,actInfo,rewardFcn,
isDoneFcn,goalConditionInfo) creates a hindsight prioritized replay memory experience buffer
that is compatible with the observation and action specifications in obsInfo and actInfo,
respectively. This syntax sets the RewardFcn, IsDoneFcn, and GoalConditionInfo properties.

buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn, goalConditionInfo,maxLength) sets the maximum length of the buffer by setting the MaxLength property.

#### **Input Arguments**

#### obsInfo — Observation specifications

specification object | array of specification objects

Observation specifications, specified as a reinforcement learning specification object or an array of specification objects defining properties such as dimensions, data types, and names of the observation signals.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### actInfo — Action specifications

specification object | array of specification objects

Action specifications, specified as a reinforcement learning specification object defining properties such as dimensions, data types, and names of the action signals.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### **Properties**

#### MaxLength — Maximum buffer length

10000 (default) | nonnegative integer

This property is read-only.

Maximum buffer length, specified as a nonnegative integer.

To change the maximum buffer length, use the resize function.

#### Length — Number of experiences in buffer

0 (default) | nonnegative integer

This property is read-only.

Number of experiences in buffer, specified as a nonnegative integer.

#### **GoalConditionInfo** — **Goal condition information**

1-by-*N* cell array

Goal condition information, specified as a 1-by-N cell array, where N is the number of goal conditions. For the ith goal condition, the corresponding cell of GoalConditionInfo contains a 1-by-4 cell array with the following elements.

- $GoalConditionInfo{i}{1} Goal measurement channel index.$
- GoalConditionInfo{i}{2} Goal measurement element indices.
- GoalConditionInfo{i}{3} Goal channel index.
- GoalConditionInfo $\{i\}\{4\}$  Goal element indices.

The goal measurements in  $GoalConditionInfo\{i\}\{2\}$  correspond to the goals in  $GoalConditionInfo\{i\}\{4\}$ .

As an example, suppose that obsInfo contains specifications for two observation channels. Further, suppose that there is one goal condition where the goal measurements correspond to elements 2 and 3 of the first observation channel, and the goals correspond to elements 4 and 5 of the second observation channel. In this case, the goal condition information is:

GoalConditionInfo =  $\{\{1,[1\ 2],2,[4\ 5]\}\};$ 

#### RewardFcn — Reward function

function handle

Reward function, specified as a handle to a function with the following signature.

function reward = myRewardFcn(obs,action,nextObs)

#### Here:

- reward is a scalar reward value.
- obs is the current observation.
- act is the action taken from the current observation.
- next0bs is the next observation after taking the specified action.

#### IsDoneFcn — Is-done function

function handle

Is-done function, specified as a handle to a function with the following signature.

function isdone = myIsDoneFcn(obs,action,nextObs)

#### Here:

- isdone is true when the next observation is a terminal condition and false otherwise.
- obs is the current observation.
- act is the action taken from the current observation.
- next0bs is the next observation after taking the specified action.

#### Strategy — Goal measurement sampling strategy

```
"final" (default) | "episode" | "future"
```

Goal measurement sampling strategy, specified as one of the following values.

- "final" Use the goal measurement from the end of the trajectory.
- "episode" Randomly sample M goal measurements from the trajectory, where M is equal to NumGoalSamples.
- "future" Randomly sample M goal measurements from the trajectory, but create hindsight experiences for measurements that were observed at time t+1 or later.

#### NumGoalSamples — Number of goal measurements

4 (default) | positive integer

Number of goal measurements to sample when generating experiences, specified as a positive integer. This parameter is ignored when Strategy is "final"

#### PriorityExponent — Priority exponent

0.6 (default) | nonnegative scalar less than or equal to 1

Priority exponent to control the impact of prioritization during probability computation, specified as a nonnegative scalar less than or equal to 1.

If the priority exponent is zero, the agent uses uniform sampling.

## InitialImportanceSamplingExponent — Initial value of importance sampling exponent 0.4 (default) | nonnegative scalar less than or equal to 1

Initial value of the importance sampling exponent, specified as a nonnegative scalar less than or equal to 1.

#### NumAnnealingSteps — Number of annealing steps

1000000 (default) | positive integer

Number of annealing steps for updating the importance sampling exponent, specified as a positive integer.

### ImportanceSamplingExponent — Current value of importance sampling exponent

0.4 (default) | nonnegative scalar less than or equal to 1

This property is read-only.

Current value of the importance sampling exponent, specified as a nonnegative scalar less than or equal to 1.

During training, ImportanceSamplingExponent is linearly increased from InitialImportanceSamplingExponent to 1 over NumAnnealingSteps steps.

## **Object Functions**

append Append experiences to replay memory buffer sample Sample experiences from replay memory buffer resize Resize replay memory experience buffer

resize replay memory experience buner

reset Reset environment, agent, experience buffer, or policy object

allExperiences Return all experiences in replay memory buffer

validate Experience Validate experiences for replay memory

generateHindsightExperiences Generate hindsight experiences from hindsight experience replay

buffer

getActionInfo Obtain action data specifications from reinforcement learning

environment, agent, or experience buffer

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

## **Examples**

#### Create DDPG Agent With Hindsight Prioritized Replay Memory

For this example, create observation and action specifications directly. You can also extract such specifications from your environment.

Create an observation specification for an environment with a single a observation channel with six observations. For this example, assume that the observation channel contains the signals  $[a, x_m, y_m, x_q, y_q, c]$ , where:

- $x_q$  and  $y_q$  are the goal observations.
- $x_m$  and  $y_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = rlNumericSpec([5 1]);
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1]);
```

Create a DDPG agent from the environment specifications. By default, the agent uses a replay memory experience buffer with uniform sampling.

```
agent = rlDDPGAgent(obsInfo,actInfo);
```

To create a hindsight replay memory buffer, first define the goal condition information. Both the goals and goal measurements are in the single observation channel. The goal measurements are in elements 2 and 3 of the observation channel and the goals are in elements 4 and 5 of the observation channel.

```
goalConditionInfo = {{1,[2 3],1,[4 5]}};
```

Define an is-done function. For this example, the is-done signal is true when the next observation satisfies the goal condition  $\sqrt{\left(x_m-x_g\right)^2+\left(y_m-y_g\right)^2}<0.1.$ 

```
function isdone = hindsightIsDoneFcn1(Observation, Action, NextObservation)
   NextObservation = NextObservation{1};
   xm = NextObservation(2);
   ym = NextObservation(3);
   xg = NextObservation(4);
   yg = NextObservation(5);
   isdone = sqrt((xm-xg)^2 + (ym-yg)^2) < 0.1;
end</pre>
```

Define a reward function. For this example, the reward is 1 when the is-done signal is true and -0.01 otherwise.

```
function reward = hindsightRewardFcn1(Observation, Action, NextObservation)
  isdone = hindsightIsDoneFcn1(Observation, Action, NextObservation);
  if isdone
     reward = 1;
  else
     reward = -0.01;
  end
end
```

Create a hindsight prioritized replay memory buffer with a default maximum length.

```
buffer = rlHindsightPrioritizedReplayMemory(obsInfo,actInfo, ...
    @hindsightRewardFcn1,@hindsightIsDoneFcn1,goalConditionInfo);
```

Configure the prioritized replay memory options. For example, set the initial importance sampling exponent to 0.5 and the number of annealing steps for updating the exponent during training to 1e4.

```
buffer.NumAnnealingSteps = 1e4;
buffer.PriorityExponent = 0.5;
buffer.InitialImportanceSamplingExponent = 0.5;
```

Replace the default experience buffer with the hindsight replay memory buffer.

```
agent.ExperienceBuffer = buffer;
```

#### Limitations

 Hindsight prioritized experience replay does not support agents that use recurrent neural networks.

## **Algorithms**

#### **Hindsight Experience Replay**

Hindsight experience replay is a data augmentation method that you can use for goal-conditioned tasks, where the observation includes both the goal and a goal measurement. In such a goal-conditioned task, the agent reaches the goal when the distance between the goal measurement and the goal is less than a threshold.

However, when the reward is sparse, the agent may not be able to reach the goal during training. In this case, the agent does not get any rewards. Hindsight experience replay generates experience data by replacing the goal with a goal measurement. As a result, the agent can get rewards for improving the goal measurement without actually reaching the goal.

The generated hindsight experiences are created at the end of each training episode by sampling goal measurements from the last trajectory of the episode using one of the following sampling strategies. Specify the sampling strategy using the Strategy property.

Sampling Strategy	Strategy Value	Description
Final	"final"	Sample one goal measurement from the final observation in the trajectory.
Episode	"episode"	Randomly sample multiple goal measurements from the entire trajectory.
Future	"future"	For a given time $t$ , sample multiple goal measurements from the end of the trajectory starting at time $t+1$ .

#### **Notation**

The hindsight experience generation algorithm described here uses the following notation.

- $\tau = (e_1, e_2, ..., e_T)$  is an experience trajectory, where *T* is the trajectory length.
- $e_t = (S_t, A_t, R_t, S_{t+1}, D_t)$  is experience at time t, where:

- $S_t$  is the observation at time t.
- $A_t$  is the action at time t.
- $S_{t+1}$  is the observation at time t + 1.
- $R_t$  is the reward at time t returned by the reward function  $F_R(S_t, A_t, S_{t+1})$ . To specify  $f_R$ , use the RewardFunction property.
- $D_t$  is the episode terminal condition at time t returned by the is-done function  $F_D(S_t, A_t, S_{t+1})$ . To specify  $f_D$ , use the IsDoneFunction property.
- $S_t = (m_t, g_t, x_t)$  is the observation at time t, where:
  - $g_t = (g_{t,1}, ..., g_{t,N})$  are goals.
  - $m_t = (m_{t,1}, ..., m_{t,N})$  are corresponding goals measurements.
  - $x_t = (x_{t,1}, ..., x_{t,K})$  are observations that are neither measurements nor goals.

#### **Experience Generation Algorithm**

At the end of each training episode, hindsight experiences are generated using the following algorithm. When you use a hindsight experience replay buffer in your own custom agent, you can generate experiences using the generateHindsightExperiences function.

- **1** Get the last trajectory  $\tau$  from the experience buffer.
- 2 for t = 1:T:
  - **a** Sample M goal measurements  $m_1, ..., m_M$  based on th sampling strategy.
    - Final strategy:
      - M = 1
      - $m_1 = m_{T+1}$  from  $S_{T+1}$  in  $e_t$
    - Episode strategy:
      - M = NumGoalSamples
      - Randomly sample goal measurements from  $S_1$ , ...,  $S_{T+1}$  in  $\tau M$ . Do not sample any specific goal measurement more than once.
    - Future strategy:
      - $M = \min(T t + 1, \text{NumGoalSamples})$
      - Randomly sample M goal measurements from  $S_{t+1}$ , ...,  $S_{T+1}$  in  $\tau$ . Do not sample any specific goal measurement more than once.
  - **b** Create M empty trajectories  $\tau_1, ..., \tau_M$ .
  - **c** for i = 1:T:
    - i In  $e_t$ , replace the goal  $g_t$  in  $S_t$  and  $g_t$  in  $S_{t+1}$  with  $m_i$ .

$$g'_{t} = m_{i}$$
  
 $g'_{t+1} = m_{i}$   
 $S'_{t} = (m_{t}, g'_{t}, x_{t})$   
 $S'_{t+1} = (m_{t+1}, g'_{t+1}, x_{t+1})$ 

ii Compute a new reward.

$$R'_t = f_R(S'_t, A_t, S'_{t+1})$$

iii Compute a new is-done signal.

$$D'_t = f_D(S'_t, A_t, S'_{t+1})$$

iv Create a new experience sample.

$$e'_{i,t} = (S'_{t}, A_{t}, R'_{t}, S'_{t+1}, D'_{t})$$

- **v** Append the new experience to trajectory  $\tau_i$ .
- **d** Append  $\tau_1$ , ...,  $\tau_M$  to the experience buffer.

#### **Prioritized Sampling**

Prioritized replay memory samples experiences according to experience priorities. For a given experience, the priority is defined as the absolute value of the associated temporal difference (TD) error. A larger TD error indicates that the critic network is not well-trained for the corresponding experience. Therefore, sampling such experiences during critic updates can help efficiently improve the critic performance, which often improves the sample efficiency of agent training.

When using prioritized replay memory, agents use the following process when sampling a mini-batch of experiences and updating a critic.

Compute the sampling probability P for each experience in the buffer based on the experience priority.

$$P(j) = \frac{p(j)^{\alpha}}{\sum_{i=1}^{N} p(i)^{\alpha}}$$

Here:

- N is the number of experiences in the replay memory buffer.
- *p* is the experience priority.
- $\alpha$  is a priority exponent. To set  $\alpha$ , use the PriorityExponent parameter.
- **2** Sample a mini-batch of experiences according to the computed probabilities.
- **3** Compute the importance sampling weights (w) for the sampled experiences.

$$w'(j) = (N \cdot P(j))^{-\beta}$$

$$w(j) \leftarrow \frac{w'(j)}{\max_{i \in \text{mini-hatch}} w'(i)}$$

Here,  $\beta$  is the importance sampling exponent. The ImportanceSamplingExponent parameter contains the current value of  $\beta$ . To control  $\beta$ , set the ImportanceSamplingExponent and NumAnnealingSteps parameters.

- 4 Compute the weighted loss using the importance sampling weights w and the TD error  $\delta$  to update a critic.
- **5** Update the priorities of the sampled experiences based on the TD error.

$$p(j) = |\delta|$$

**6** Update the importance sampling exponent β by linearly annealing the exponent value until it reaches 1.

$$\beta \leftarrow \beta + \frac{1 - \beta_0}{N_S}$$

Here:

- $\beta_0$  is the initial importance sampling exponent. To specify  $\beta_0$ , use the InitialImportanceSamplingExponent parameter.
- $N_S$  is the number of annealing steps. To specify  $N_S$ , use the NumAnnealingSteps parameter.

## **Version History**

Introduced in R2023a

#### References

- [1] Schaul, Tom, John Quan, Ioannis Antonoglou, and David Silver. 'Prioritized experience replay'. arXiv:1511.05952 [Cs] 25 February 2016. https://arxiv.org/abs/1511.05952.
- [2] Andrychowicz, Marcin, Filip Wolski, Alex Ray, Jonas Schneider, Rachel Fong, Peter Welinder, Bob McGrew, Josh Tobin, Pieter Abbeel, and Wojiech Zaremba. 'Hindsight experience replay'. 31st Conference on Neural Information Processing Systems. Long Beach, CA, USA: 2017.

#### See Also

#### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightReplayMemory

## rlHindsightReplayMemory

Hindsight replay memory experience buffer

## **Description**

An off-policy reinforcement learning agent stores experiences in a circular experience buffer. During training, the agent samples mini-batches of experiences from the buffer and uses these mini-batches to update its actor and critic function approximators.

By default, built-in off-policy agents (DQN, DDPG, TD3, SAC, MBPO) use an rlReplayMemory object as their experience buffer. For goal-conditioned tasks, where the observation includes both the goal and a goal measurement, you can use an rlHindsightReplayMemory object.

A hindsight replay memory experience buffer:

- Generates additional experiences by replacing goals with goal measurements
- Improves sample efficiency for tasks with sparse rewards
- Requires a ground-truth reward function and is-done function
- Is not necessary when you have a well-shaped reward function

rlHindsightReplayMemory objects uniformly sample experiences from the buffer. To use prioritized nonuniform sampling, which can improve sample efficiency, use an rlHindsightPrioritizedReplayMemory object.

For more information on hindsight experience replay, see "Algorithms" on page 3-199.

## Creation

## **Syntax**

buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,
goalConditionInfo)
buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,
goalConditionInfo,maxLength)

#### **Description**

buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,
goalConditionInfo) creates a hindsight replay memory experience buffer that is compatible with
the observation and action specifications in obsInfo and actInfo, respectively. This syntax sets the
RewardFcn, IsDoneFcn, and GoalConditionInfo properties.

buffer = rlHindsightReplayMemory(obsInfo,actInfo,rewardFcn,isDoneFcn,
goalConditionInfo,maxLength) sets the maximum length of the buffer by setting the
MaxLength property.

#### **Input Arguments**

#### obsInfo — Observation specifications

specification object | array of specification objects

Observation specifications, specified as a reinforcement learning specification object or an array of specification objects defining properties such as dimensions, data types, and names of the observation signals.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### actInfo — Action specifications

specification object | array of specification objects

Action specifications, specified as a reinforcement learning specification object defining properties such as dimensions, data types, and names of the action signals.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### **Properties**

### MaxLength — Maximum buffer length

10000 (default) | nonnegative integer

This property is read-only.

Maximum buffer length, specified as a nonnegative integer.

To change the maximum buffer length, use the resize function.

#### Length — Number of experiences in buffer

0 (default) | nonnegative integer

This property is read-only.

Number of experiences in buffer, specified as a nonnegative integer.

#### **GoalConditionInfo** — **Goal condition information**

1-by-*N* cell array

Goal condition information, specified as a 1-by-N cell array, where N is the number of goal conditions. For the ith goal condition, the corresponding cell of GoalConditionInfo contains a 1-by-4 cell array with the following elements.

- GoalConditionInfo{i}{1} Goal measurement channel index.
- GoalConditionInfo{i}{2} Goal measurement element indices.
- GoalConditionInfo{i}{3} Goal channel index.
- GoalConditionInfo{i}{4} Goal element indices.

The goal measurements in  $GoalConditionInfo\{i\}\{2\}$  correspond to the goals in  $GoalConditionInfo\{i\}\{4\}$ .

As an example, suppose that obsInfo contains specifications for two observation channels. Further, suppose that there is one goal condition where the goal measurements correspond to elements 2 and 3 of the first observation channel, and the goals correspond to elements 4 and 5 of the second observation channel. In this case, the goal condition information is:

```
GoalConditionInfo = \{\{1,[1\ 2],2,[4\ 5]\}\};
```

#### RewardFcn — Reward function

function handle

Reward function, specified as a handle to a function with the following signature.

```
function reward = myRewardFcn(obs,action,nextObs)
```

#### Here:

- reward is a scalar reward value.
- obs is the current observation.
- act is the action taken from the current observation.
- next0bs is the next observation after taking the specified action.

#### IsDoneFcn — Is-done function

function handle

Is-done function, specified as a handle to a function with the following signature.

```
function isdone = myIsDoneFcn(obs,action,nextObs)
```

#### Here:

- isdone is true when the next observation is a terminal condition and false otherwise.
- obs is the current observation.
- act is the action taken from the current observation.
- next0bs is the next observation after taking the specified action.

#### Strategy — Goal measurement sampling strategy

```
"final" (default) | "episode" | "future"
```

Goal measurement sampling strategy, specified as one of the following values.

- "final" Use the goal measurement from the end of the trajectory.
- "episode" Randomly sample M goal measurements from the trajectory, where M is equal to NumGoalSamples.
- "future" Randomly sample M goal measurements from the trajectory, but create hindsight experiences for measurements that were observed at time t+1 or later.

#### NumGoalSamples — Number of goal measurements

4 (default) | positive integer

Number of goal measurements to sample when generating experiences, specified as a positive integer. This parameter is ignored when Strategy is "final"

### **Object Functions**

append Append experiences to replay memory buffer sample Sample experiences from replay memory buffer

resize Resize replay memory experience buffer

reset Reset environment, agent, experience buffer, or policy object

allExperiences Return all experiences in replay memory buffer

validateExperience Validate experiences for replay memory

generateHindsightExperiences Generate hindsight experiences from hindsight experience replay

buffer

qetActionInfo Obtain action data specifications from reinforcement learning

environment, agent, or experience buffer

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

### **Examples**

#### Create DDPG Agent With Hindsight Replay Memory

For this example, create observation and action specifications directly. You can also extract such specifications from your environment.

Create an observation specification for an environment with a single a observation channel with six observations. For this example, assume that the observation channel contains the signals  $[a, x_m, y_m, x_a, y_a, c]$ , where:

- $x_q$  and  $y_q$  are the goal observations.
- $x_m$  and  $y_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = rlNumericSpec([6 1]);
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1]);
```

Create a DDPG agent from the environment specifications. By default, the agent uses a replay memory experience buffer with uniform sampling.

```
agent = rlDDPGAgent(obsInfo,actInfo);
```

To create a hindsight replay memory buffer, first define the goal condition information. Both the goals and goal measurements are in the single observation channel. The goal measurements are in elements 2 and 3 of the observation channel and the goals are in elements 4 and 5 of the observation channel.

```
goalConditionInfo = \{\{1,[2 3],1,[4 5]\}\};
```

Define an is-done function. For this example, the is-done signal is true when the next observation satisfies the goal condition  $\sqrt{(x_m - x_q)^2 + (y_m - y_q)^2} < 0.1$ .

```
function isdone = hindsightIsDoneFcn1(Observation, Action, NextObservation)
   NextObservation = NextObservation{1};
```

```
xm = NextObservation(2);
ym = NextObservation(3);
xg = NextObservation(4);
yg = NextObservation(5);
isdone = sqrt((xm-xg)^2 + (ym-yg)^2) < 0.1;
end</pre>
```

Define a reward function. For this example, the reward is 1 when the is-done signal is true and -0.01 otherwise.

```
function reward = hindsightRewardFcn1(Observation, Action, NextObservation)
  isdone = hindsightIsDoneFcn1(Observation, Action, NextObservation);
  if isdone
     reward = 1;
  else
     reward = -0.01;
  end
end
```

Create a hindsight replay memory buffer with a default maximum length.

```
buffer = rlHindsightReplayMemory(obsInfo,actInfo, ...
@hindsightRewardFcn1,@hindsightIsDoneFcn1,goalConditionInfo);
```

Replace the default experience buffer with the hindsight replay memory buffer.

```
agent.ExperienceBuffer = buffer;
```

#### **Create Hindsight Replay Memory With Two Observation Channels**

For this example, create observation and action specifications directly. You can also extract such specifications from your environment.

Create observation specification for an environment with a two observation channels. For this example, assume that the first observation channel contains the signals  $[a, x_m, y_m]$  and the second observation channel contains the signals  $[x_a, y_a, c]$ , where:

- $x_a$  and  $y_a$  are the goal observations.
- $x_m$  and  $y_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = [rlNumericSpec([3 1]), rlNumericSpec([3 1])];
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1]);
```

To create a hindsight replay memory buffer, first define the goal condition information. The goal measurements are in elements 2 and 3 of the first observation channel and the goals are in elements 1 and 2 of the second observation channel.

```
goalConditionInfo = {{1,[2 3],2,[1 2]}};
```

Define an is-done function. For this example, the is-done signal is true when the next observation satisfies the goal condition  $\sqrt{(x_m - x_a)^2 + (y_m - y_a)^2} < 0.1$ .

```
function isdone = hindsightIsDoneFcn2(Observation, Action, NextObservation)
   NextObsCh1 = NextObservation{1};
   NextObsCh2 = NextObservation{2};
   xm = NextObsCh1(2);
   ym = NextObsCh1(3);
   xg = NextObsCh2(1);
   yg = NextObsCh2(2);
   isdone = sqrt((xm-xg)^2 + (ym-yg)^2) < 0.1;
end</pre>
```

Define a reward function. For this example, the reward is 1 when the is-done signal is true and 0 otherwise.

```
function reward = hindsightRewardFcn2(Observation, Action, NextObservation)
   isdone = hindsightIsDoneFcn1(Observation, Action, NextObservation);
   if isdone
       reward = 1;
   else
       reward = 0;
   end
end
```

Create a hindsight replay memory buffer with a maximum length of 20000.

```
buffer = rlHindsightReplayMemory(obsInfo,actInfo, ...
    @hindsightRewardFcn2,@hindsightIsDoneFcn2,...
    goalConditionInfo,20000);
```

#### **Create Hindsight Replay Memory With Two Goal Conditions**

For this example, create observation and action specifications directly. You can also extract such specifications from your environment.

Create an observation specification for an environment with a single a observation channel with eight observations. For this example, assume that the observation channel contains the signals  $[a, x_m, y_m, \theta, x_q, y_q, \theta_m, c]$ , where:

- $x_a$ ,  $y_a$ , and  $\theta$  are the goal observations.
- $x_m$ ,  $y_m$ , and  $\theta_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = rlNumericSpec([8 1]);
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1]);
```

To create a hindsight replay memory buffer, first define the goal condition information. For this example, define two goal conditions.

The first goal condition depends on  $x_m$ ,  $y_m$ ,  $x_q$ , and  $y_q$  as shown in the following equation.

$$\sqrt{(x_m - x_q)^2 + (y_m - y_q)^2} < 0.1$$

Specify the information for this goal condition.

```
goalConditionInfo1 = {1,[2 3], 1, [5 6]};
```

The first goal condition depends on  $\theta_m$  and  $\theta$  as shown in the following equation.

$$(\theta_m - \theta)^2 < 0.01$$

Specify the information for this goal condition.

```
goalConditionInfo2 = {1,4,1,7};
```

Combine the goal condition information into a cell array.

```
goalConditionInfo = {goalConditionInfo1, goalConditionInfo2};
```

Define an is-done function that returns true when the next observation satisfies both goal conditions.

```
function isdone = hindsightIsDoneFcn3(Observation, Action, NextObservation)
   NextObservation = NextObservation{1};
   xm = NextObservation(2);
   ym = NextObservation(3);
   xg = NextObservation(5);
   yg = NextObservation(6);
   thetam = NextObservation(7);
   theta = NextObservation(4);
   isdone = sqrt((xm-xg)^2 + (ym-yg)^2) < 0.1 ...
   && (thetam-theta)^2 < 0.01;
end</pre>
```

Define a reward function. For this example, the reward is 1 when the is-done signal is true and -0.01 otherwise.

```
function reward = hindsightRewardFcn3(Observation, Action, NextObservation)
  isdone = hindsightIsDoneFcn3(Observation, Action, NextObservation);
  if isdone
     reward = 1;
  else
     reward = -0.01;
  end
end
```

Create a hindsight replay memory buffer with a default maximum length.

```
buffer = rlHindsightReplayMemory(obsInfo,actInfo, ...
    @hindsightRewardFcn3,@hindsightIsDoneFcn3, ...
    goalConditionInfo);
```

#### **Generate Experiences from Hindsight Replay Memory**

When you use a hindsight replay memory buffer within your custom agent training loop, you generate experiences at the end of training episode.

Create an observation specification for an environment with a single a observation channel with six observations. For this example, assume that the observation channel contains the signals  $[a, x_m, y_m, x_q, y_q, c]$ , where:

- $x_a$  and  $y_a$  are the goal observations.
- $x_m$  and  $y_m$  are the goal measurements.
- *a* and *c* are additional observations.

```
obsInfo = rlNumericSpec([6 1],...
LowerLimit=0,UpperLimit=[1;5;5;5;5;1]);
```

Create a specification for a single action.

```
actInfo = rlNumericSpec([1 1],...
LowerLimit=0,UpperLimit=10);
```

To create a hindsight replay memory buffer, first define the goal condition information. Both the goals and goal measurements are in the single observation channel. The goal measurements are in elements 2 and 3 of the observation channel and the goals are in elements 4 and 5 of the observation channel.

```
goalConditionInfo = {{1,[2 3],1,[4 5]}};
```

For this example, use hindsightRewardFcn1 as the ground-truth reward function and hindsightIsDoneFcn1 as the termination condition function.

Create the hindsight replay memory buffer.

```
buffer = rlHindsightReplayMemory(obsInfo,actInfo, ...
    @hindsightRewardFcn1,@hindsightIsDoneFcn1,goalConditionInfo);
```

As you train your agent, you add experience trajectories to the experience buffer. For this example, add a random experience trajectory of length 10.

```
for i = 1:10
    exp(i).Observation = {obsInfo.UpperLimit.*rand(6,1)};
    exp(i).Action = {actInfo.UpperLimit.*rand(1)};
    exp(i).NextObservation = {obsInfo.UpperLimit.*rand(6,1)};
    exp(i).Reward = 10*rand(1);
    exp(i).IsDone = 0;
end
exp(10).IsDone = 1;
append(buffer,exp);
```

At the end of the training episode, you generate hindsight experiences from the last trajectory added to the buffer. Generate experiences specifying the length of the last trajectory added to the buffer.

```
newExp = generateHindsightExperiences(buffer,10);
```

For each experience in the final trajectory, the default "final" sampling strategy generates a new experience where it replaces the goals in Observation and NextObservation with the goal measurements from the final experience in the trajectory.

To validate this behavior, first view the final goal measurements from exp.

```
exp(10).NextObservation{1}(2:3) ans = 2 \times 1
0.7277
0.6803
```

Next, view the goal values for one of the generated experiences. This value should match the final goal measurement

```
newExp(6).Observation{1}(4:5) ans = 2 \times 1
0.7277
0.6803
```

After generating the new experiences, append them to the buffer.

```
append(buffer, newExp);
```

#### Limitations

Hindsight experience replay does not support agents that use recurrent neural networks.

## **Algorithms**

#### **Hindsight Experience Replay**

Hindsight experience replay is a data augmentation method that you can use for goal-conditioned tasks, where the observation includes both the goal and a goal measurement. In such a goal-conditioned task, the agent reaches the goal when the distance between the goal measurement and the goal is less than a threshold.

However, when the reward is sparse, the agent may not be able to reach the goal during training. In this case, the agent does not get any rewards. Hindsight experience replay generates experience data by replacing the goal with a goal measurement. As a result, the agent can get rewards for improving the goal measurement without actually reaching the goal.

The generated hindsight experiences are created at the end of each training episode by sampling goal measurements from the last trajectory of the episode using one of the following sampling strategies. Specify the sampling strategy using the Strategy property.

Sampling Strategy	Strategy Value	Description
Final	"final"	Sample one goal measurement from the final observation in the trajectory.
Episode	"episode"	Randomly sample multiple goal measurements from the entire trajectory.
Future	"future"	For a given time $t$ , sample multiple goal measurements from the end of the trajectory starting at time $t+1$ .

#### **Notation**

The hindsight experience generation algorithm described here uses the following notation.

- $\tau = (e_1, e_2, ..., e_T)$  is an experience trajectory, where T is the trajectory length.
- $e_t = (S_t, A_t, R_t, S_{t+1}, D_t)$  is experience at time t, where:
  - $S_t$  is the observation at time t.
  - $A_t$  is the action at time t.
  - $S_{t+1}$  is the observation at time t+1.
  - $R_t$  is the reward at time t returned by the reward function  $F_R(S_t, A_t, S_{t+1})$ . To specify  $f_R$ , use the RewardFunction property.
  - $D_t$  is the episode terminal condition at time t returned by the is-done function  $F_D(S_t, A_t, S_{t+1})$ . To specify  $f_D$ , use the IsDoneFunction property.
- $S_t = (m_t, g_t, x_t)$  is the observation at time t, where:
  - $g_t = (g_{t,1}, ..., g_{t,N})$  are goals.
  - $m_t = (m_{t,1}, ..., m_{t,N})$  are corresponding goals measurements.
  - $x_t = (x_{t,1}, ..., x_{t,K})$  are observations that are neither measurements nor goals.

#### **Experience Generation Algorithm**

At the end of each training episode, hindsight experiences are generated using the following algorithm. When you use a hindsight experience replay buffer in your own custom agent, you can generate experiences using the generateHindsightExperiences function.

- **1** Get the last trajectory  $\tau$  from the experience buffer.
- 2 for t = 1:T:
  - **a** Sample M goal measurements  $m_1, ..., m_M$  based on th sampling strategy.
    - Final strategy:
      - M = 1
      - $m_1 = m_{T+1}$  from  $S_{T+1}$  in  $e_t$
    - Episode strategy:
      - M = NumGoalSamples

- Randomly sample goal measurements from  $S_1$ , ...,  $S_{T+1}$  in  $\tau M$ . Do not sample any specific goal measurement more than once.
- Future strategy:
  - $M = \min(T t + 1, \text{NumGoalSamples})$
  - Randomly sample M goal measurements from  $S_{t+1}$ , ...,  $S_{T+1}$  in  $\tau$ . Do not sample any specific goal measurement more than once.
- **b** Create M empty trajectories  $\tau_1, ..., \tau_M$ .
- **c** for i = 1:T:
  - i In  $e_t$ , replace the goal  $g_t$  in  $S_t$  and  $g_t$  in  $S_{t+1}$  with  $m_i$ .

$$g'_{t} = m_{i}$$
$$g'_{t+1} = m_{i}$$

$$S'_t = (m_t, g'_t, x_t)$$

$$S'_{t+1} = (m_{t+1}, g'_{t+1}, x_{t+1})$$

ii Compute a new reward.

$$R'_t = f_R(S'_t, A_t, S'_{t+1})$$

iii Compute a new is-done signal.

$$D'_t = f_D(S'_t, A_t, S'_{t+1})$$

iv Create a new experience sample.

$$e'_{i,t} = (S'_t, A_t, R'_t, S'_{t+1}, D'_t)$$

- **v** Append the new experience to trajectory  $\tau_i$ .
- **d** Append  $\tau_1$ , ...,  $\tau_M$  to the experience buffer.

## **Version History**

Introduced in R2023a

#### References

[1] Andrychowicz, Marcin, Filip Wolski, Alex Ray, Jonas Schneider, Rachel Fong, Peter Welinder, Bob McGrew, Josh Tobin, Pieter Abbeel, and Wojiech Zaremba. 'Hindsight experience replay'. 31st Conference on Neural Information Processing Systems. Long Beach, CA, USA: 2017.

#### See Also

### **Objects**

rlReplayMemory | rlPrioritizedReplayMemory | rlHindsightPrioritizedReplayMemory

#### **Topics**

"Train DQN Agent Using Hindsight Experience Replay"

## rllsDoneFunction

Is-done function approximator object for neural network-based environment

## **Description**

When creating a neural network-based environment using rlNeuralNetworkEnvironment, you can specify the is-done function approximator using an rlIsDoneFunction object. Do so when you do not know a ground-truth termination signal for your environment.

The is-done function approximator object uses a deep neural network as internal approximation model to predict the termination signal for the environment given one of the following input combinations.

- Observations, actions, and next observations
- Observations and actions
- · Actions and next observations
- · Next observations

### Creation

### **Syntax**

isdFcnAppx = rlIsDoneFunction(net,observationInfo,actionInfo,Name=Value)

#### **Description**

isdFcnAppx = rlIsDoneFunction(net,observationInfo,actionInfo,Name=Value)
creates the is-done function approximator object isdFcnAppx using the deep neural network net
and sets the ObservationInfo and ActionInfo properties.

When creating an is-done function approximator you must specify the names of the deep neural network inputs using one of the following combinations of name-value pair arguments.

- ObservationInputNames, ActionInputNames, and NextObservationInputNames
- ObservationInputNames and ActionInputNames
- ActionInputNames and NextObservationInputNames
- NextObservationInputNames

You can also specify the UseDeterministicPredict and UseDevice properties using optional name-value pair arguments. For example, to use a GPU for prediction, specify UseDevice="gpu".

#### **Input Arguments**

#### net — Deep neural network

dlnetwork object

Deep neural network with a scalar output value, specified as a dlnetwork object.

The input layer names for this network must match the input names specified using the ObservationInputNames, ActionInputNames, and NextObservationInputNames. The dimensions of the input layers must match the dimensions of the corresponding observation and action specifications in ObservationInfo and ActionInfo, respectively.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Name1=Value1,...,NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: ObservationInputNames="velocity"

#### **ObservationInputNames** — **Observation input layer names**

string | string array

Observation input layer names, specified as a string or string array. Specify ObservationInputNames when you expect the termination signal to depend on the current environment observation.

The number of observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

### ActionInputNames — Action input layer names

string | string array

Action input layer names, specified as a string or string array. Specify ActionInputNames when you expect the termination signal to depend on the current action value.

The number of action input names must match the length of ActionInfo and the order of the names must match the order of the specifications in ActionInfo.

#### NextObservationInputNames — Next observation input layer names

string | string array

Next observation input layer names, specified as a string or string array. Specify NextObservationInputNames when you expect the termination signal to depend on the next environment observation.

The number of next observation input names must match the length of ObservationInfo and the order of the names must match the order of the specifications in ObservationInfo.

## **Properties**

#### **ObservationInfo** — **Observation specifications**

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlNumericSpec.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

#### **Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

# UseDeterministicPredict — Option to predict the terminal signal deterministically true (default) | false

Option to predict the terminal signal deterministically, specified as one of the following values.

- true Use deterministic network prediction.
- false Use stochastic network prediction.

### UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter updates, and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA-enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use <code>gpuDevice</code> (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating a network on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

## **Object Functions**

## **Examples**

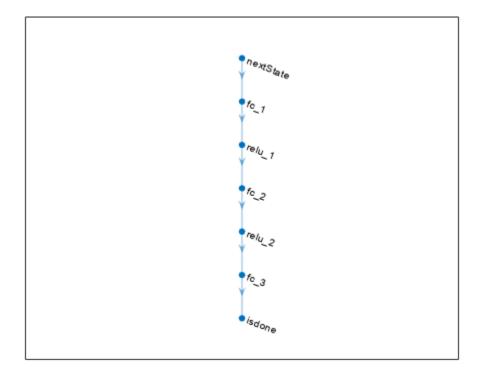
#### **Create Is-Done Function and Predict Termination**

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

To approximate the is-done function, use a deep neural network. The network has one input channel for the next observations. The single output channel is for the predicted termination signal.

Create the neural network as a vector of layer objects.



Covert the network to a dlnetwork object and display the number of weights.

```
net = dlnetwork(net);
summary(net);
```

```
Initialized: true

Number of learnables: 4.6k

Inputs:
    1 'nextState' 4 features

Create an is-done function approximator object.

isDoneFcnAppx = rlIsDoneFunction(...
    net,obsInfo,actInfo,...
    NextObservationInputNames="nextState");
```

Using this is-done function approximator object, you can predict the termination signal based on the next observation. For example, predict the termination signal for a random next observation. Since for this example the termination signal only depends on the next observation, use empty cell arrays for the current action and observation inputs.

The first number is the probability of obtaining a  $\theta$  (no termination predicted), the second one is the probability of obtaining a 1 (termination predicted).

## **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | gradient | accelerate

#### **Objects**

```
rlNeuralNetworkEnvironment | rlContinuousDeterministicTransitionFunction |
rlContinuousGaussianTransitionFunction |
rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction |
rlMBPOAgent | rlMBPOAgentOptions
```

**Topics**"Train MBPO Agent to Balance Cart-Pole System"
"Model-Based Policy Optimization (MBPO) Agents"

## rlMaxQPolicy

Policy object to generate discrete max-Q actions for custom training loops and application deployment

## **Description**

This object implements a max-Q policy, which returns the action that maximizes a discrete action-space Q-value function, given an input observation. You can create an rlMaxQPolicy object from an rlQValueFunction or rlVectorQValueFunction object, or extract it from an rlQAgent, rlDQNAgent or rlSARSAAgent. You can then train the policy object using a custom training loop or deploy it for your application using generatePolicyBlock or generatePolicyFunction. This policy is always deterministic and does not perform any exploration. For more information on policies and value functions, see "Create Policies and Value Functions".

### Creation

### **Syntax**

policy = rlMaxQPolicy(qValueFunction)

### **Description**

policy = rlMaxQPolicy(qValueFunction) creates the max-Q policy object policy from the discrete action-space Q-value function qValueFunction. It also sets the QValueFunction property of policy to the input argument qValueFunction.

## **Properties**

#### **QValueFunction** — **Discrete action-space Q-value function**

rlQValueFunction object

Discrete action-space Q-value function approximator, specified as an rlQValueFunction or rlVectorQValueFunction object.

#### ObservationInfo — Observation specifications

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation channels.

### ActionInfo — Action specifications

rlFiniteSetSpec object

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

#### SampleTime — Sample time of policy

```
positive scalar | -1 (default)
```

Sample time of policy, specified as a positive scalar or as -1 (default). Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the policy is specified executes every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the policy is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience. If SampleTime is -1, the sample time is treated as being equal to 1.

Example: 0.2

### **Object Functions**

generatePolicyBlock Generate Simulink block that evaluates policy of an agent or policy object generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

getAction Obtain action from agent, actor, or policy object given environment

observations

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

reset Reset environment, agent, experience buffer, or policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

## **Examples**

#### Create Max-Q Policy Object from Vector Q-Value Function

Create observation and action specification objects. For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles, and the action space as a finite set consisting of two possible values, -1 and 1.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlFiniteSetSpec([-1 1]);
```

Alternatively, you can use getObservationInfo and getActionInfo to extract the specification objects from an environment.

Create a vector Q-value function approximator to use as critic. A vector Q-value function must accept an observation as input and return a single vector with as many elements as the number of possible discrete actions.

To model the parametrized vector Q-value function within the critic, use a neural network. Define a single path from the network input to its output as an array of layer objects.

```
layers = [
    featureInputLayer(prod(obsInfo.Dimension))
```

```
fullyConnectedLayer(10)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
Convert the network to a dlnetwork object and display the number of weights.
model = dlnetwork(layers);
summary(model)
   Initialized: true
   Number of learnables: 72
   Inputs:
          'input'
      1
                     4 features
Create a vector Q-value function using model, and the observation and action specifications.
qValueFcn = rlVectorQValueFunction(model,obsInfo,actInfo)
qValueFcn =
  rlVectorQValueFunction with properties:
    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
          UseDevice: "cpu"
Check the critic with a random observation input.
getValue(qValueFcn,{rand(obsInfo.Dimension)})
ans = 2x1 single column vector
    0.6486
   -0.3103
Create a policy object from qValueFcn.
policy = rlMaxQPolicy(qValueFcn)
policy =
  rlMaxQPolicy with properties:
     QValueFunction: [1x1 rl.function.rlVectorQValueFunction]
    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
         SampleTime: -1
Check the policy with a random observation input.
getAction(policy, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
   {[-1]}
```

You can now train the policy with a custom training loop and then deploy it to your application.

# **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

getGreedyPolicy|getExplorationPolicy|generatePolicyBlock|
generatePolicyFunction|getAction|getLearnableParameters|
setLearnableParameters

#### **Objects**

rlEpsilonGreedyPolicy | rlDeterministicActorPolicy | rlAdditiveNoisePolicy |
rlStochasticActorPolicy | rlQValueFunction | rlVectorQValueFunction | rlSARSAAgent
| rlQAgent | rlDQNAgent

#### **Blocks**

Policy | RL Agent

#### **Topics**

"Model-Based Reinforcement Learning Using Custom Training Loop"

"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Policies and Value Functions"

# rlMBPOAgent

Model-based policy optimization (MBPO) reinforcement learning agent

# **Description**

A model-based policy optimization (MBPO) agent is a model-based, online, off-policy, reinforcement learning method. An MBPO agent contains an internal model of the environment, which it uses to generate additional experiences without interacting with the environment.

During training, the MBPO agent generates real experiences by interacting with the environment. These experiences are used to train the internal environment model, which is used to generate additional experiences. The training algorithm then uses both the real and generated experiences to update the agent policy.

**Note** MBPO agents do not support recurrent networks.

# Creation

#### **Syntax**

```
agent = rlMBPOAgent(baseAgent,envModel)
agent = rlMBPOAgent(____,agentOptions)
```

#### **Description**

agent = rlMBPOAgent(baseAgent,envModel) creates a model-based policy optimization agent
with default options and sets the BaseAgent and EnvModel properties.

agent = rlMBPOAgent(\_\_\_\_, agentOptions) creates a model-based policy optimization agent
using specified options and sets the AgentOptions property.

# **Properties**

#### BaseAgent — Base reinforcement learning agent

```
rlDQNAgent | rlDDPGAgent | rlTD3Agent | rlSACAgent
```

Base reinforcement learning agent, specified as an off-policy agent object.

For environments with a discrete action space, specify a DQN agent using an rlDQNAgent object.

For environments with a continuous action space, use one of the following agent objects.

- rlDDPGAgent DDPG agent
- rlTD3Agent TD3 agent
- rlSACAgent SAC agent

#### EnvModel — Environment model

rlNeuralNetworkEnvironment

Environment model, specified as an rlNeuralNetworkEnvironment object. This environment contains transition functions, a reward function, and an is-done function.

#### AgentOptions — Agent options

rlMBPOAgentOptions object

Agent options, specified as an rlMBPOAgentOptions object.

#### RolloutHorizon — Current roll-out horizon value

positive integer

Current roll-out horizon value, specified as a positive integer. For more information on setting the initial horizon value and the horizon update method, see rlMBPOAgentOptions.

#### ModelExperienceBuffer — Model experience buffer

rlReplayMemory object

Model experience buffer, specified as an rleplayMemory object. During training the agent stores each of its generated experiences (S,A,R,S',D) in a buffer. Here:

- S is the current observation of the environment.
- *A* is the action taken by the agent.
- *R* is the reward for taking action *A*.
- *S'* is the next observation after taking action *A*.
- D is the is-done signal after taking action A.

#### **UseExplorationPolicy** — **Option to use exploration policy**

true | false

Option to use exploration policy when selecting actions, specified as one of the following logical values.

- true Use the base agent exploration policy when selecting actions.
- false Use the base agent greedy policy when selecting actions.

The initial value of UseExplorationPolicy matches the value specified in BaseAgent. If you change the value of UseExplorationPolicy in either the base agent or the MBPO agent, the same value is used for the other agent.

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

This property is read-only.

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

The value of ObservationInfo matches the corresponding value specified in BaseAgent.

#### ActionInfo — Action specification

rlFiniteSetSpec object | rlNumericSpec object

This property is read-only.

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

The value of ActionInfo matches the corresponding value specified in BaseAgent.

#### SampleTime — Sample time of agent

```
positive scalar | -1
```

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

The initial value of SampleTime matches the value specified in BaseAgent. If you change the value of SampleTime in either the base agent or the MBPO agent, the same value is used for the other agent.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train Train reinforcement learning agents within a specified environment sim Simulate trained reinforcement learning agents within specified environment

# **Examples**

#### **Create MBPO Agent**

Create an environment interface and extract observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);

Create a base off-policy agent. For this example, use a SAC agent.
agentOpts = rlSACAgentOptions;
agentOpts.MiniBatchSize = 256;
initOpts = rlAgentInitializationOptions(NumHiddenUnit=64);
baseagent = rlSACAgent(obsInfo,actInfo,initOpts,agentOpts);
```

Check your agent with a random input observation.

```
getAction(baseagent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-7.2875]}
```

The neural network environment uses a function approximator object to approximate the environment transition function. The function approximator object uses one or more neural networks as approximator model. To account for modeling uncertainty, you can specify multiple transition models. For this example, create a single transition model.

Create a neural network to use as approximation model within the transition function object. Define each network path as an array of layer objects. Specify a name for the input and output layers, so you can later explicitly associate them with the appropriate channel.

```
% Observation and action paths
obsPath = featureInputLayer(obsInfo.Dimension(1),Name="obsIn");
actionPath = featureInputLayer(actInfo.Dimension(1),Name="actIn");
% Common path: concatenate along dimension 1
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLaver
    fullyConnectedLayer(obsInfo.Dimension(1),Name="next0bsOut")];
% Add layers to layerGraph object
transNet = layerGraph(obsPath);
transNet = addLayers(transNet,actionPath);
transNet = addLayers(transNet,commonPath);
% Connect layers
transNet = connectLayers(transNet, "obsIn", "concat/in1");
transNet = connectLayers(transNet, "actIn", "concat/in2");
% Convert to dlnetwork object
transNet = dlnetwork(transNet);
% Display number of weights
summary(transNet)
   Initialized: true
   Number of learnables: 4.8k
   Inputs:
      1
          'obsIn'
                    4 features
         'actIn'
                    1 features
Create the transition function approximator object.
transitionFcnAppx = rlContinuousDeterministicTransitionFunction( ...
    transNet,obsInfo,actInfo,...
    ObservationInputNames="obsIn",...
    ActionInputNames="actIn",...
   NextObservationOutputNames="nextObsOut");
```

Create a neural network to use as a reward model for the reward function approximator object.

```
% Observation and action paths
actionPath = featureInputLayer(actInfo.Dimension(1),Name="actIn");
nextObsPath = featureInputLayer(obsInfo.Dimension(1),Name="nextObsIn");
% Common path: concatenate along dimension 1
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64)
    reluLaver
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(64)
    reluLaver
    fullyConnectedLayer(1)];
% Add layers to layerGraph object
rewardNet = layerGraph(nextObsPath);
rewardNet = addLayers(rewardNet,actionPath);
rewardNet = addLayers(rewardNet,commonPath);
% Connect layers
rewardNet = connectLayers(rewardNet, "next0bsIn", "concat/in1");
rewardNet = connectLayers(rewardNet, "actIn", "concat/in2");
% Convert to dlnetwork object
rewardNet = dlnetwork(rewardNet);
% Display number of weights
summary(transNet)
   Initialized: true
   Number of learnables: 4.8k
   Inputs:
          'obsIn'
                    4 features
      1
          'actIn'
                    1 features
Create the reward function approximator object.
rewardFcnAppx = rlContinuousDeterministicRewardFunction( ...
    rewardNet,obsInfo,actInfo, ...
    ActionInputNames="actIn",...
    NextObservationInputNames="nextObsIn");
Create an is-done model for the reward function approximator object.
% Define main path
net = [featureInputLayer(obsInfo.Dimension(1),Name="next0bsIn");
fullyConnectedLayer(64)
reluLayer
fullyConnectedLayer(64)
reluLaver
fullyConnectedLayer(2)
softmaxLayer(Name="isdoneOut")];
% Convert to layergraph object
```

```
isDoneNet = layerGraph(net);
% Convert to dlnetwork object
isDoneNet = dlnetwork(isDoneNet);
% Display number of weights
summary(transNet)
   Initialized: true
   Number of learnables: 4.8k
   Inputs:
          'obsIn' 4 features
      1
      2
          'actIn' 1 features
Create the reward function approximator object.
isdoneFcnAppx = rlIsDoneFunction(isDoneNet,obsInfo,actInfo, ...
    NextObservationInputNames="nextObsIn");
Create the neural network environment using the observation and action specifications and the three
function approximator objects.
generativeEnv = rlNeuralNetworkEnvironment( ...
    obsInfo,actInfo,...
    transitionFcnAppx,rewardFcnAppx,isdoneFcnAppx);
Specify options for creating an MBPO agent. Specify the optimizer options for the transition network
and use default values for all other options.
MBPOAgentOpts = rlMBPOAgentOptions;
MBPOAgentOpts.TransitionOptimizerOptions = rlOptimizerOptions(...
    LearnRate=1e-4,...
    GradientThreshold=1.0);
Create the MBPO agent.
agent = rlMBPOAgent(baseagent,generativeEnv,MBPOAgentOpts);
Check your agent with a random input observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[7.8658]}
```

# **Version History**

Introduced in R2022a

## See Also

#### **Objects**

rlMBPOAgentOptions | rlNeuralNetworkEnvironment | rlDDPGAgent | rlSACAgent | rlPPOAgent

#### Topics

"Train MBPO Agent to Balance Cart-Pole System"

"Model-Based Policy Optimization (MBPO) Agents"

"Reinforcement Learning Agents"

# rlMBPOAgentOptions

Options for MBPO agent

# **Description**

Use an rlMBPOAgentOptions object to specify options for model-based policy optimization (MBPO) agents. To create an MBPO agent, use rlMBPOAgent.

For more information, see "Model-Based Policy Optimization (MBPO) Agents".

#### Creation

#### **Syntax**

opt = rlMBPOAgentOptions
opt = rlMBPOAgentOptions(Name=Value)

#### **Description**

opt = rlMBPOAgentOptions creates an option object for use as an argument when creating an MBPO agent using all default options. You can modify the object properties using dot notation.

opt = rlMBPOAgentOptions(Name=Value) sets option properties on page 3-219 using name-value pair arguments. For example, rlMBPOAgentOptions(DiscountFactor=0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pair arguments.

# **Properties**

#### NumEpochForTrainingModel — Number of epochs

5 (default) | positive integer

Number of epochs for training the environment model, specified as a positive integer.

#### NumMiniBatches — Number of mini-batches

10 (default) | positive integer | "all"

Number of mini-batches used in each environment model training epoch, specified as a positive scalar or "all". When you specify NumMiniBatches to "all", the agent selects the number of minibatches such that all samples in the base agents experience buffer are used to train the model.

#### MiniBatchSize — Size of random experience mini-batch

128 (default) | positive integer

Size of random experience mini-batch for training environment model, specified as a positive integer. During each model training episode, the agent randomly samples experiences from the experience buffer when computing gradients for updating the environment model properties. Large mini-batches reduce the variance when computing gradients but increase the computational effort.

#### ModelExperienceBufferLength — Generated experience buffer size

100000 (default) | positive integer

Generated experience buffer size, specified as a positive integer. When the agent generates experiences, they are added to the model experience buffer.

#### RealSampleRatio — Ratio of real experiences in a mini-batch

0.2 (default) | nonnegative scalar less than or equal to 1

Ratio of real experiences in a mini-batch for agent training, specified as a nonnegative scalar less than or equal to 1.

#### TransitionOptimizerOptions — Transition function optimizer options

rlOptimizerOptions object | array of rlOptimizerOptions objects

Transition function optimizer options, specified as one of the following:

- rloptimizerOptions object When your neural network environment has a single transition function or if you want to use the same options for multiple transition functions, specify a single options object.
- Array of rlOptimizerOptions objects When your neural network environment agent has
  multiple transition functions and you want to use different optimizer options for the transition
  functions, specify an array of options objects with length equal to the number of transition
  functions.

Using these objects, you can specify training parameters for the transition deep neural network approximators as well as the optimizer algorithms and parameters.

If you have previously trained transition models and do not want the MBPO agent to modify these models during training, set TransitionOptimizerOptions.LearnRate to 0.

#### RewardOptimizerOptions — Reward function optimizer options

rlOptimizerOptions object

Reward function optimizer options, specified as an rlOptimizerOptions object. Using this object, you can specify training parameters for the reward deep neural network approximator as well as the optimizer algorithm and its parameters.

If you specify a ground-truth reward function using a custom function, the MBPO agent ignores these options.

If you have a previously trained reward model and do not want the MBPO agent to modify the model during training, set RewardOptimizerOptions.LearnRate to 0.

#### IsDoneOptimizerOptions — Is-done function optimizer options

rlOptimizerOptions object

Is-done function optimizer options, specified as an rloptimizerOptions object. Using this object, you can specify training parameters for the is-done deep neural network approximator as well as the optimizer algorithm and its parameters.

If you specify a ground-truth is-done function using a custom function, the MBPO agent ignores these options.

If you have a previously trained is-done model and do not want the MBPO agent to modify the model during training, set IsDoneOptimizerOptions.LearnRate to 0.

#### ModelRolloutOptions — Model roll-out options

rlModelRolloutOptions object

Model roll-out options for controlling the number and length of generated experience trajectories, specified as an rlModelRolloutOptions object with the following fields. At the start of each epoch, the agent generates the roll-out trajectories and adds them to the model experience buffer. To modify the roll-out options, use dot notation.

#### NumRollout — Number of trajectories

2000 (default) | positive integer

Number of trajectories for generating samples, specified as a positive integer.

#### Horizon — Initial trajectory horizon

1 (default) | positive integer

Initial trajectory horizon, specified as a positive integer.

#### HorizonUpdateSchedule — Option for increasing horizon length

"none" (default) | "piecewise"

Option for increasing the horizon length, specified as one of the following values.

- "none" Do not increase the horizon length.
- "piecewise" Increase the horizon length by one after every N model training epochs, where N is equal to HorizonUpdateFrequency.

# RolloutHorizonUpdateFrequency — Number of epochs after which the horizon increases 100 (default) | positive integer

Number of epochs after which the horizon increases, specified as a positive integer. When RolloutHorizonSchedule is "none" this option is ignored.

#### HorizonMax — Maximum horizon length

20 (default) | positive integer

Maximum horizon length, specified as a positive integer greater than or equal to RolloutHorizon. When RolloutHorizonSchedule is "none" this option is ignored.

# HorizonUpdateStartEpoch — Training epoch at which to start generating trajectories 1 (default) | positive integer

Training epoch at which to start generating trajectories, specified as a positive integer.

#### NoiseOptions — Exploration model options

[] (default) | EpsilonGreedyExploration object | GaussianActionNoise object

Exploration model options for generating experiences using the internal environment model, specified as one of the following:

ullet [ ] — Use the exploration policy of the base agent. You must use this option when training a SAC base agent.

- EpsilonGreedyExploration object You can use this option when training a DQN base agent.
- GaussianActionNoise object You can use this option when training a DDPG or TD3 base agent.

The exploration model uses only the initial noise option values and does not update the values during training.

To specify NoiseOptions, create a default model object. Then, specify any nondefault model properties using dot notation.

• Specify epsilon greedy exploration options.

```
opt = rlMBPOAgentOptions;
opt.ModelRolloutOptions.NoiseOptions = ...
    rl.option.EpsilonGreedyExploration;
opt.ModelRolloutOptions.NoiseOptions.EpsilonMin = 0.03;

• Specify Gaussian action noise options.

opt = rlMBPOAgentOptions;
opt.ModelRolloutOptions.NoiseOptions = ...
    rl.option.GaussianActionNoise;
opt.ModelRolloutOptions.NoiseOptions.StandardDeviation = sqrt(0.15);
```

For more information on noise models, see "Noise Models" on page 3-223.

#### **Object Functions**

rlMBPOAgent Model-based policy optimization (MBPO) reinforcement learning agent

### **Examples**

#### **Create MBPO Agent Options Object**

Create an MBPO agent options object, specifying the ratio of real experiences to use for training the agent as 30%.

You can modify options using dot notation. For example, set the mini-batch size to 64.

```
opt.MiniBatchSize = 64;
```

# **Algorithms**

#### **Noise Models**

#### **Gaussian Action Noise**

A GaussianActionNoise object has the following numeric value properties. When generating experiences, MBPO agents do not update their exploration model parameters.

Property	Description	Default Value
Mean	Noise model mean	0
StandardDeviation	Noise model standard deviation	sqrt(0.2)
StandardDeviationDecayRa te	Decay rate of the standard deviation  (not used for generating samples)	0
StandardDeviationMin	Minimum standard deviation, which must be less than StandardDeviation (not used for generating samples)	0.1
LowerLimit	Noise sample lower limit	-Inf
UpperLimit	Noise sample upper limit	Inf

At each time step k, the Gaussian noise v is sampled as shown in the following code.

```
w = Mean + rand(ActionSize).*StandardDeviation(k);
v(k+1) = min(max(w,LowerLimit),UpperLimit);
```

#### **Epsilon Greedy Exploration**

An EpsilonGreedyExploration object has the following numeric value properties. When generating experiences, MBPO agents do not update their exploration model parameters.

Property	Description	Default Value
Epsilon	Probability threshold to either randomly select an action or select the action that maximizes the state-action value function. A larger value of Epsilon means that the agent randomly explores the action space at a higher rate.	1
EpsilonMin	Minimum value of Epsilon (not used for generating samples)	0.01

Property	Description	Default Value
EpsilonDecay	Decay rate	0.005
	(not used for generating samples)	

# **Version History** Introduced in R2022a

#### **See Also**

#### **Objects**

rlMBPOAgent | rlNeuralNetworkEnvironment | rlAgentInitializationOptions

#### Topics

- "Train MBPO Agent to Balance Cart-Pole System"
- "Model-Based Policy Optimization (MBPO) Agents"
- "Reinforcement Learning Agents"

# **rIMDPEnv**

Create Markov decision process environment for reinforcement learning

# **Description**

A Markov decision process (MDP) is a discrete time stochastic control process. It provides a mathematical framework for modeling decision making in situations where outcomes are partly random and partly under the control of the decision maker. MDPs are useful for studying optimization problems solved using reinforcement learning. Use rlMDPEnv to create a Markov decision process environment for reinforcement learning in MATLAB.

### Creation

#### **Syntax**

env = rlMDPEnv(MDP)

#### **Description**

env = rlMDPEnv(MDP) creates a reinforcement learning environment env with the specified MDP
model.

#### **Input Arguments**

#### MDP — Markov decision process model

GridWorld object | GenericMDP object

Markov decision process model, specified as one of the following:

- GridWorld object created using createGridWorld.
- GenericMDP object created using createMDP.

# **Properties**

#### Model — Markov decision process model

GridWorld object | GenericMDP object

Markov decision process model, specified as a GridWorld object or GenericMDP object.

#### ResetFcn — Reset function

function handle

Reset function, specified as a function handle.

#### **Object Functions**

getActionInfo Obtain action data specifications from reinforcement learning environment,

agent, or experience buffer

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

sim Simulate trained reinforcement learning agents within specified environment

train Train reinforcement learning agents within a specified environment

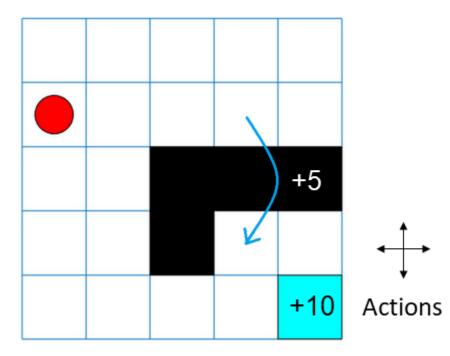
validateEnvironment Validate custom reinforcement learning environment

## **Examples**

#### **Create Grid World Environment**

For this example, consider a 5-by-5 grid world with the following rules:

- A 5-by-5 grid world bounded by borders, with 4 possible actions (North = 1, South = 2, East = 3, West = 4).
- **2** The agent begins from cell [2,1] (second row, first column).
- **3** The agent receives reward +10 if it reaches the terminal state at cell [5,5] (blue).
- **4** The environment contains a special jump from cell [2,4] to cell [4,4] with +5 reward.
- **5** The agent is blocked by obstacles in cells [3,3], [3,4], [3,5] and [4,3] (black cells).
- **6** All other actions result in -1 reward.



First, create a GridWorld object using the createGridWorld function.

GW = createGridWorld(5,5)

GW =

GridWorld with properties:

```
GridSize: [5 5]
CurrentState: "[1,1]"
States: [25x1 string]
Actions: [4x1 string]
T: [25x25x4 double]
R: [25x25x4 double]
ObstacleStates: [0x1 string]
TerminalStates: [0x1 string]
ProbabilityTolerance: 8.8818e-16
```

Now, set the initial, terminal and obstacle states.

```
GW.CurrentState = '[2,1]';
GW.TerminalStates = '[5,5]';
GW.ObstacleStates = ["[3,3]";"[3,4]";"[3,5]";"[4,3]"];
```

Update the state transition matrix for the obstacle states and set the jump rule over the obstacle states.

```
updateStateTranstionForObstacles(GW)
GW.T(state2idx(GW,"[2,4]"),:,:) = 0;
GW.T(state2idx(GW,"[2,4]"),state2idx(GW,"[4,4]"),:) = 1;
```

Next, define the rewards in the reward transition matrix.

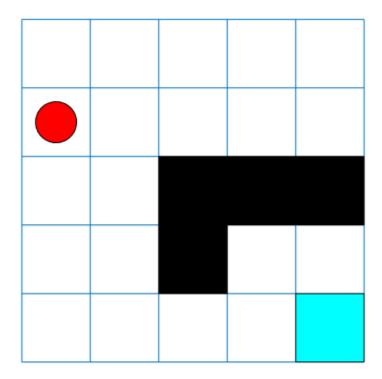
```
nS = numel(GW.States);
nA = numel(GW.Actions);
GW.R = -1*ones(nS,nS,nA);
GW.R(state2idx(GW,"[2,4]"),state2idx(GW,"[4,4]"),:) = 5;
GW.R(:,state2idx(GW,GW.TerminalStates),:) = 10;
```

Now, use rlMDPEnv to create a grid world environment using the GridWorld object GW.

```
env = rlMDPEnv(GW)
env =
  rlMDPEnv with properties:
    Model: [1x1 rl.env.GridWorld]
    ResetFcn: []
```

You can visualize the grid world environment using the plot function.

```
plot(env)
```



# **Version History**

Introduced in R2019a

#### See Also

#### **Functions**

createGridWorld|rlPredefinedEnv

#### **Topics**

"Train Reinforcement Learning Agent in Basic Grid World"
"Train Reinforcement Learning Agent in MDP Environment"

"Create Custom Grid World Environments"

# rlMultiAgentTrainingOptions

Options for training multiple reinforcement learning agents

# **Description**

Use an rlMultiAgentTrainingOptions object to specify training options for multiple agents. To train the agents, use train.

For more information on training agents, see "Train Reinforcement Learning Agents".

#### Creation

#### **Syntax**

```
trainOpts = rlMultiAgentTrainingOptions
trainOpts = rlMultiAgentTrainingOptions(Name, Value)
```

#### **Description**

trainOpts = rlMultiAgentTrainingOptions returns the default options for training multiple reinforcement learning agents. Use training options to specify parameters for the training session, such as the maximum number of episodes to train, criteria for stopping training, and criteria for saving agents. After configuring the options, use trainOpts as an input argument for train.

trainOpts = rlMultiAgentTrainingOptions(Name, Value) creates a training option set and sets object "Properties" on page 3-229 using one or more name-value pair arguments.

# **Properties**

#### AgentGroups — Agent grouping indices

"auto" (default) | cell array of positive integers | cell array of integer arrays

Agent grouping indices, specified as a cell array of positive integers or a cell array of integer arrays.

For instance, consider a training scenario with 4 agents. You can group the agents in the following ways:

• Allocate each agent in a separate group:

```
trainOpts = rlMultiAgentTrainingOptions("AgentGroups", "auto")
```

Specify four agent groups with one agent in each group:

```
trainOpts = rlMultiAgentTrainingOptions("AgentGroups", {1,2,3,4})
```

Specify two agent groups with two agents each:

```
trainOpts = rlMultiAgentTrainingOptions("AgentGroups", {[1,2],[3,4]})
```

Specify three agent groups:

```
trainOpts = rlMultiAgentTrainingOptions("AgentGroups",{[1,4],2,3})
```

AgentGroups and LearningStrategy must be used together to specify whether agent groups learn in a centralized manner or decentralized manner.

```
Example: AgentGroups={1,2,[3,4]}
```

#### LearningStrategy — Learning strategy for each agent group

```
"decentralized" (default) | "centralized"
```

Learning strategy for each agent group, specified as either "decentralized" or "centralized". In decentralized training, agents collect their own set of experiences during the episodes and learn independently from those experiences. In centralized training, the agents share the collected experiences and learn from them together.

AgentGroups and LearningStrategy must be used together to specify whether agent groups learn in a centralized manner or decentralized manner. For example, you can use the following command to configure training for three agent groups with different learning strategies. The agents with indices [1,2] and [3,5] learn in a centralized manner, while agent 4 learns in a decentralized manner.

```
trainOpts = rlMultiAgentTrainingOptions(...
    AgentGroups={[1,2],4,[3,5]},...
    LearningStrategy=["centralized","decentralized","centralized"] )
```

Example: LearningStrategy="centralized"

#### MaxEpisodes — Maximum number of episodes to train the agents

500 (default) | positive integer

Maximum number of episodes to train the agents, specified as a positive integer. Regardless of other criteria for termination, training terminates after MaxEpisodes.

Example: MaxEpisodes=1000

#### MaxStepsPerEpisode — Maximum number of steps to run per episode

500 (default) | positive integer

Maximum number of steps to run per episode, specified as a positive integer. In general, you define episode termination conditions in the environment. This value is the maximum number of steps to run in the episode if other termination conditions are not met.

Example: MaxStepsPerEpisode=1000

#### ScoreAveragingWindowLength — Window length for averaging

5 (default) | positive integer scalar | positive integer vector

Window length for averaging the scores, rewards, and number of steps for each agent, specified as a scalar or vector.

Specify a scalar to apply the same window length to all agents. To use a different window length for each agent, specify ScoreAveragingWindowLength as a vector. In this case, the order of the elements in the vector correspond to the order of the agents used during environment creation.

For options expressed in terms of averages, ScoreAveragingWindowLength is the number of episodes included in the average. For instance, if StopTrainingCriteria is "AverageReward" and StopTrainingValue is 500 for a given agent then for that agent, training terminates when the

average reward over the number of episodes specified in ScoreAveragingWindowLength equals or exceeds 500. For the other agents, training continues until:

- All agents reach their stop criteria.
- The number of episodes reaches MaxEpisodes.
- You stop training by clicking the **Stop Training** button in Episode Manager or pressing **Ctrl-C** at the MATLAB command line.

Example: ScoreAveragingWindowLength=10

#### StopTrainingCriteria — Training termination condition

```
"AverageSteps" (default) | "AverageReward" | "EpisodeReward" | "GlobalStepCount" | "EpisodeCount" | ...
```

Training termination condition, specified as one of the following strings:

- "AverageSteps" Stop training when the running average number of steps per episode equals or exceeds the critical value specified by the option StopTrainingValue. The average is computed using the window 'ScoreAveragingWindowLength'.
- "AverageReward" Stop training when the running average reward equals or exceeds the critical value.
- "EpisodeReward" Stop training when the reward in the current episode equals or exceeds the critical value.
- "GlobalStepCount" Stop training when the total number of steps in all episodes (the total number of times the agent is invoked) equals or exceeds the critical value.
- "EpisodeCount" Stop training when the number of training episodes equals or exceeds the critical value.

Example: StopTrainingCriteria="AverageReward"

# StopTrainingValue — Critical value of training termination condition

500 (default) | scalar | vector

Critical value of the training termination condition, specified as a scalar or a vector.

Specify a scalar to apply the same termination criterion to all agents. To use a different termination criterion for each agent, specify StopTrainingValue as a vector. In this case, the order of the elements in the vector corresponds to the order of the agents used during environment creation.

For a given agent, training ends when the termination condition specified by the StopTrainingCriteria option equals or exceeds this value. For the other agents, the training continues until:

- All agents reach their stop criteria.
- The number of episodes reaches maxEpisodes.
- You stop training by clicking the **Stop Training** button in Episode Manager or pressing **Ctrl-C** at the MATLAB command line.

For instance, if StopTrainingCriteria is "AverageReward" and StopTrainingValue is 100 for a given agent, then for that agent training terminates when the average reward over the number of episodes specified in ScoreAveragingWindowLength equals or exceeds 100.

Example: StopTrainingValue=100

#### SaveAgentCriteria — Condition for saving agents during training

```
"None" (default) | "EpisodeReward" | "AverageSteps" | "AverageReward" | "GlobalStepCount" | "EpisodeCount" | ...
```

Condition for saving agents during training, specified as one of the following strings:

- "None" Do not save any agents during training.
- "EpisodeReward" Save the agent when the reward in the current episode equals or exceeds the critical value.
- "AverageSteps" Save the agent when the running average number of steps per episode equals or exceeds the critical value specified by the option StopTrainingValue. The average is computed using the window 'ScoreAveragingWindowLength'.
- "AverageReward" Save the agent when the running average reward over all episodes equals or exceeds the critical value.
- "GlobalStepCount" Save the agent when the total number of steps in all episodes (the total number of times the agent is invoked) equals or exceeds the critical value.
- "EpisodeCount" Save the agent when the number of training episodes equals or exceeds the critical value.

Set this option to store candidate agents that perform well according to the criteria you specify. When you set this option to a value other than "none", the software sets the SaveAgentValue option to 500. You can change that value to specify the condition for saving the agent.

For instance, suppose you want to store for further testing any agent that yields an episode reward that equals or exceeds 100. To do so, set SaveAgentCriteria to "EpisodeReward" and set the SaveAgentValue option to 100. When an episode reward equals or exceeds 100, train saves the corresponding agent in a MAT file in the folder specified by the SaveAgentDirectory option. The MAT file is called AgentK.mat, where K is the number of the corresponding episode. The agent is stored within that MAT file as saved\_agent.

Example: SaveAgentCriteria="EpisodeReward"

#### SaveAgentValue — Critical value of condition for saving agents

```
"none" (default) | 500 | scalar | vector
```

Critical value of the condition for saving agents, specified as a scalar or a vector.

Specify a scalar to apply the same saving criterion to each agent. To save the agents when one meets a particular criterion, specify SaveAgentValue as a vector. In this case, the order of the elements in the vector corresponds to the order of the agents used when creating the environment. When a criteria for saving an agent is met, all agents are saved in the same MAT file.

When you specify a condition for saving candidate agents using SaveAgentCriteria, the software sets this value to 500. Change the value to specify the condition for saving the agent. See the SaveAgentCriteria option for more details.

Example: SaveAgentValue=100

#### SaveAgentDirectory — Folder for saved agents

```
"savedAgents" (default) | string | character vector
```

Folder for saved agents, specified as a string or character vector. The folder name can contain a full or relative path. When an episode occurs that satisfies the condition specified by the

SaveAgentCriteria and SaveAgentValue options, the software saves the agents in a MAT file in this folder. If the folder does not exist, train creates it. When SaveAgentCriteria is "none", this option is ignored and train does not create a folder.

Example: SaveAgentDirectory = pwd + "\run1\Agents"

#### StopOnError — Option to stop training when error occurs

```
"on" (default) | "off"
```

Option to stop training when an error occurs during an episode, specified as "on" or "off". When this option is "off", errors are captured and returned in the SimulationInfo output of train, and training continues to the next episode.

Example: StopOnError = "off"

#### Verbose — Option to display training progress on the command line

false (0) (default) | true (1)

Option to display training progress on the command line, specified as the logical value false (0) or true (1). Set to true to write information from each training episode to the MATLAB command line during training.

Example: Verbose = true

#### Plots — Option to display training progress with Episode Manager

```
"training-progress" (default) | "none"
```

Option to display training progress with Episode Manager, specified as "training-progress" or "none". By default, calling train opens the Reinforcement Learning Episode Manager, which graphically and numerically displays information about the training progress, such as the reward for each episode, average reward, number of episodes, and total number of steps. (For more information, see train.) To turn off this display, set this option to "none".

Example: Plots = "none"

## **Object Functions**

train Train reinforcement learning agents within a specified environment

#### **Examples**

#### **Configure Options for Multi Agent Training**

Create an options set for training 5 reinforcement learning agents. Set the maximum number of episodes and the maximum number of steps per episode to 1000. Configure the options to stop training when the average reward equals or exceeds 480, and turn on both the command-line display and Reinforcement Learning Episode Manager for displaying training results. You can set the options using name-value pair arguments when you create the options set. Any options that you do not explicitly set have their default values.

```
trainOpts = rlMultiAgentTrainingOptions(...
    AgentGroups={[1,2],3,[4,5]},...
    LearningStrategy=["centralized","decentralized","centralized"],...
    MaxEpisodes=1000,...
    MaxStepsPerEpisode=1000,...
```

```
StopTrainingValue=480,...
    Verbose=true,...
    Plots="training-progress")
trainOpts =
  rlMultiAgentTrainingOptions with properties:
                   AgentGroups: {[1 2] [3] [4 5]}
              LearningStrategy: ["centralized" MaxEpisodes: 1000
                                                    "decentralized" "centralized"]
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: 5
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: 480
             SaveAgentCriteria: "none"
                SaveAgentValue: "none"
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
                          Plots: "training-progress"
Alternatively, create a default options set and use dot notation to change some of the values.
trainOpts = rlMultiAgentTrainingOptions;
trainOpts.AgentGroups = \{[1,2],3,[4,5]\};
trainOpts.LearningStrategy = ["centralized","decentralized","centralized"];
trainOpts.MaxEpisodes = 1000;
trainOpts.MaxStepsPerEpisode = 1000;
trainOpts.StopTrainingCriteria = "AverageReward";
trainOpts.StopTrainingValue = 480;
trainOpts.Verbose = true;
trainOpts.Plots = "training-progress";
trainOpts
trainOpts =
  rlMultiAgentTrainingOptions with properties:
                   AgentGroups: {[1 2] [3] [4 5]}
              LearningStrategy: ["centralized"
                                                    "decentralized" "centralized"]
                   MaxEpisodes: 1000
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: 5
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: 480
             SaveAgentCriteria: "none"
                SaveAgentValue: "none"
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
```

StopTrainingCriteria="AverageReward",...

You can now use trainOpts as an input argument to the train command.

Plots: "training-progress"

#### **Configure Options for Training a Multi-Agent Environment**

Create an options object for concurrently training three agents in the same environment.

Set the maximum number of episodes and the maximum steps per episode to 1000. Configure the options to stop training the first agent when its average reward over 5 episodes equals or exceeds 400, the second agent when its average reward over 10 episodes equals or exceeds 500, and the third when its average reward over 15 episodes equals or exceeds 600. The order of agents is the one used during environment creation.

Save the agents when the reward for the first agent in the current episode exceeds 100, or when the reward for the second agent exceeds 120, the reward for the third agent equals or exceeds 140.

Turn on both the command-line display and Reinforcement Learning Episode Manager for displaying training results. You can set the options using name-value pair arguments when you create the options set. Any options that you do not explicitly set have their default values.

```
trainOpts = rlMultiAgentTrainingOptions(...
   MaxEpisodes=1000,...
   MaxStepsPerEpisode=1000,...
    ScoreAveragingWindowLength=[5 10 15]....
    StopTrainingCriteria="AverageReward",...
    StopTrainingValue=[400 500 600],...
    SaveAgentCriteria="EpisodeReward",...
    SaveAgentValue=[100 120 140],...
   Verbose=true,...
   Plots="training-progress")
trainOpts =
  rlMultiAgentTrainingOptions with properties:
                   AgentGroups: "auto"
              LearningStrategy: "decentralized"
                   MaxEpisodes: 1000
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: [5 10 15]
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: [400 500 600]
             SaveAgentCriteria: "EpisodeReward"
                SaveAgentValue: [100 120 140]
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
                         Plots: "training-progress"
```

Alternatively, create a default options set and use dot notation to change some of the values.

```
trainOpts = rlMultiAgentTrainingOptions;
trainOpts.MaxEpisodes = 1000;
trainOpts.MaxStepsPerEpisode = 1000;
trainOpts.ScoreAveragingWindowLength = [5 10 15];
trainOpts.StopTrainingCriteria = "AverageReward";
```

```
trainOpts.StopTrainingValue = [400 500 600];
trainOpts.SaveAgentCriteria = "EpisodeReward";
trainOpts.SaveAgentValue = [100 120 140];
trainOpts.Verbose = true;
trainOpts.Plots = "training-progress";
trainOpts
trainOpts =
  rlMultiAgentTrainingOptions with properties:
                   AgentGroups: "auto"
              LearningStrategy: "decentralized"
                   MaxEpisodes: 1000
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: [5 10 15]
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: [400 500 600]
             SaveAgentCriteria: "EpisodeReward"
                SaveAgentValue: [100 120 140]
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
                         Plots: "training-progress"
```

You can specify a scalar to apply the same criterion to all agents. For example, use a window length of 10 for all three agents.

```
trainOpts.ScoreAveragingWindowLength = 10
```

You can now use trainOpts as an input argument to the train command.

# **Version History**

Introduced in R2022a

# See Also

### **Functions**

train

**Objects** rlTrainingOptions

**Topics** "Reinforcement Learning Agents"

# rlNeuralNetworkEnvironment

Environment model with deep neural network transition models

# **Description**

Use an rlNeuralNetworkEnvironment object to create a reinforcement learning environment that computes state transitions using deep neural networks.

Using an rlNeuralNetworkEnvironment object you can:

- Create an internal environment model for a model-based policy optimization (MBPO) agent.
- Create an environment for training other types of reinforcement learning agents. You can identify the state-transition network using experimental or simulated data.

Such environments can compute environment rewards and termination conditions using deep neural networks or custom functions.

#### Creation

### **Syntax**

env = rlNeuralNetworkEnvironment(ObservationInfo,ActionInfo,transitionFcn, rewardFcn,isDoneFcn)

#### **Description**

env = rlNeuralNetworkEnvironment(ObservationInfo,ActionInfo,transitionFcn, rewardFcn,isDoneFcn) creates a model for an environment with the observation and action specifications specified in ObservationInfo and ActionInfo, respectively. This syntax sets the TransitionFcn, RewardFcn, and IsDoneFcn properties.

#### **Input Arguments**

#### ObservationInfo — Observation specifications

rlNumericSpec object | array rlNumericSpec objects

This property is read-only.

Observation specifications, specified as an rlNumericSpec object or an array of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlNumericSpec.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

#### **Properties**

#### TransitionFcn — Environment transition function

rlContinuousDeterministicTransitionFunction object |
rlContinuousGaussianTransitionFunction object | array of transition objects

Environment transition function, specified as one of the following:

- rlContinuousDeterministicTransitionFunction object Use this option when you expect the environment transitions to be deterministic.
- rlContinuousGaussianTransitionFunction object Use this option when you expect the environment transitions to be stochastic.
- Vector of transition objects Use multiple transition models for an MBPO agent.

#### RewardFcn — Environment reward function

rlContinuousDeterministicRewardFunction object |
rlContinuousGaussianRewardFunction object | function handle

Environment reward function, specified as one of the following:

- rlContinuousDeterministicRewardFunction object Use this option when you do not know a ground-truth reward signal for your environment and you expect the reward signal to be deterministic.
- rlContinuousGaussianRewardFunction object Use this option when you do not know a ground-truth reward signal for your environment and you expect the reward signal to be stochastic.
- Function handle Use this option when you know a ground-truth reward signal for your
  environment. When you use an rlNeuralNetworkEnvironment object to create an
  rlMBPOAgent object, the custom reward function must return a batch of rewards given a batch of
  inputs.

#### IsDoneFcn — Environment is-done function

rlisDoneFunction object | function handle

Environment is-done function, specified as one of the following:

- rlisDoneFunction object Use this option when you do not know a ground-truth termination signal for your environment.
- Function handle Use this option when you know a ground-truth termination signal for your environment. When you use an rlNeuralNetworkEnvironment object to create an

rlmBPOAgent object, the custom is-done function must return a batch of termination signals given a batch of inputs.

#### **Observation — Observation values**

cell array

Observation values, specified as a cell array with length equal to the number of specification objects in ObservationInfo. The order of the observations in Observation must match the order in ObservationInfo. Also, the dimensions of each element of the cell array must match the dimensions of the corresponding observation specification in ObservationInfo.

To evaluate whether the transition models are well-trained, you can manually evaluate the environment for a given observation value using the step function. Specify the observation values before calling step.

When you use this neural network environment object within an MBPO agent, this property is ignored.

#### TransitionModelNum — Transition model index

1 (default) | positive integer

Transition model index, specified as a positive integer.

To evaluate whether the transition models are well-trained, you can manually evaluate the environment for a given observation value using the step function. To select which transition model in TransitionFcn to evaluate, specify the transition model index before calling step.

When you use this neural network environment object within an MBPO agent, this property is ignored.

### **Object Functions**

rlMBPOAgent Model-based policy optimization (MBPO) reinforcement learning agent

# **Examples**

#### **Create Neural Network Environment**

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Get the dimension of the observation and action spaces.

```
numObservations = obsInfo.Dimension(1);
numActions = actInfo.Dimension(1);
```

Create a deterministic transition function based on a deep neural network with two input channels (current observations and actions) and one output channel (predicted next observation).

```
% Create network layers.
statePath = featureInputLayer(numObservations, ...
```

```
Normalization="none", Name="state");
actionPath = featureInputLayer(numActions, ...
    Normalization="none", Name="action");
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64,Name="FC1")
    reluLayer(Name="CriticRelu1")
    fullyConnectedLayer(64, Name="FC3")
    reluLayer(Name="CriticCommonRelu2")
    fullyConnectedLayer(numObservations,Name="nextObservation")];
% Combine network lavers.
transitionNetwork = layerGraph(statePath);
transitionNetwork = addLayers(transitionNetwork,actionPath);
transitionNetwork = addLayers(transitionNetwork,commonPath);
transitionNetwork = connectLayers( ...
    transitionNetwork, "state", "concat/in1");
transitionNetwork = connectLayers( ...
    transitionNetwork, "action", "concat/in2");
% Create dlnetwork object.
transitionNetwork = dlnetwork(transitionNetwork);
% Create transition function object.
transitionFcn = rlContinuousDeterministicTransitionFunction(...
    transitionNetwork,obsInfo,actInfo,...
    ObservationInputNames="state", ...
    ActionInputNames="action", ...
    NextObservationOutputNames="nextObservation");
Create a deterministic reward function with two input channels (current action and next
observations) and one output channel (predicted reward value).
% Create network lavers.
nextStatePath = featureInputLayer( ...
    numObservations,Name="nextState");
commonPath = [concatenationLayer(1,3,Name="concat")
    fullyConnectedLayer(32,Name="fc")
    reluLayer(Name="relu1")
    fullyConnectedLayer(32,Name="fc2")];
meanPath = [reluLayer(Name="rewardMeanRelu")
    fullyConnectedLayer(1,Name="rewardMean")];
stdPath = [reluLayer(Name="rewardStdRelu")
    fullvConnectedLaver(1.Name="rewardStdFc")
    softplusLayer(Name="rewardStd")];
% Combine network layers.
rewardNetwork = layerGraph(statePath);
rewardNetwork = addLayers(rewardNetwork,actionPath);
rewardNetwork = addLayers(rewardNetwork,nextStatePath);
rewardNetwork = addLayers(rewardNetwork,commonPath);
rewardNetwork = addLayers(rewardNetwork,meanPath);
rewardNetwork = addLayers(rewardNetwork,stdPath);
rewardNetwork = connectLayers( ...
    rewardNetwork, "nextState", "concat/in1");
rewardNetwork = connectLayers( ...
    rewardNetwork, "action", "concat/in2");
rewardNetwork = connectLayers( ...
```

```
rewardNetwork, "state", "concat/in3");
rewardNetwork = connectLayers( ...
    rewardNetwork, "fc2", "rewardMeanRelu");
rewardNetwork = connectLayers( ...
    rewardNetwork, "fc2", "rewardStdRelu");
% Create dlnetwork object.
rewardNetwork = dlnetwork(rewardNetwork);
% Create reward function object.
rewardFcn = rlContinuousGaussianRewardFunction(...
    rewardNetwork,obsInfo,actInfo,...
    ObservationInputNames="state",...
    ActionInputNames="action", ...
    NextObservationInputNames="nextState", ...
    RewardMeanOutputNames="rewardMean", ...
    RewardStandardDeviationOutputNames="rewardStd");
Create an is-done function with one input channel (next observations) and one output channel
(predicted termination signal).
% Create network layers.
commonPath = [featureInputLayer(numObservations, ...
        Normalization="none", Name="nextState");
    fullyConnectedLayer(64,Name="FC1")
    reluLayer(Name="CriticRelu1")
    fullyConnectedLayer(64,Name="FC3")
    reluLayer(Name="CriticCommonRelu2")
    fullvConnectedLaver(2.Name="isdone0")
    softmaxLayer(Name="isdone")];
isDoneNetwork = layerGraph(commonPath);
% Create dlnetwork object.
isDoneNetwork = dlnetwork(isDoneNetwork);
% Create is-done function object.
isDoneFcn = rlIsDoneFunction(isDoneNetwork, ...
    obsInfo,actInfo, ...
    NextObservationInputNames="nextState");
Create a neural network environment using the transition, reward, and is-done functions.
env = rlNeuralNetworkEnvironment( ...
    obsInfo,actInfo, ...
    transitionFcn, rewardFcn, isDoneFcn);
```

#### **Create Neural Network Environment Using Custom Functions**

Create an environment interface and extract observation and action specifications. Alternatively, you can create specifications using rlNumericSpec and rlFiniteSetSpec.

```
env = rlPredefinedEnv("CartPole-Continuous");
obsInfo = getObservationInfo(env);
numObservations = obsInfo.Dimension(1);
actInfo = getActionInfo(env);
numActions = actInfo.Dimension(1);
```

Create a deterministic transition function based on a deep neural network with two input channels (current observations and actions) and one output channel (predicted next observation).

```
% Create network layers.
statePath = featureInputLayer(numObservations,...
    Normalization="none", Name="state");
actionPath = featureInputLayer(numActions,...
    Normalization="none", Name="action");
commonPath = [concatenationLayer(1,2,Name="concat")
    fullyConnectedLayer(64,Name="FC1")
    reluLayer(Name="CriticRelu1")
    fullyConnectedLayer(64, Name="FC3")
    reluLayer(Name="CriticCommonRelu2")
    fullyConnectedLayer(numObservations,Name="nextObservation")];
% Combine network layers.
transitionNetwork = layerGraph(statePath);
transitionNetwork = addLayers(transitionNetwork,actionPath);
transitionNetwork = addLayers(transitionNetwork,commonPath);
transitionNetwork = connectLayers(transitionNetwork, "state", "concat/in1");
transitionNetwork = connectLayers(transitionNetwork, "action", "concat/in2");
% Create dlnetwork object.
transitionNetwork = dlnetwork(transitionNetwork);
% Create transition function object.
transitionFcn = rlContinuousDeterministicTransitionFunction(...
    transitionNetwork,obsInfo,actInfo,...
    ObservationInputNames="state", ...
    ActionInputNames="action", ...
   NextObservationOutputNames="nextObservation");
```

You can define a known reward function for your environment using a custom function. Your custom reward function must take the observations, actions, and next observations as cell-array inputs and return a scalar reward value. For this example, use the following custom reward function, which computes the reward based on the next observation.

```
type cartPoleRewardFunction.m

function reward = cartPoleRewardFunction(obs,action,nextObs)
% Compute reward value based on the next observation.

if iscell(nextObs)
    nextObs = nextObs{1};
end

% Distance at which to fail the episode
    xThreshold = 2.4;

% Reward each time step the cart-pole is balanced
    rewardForNotFalling = 1;

% Penalty when the cart-pole fails to balance
    penaltyForFalling = -5;

x = nextObs(1,:);
    distReward = 1 - abs(x)/xThreshold;
```

```
isDone = cartPoleIsDoneFunction(obs,action,nextObs);

reward = zeros(size(isDone));
reward(logical(isDone)) = penaltyForFalling;
reward(~logical(isDone)) = ...
    0.5 * rewardForNotFalling + 0.5 * distReward(~logical(isDone));
end
```

You can define a known is-done function for your environment using a custom function. Your custom is-done function must take the observations, actions, and next observations as cell-array inputs and return a logical termination signal. For this example, use the following custom is-done function, which computes the termination signal based on the next observation.

```
type cartPoleIsDoneFunction.m
```

```
function isDone = cartPoleIsDoneFunction(obs,action,nextObs)
% Compute termination signal based on next observation.

if iscell(nextObs)
    nextObs = nextObs{1};
end

% Angle at which to fail the episode
thetaThresholdRadians = 12 * pi/180;

% Distance at which to fail the episode
xThreshold = 2.4;

x = nextObs(1,:);
theta = nextObs(3,:);

isDone = abs(x) > xThreshold | abs(theta) > thetaThresholdRadians;
end
```

Create a neural network environment using the transition function object and the custom reward and is-done functions.

```
env = rlNeuralNetworkEnvironment(obsInfo,actInfo,transitionFcn,...
@cartPoleRewardFunction,@cartPoleIsDoneFunction);
```

# **Version History**

Introduced in R2022a

#### See Also

#### **Functions**

evaluate | gradient | accelerate

#### **Objects**

```
rlContinuousDeterministicTransitionFunction |
rlContinuousGaussianTransitionFunction |
rlContinuousDeterministicRewardFunction | rlContinuousGaussianRewardFunction |
rlIsDoneFunction | rlMBPOAgent | rlMBPOAgentOptions
```

**Topics**"Train MBPO Agent to Balance Cart-Pole System"
"Model-Based Policy Optimization (MBPO) Agents"

# rlNumericSpec

Create continuous action or observation data specifications for reinforcement learning environments

# **Description**

An rlNumericSpec object specifies continuous action or observation data specifications for reinforcement learning environments.

#### Creation

#### Syntax

```
spec = rlNumericSpec(dimension)
spec = rlNumericSpec(dimension, Name, Value)
```

#### **Description**

spec = rlNumericSpec(dimension) creates a data specification for continuous actions or
observations and sets the Dimension property.

spec = rlNumericSpec(dimension,Name,Value) sets "Properties" on page 3-246 using namevalue pair arguments.

# **Properties**

#### LowerLimit — Lower limit of the data space

```
-Inf (default) | scalar | matrix
```

Lower limit of the data space, specified as a scalar or matrix of the same size as the data space. When LowerLimit is specified as a scalar, rlNumericSpec applies it to all entries in the data space. DDPG, TD3 and SAC agents use this property to enforce lower limits on the action. When using other agents, if you need to enforce constraints on the action, you must do so within the environment.

#### UpperLimit — Upper limit of the data space

```
Inf (default) | scalar | matrix
```

Upper limit of the data space, specified as a scalar or matrix of the same size as the data space. When UpperLimit is specified as a scalar, rlNumericSpec applies it to all entries in the data space. DDPG, TD3 and SAC agents use this property to enforce upper limits on the action. When using other agents, if you need to enforce constraints on the action, you must do so within the environment.

#### Name — Name of the rlNumericSpec object

string

Name of the rlNumericSpec object, specified as a string. Use this property to set a meaningful name for the signal carried by this data channel. This property is used by the RL Agent block to match the bus signal elements with their corresponding environment channels.

### Description — Description of the rlNumericSpec object

string

Description of the rlNumericSpec object, specified as a string. You can use this property to specify a meaningful description of the signal carried by this environment channel.

#### Dimension — Dimension of the data space

numeric vector

This property is read-only.

Dimension of the data space, specified as a numeric vector. This property is essential to construct agents and function approximators objects that work with a given environment.

### DataType — Information about the type of data

```
"double" (default) | string
```

This property is read-only.

Information about the type of data, specified as a string, such as "double" or "single". The software uses this property to enforce data type consistency for observations and actions.

### **Object Functions**

10. 1.1 0			1 . 11
rlSimulinkEnv	Create reinforcement learning	t environment iisin	d dynamic model
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implemented in Simulink

rlFunctionEnv Specify custom reinforcement learning environment dynamics

using functions

rlValueFunction Value function approximator object for reinforcement learning

agents

rlQValueFunction Q-Value function approximator object for reinforcement learning

agents

rlVectorQValueFunction Vector Q-value function approximator for reinforcement learning

agents

rlContinuousDeterministicActor Deterministic actor with a continuous action space for

reinforcement learning agents

rlDiscreteCategoricalActor Stochastic categorical actor with a discrete action space for

reinforcement learning agents

rlContinuousGaussianActor Stochastic Gaussian actor with a continuous action space for

reinforcement learning agents

### **Examples**

### **Create Reinforcement Learning Environment for Simulink Model**

For this example, consider the rlSimplePendulumModel Simulink model. The model is a simple frictionless pendulum that initially hangs in a downward position.

Open the model.

```
mdl = "rlSimplePendulumModel";
open_system(mdl)
```

Create rlNumericSpec and rlFiniteSetSpec objects for the observation and action information, respectively.

The observation is a vector containing three signals: the sine, cosine, and time derivative of the angle.

```
obsInfo = rlNumericSpec([3 1])
obsInfo =
    rlNumericSpec with properties:
    LowerLimit: -Inf
    UpperLimit: Inf
        Name: [0x0 string]
    Description: [0x0 string]
    Dimension: [3 1]
    DataType: "double"
```

The action is a scalar expressing the torque and can be one of three possible values, -2 Nm, 0 Nm and 2 Nm.

You can use dot notation to assign property values for the rlNumericSpec and rlFiniteSetSpec objects.

```
obsInfo.Name = "observations";
actInfo.Name = "torque";
```

Assign the agent block path information, and create the reinforcement learning environment for the Simulink model using the information extracted in the previous steps.

You can also include a reset function using dot notation. For this example, randomly initialize theta0 in the model workspace.

```
env.ResetFcn = @(in) setVariable(in,"theta0",randn,"Workspace",mdl)
```

env =

SimulinkEnvWithAgent with properties:

Model : rlSimplePendulumModel

AgentBlock : rlSimplePendulumModel/RL Agent

ResetFcn : @(in)setVariable(in, "theta0", randn, "Workspace", mdl)

UseFastRestart : on

### **Version History**

Introduced in R2019a

### See Also

#### **Functions**

rlSimulinkEnv | getActionInfo | getObservationInfo

### **Objects**

rlFiniteSetSpec | rlValueRepresentation | rlQValueRepresentation |
rlDeterministicActorRepresentation | rlStochasticActorRepresentation |
rlFunctionEnv

#### **Blocks**

**RL** Agent

#### **Topics**

"Train DDPG Agent for Adaptive Cruise Control"

"Create MATLAB Reinforcement Learning Environments"

"Create Simulink Reinforcement Learning Environments"

## rlOptimizerOptions

Optimization options for actors and critics

### **Description**

Use an rlOptimizerOptions object to specify an optimization options set for actors and critics.

### Creation

### **Syntax**

```
optOpts = rlOptimizerOptions
optOpts = rlOptimizerOptions(Name=Value)
```

### **Description**

optOpts = rlOptimizerOptions creates a default optimizer option set to use as a CriticOptimizerOptions or ActorOptimizerOptions property of an agent option object, or as a last argument of rlOptimizer to create an optimizer object. You can modify the object properties using dot notation.

optOpts = rlOptimizerOptions(Name=Value) creates an options set with the specified
properties using one or more name-value arguments.

### **Properties**

## **LearnRate** — **Learning rate used in training the actor or critic function approximator** 0.01 (default) | positive scalar

Learning rate used in training the actor or critic function approximator, specified as a positive scalar. If the learning rate is too low, then training takes a long time. If the learning rate is too high, then training might reach a suboptimal result or diverge.

Example: LearnRate=0.025

## GradientThreshold — Gradient threshold value for the training of the actor or critic function approximator

Inf (default) | positive scalar

Gradient threshold value used in training the actor or critic function approximator, specified as Inf or a positive scalar. If the gradient exceeds this value, the gradient is clipped as specified by the GradientThresholdMethod option. Clipping the gradient limits how much the network parameters can change in a training iteration.

Example: GradientThreshold=1

## GradientThresholdMethod — Gradient threshold method used in training the actor or critic function approximator

```
"l2norm" (default) | "global-l2norm" | "absolute-value"
```

Gradient threshold method used in training the actor or critic function approximator. This is the specific method used to clip gradient values that exceed the gradient threshold, and it is specified as one of the following values.

- "l2norm" If the  $L_2$  norm of the vector  $G_{lyr}$  containing the gradient components related to the weights or biases of a layer is larger than  $G_{lyr}$  containing the gradient components related to the factor of  $G_{lyr}$  by a factor of  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$ . When you use this option, the  $L_2$  norm of  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  and  $G_{lyr}$  in the returned gradient cannot exceed  $G_{lyr}$  in the returned gradient  $G_{lyr}$  in the returned gradient  $G_{lyr}$  in the returned  $G_{lyr}$  in the returned  $G_{lyr}$  in the returned  $G_{lyr}$  in the returned  $G_{lyr}$  i
- "global-l2norm" If the  $L_2$  norm of the gradient G (with respect to all learnable network parameters), is larger than GradientThreshold, then this option scales G by a factor of L, where L is the  $L_2$  norm of G. When you use this option, the  $L_2$  norm of the returned gradient cannot exceed GradientThreshold.
- "absolute-value" If the absolute value of an individual (scalar) partial derivative in the gradient *G* (with respect to all learnable network parameters), is larger than GradientThreshold, then this option scales the partial derivative so that the corresponding component in the returned gradient has magnitude equal to GradientThreshold and the same sign of the original partial derivative. When you use this option, the absolute value of any component of the returned gradient cannot exceed GradientThreshold.

For more information, see "Gradient Clipping" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

Example: GradientThresholdMethod="absolute-value"

# L2RegularizationFactor — Factor for $L_2$ regularization used in training the actor or critic function approximator

0.0001 (default) | nonnegative scalar

Factor for  $L_2$  regularization (weight decay) used in training the actor or critic function approximator, specified as a nonnegative scalar. For more information, see "L2 Regularization" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

To avoid overfitting when using a representation with many parameters, consider increasing the L2RegularizationFactor option.

Example: L2RegularizationFactor=0.0005

## Algorithm — Algorithm used for training actor or critic function approximator "adam" (default) | "sqdm" | "rmsprop"

Algorithm used for training the actor or critic function approximator, specified as one of the following values.

- "adam" Use the Adam (adaptive movement estimation) algorithm. You can specify the decay rates of the gradient and squared gradient moving averages using the GradientDecayFactor and SquaredGradientDecayFactor fields of the OptimizerParameters option.
- "sgdm" Use the stochastic gradient descent with momentum (SGDM) algorithm. You can specify the momentum value using the Momentum field of the OptimizerParameters option.
- "rmsprop" Use the RMSProp algorithm. You can specify the decay rate of the squared gradient moving average using the SquaredGradientDecayFactor fields of the OptimizerParameters option.

For more information about these algorithms, see "Stochastic Gradient Descent" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

Example: Optimizer="sgdm"

## ${\tt OptimizerParameters-Parameter-Parameter$

OptimizerParameters object

Parameters for the training algorithm used for training the actor or critic function approximator, specified as an <code>OptimizerParameters</code> object with the following parameters.

Parameter	Description
Momentum	Contribution of previous step, specified as a scalar from 0 to 1. A value of 0 means no contribution from the previous step. A value of 1 means maximal contribution.
	This parameter applies only when Optimizer is "sgdm". In that case, the default value is 0.9. This default value works well for most problems.
Epsilon	Denominator offset, specified as a positive scalar. The optimizer adds this offset to the denominator in the network parameter updates to avoid division by zero.
	This parameter applies only when Optimizer is "adam" or "rmsprop". In that case, the default value is 10 <sup>-8</sup> . This default value works well for most problems.
GradientDecayFactor	Decay rate of gradient moving average, specified as a positive scalar from 0 to 1.
	This parameter applies only when Optimizer is "adam". In that case, the default value is 0.9. This default value works well for most problems.
SquaredGradientDecayFactor	Decay rate of squared gradient moving average, specified as a positive scalar from 0 to 1.
	This parameter applies only when Optimizer is "adam" or "rmsprop". In that case, the default value is 0.999. This default value works well for most problems.

When a particular property of OptimizerParameters is not applicable to the optimizer type specified in Algorithm, that property is set to "Not applicable".

To change property values, create an rlOptimizerOptions object and use dot notation to access and change the properties of OptimizerParameters.

```
repOpts = rlRepresentationOptions;
repOpts.OptimizerParameters.GradientDecayFactor = 0.95;
```

### **Object Functions**

rlQAgentOptions Options for Q-learning agent rlSARSAAgentOptions Options for SARSA agent rlDQNAgentOptionsOptions for DQN agent rlPGAgentOptions Options for PG agent rlDDPGAgentOptions Options for DDPG agent rlTD3AgentOptions Options for TD3 agent rlACAgentOptions Options for AC agent Options for PPO agent rlPPOAgentOptions rlTRPOAgentOptions Options for TRPO agent rlSACAgentOptions Options for SAC agent

rlOptimizer Creates an optimizer object for actors and critics

### **Examples**

### **Create Optimizer Options Object**

Use rloprimizerOptions to create a default optimizer option object to use for the training of a critic function approximator.

Using dot notation, change the training algorithm to stochastic gradient descent with momentum and set the value of the momentum parameter to 0.6.

```
myCriticOpts.Algorithm = "sgdm";
myCriticOpts.OptimizerParameters.Momentum = 0.6;
Create an AC agent option object, and set its CriticOptimizerOptions property to myCriticOpts.
```

```
myAgentOpt = rlACAgentOptions;
myAgentOpt.CriticOptimizerOptions = myCriticOpts;
```

You can now use myAgentOpt as last input argument to rlACAgent when creating your AC agent.

#### **Create Optimizer Options Object Specifying Property Values**

Use rlOprimizerOptions to create an optimizer option object to use for the training of an actor function approximator. Specify a learning rate of 0.2 and set the GradientThresholdMethod to "absolute-value".

You can now use myAgentOpt as last input argument to rlACAgent when creating your AC agent.

## **Version History**

Introduced in R2022a

### See Also

### **Functions**

rl0ptimizer

#### **Topics**

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

### rlPGAgent

Policy gradient (PG) reinforcement learning agent

### **Description**

The policy gradient (PG) algorithm is a model-free, online, on-policy reinforcement learning method. A PG agent is a policy-based reinforcement learning agent that uses the REINFORCE algorithm to directly compute an optimal policy which maximizes the long-term reward. The action space can be either discrete or continuous. For continuous action spaces, this agent does not enforce constraints set in the action specification; therefore, if you need to enforce action constraints, you must do so within the environment.

For more information on PG agents and the REINFORCE algorithm, see "Policy Gradient (PG) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

### Creation

### **Syntax**

```
agent = rlPGAgent(observationInfo,actionInfo)
agent = rlPGAgent(observationInfo,actionInfo,initOpts)
agent = rlPGAgent(actor)
agent = rlPGAgent(actor,critic)
agent = rlPGAgent( ,agentOptions)
```

#### **Description**

#### **Create Agent from Observation and Action Specifications**

agent = rlPGAgent(observationInfo,actionInfo) creates a policy gradient agent for an
environment with the given observation and action specifications, using default initialization options.
The actor and critic in the agent use default deep neural networks built from the observation
specification observationInfo and the action specification actionInfo. The ObservationInfo
and ActionInfo properties of agent are set to the observationInfo and actionInfo input
arguments, respectively.

agent = rlPGAgent(observationInfo,actionInfo,initOpts) creates a policy gradient agent for an environment with the given observation and action specifications. The agent uses default networks in which each hidden fully connected layer has the number of units specified in the initOpts object. Policy gradient agents do not support recurrent neural networks. For more information on the initialization options, see rlAgentInitializationOptions.

#### **Create Agent from Actor and Critic**

agent = rlPGAgent(actor) creates a policy gradient agent with the specified actor network. By
default, the UseBaseline property of the agent is false in this case.

agent = rlPGAgent(actor,critic) creates a policy gradient agent with the specified actor and critic networks. By default, the UseBaseline option is true in this case.

#### **Specify Agent Options**

agent = rlPGAgent(\_\_\_\_, agentOptions) creates a policy gradient agent and sets the
AgentOptions property to the agentOptions input argument. Use this syntax after any of the
input arguments in the previous syntaxes.

#### **Input Arguments**

### initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object. Policy gradient agents do not support recurrent neural networks.

#### actor - Actor

rlDiscreteCategoricalActor object | rlContinuousGaussianActor object

Actor that implements the policy, specified as an rlDiscreteCategoricalActor or rlContinuousGaussianActor function approximator object. For more information on creating actor approximators, see "Create Policies and Value Functions".

#### critic — Baseline critic

rlValueFunction object

Baseline critic that estimates the discounted long-term reward, specified as an rlValueFunction object. For more information on creating critic approximators, see "Create Policies and Value Functions".

### **Properties**

### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### ActionInfo — Action specification

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

### **Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### AgentOptions — Agent options

rlPGAgentOptions object

Agent options, specified as an rlPGAgentOptions object.

### UseExplorationPolicy — Option to use exploration policy

true (default) | false

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions in sim and generatePolicyFunction. In this case, the agent selects its actions by sampling its probability distribution, the policy is therefore stochastic and the agent explores its observation space.
- false Use the base agent greedy policy (the action with maximum likelihood) when selecting actions in sim and generatePolicyFunction. In this case, the simulated agent and generated policy behave deterministically.

**Note** This option affects only simulation and deployment; it does not affect training.

### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

### **Object Functions**

train Train reinforcement learning agents within a specified environment Simulate trained reinforcement learning agents within specified

environment

getAction Obtain action from agent, actor, or policy object given environment

observations

getActor Extract actor from reinforcement learning agent

setActorSet actor of reinforcement learning agentgetCriticExtract critic from reinforcement learning agentsetCriticSet critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

### **Examples**

### Create Discrete Policy Gradient Agent from Observation and Action Specifications

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of -2, -1, 0, 1, or 2 Nm applied to the pole).

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
Obtain observation and action specification objects.
```

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a policy gradient agent from the environment observation and action specifications.

```
agent = rlPGAgent(obsInfo,actInfo);
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent,{rand(obsInfo(1).Dimension),rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
{[-2]}
```

You can now test and train the agent within the environment.

### **Create Continuous Policy Gradient Agent Using Initialization Options**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
```

Obtain observation and action specifications

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons (instead of the default number, 256). Policy gradient agents do not support recurrent networks, so setting the UseRNN option to true generates an error when the agent is created.

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a policy gradient agent from the environment observation and action specifications.

```
agent = rlPGAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural networks from both the agent actor and critic.

```
actorNet = getModel(getActor(agent));
criticNet = getModel(getCritic(agent));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

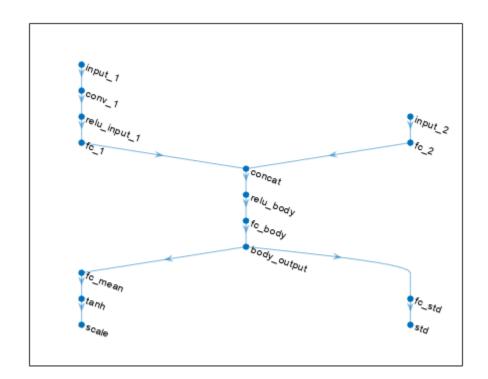
```
criticNet.Layers
```

or visualize the structure interactively using analyzeNetwork.

```
analyzeNetwork(criticNet)
```

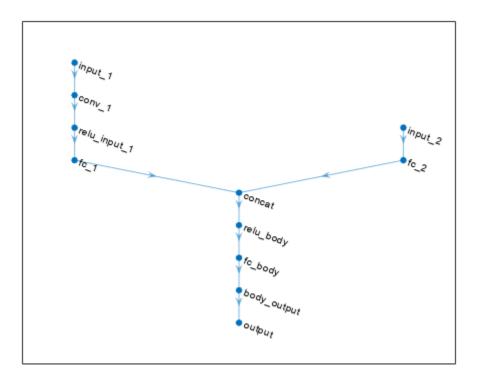
Plot actor and critic networks, and display their number of weights.

```
plot(layerGraph(actorNet))
```



### summary(actorNet)

```
Initialized: true
   Number of learnables: 18.9M
   Inputs:
    1 'input_1'    50x50x1 images
    2 'input_2'    1 features
plot(layerGraph(criticNet))
```



#### summary(criticNet)

```
Initialized: true

Number of learnables: 18.9M

Inputs:
    1 'input_1' 50x50x1 images
    2 'input_2' 1 features
```

To check your agent, use getAction to return the action from a random observation. getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})

```
ans = 1x1 cell array \{[0.9228]\}
```

You can now test and train the agent within the environment.

### Create a Discrete PG Agent from Actor and Baseline Critic

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Train PG Agent with Baseline to Control Double Integrator System". The observation from the environment is a vector

containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, having three possible values (-2, 0, or 2 Newton).

```
env = rlPredefinedEnv("DoubleIntegrator-Discrete");
obsInfo = getObservationInfo(env)
obsInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "states"
    Description: "x, dx"
      Dimension: [2 1]
DataType: "double"
actInfo = getActionInfo(env)
actInfo =
  rlFiniteSetSpec with properties:
       Elements: [-2 0 2]
           Name: "force"
    Description: [0x0 string]
      Dimension: [1 1]
       DataType: "double"
```

Policy-gradient agents can optionally use a parametrized baseline function to approximate the value of the policy. A value-function approximator takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized baseline function, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
baselineNet = [
   featureInputLayer(prod(obsInfo.Dimension))
   fullyConnectedLayer(64)
   reluLayer
   fullyConnectedLayer(1)
];
```

Convert to a dlnetwork object and display the number of weights.

```
baselineNet = dlnetwork(baselineNet);
summary(baselineNet)

Initialized: true

Number of learnables: 257
```

```
Inputs:
    1 'input' 2 features
```

-0.1204

Create the baseline approximator object using baselineNet and the observation specification. For more information on value function approximators, see rlValueFunction.

```
baseline = rlValueFunction(baselineNet,obsInfo);
```

Check the critic with a random input observation.

```
getValue(baseline, {rand(obsInfo.Dimension)})
ans = single
```

Policy gradient agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo. Note that numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects.

```
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
];
```

Convert to a dlnetwork object and display the number of weights.

Create the actor using actorNet and the observation and action specifications. For more information on discrete categorical actors, see rlDiscreteCategoricalActor.

```
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
```

Check the actor with a random observation input.

```
getAction(actor,{rand(obsInfo.Dimension)})
```

```
ans = 1x1 cell array \{[-2]\}
```

Create the PG agent using the actor and the baseline critic.

```
agent = rlPGAgent(actor,baseline)
agent =
  rlPGAgent with properties:
            AgentOptions: [1x1 rl.option.rlPGAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
Specify options for the agent, including training options for the actor and critic.
agent.AgentOptions.UseBaseline = true;
agent.AgentOptions.DiscountFactor = 0.99;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 5e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate = 5e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold = 1;
Check the agent with a random observation input.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-2]}
```

You can now test and train the agent within the environment.

### Create a Continuous PG Agent from Actor and Baseline Critic

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the double integrator continuous action space environment used in the example "Train DDPG Agent to Control Double Integrator System".

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env)

obsInfo =
    rlNumericSpec with properties:

    LowerLimit: -Inf
    UpperLimit: Inf
         Name: "states"
    Description: "x, dx"
    Dimension: [2 1]
        DataType: "double"

actInfo = getActionInfo(env)
```

```
actInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "force"
   Description: [0x0 string]
      Dimension: [1 1]
       DataType: "double"
```

In this example, the action is a scalar value representing a force ranging from -2 to 2 Newton. To make sure that the output from the agent is in this range, you perform an appropriate scaling operation. Store these limits so you can easily access them later.

```
actInfo.LowerLimit = -2;
actInfo.UpperLimit = 2;
```

Policy-gradient agents can optionally use a parametrized baseline function to approximate the value of the policy. A value-function approximator takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized baseline function, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
baselineNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(1)
```

Convert to a dlnetwork object and display the number of weights.

```
baselineNet = dlnetwork(baselineNet);
summary(baselineNet)
   Initialized: true
   Number of learnables: 257
   Inputs:
          'input'
```

Create the baseline approximator object using baselineNet and the observation specification. For more information on value function approximators, see rlValueFunction.

```
baseline = rlValueFunction(baselineNet,obsInfo);
Check the critic with a random input observation.
```

2 features

getValue(baseline, {rand(obsInfo.Dimension)})

```
ans = single -0.1204
```

Policy-gradient agents use a parametrized stochastic policy, which for continuous action spaces is implemented by a continuous Gaussian actor. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

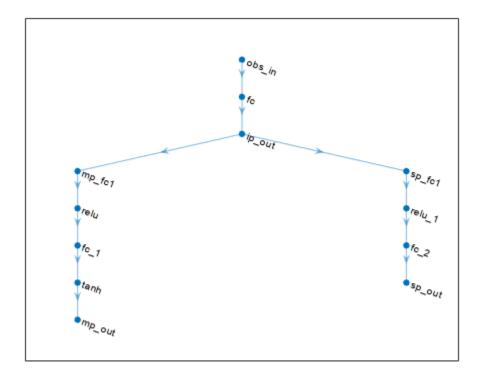
Note that standard deviations must be nonnegative and mean values must fall within the range of the action. Therefore the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity, while the output layer that returns the mean values must be a scaling layer, to scale the mean values to the output range.

For this example the environment has only one observation channel and therefore the network has only one input layer.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Input path
inPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="obs in")
    fullyConnectedLayer(32)
    reluLayer(Name="ip out") ];
% Mean path
meanPath = [
    fullyConnectedLayer(16,Name="mp fc1")
    reluLaver
    fullyConnectedLayer(1)
    tanhLayer(Name="tanh"); % range: -1,1
    scalingLayer(Name="mp out", ...
        Scale=actInfo.UpperLimit) ]; % range: -2,2
% Standard deviation path
sdevPath = [
    fullyConnectedLayer(16,Name="sp fc1")
    reluLayer
    fullyConnectedLayer(1);
    softplusLayer(Name="sp out") ]; % non negative
% Add layers to layerGraph object
actorNet = layerGraph(inPath);
actorNet = addLayers(actorNet, meanPath);
actorNet = addLayers(actorNet,sdevPath);
% Connect output of inPath to meanPath input
actorNet = connectLayers(actorNet,"ip_out","mp_fc1/in");
% Connect output of inPath to variancePath input
actorNet = connectLayers(actorNet, "ip_out", "sp_fc1/in");
```

```
% Plot network plot(actorNet)
```



Create the actor approximator object using actorNet, the environment specifications, and the names of the network input and output layers as input arguments. For more information, on continuous Gaussian actors, see rlContinuousGaussianActor.

```
actor = rlContinuousGaussianActor(actorNet, ...
   obsInfo,actInfo, ...
   ObservationInputNames="obs_in", ...
   ActionMeanOutputNames="mp_out", ...
   ActionStandardDeviationOutputNames="sp_out");
```

Check the actor with a random input observation.

```
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0963]}
Create the PG agent using the actor and the baseline critic.
agent = rlPGAgent(actor,baseline)
agent =
  rlPGAgent with properties:
            AgentOptions: [1x1 rl.option.rlPGAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlNumericSpec]
              SampleTime: 1
Specify options for the agent, including training options for the actor and critic.
agent.AgentOptions.UseBaseline = true;
agent.AgentOptions.DiscountFactor = 0.99;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 5e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate = 5e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold = 1;
Check your agent with a random input observation.
getAction(agent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[1.1197]}
```

You can now test and train the agent within the environment.

### Create a Discrete PG Agent with Recurrent Neural Networks

For this example, load the environment used in the example "Train PG Agent with Baseline to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, having three possible values (-2, 0, or 2 Newton).

```
env = rlPredefinedEnv("DoubleIntegrator-Discrete");
Get observation and specification information.

obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Policy-gradient agents can optionally use a parametrized baseline function to approximate the value of the policy. To model the parametrized baseline function, use a recurrent neural network.

Define the network as an array of layer objects. To create a recurrent network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
baselineNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    lstmLayer(32)
    reluLayer
    fullyConnectedLayer(1)
];
```

Convert to a dlnetwork object and display the number of weights.

```
baselineNet = dlnetwork(baselineNet);
summary(baselineNet)

Initialized: true

Number of learnables: 4.5k

Inputs:
    1 'sequenceinput' Sequence input with 2 dimensions
```

Create the baseline approximator object using baselineNet and the observation specification.

```
baseline = rlValueFunction(baselineNet,obsInfo);
```

Check the baseline critic with a random input observation.

```
getValue(baseline, {rand(obsInfo.Dimension)})
ans = single
   -0.0065
```

Policy gradient agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor.

A discrete categorical actor implements a parametrized stochastic policy for a discrete action space.

This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

Since the critic has a recurrent network, the actor must have a recurrent network too. The network must have with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo.

Define the network as an array of layer objects.

```
actorNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    lstmLayer(32)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
];
```

```
Convert to a dlnetwork object and display the number of weights.
```

```
actorNet = dlnetwork(actorNet);
summary(actorNet)

Initialized: true

Number of learnables: 4.5k

Inputs:
    1 'sequenceinput' Sequence input with 2 dimensions
```

Create the actor using actorNet and the observation and action specifications.

```
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
```

Check the actor with a random observation input.

```
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[0]}
```

Create a PG agent using the actor and the baseline network.

Check the agent with a random observation input.

```
getAction(agent,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
{[0]}
```

To evaluate the agent using sequential observations, use the sequence length (time) dimension. For example, obtain actions for a sequence of 9 observations.

```
[action, state] = getAction(agent, ...
{rand([obsInfo.Dimension 1 9])});
```

Display the action corresponding to the seventh element of the observation.

```
action = action{1};
action(1,1,1,7)
ans = 0
```

You can now test and train the agent within the environment.

### **Tips**

• For continuous action spaces, the rlPGAgent agent does not enforce the constraints set by the action specification, so you must enforce action space constraints within the environment.

### **Version History**

Introduced in R2019a

### See Also

### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

getAction|getActor|getCritic|getModel|generatePolicyFunction| generatePolicyBlock|getActionInfo|getObservationInfo

### **Objects**

rlPGAgentOptions | rlAgentInitializationOptions | rlQValueFunction | rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlACAgent | rlDDPGAgent

#### **Blocks**

RL Agent | Policy

#### **Topics**

"Train PG Agent to Balance Cart-Pole System"

"Train Reinforcement Learning Agents"

"Policy Gradient (PG) Agents"

"Reinforcement Learning Agents"

### rlPGAgentOptions

Options for PG agent

### **Description**

Use an rlPGAgentOptions object to specify options for policy gradient (PG) agents. To create a PG agent, use rlPGAgent

For more information on PG agents, see "Policy Gradient (PG) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

### Creation

### **Syntax**

```
opt = rlPGAgentOptions
opt = rlPGAgentOptions(Name, Value)
```

### Description

opt = rlPGAgentOptions creates an rlPGAgentOptions object for use as an argument when creating a PG agent using all default settings. You can modify the object properties using dot notation.

opt = rlPGAgentOptions(Name, Value) sets option properties on page 3-272 using name-value pairs. For example, rlPGAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

### **Properties**

#### UseBaseline — Use baseline for learning

true (default) | false

Option to use baseline for learning, specified as a logical value. When UseBaseline is true, you must specify a critic network as the baseline function approximator.

In general, for simpler problems with smaller actor networks, PG agents work better without a baseline.

### EntropyLossWeight — Entropy loss weight

0 (default) | scalar value between 0 and 1

Entropy loss weight, specified as a scalar value between 0 and 1. A higher entropy loss weight value promotes agent exploration by applying a penalty for being too certain about which action to take. Doing so can help the agent move out of local optima.

When gradients are computed during training, an additional gradient component is computed for minimizing this loss function.

### ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

### **Object Functions**

rlPGAgent Policy gradient (PG) reinforcement learning agent

### **Examples**

### Create PG Agent Options Object

This example shows how to create and modify a PG agent options object.

Create a PG agent options object, specifying the discount factor.

opt = rlPGAgentOptions(DiscountFactor=0.9)

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

### **Version History**

Introduced in R2019a

**R2022a:** Simulation and deployment: UseDeterministicExploitation will be removed  $Warns\ starting\ in\ R2022a$ 

The property UseDeterministicExploitation of the rlPGAgentOptions object will be removed in a future release. Use the UseExplorationPolicy property of rlPGAgent instead.

Previously, you set UseDeterministicExploitation as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.AgentOptions.UseDeterministicExploitation = true;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.AgentOptions.UseDeterministicExploitation = false;
```

Starting in R2022a, set UseExplorationPolicy as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.UseExplorationPolicy = false;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.UseExplorationPolicy = true;
```

Similarly to UseDeterministicExploitation, UseExplorationPolicy affects only simulation and deployment; it does not affect training.

### See Also

### Objects

rlPGAgent|rlAgentInitializationOptions

**Topics**"Train PG Agent to Balance Cart-Pole System"
"Policy Gradient (PG) Agents"

### rlPPOAgent

Proximal policy optimization (PPO) reinforcement learning agent

### **Description**

Proximal policy optimization (PPO) is a model-free, online, on-policy, policy gradient reinforcement learning method. This algorithm alternates between sampling data through environmental interaction and optimizing a clipped surrogate objective function using stochastic gradient descent. The action space can be either discrete or continuous. For continuous action spaces, this agent does not enforce constraints set in the action specification; therefore, if you need to enforce action constraints, you must do so within the environment.

For more information on PPO agents, see "Proximal Policy Optimization (PPO) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

### Creation

### Syntax

```
agent = rlPPOAgent(observationInfo,actionInfo)
agent = rlPPOAgent(observationInfo,actionInfo,initOpts)
agent = rlPPOAgent(actor,critic)
agent = rlPPOAgent(____,agentOptions)
```

### **Description**

#### **Create Agent from Observation and Action Specifications**

agent = rlPPOAgent(observationInfo,actionInfo) creates a proximal policy optimization (PPO) agent for an environment with the given observation and action specifications, using default initialization options. The actor and critic in the agent use default deep neural networks built from the observation specification observationInfo and the action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlPPOAgent(observationInfo,actionInfo,initOpts) creates a PPO agent for an
environment with the given observation and action specifications. The agent uses default networks
configured using options specified in the initOpts object. Actor-critic agents do not support
recurrent neural networks. For more information on the initialization options, see
rlAgentInitializationOptions.

### **Create Agent from Actor and Critic**

agent = rlPPOAgent(actor,critic) creates a PPO agent with the specified actor and critic,
using the default options for the agent.

### **Specify Agent Options**

agent = rlPPOAgent(\_\_\_\_, agentOptions) creates a PPO agent and sets the AgentOptions
property to the agentOptions input argument. Use this syntax after any of the input arguments in
the previous syntaxes.

### **Input Arguments**

### initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

#### actor - Actor

rlDiscreteCategoricalActorobject | rlContinuousGaussianActorobject

Actor that implements the policy, specified as an rlDiscreteCategoricalActor or rlContinuousGaussianActor function approximator object. For more information on creating actor approximators, see "Create Policies and Value Functions".

#### critic — Critic

rlValueFunction object

Critic that estimates the discounted long-term reward, specified as an rlValueFunction object. For more information on creating critic approximators, see "Create Policies and Value Functions".

Your critic can use a recurrent neural network as its function approximator. In this case, your actor must also use a recurrent neural network. For an example, see "Create PPO Agent with Recurrent Neural Networks" on page 3-289.

### **Properties**

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

### ActionInfo — Action specification

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### AgentOptions — Agent options

rlPPOAgentOptions object

Agent options, specified as an rlPPOAgentOptions object.

### **UseExplorationPolicy** — **Option to use exploration policy**

true (default) | false

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions in sim and generatePolicyFunction. In this case, the agent selects its actions by sampling its probability distribution, the policy is therefore stochastic and the agent explores its observation space.
- false Use the base agent greedy policy (the action with maximum likelihood) when selecting actions in sim and generatePolicyFunction. In this case, the simulated agent and generated policy behave deterministically.

**Note** This option affects only simulation and deployment; it does not affect training.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

### **Object Functions**

train	Train reinforcement learning agents within a specified environment
sim	Simulate trained reinforcement learning agents within specified
	environment
getAction	Obtain action from agent, actor, or policy object given environment
	observations
getActor	Extract actor from reinforcement learning agent
setActor	Set actor of reinforcement learning agent
getCritic	Extract critic from reinforcement learning agent
setCritic	Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy object

### **Examples**

#### Create Discrete PPO Agent from Observation and Action Specifications

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of -2, -1, 0, 1, or 2 Nm applied to a swinging pole).

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
Obtain observation and action specifications from the environment.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a PPO agent from the environment observation and action specifications.

```
agent = rlPPOAgent(obsInfo,actInfo);
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent,{rand(obsInfo(1).Dimension),rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
{[-2]}
```

You can now test and train the agent within the environment. You can also use getActor and getCritic to extract the actor and critic, respectively, and getModel to extract the approximator model (by default a deep neural network) from the actor or critic.

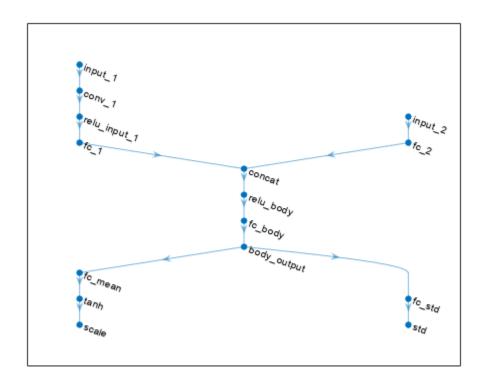
### **Create Continuous PPO Agent Using Initialization Options**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

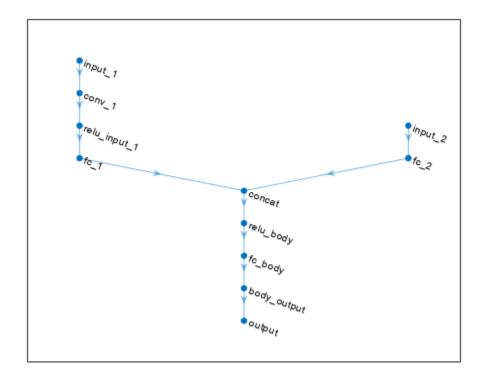
```
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
```

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
Create an agent initialization option object, specifying that each hidden fully connected layer in the
network must have 128 neurons (instead of the default number, 256).
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility
by fixing the seed of the random generator.
rng(0)
Create a PPO actor-critic agent from the environment observation and action specifications.
agent = rlPPOAgent(obsInfo,actInfo,initOpts);
Extract the deep neural networks from both the agent actor and critic.
actorNet = getModel(getActor(agent));
criticNet = getModel(getCritic(agent));
To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the
MATLAB® command window,
criticNet.Layers
or visualize the structure interactively using analyzeNetwork.
analyzeNetwork(criticNet)
Plot actor and critic networks
```

plot(layerGraph(actorNet))



plot(layerGraph(criticNet))



To check your agent, use getAction to return the action from a random observation.

getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})

ans = 1x1 cell array
{[0.9228]}

You can now test and train the agent within the environment.

#### **Create Proximal Policy Optimization Agent**

Create an environment interface, and obtain its observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

PPO agents use a parametrized value function as a critic. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of

dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
criticNet = [
   featureInputLayer(prod(obsInfo.Dimension))
   fullyConnectedLayer(100)
   reluLayer
   fullyConnectedLayer(1)
   ];
```

Convert to a dlnetwork object and display the number of parameters.

```
criticNet = dlnetwork(criticNet);
summary(criticNet)

Initialized: true

Number of learnables: 601

Inputs:
    1 'input' 4 features
```

Create the critic using criticNet and the observation specification object. For more information, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
```

Check the critic with a random observation input.

```
getValue(critic, {rand(obsInfo.Dimension)})
ans = single
   -0.2479
```

Policy gradient agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo. Note that numel(actInfo.Dimension) returns the number of elements of the discrete action space.

Define the network as an array of layer objects.

```
actorNet = [
   featureInputLayer(prod(obsInfo.Dimension))
   fullyConnectedLayer(200)
   reluLayer
   fullyConnectedLayer(numel(actInfo.Dimension))
   l:
```

Convert to a dlnetwork object and display the number of parameters.

```
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
   Number of learnables: 1.4k
   Inputs:
          'input'
      1
                     4 features
Create the actor using actorNet and the environment specification objects. For more information,
see rlDiscreteCategoricalActor.
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-10]}
Create a PPO agent using the actor and the critic.
agent = rlPPOAgent(actor,critic)
agent =
  rlPPOAgent with properties:
            AgentOptions: [1x1 rl.option.rlPPOAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
Specify agent options, including training options for the actor and the critic.
agent.AgentOptions.ExperienceHorizon = 1024;
agent.AgentOptions.DiscountFactor = 0.95;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold = 1;
To check your agent, use getAction to return the action from a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-10]}
```

You can now test and train the agent against the environment.

#### **Create Continuous PPO Agent**

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the double integrator continuous action space environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env)
obsInfo =
 rlNumericSpec with properties:
    LowerLimit: -Inf
    UpperLimit: Inf
           Name: "states"
   Description: "x, dx"
     Dimension: [2 1]
      DataType: "double"
actInfo = getActionInfo(env)
actInfo =
 rlNumericSpec with properties:
    LowerLimit: -Inf
    UpperLimit: Inf
           Name: "force"
   Description: [0x0 string]
      Dimension: [1 1]
      DataType: "double"
```

In this example, the action is a scalar value representing a force ranging from -2 to 2 Newton. To make sure that the output from the agent is in this range, you perform an appropriate scaling operation. Store these limits so you can easily access them later.

```
actInfo.LowerLimit=-2;
actInfo.UpperLimit=2;
```

The actor and critic networks are initialized randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

PPO agents use a parametrized value function as a critic. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with two input layers (one for each observation channel, as specified by obsInfo) and one output layer (returning the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
criticNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(100)
    reluLayer
    fullyConnectedLayer(1)
    ];

Convert to a dlnetwork object and display the number of parameters.

criticNet = dlnetwork(criticNet);
summary(criticNet)
    Initialized: true
    Number of learnables: 401
    Inputs:
```

Create the critic approximator object using criticNet and the observation specification. For more information, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
Check the critic with a random observation input.
getValue(critic, {rand(obsInfo.Dimension)})
ans = single
    -0.0899
```

2 features

'input'

1

PPO agents use a parametrized stochastic policy, which for continuous action spaces is implemented by a continuous Gaussian actor. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

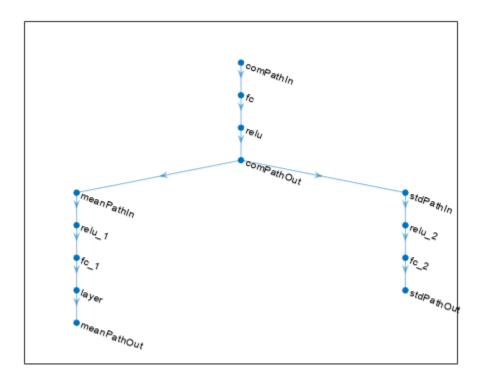
Note that standard deviations must be nonnegative and mean values must fall within the range of the action. Therefore the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity, while the output layer that returns the mean values must be a scaling layer, to scale the mean values to the output range.

For this example the environment has only one observation channel and therefore the network has only one input layer.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Define common input path layer
commonPath = [
   featureInputLayer(prod(obsInfo.Dimension),Name="comPathIn")
   fullyConnectedLayer(100)
   reluLayer
```

```
fullyConnectedLayer(1,Name="comPathOut")
    ];
% Define mean value path
meanPath = [
    fullyConnectedLayer(15,Name="meanPathIn")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension));
    tanhLayer;
    scalingLayer(Name="meanPathOut", Scale=actInfo.UpperLimit)
    ];
% Define standard deviation path
sdevPath = [
    fullyConnectedLayer(15, "Name", "stdPathIn")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension));
    softplusLayer(Name="stdPathOut")
% Add layers to layerGraph object
actorNet = layerGraph(commonPath);
actorNet = addLayers(actorNet,meanPath);
actorNet = addLayers(actorNet,sdevPath);
% Connect paths
actorNet = connectLayers(actorNet, "comPathOut", "meanPathIn/in");
actorNet = connectLayers(actorNet, "comPathOut", "stdPathIn/in");
% Plot network
plot(actorNet)
```



```
% Convert to dlnetwork and display number of weights
actorNet = dlnetwork(actorNet);
summary(actorNet)

Initialized: true

Number of learnables: 493

Inputs:
    1 'comPathIn' 2 features
```

Create the actor approximator object using actorNet, the environment specifications, the name of the network input layer to be connected with the environment observation channel, and the names of the network output layers that calculate the mean the standard deviation values of the action.

For more information, see  ${\tt rlContinuousGaussianActor}$ .

```
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
    "ActionMeanOutputNames", "meanPathOut", ...
    "ActionStandardDeviationOutputNames", "stdPathOut", ...
    ObservationInputNames="comPathIn");

Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
```

```
ans = 1x1 cell array
    {[-0.2267]}
Create a PPO agent using the actor and the critic.
agent = rlPPOAgent(actor,critic)
agent =
  rlPPOAgent with properties:
            AgentOptions: [1x1 rl.option.rlPPOAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlNumericSpec]
              SampleTime: 1
Specify agent options, including training options for the actor and the critic.
agent.AgentOptions.ExperienceHorizon = 1024;
agent.AgentOptions.DiscountFactor = 0.95;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
agent.AgentOptions.ActorOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.ActorOptimizerOptions.GradientThreshold = 1;
Specify training options for the critic.
criticOpts = rlOptimizerOptions( ...
    LearnRate=8e-3,GradientThreshold=1);
To check your agent, use getAction to return the action from a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.2719]}
```

You can now test and train the agent within the environment.

#### **Create PPO Agent with Recurrent Neural Networks**

For this example load the predefined environment used for the "Train DQN Agent to Balance Cart-Pole System" example.

```
env = rlPredefinedEnv("CartPole-Discrete");
```

Get observation and action information. This environment has a continuous four-dimensional observation space (the positions and velocities of both cart and pole) and a discrete one-dimensional action space consisting on the application of two possible forces, -10N or 10N.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The actor and critic networks are initialized randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

PPO agents use a parametrized value function as a critic. To model the parametrized value function within the critic, use a recurrent neural network.

Define the network as an array of layer objects. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
criticNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(8)
    reluLayer
    lstmLayer(8)
    fullyConnectedLayer(1)
    ];
```

Convert to a dlnetwork object and display the number of learnable parameters.

```
criticNet = dlnetwork(criticNet);
summary(criticNet)

Initialized: true

Number of learnables: 593

Inputs:
    1 'sequenceinput' Sequence input with 4 dimensions
```

Create the critic using criticNet and the observation specification object. For more information, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
```

Check the critic with a random observation input.

```
getValue(critic,{rand(obsInfo.Dimension)})
ans = single
    0.0017
```

Since the critic has a recurrent network, the actor must have a recurrent network too. Define the network as an array of layer objects.

```
actorNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(100)
    reluLayer
    lstmLayer(8)
    fullyConnectedLayer(numel(actInfo.Elements))
    softmaxLayer
];
```

Convert the network to a dlnetwork object and display the number of learnable parameters.

```
actorNet = dlnetwork(actorNet);
summary(actorNet)
```

```
Initialized: true
   Number of learnables: 4k
   Inputs:
          'sequenceinput' Sequence input with 4 dimensions
      1
Create the actor using actorNet and the environment specification objects. For more information,
see rlDiscreteCategoricalActor.
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-10]}
Set some training option for the critic.
criticOptions = rlOptimizerOptions( ...
    LearnRate=1e-2. ...
    GradientThreshold=1);
Set some training options for the actor.
actorOptions = rlOptimizerOptions( ...
    LearnRate=1e-3, ...
    GradientThreshold=1);
Create the agent options object.
agentOptions = rlPPOAgentOptions(...
    AdvantageEstimateMethod="finite-horizon", ...
    ClipFactor=0.1, ...
    CriticOptimizerOptions=criticOptions, ...
    ActorOptimizerOptions=actorOptions);
When recurrent neural networks are used, the MiniBatchSize property is the length of the learning
trajectory.
agentOptions.MiniBatchSize
ans = 128
Create the agent using the actor and critic, as well as the agent options object.
agent = rlPPOAgent(actor,critic,agentOptions)
agent =
  rlPPOAgent with properties:
             AgentOptions: [1x1 rl.option.rlPPOAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
```

Check your agent with a random observation input.

```
getAction(agent, rand(obsInfo.Dimension))
ans = 1x1 cell array
    {[-10]}
```

To evaluate the agent using sequential observations, use the sequence length (time) dimension. For example, obtain actions for a sequence of 9 observations.

```
[action,state] = getAction(agent, ...
{rand([obsInfo.Dimension 1 9])});
```

Display the action corresponding to the seventh element of the observation.

```
action = action{1};
action(1,1,1,7)
ans = -10
```

You can now test and train the agent within the environment.

### **Tips**

- For continuous action spaces, this agent does not enforce the constraints set by the action specification. In this case, you must enforce action space constraints within the environment.
- While tuning the learning rate of the actor network is necessary for PPO agents, it is not necessary for TRPO agents.

## **Version History**

Introduced in R2019b

#### See Also

#### Apps

Reinforcement Learning Designer | Deep Network Designer

#### Functions

```
getAction|getActor|getCritic|getModel|generatePolicyFunction|
generatePolicyBlock|getActionInfo|getObservationInfo
```

#### Objects

```
rlPPOAgentOptions | rlAgentInitializationOptions | rlValueFunction |
rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlPGAgent | rlTRPOAgent
```

#### **Blocks**

```
RL Agent | Policy
```

#### **Topics**

"Train PPO Agent for a Lander Vehicle"

<sup>&</sup>quot;Train Reinforcement Learning Agents"
"Proximal Policy Optimization (PPO) Agents"
"Reinforcement Learning Agents"

## rlPPOAgentOptions

Options for PPO agent

## **Description**

Use an rlPPOAgentOptions object to specify options for proximal policy optimization (PPO) agents. To create a PPO agent, use rlPPOAgent.

For more information on PPO agents, see "Proximal Policy Optimization (PPO) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

### Creation

## **Syntax**

```
opt = rlPPOAgentOptions
opt = rlPPOAgentOptions(Name, Value)
```

#### Description

opt = rlPPOAgentOptions creates an rlPPOAgentOptions object for use as an argument when creating a PPO agent using all default settings. You can modify the object properties using dot notation.

opt = rlPPOAgentOptions(Name, Value) sets option properties on page 3-294 using name-value arguments. For example, rlPPOAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value arguments. Enclose each property name in quotes.

## **Properties**

# ExperienceHorizon — Number of steps the agent interacts with the environment before learning

512 (default) | positive integer

Number of steps the agent interacts with the environment before learning from its experience, specified as a positive integer. When the agent is trained in parallel, ExperienceHorizon is ignored, and the whole episode is used to compute the gradients.

The ExperienceHorizon value must be greater than or equal to the MiniBatchSize value.

#### MiniBatchSize — Mini-batch size

128 (default) | positive integer

Mini-batch size used for each learning epoch, specified as a positive integer. When the agent uses a recurrent neural network, MiniBatchSize is treated as the training trajectory length.

The MiniBatchSize value must be less than or equal to the ExperienceHorizon value.

#### ClipFactor — Clip factor

0.2 (default) | positive scalar less than 1

Clip factor for limiting the change in each policy update step, specified as a positive scalar less than 1.

#### EntropyLossWeight — Entropy loss weight

0.01 (default) | scalar value between 0 and 1

Entropy loss weight, specified as a scalar value between 0 and 1. A higher entropy loss weight value promotes agent exploration by applying a penalty for being too certain about which action to take. Doing so can help the agent move out of local optima.

When gradients are computed during training, an additional gradient component is computed for minimizing this loss function. For more information, see "Entropy Loss".

#### NumEpoch — Number of epochs

3 (default) | positive integer

Number of epochs for which the actor and critic networks learn from the current experience set, specified as a positive integer.

#### AdvantageEstimateMethod — Method for estimating advantage values

"gae" (default) | "finite-horizon"

Method for estimating advantage values, specified as one of the following:

- "gae" Generalized advantage estimator
- "finite-horizon" Finite horizon estimation

For more information on these methods, see the training algorithm information in "Proximal Policy Optimization (PPO) Agents".

#### **GAEFactor** — **Smoothing factor for generalized advantage estimator**

0.95 (default) | scalar value between 0 and 1

Smoothing factor for generalized advantage estimator, specified as a scalar value between 0 and 1, inclusive. This option applies only when the AdvantageEstimateMethod option is "gae"

### NormalizedAdvantageMethod — Method for normalizing advantage function

```
"none" (default) | "current | "moving"
```

Method for normalizing advantage function values, specified as one of the following:

- "none" Do not normalize advantage values
- "current" Normalize the advantage function using the mean and standard deviation for the current mini-batch of experiences.
- "moving" Normalize the advantage function using the mean and standard deviation for a
  moving window of recent experiences. To specify the window size, set the
  AdvantageNormalizingWindow option.

In some environments, you can improve agent performance by normalizing the advantage function during training. The agent normalizes the advantage function by subtracting the mean advantage value and scaling by the standard deviation.

# AdvantageNormalizingWindow — Window size for normalizing advantage function 1e6 (default) | positive integer

Window size for normalizing advantage function values, specified as a positive integer. Use this option when the NormalizedAdvantageMethod option is "moving".

#### ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

## **Object Functions**

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

## **Examples**

#### **Create PPO Agent Options Object**

Create a PPO agent options object, specifying the experience horizon.

```
opt = rlPPOAgentOptions(ExperienceHorizon=256)
opt =
 rlPPOAgentOptions with properties:
             ExperienceHorizon: 256
                 MiniBatchSize: 128
                    ClipFactor: 0.2000
             EntropyLossWeight: 0.0100
                      NumEpoch: 3
      AdvantageEstimateMethod: "gae"
                     GAEFactor: 0.9500
    NormalizedAdvantageMethod: "none"
   AdvantageNormalizingWindow: 1000000
         ActorOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
        CriticOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
                    SampleTime: 1
                DiscountFactor: 0.9900
                    InfoToSave: [1x1 struct]
```

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

## **Version History**

**Introduced in R2019b** 

**R2022a: Simulation and deployment: UseDeterministicExploitation will be removed** Warns starting in R2022a

The property UseDeterministicExploitation of the rlPPOAgentOptions object will be removed in a future release. Use the UseExplorationPolicy property of rlPPOAgent instead.

Previously, you set UseDeterministicExploitation as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.AgentOptions.UseDeterministicExploitation = true;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.AgentOptions.UseDeterministicExploitation = false;
```

Starting in R2022a, set UseExplorationPolicy as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.UseExplorationPolicy = false;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.UseExplorationPolicy = true;
```

Similarly to UseDeterministicExploitation, UseExplorationPolicy affects only simulation and deployment; it does not affect training.

## **See Also**

#### **Objects**

rlPPOAgent|rlAgentInitializationOptions

#### **Topics**

"Train PPO Agent for a Lander Vehicle"

"Proximal Policy Optimization (PPO) Agents"

## rlPrioritizedReplayMemory

Replay memory experience buffer with prioritized sampling

## **Description**

An off-policy reinforcement learning agent stores experiences in a circular experience buffer. During training, the agent samples mini-batches of experiences from the buffer and uses these mini-batches to update its actor and critic function approximators.

By default, built-in off-policy agents (DQN, DDPG, TD3, SAC, MBPO) use an rlReplayMemory object as their experience buffer. Agents uniformly sample data from this buffer. To perform nonuniform prioritized sampling [1], which can improve sample efficiency when training your agent, use an rlPrioritizedReplayMemory object. For more information on prioritized sampling, see "Algorithms" on page 3-302.

For goal-conditioned tasks, you can also replace your experience buffer with one of the following hindsight replay memory objects.

- rlHindsightReplayMemory Uniform sampling of experiences and generation of hindsight experiences by replacing goals with goal measurements
- rlHindsightPrioritizedReplayMemory Prioritized nonuniform sampling of experiences and generation of hindsight experiences

## Creation

## **Syntax**

```
buffer = rlPrioritizedReplayMemory(obsInfo,actInfo)
buffer = rlPrioritizedReplayMemory(obsInfo,actInfo,maxLength)
```

#### **Description**

buffer = rlPrioritizedReplayMemory(obsInfo,actInfo) creates a prioritized replay memory experience buffer that is compatible with the observation and action specifications in obsInfo and actInfo, respectively.

buffer = rlPrioritizedReplayMemory(obsInfo,actInfo,maxLength) sets the maximum length of the buffer by setting the MaxLength property.

### **Input Arguments**

#### obsInfo — Observation specifications

specification object | array of specification objects

Observation specifications, specified as a reinforcement learning specification object or an array of specification objects defining properties such as dimensions, data types, and names of the observation signals.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### actInfo — Action specifications

specification object | array of specification objects

Action specifications, specified as a reinforcement learning specification object defining properties such as dimensions, data types, and names of the action signals.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### **Properties**

#### MaxLength — Maximum buffer length

10000 (default) | nonnegative integer

This property is read-only.

Maximum buffer length, specified as a nonnegative integer.

To change the maximum buffer length, use the resize function.

#### Length — Number of experiences in buffer

0 (default) | nonnegative integer

This property is read-only.

Number of experiences in buffer, specified as a nonnegative integer.

#### PriorityExponent — Priority exponent

0.6 (default) | nonnegative scalar less than or equal to 1

Priority exponent to control the impact of prioritization during probability computation, specified as a nonnegative scalar less than or equal to 1.

If the priority exponent is zero, the agent uses uniform sampling.

#### InitialImportanceSamplingExponent — Initial value of importance sampling exponent 0.4 (default) | nonnegative scalar less than or equal to 1

Initial value of the importance sampling exponent, specified as a nonnegative scalar less than or equal to 1.

### NumAnnealingSteps — Number of annealing steps

1000000 (default) | positive integer

Number of annealing steps for updating the importance sampling exponent, specified as a positive integer.

#### ImportanceSamplingExponent — Current value of importance sampling exponent

0.4 (default) | nonnegative scalar less than or equal to 1

This property is read-only.

Current value of the importance sampling exponent, specified as a nonnegative scalar less than or equal to 1.

During training, ImportanceSamplingExponent is linearly increased from InitialImportanceSamplingExponent to 1 over NumAnnealingSteps steps.

## **Object Functions**

append Append experiences to replay memory buffer sample Sample experiences from replay memory buffer

resize Resize replay memory experience buffer

reset Reset environment, agent, experience buffer, or policy object

allExperiences Return all experiences in replay memory buffer

validateExperience Validate experiences for replay memory

getActionInfo Obtain action data specifications from reinforcement learning environment,

agent, or experience buffer

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

### **Examples**

### **Create DQN Agent With Prioritized Replay Memory**

Create an environment for training the agent. For this example, load a predefined environment.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
```

Extract the observation and action specifications from the agent.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a DQN agent from the environment specifications.

```
agent = rlDQNAgent(obsInfo,actInfo);
```

By default, the agent uses a replay memory experience buffer with uniform sampling.

Replace the default experience buffer with a prioritized replay memory buffer.

```
agent.ExperienceBuffer = rlPrioritizedReplayMemory(obsInfo,actInfo);
```

Configure the prioritized replay memory options. For example, set the initial importance sampling exponent to 0.5 and the number of annealing steps for updating the exponent during training to 1e4.

```
agent.ExperienceBuffer.NumAnnealingSteps = 1e4;
agent.ExperienceBuffer.PriorityExponent = 0.5;
agent.ExperienceBuffer.InitialImportanceSamplingExponent = 0.5;
```

#### Limitations

• Prioritized experience replay does not support agents that use recurrent neural networks.

## **Algorithms**

#### **Prioritized Sampling**

Prioritized replay memory samples experiences according to experience priorities. For a given experience, the priority is defined as the absolute value of the associated temporal difference (TD) error. A larger TD error indicates that the critic network is not well-trained for the corresponding experience. Therefore, sampling such experiences during critic updates can help efficiently improve the critic performance, which often improves the sample efficiency of agent training.

When using prioritized replay memory, agents use the following process when sampling a mini-batch of experiences and updating a critic.

Compute the sampling probability P for each experience in the buffer based on the experience priority.

$$P(j) = \frac{p(j)^{\alpha}}{\sum_{i=1}^{N} p(i)^{\alpha}}$$

Here:

- ullet N is the number of experiences in the replay memory buffer.
- *p* is the experience priority.
- $\alpha$  is a priority exponent. To set  $\alpha$ , use the PriorityExponent parameter.
- **2** Sample a mini-batch of experiences according to the computed probabilities.
- **3** Compute the importance sampling weights (*w*) for the sampled experiences.

$$w'(j) = (N \cdot P(j))^{-\beta}$$

$$w(j) \leftarrow \frac{w'(j)}{\max_{i \in \text{mini-batch}} w'(i)}$$

Here,  $\beta$  is the importance sampling exponent. The ImportanceSamplingExponent parameter contains the current value of  $\beta$ . To control  $\beta$ , set the ImportanceSamplingExponent and NumAnnealingSteps parameters.

- 4 Compute the weighted loss using the importance sampling weights w and the TD error  $\delta$  to update a critic.
- **5** Update the priorities of the sampled experiences based on the TD error.

$$p(i) = \delta$$

**6** Update the importance sampling exponent β by linearly annealing the exponent value until it reaches 1.

$$\beta \leftarrow \beta + \frac{1 - \beta_0}{N_S}$$

Here:

- $\beta_0$  is the initial importance sampling exponent. To specify  $\beta_0$ , use the InitialImportanceSamplingExponent parameter.
- $N_S$  is the number of annealing steps. To specify  $N_{S'}$  use the NumAnnealingSteps parameter.

## **Version History**

Introduced in R2022b

### References

[1] Schaul, Tom, John Quan, Ioannis Antonoglou, and David Silver. 'Prioritized experience replay'. arXiv:1511.05952 [Cs] 25 February 2016. https://arxiv.org/abs/1511.05952.

### **See Also**

### Objects

rlReplayMemory | rlHindsightReplayMemory | rlHindsightPrioritizedReplayMemory

## rlQAgent

Q-learning reinforcement learning agent

## **Description**

The Q-learning algorithm is a model-free, online, off-policy reinforcement learning method. A Q-learning agent is a value-based reinforcement learning agent which trains a critic to estimate the return or future rewards.

**Note** Q-learning agents do not support recurrent networks.

For more information on Q-learning agents, see "Q-Learning Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

agent = rlQAgent(critic,agentOptions)

#### **Description**

agent = rlQAgent(critic,agentOptions) creates a Q-learning agent with the specified critic
network and sets the AgentOptions property.

#### **Input Arguments**

#### critic — Critic

rlQValueFunction object

Critic, specified as an rlQValueFunction object. For more information on creating critics, see "Create Policies and Value Functions".

## **Properties**

#### AgentOptions — Agent options

rlQAgentOptions object

Agent options, specified as an rlQAgentOptions object.

#### UseExplorationPolicy — Option to use exploration policy

false (default) | true

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- false Use the agent greedy policy when selecting actions.
- true Use the agent exploration policy when selecting actions.

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

This property is read-only.

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

The value of ObservationInfo matches the corresponding value specified in critic.

#### ActionInfo — Action specification

rlFiniteSetSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

The value of ActionInfo matches the corresponding value specified in critic.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

## **Object Functions**

train	Train reinforcement learning agents within a specified environment	
sim	Simulate trained reinforcement learning agents within specified	
	environment	
getAction	Obtain action from agent, actor, or policy object given environment	
	observations	
getActor	Extract actor from reinforcement learning agent	
setActor	Set actor of reinforcement learning agent	
getCritic	Extract critic from reinforcement learning agent	
setCritic	Set critic of reinforcement learning agent	

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy object

### **Examples**

#### **Create a Q-Learning Agent**

Create an environment interface. For this example, use the same environment as in the example "Train Reinforcement Learning Agent in Basic Grid World".

```
env = rlPredefinedEnv("BasicGridWorld");
Get observation and action specifications.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Q-learning agents use a parametrized Q-value function to estimate the value of the policy. A Q-value function takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

Since both observation and action spaces are discrete and low-dimensional, use a table to model the Q-value function within the critic. rlTable creates a value table object from the observation and action specifications objects.

Create a table approximation model derived from the environment observation and action specifications.

```
qTable = rlTable(obsInfo,actInfo);
```

Create the Q-value function approximator object using qTable and the environment specification objects. For more information, see rlQValueFunction.

```
critic = rlQValueFunction(qTable,obsInfo,actInfo);
```

Create a Q-learning agent using the approximator object.

```
opt = rlSARSAAgentOptions;
agent.AgentOptions.EpsilonGreedyExploration.Epsilon = 0.05;
```

To check your agent, use getAction to return the action from a random observation.

```
act = getAction(agent,{randi(numel(obsInfo.Elements))});
act{1}
ans = 1
```

You can now test and train the agent against the environment.

## **Version History**

Introduced in R2019a

#### See Also

#### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

getAction|getActor|getCritic|getModel|generatePolicyFunction| generatePolicyBlock|getActionInfo|getObservationInfo

#### **Objects**

rlQAgentOptions | rlAgentInitializationOptions | rlQValueFunction

#### **Blocks**

RL Agent | Policy

#### **Topics**

"Train Reinforcement Learning Agent in Basic Grid World"

"Train Reinforcement Learning Agent in MDP Environment"

"Train Reinforcement Learning Agents"

"Q-Learning Agents"

"Reinforcement Learning Agents"

## rlQAgentOptions

Options for Q-learning agent

## **Description**

Use an rlQAgentOptions object to specify options for creating Q-learning agents. To create a Q-learning agent, use rlQAgent

For more information on Q-learning agents, see "Q-Learning Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

### Creation

## **Syntax**

```
opt = rlQAgentOptions
opt = rlQAgentOptions(Name, Value)
```

#### **Description**

opt = rlQAgentOptions creates an rlQAgentOptions object for use as an argument when creating a Q-learning agent using all default settings. You can modify the object properties using dot notation.

opt = rlQAgentOptions(Name, Value) sets option properties on page 3-308 using name-value pairs. For example, rlQAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

## **Properties**

#### EpsilonGreedyExploration — Options for epsilon-greedy exploration

EpsilonGreedyExploration object

Options for epsilon-greedy exploration, specified as an EpsilonGreedyExploration object with the following properties.

Property	Description	Default Value
Epsilon	Probability threshold to either randomly select an action or select the action that maximizes the state-action value function. A larger value of Epsilon means that the agent randomly explores the action space at a higher rate.	1
EpsilonMin	Minimum value of Epsilon	0.01
EpsilonDecay	Decay rate	0.0050

At the end of each training time step, if Epsilon is greater than EpsilonMin, then it is updated using the following formula.

Epsilon = Epsilon\*(1-EpsilonDecay)

Note that Epsilon is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches EpsilonMin.

If your agent converges on local optima too quickly, you can promote agent exploration by increasing <code>Epsilon</code>.

To specify exploration options, use dot notation after creating the rlQAgentOptions object opt. For example, set the epsilon value to 0.9.

opt.EpsilonGreedyExploration.Epsilon = 0.9;

#### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

## **Object Functions**

rlQAgent Q-learning reinforcement learning agent

## **Examples**

#### **Create Q-Learning Agent Options Object**

Create a rlQAgentOptions object that specifies the agent sample time.

You can modify options using dot notation. For example, set the agent discount factor to 0.95.

```
opt.DiscountFactor = 0.95;
```

## **Version History**

Introduced in R2019a

#### See Also

#### **Objects**

rlDQNAgent|rlAgentInitializationOptions

#### **Topics**

"Train Reinforcement Learning Agent in MDP Environment"

"Q-Learning Agents"

## rlQValueFunction

Q-Value function approximator object for reinforcement learning agents

## **Description**

This object implements a Q-value function approximator that you can use as a critic for a reinforcement learning agent. A Q-value function is a mapping from an environment observation-action pair to the value of a policy. Specifically, its output is a scalar that represents the expected discounted cumulative long-term reward when an agent starts from the state corresponding to the given observation, executes the given action, and keeps on taking actions according to the given policy afterwards. A Q-value function critic therefore needs both the environment state and an action as inputs. After you create an rlQValueFunction critic, use it to create an agent such as rlQAgent, rlDQNAgent, rlSARSAAgent, rlDDPGAgent, or rlTD3Agent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
critic = rlQValueFunction(net,observationInfo,actionInfo)
critic = rlQValueFunction(tab,observationInfo,actionInfo)
critic = rlQValueFunction({basisFcn,W0},observationInfo,actionInfo)
critic = rlQValueFunction(____,Name=Value)
```

#### **Description**

critic = rlQValueFunction(net,observationInfo,actionInfo) creates the Q-value function object critic. Here, net is the deep neural network used as an approximation model, and it must have both observation and action as inputs and a single scalar output. The network input layers are automatically associated with the environment observation and action channels according to the dimension specifications in observationInfo and actionInfo. This function sets the ObservationInfo and ActionInfo properties of critic to the observationInfo and actionInfo input arguments, respectively.

critic = rlQValueFunction(tab,observationInfo,actionInfo) creates the Q-value function object critic with discrete action and observation spaces from the Q-value table tab. tab is a rlTable object containing a table with as many rows as the number of possible observations and as many columns as the number of possible actions. The function sets the ObservationInfo and ActionInfo properties of critic respectively to the observationInfo and actionInfo input arguments, which in this case must be scalar rlFiniteSetSpec objects.

critic = rlQValueFunction({basisFcn,W0},observationInfo,actionInfo) creates a Qvalue function object critic using a custom basis function as underlying approximator. The first
input argument is a two-element cell array whose first element is the handle basisFcn to a custom
basis function and whose second element is the initial weight vector W0. Here the basis function must

have both observation and action as inputs and W0 must be a column vector. The function sets the ObservationInfo and ActionInfo properties of critic to the observationInfo and actionInfo input arguments, respectively.

critic = rlQValueFunction(\_\_\_\_, Name=Value) specifies one or more name-value arguments. You can specify the input and output layer names (to mandate their association with the environment observation and action channels) for deep neural network approximators. For all types of approximators, you can specify the computation device, for example UseDevice="gpu".

#### **Input Arguments**

#### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object (preferred)

Deep neural network used as the underlying approximator within the critic, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

The network must have as many input layers as the number of environment observation channels plus one. Specifically, there must be one input layer for each observation channel, and one additional input layer for the action channel. The network must have a single output layer returning a scalar value

**Note** Among the different network representation options, <code>dlnetwork</code> is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a <code>dlnetwork</code> object. However, best practice is to convert other representations to <code>dlnetwork</code> explicitly <code>before</code> using it to create a critic or an actor for a reinforcement learning agent. You can do so using <code>dlnet=dlnetwork(net)</code>, where <code>net</code> is any Deep Learning Toolbox neural network object. The resulting <code>dlnet</code> is the <code>dlnetwork</code> object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

rlQValueFunction objects support recurrent deep neural networks.

The learnable parameters of the critic are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

#### tab — Q-value table

rlTable object

Q-value table, specified as an rlTable object containing an array with as many rows as the possible observations and as many columns as the possible actions. The element (s,a) is the expected cumulative long-term reward for taking action a from observed state s. The elements of this array are the learnable parameters of the critic.

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the critic is the scalar c = W'\*B, where W is a weight vector containing the learnable parameters, and B is the column vector returned by the custom basis function.

Your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN,act)
```

Here, obs1 to obsN are inputs in the same order and with the same data type and dimensions as the environment observation channels defined in observationInfo and act is an input with the same data type and dimension as the environment action channel defined in actionInfo.

For an example on how to use a basis function to create a Q-value function critic with a mixed continuous and discrete observation space, see "Create Mixed Observation Space Q-Value Function Critic from Custom Basis Function" on page 3-324.

```
Example: @(obs1,obs2,act) [act(2)*obs1(1)^2; abs(obs2(5)+act(1))]
```

#### W0 — Initial value of the basis function weights

column vector

Initial value of the basis function weights W, specified as a column vector having the same length as the vector returned by the basis function.

#### **Name-Value Pair Arguments**

Specify optional pairs of arguments as Namel=Value1,..., NameN=ValueN, where Name is the argument name and Value is the corresponding value. Name-value arguments must appear after other arguments, but the order of the pairs does not matter.

Example: UseDevice="gpu"

# ActionInputNames — Network input layers name corresponding to the environment action channel

string array | cell array of character vectors

Network input layers name corresponding to the environment action channel, specified as a string array or a cell array of character vectors. The function assigns the environment action channel specified in actionInfo to the specified network input layer. Therefore, the specified network input layer must have the same data type and dimensions of the action channel, as defined in actionInfo.

**Note** The function does not use the name or the description (if any) of the action channel specified in actionInfo.

This name-value argument is supported only when the approximation model is a deep neural network.

Example: ActionInputNames="myNetOutput Force"

# ObservationInputNames — Network input layers names corresponding to the environment observation channels

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels, specified as a string array or a cell array of character vectors. The function assigns, in sequential order, each environment observation channel specified in observationInfo to each specified network input layer. Therefore, the specified network input layers, ordered as indicated in this argument, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

This name-value argument is supported only when the approximation model is a deep neural network.

Example: ObservationInputNames={"NetInput1 airspeed", "NetInput2 altitude"}

## **Properties**

#### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlQValueFucntion sets the ObservationInfo property of critic to the input argument observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

rlQValueRepresentation sets the ActionInfo property of critic to the input actionInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

#### UseDevice — Computation device used for training and simulation

"cpu" (default) | "gpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use <code>gpuDevice</code> (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: "gpu"

## **Object Functions**

rlDDPGAgent Deep deterministic policy gradient (DDPG) reinforcement learning agent rlTD3Agent Twin-delayed deep deterministic (TD3) policy gradient reinforcement

learning agent

rlDQNAgent Deep Q-network (DQN) reinforcement learning agent

rlQAgent Q-learning reinforcement learning agent rlSARSAAgent SARSA reinforcement learning agent

rlSACAgent Soft actor-critic (SAC) reinforcement learning agent

getValue Obtain estimated value from a critic given environment observations and

actions

getMaxQValue Obtain maximum estimated value over all possible actions from a Q-value

function critic with discrete action space, given environment observations Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

setModel Set function approximation model for actor or critic getModel Get function approximator model from actor or critic

## **Examples**

evaluate

#### **Create Q-Value Function Critic from Deep Neural Network**

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

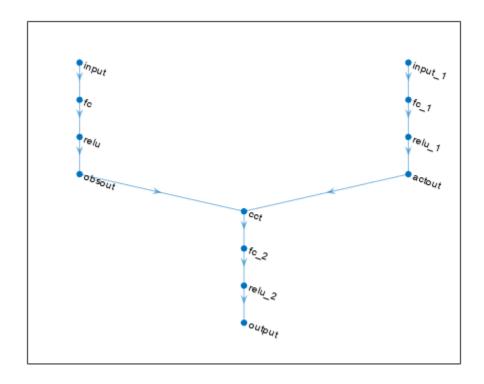
```
actInfo = rlNumericSpec([2 1]);
```

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critic, use a neural network with two input layers (one receiving the content of the observation channel, as specified by obsInfo, and the other receiving the content of the action channel, as specified by actInfo) and one output layer (returning the scalar value).

Define each network path as an array of layer objects. Assign names to the input and output layers of each path, so you can properly connect the paths. Get the dimensions of the observation and action spaces from the environment specification (regardless of whether the observation space is a column vector, row vector, or matrix, prod(obsInfo.Dimension) is its total number of dimensions, for this example four, similarly, prod(actInfo.Dimension) is the number of dimension of the action space, for this example two).

```
% Observation path lavers
obsPath = [featureInputLayer(prod(obsInfo.Dimension))
           fullyConnectedLayer(5)
           reluLaver
           fullyConnectedLayer(5,Name="obsout")];
% Action path layers
actPath = [featureInputLayer(prod(actInfo.Dimension))
           fullyConnectedLayer(5)
           reluLaver
           fullyConnectedLayer(5,Name="actout")];
% Common path to output layers
% Concatenate two layers along dimension one
comPath = [concatenationLayer(1,2,Name="cct")
           fullyConnectedLayer(5)
           reluLayer
           fullyConnectedLayer(1, Name="output")];
% Add layers to network object
net = addLayers(layerGraph(obsPath),actPath);
net = addLayers(net,comPath);
% Connect lavers
net = connectLayers(net, "obsout", "cct/in1");
net = connectLayers(net, "actout", "cct/in2");
% Plot network
plot(net)
```



Convert to dlnetwork and display the number of weights.

```
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 161

Inputs:
    1 'input'    4 features
    2 'input 1'    2 features
```

Create the critic with rlQValueFunction, using the network as well as the observations and action specification objects. When using this syntax, the network input layers are automatically associated with the components of the observation and action signals according to the dimension specifications in obsInfo and actInfo.

```
critic = rlQValueFunction(net,obsInfo,actInfo)

critic =
    rlQValueFunction with properties:

    ObservationInfo: [1x1 rl.util.rlNumericSpec]
        ActionInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

To check your critic, use the getValue function to return the value of a random observation and action, given the current network weights.

```
v = getValue(critic, ...
    {rand(obsInfo.Dimension)}, ...
    {rand(actInfo.Dimension)})

v = single
    -1.1006
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a Q-value function critic, are rlDDPGAgent, rlTD3Agent, and rlSACAgentOptions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Q-Value Function Critic from Deep Neural Network Specifying Layer Names

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use <code>getActionInfo</code> to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

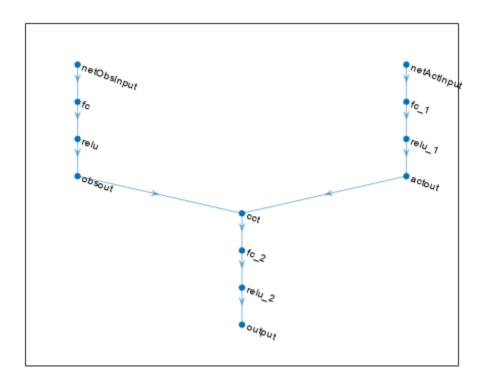
To model the parametrized Q-value function within the critic, use a neural network with two input layers (one receiving the content of the observation channel, as specified by obsInfo, and the other receiving the content of the action channel, as specified by actInfo) and one output layer (returning the scalar value).

Define each network path as an array of layer objects. Assign names to the input and output layers of each path. This assignment allows you to connect the paths and explicitly associate the network input and output layers with the appropriate environment channel.

Get the dimensions of the observation and action spaces from the environment specification (regardless of whether the observation space is a column vector, row vector, or matrix, prod(obsInfo.Dimension) is its total number of dimensions, for this example four, similarly, prod(actInfo.Dimension) is the number of dimension of the action space, for this example two).

```
% Observation path layers
obsPath = [featureInputLayer( ...
```

```
prod(obsInfo.Dimension), ...
                 Name="netObsInput")
           fullyConnectedLayer(16)
           reluLayer
           fullyConnectedLayer(5,Name="obsout")];
% Action path layers
actPath = [featureInputLayer( ...
                 prod(actInfo.Dimension), ...
                 Name="netActInput")
           fullyConnectedLayer(16)
            reluLaver
           fullyConnectedLayer(5,Name="actout")];
% Common path to output layers
% Concatenate two layers along dimension one
comPath = [concatenationLayer(1,2,Name="cct")
           fullyConnectedLayer(8)
           reluLayer
           fullyConnectedLayer(1, Name="output")];
% Add layers to network object
net = addLayers(layerGraph(obsPath),actPath);
net = addLayers(net,comPath);
% Connect layers
net = connectLayers(net,"obsout","cct/in1");
net = connectLayers(net,"actout","cct/in2");
% Plot network
plot(net)
```



Convert to dlnetwork and display the number of weights.

```
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 395

Inputs:
    1 'netObsInput'    4 features
    2 'netActInput'    2 features
```

Create the critic with rlQValueFunction, using the network, the observations and action specification objects, and the names of the network input layers to be associated with the observation and action from the environment.

```
UseDevice: "cpu"
```

To check your critic, use the getValue function to return the value of a random observation and action, given the current network weights.

```
v = getValue(critic, ...
    {rand(obsInfo.Dimension)}, ...
    {rand(actInfo.Dimension)})

v = single
    0.2703
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a Q-value function critic, are rlDDPGAgent, rlTD3Agent, and rlSACAgentOptions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### **Create Q-Value Function Critic from Table**

Create a finite set observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment with a discrete observation space). For this example define the observation space as a finite set with of four possible values.

```
obsInfo = rlFiniteSetSpec([7 5 3 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example define the action space as a finite set with two possible values.

```
actInfo = rlFiniteSetSpec([4 8]);
```

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

Since both observation and action spaces are discrete and low-dimensional, use a table to model the Q-value function within the critic. rlTable creates a value table object from the observation and action specifications objects.

```
qTable = rlTable(obsInfo,actInfo);
```

The table stores a value (representing the expected cumulative long term reward) for each possible observation-action pair. Each row corresponds to an observation and each column corresponds to an action. You can access the table using the Table property of the vTable object. The initial value of each element is zero.

```
qTable.Table ans = 4 \times 2
```

You can initialize the table to any value, in this case an array containing the integer from 1 through 8.

```
qTable.Table=reshape(1:8,4,2)
qTable =
  rlTable with properties:
    Table: [4x2 double]
```

Create the critic using the table as well as the observations and action specification objects.

```
critic = rlQValueFunction(qTable,obsInfo,actInfo)
critic =
   rlQValueFunction with properties:
   ObservationInfo: [1x1 rl.util.rlFiniteSetSpec]
        ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
        UseDevice: "cpu"
```

To check your critic, use the **getValue** function to return the value of a given observation and action, using the current table entries.

```
v = getValue(critic, {5}, {8})
v = 6
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given specification objects. Examples of agents that can work with discrete action and observation spaces, and use a Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

## **Create Q-Value Function Critic from Custom Basis Function**

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous three-dimensional space, so that there is a single observation channel that carries a column vector containing three doubles.

```
obsInfo = rlNumericSpec([3 1]);
```

Create an action specification object (or alternatively use <code>getActionInfo</code> to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that the action channel carries a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critic, use a custom basis function with two inputs, the first receiving the content of the observation channel (as specified by obsInfo) and the other receiving the content of the action channel, (as specified by actInfo).

Create a custom function that returns a vector of four elements, given an observation and an action as inputs.

```
myBasisFcn = @(myobs,myact) [ ...
    myobs(2)^2; ...
    myobs(1)+exp(myact(1)); ...
    abs(myact(2)); ...
    myobs(3) ]

myBasisFcn = function_handle with value:
    @(myobs,myact)[myobs(2)^2;myobs(1)+exp(myact(1));abs(myact(2));myobs(3)]
```

The output of the critic is the scalar W'\*myBasisFcn(myobs,myact), which represents the estimated value of the observation-action pair, under the given policy. Here W is a weight column vector which must have the same size of the custom function output. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = [1;4;4;2];
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second and third arguments are, respectively, the observation and action specification objects.

```
critic = rlQValueFunction({myBasisFcn,W0}, ...
    obsInfo,actInfo)

critic =
    rlQValueFunction with properties:

    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlNumericSpec]
         UseDevice: "cpu"
```

To check your critic, use getValue to return the value of a given observation-action pair, using the current parameter vector.

```
v = getValue(critic,{[1 2 3]'},{[4 5]'})
v = 252.3926
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given specification objects. Examples of agents that can work with continuous action and observation spaces, and use a Q-value function critic, are rlDDPGAgent, rlTD3Agent, and rlSACAgentOptions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Mixed Observation Space Q-Value Function Critic from Custom Basis Function

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as consisting of two channels, the first carrying a vector over a continuous two-dimensional space and the second carrying a vector over a three-dimensional space that can assume only four values.

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as discrete set consisting of three possible actions, labeled 1, 2, and 3.

```
actInfo = rlFiniteSetSpec({1,2,3});
```

A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critic, use a custom basis function with three inputs, the first two receiving the content of the two observation channel (as specified by obsInfo) and the third receiving the content of the action channel, (as specified by actInfo).

Create a custom function that returns a vector of four elements, given an observation and an action as inputs.

```
myBasisFcn = @(obsA,obsB,act) [
   obsA(1)+obsB(2)+obsB(3)+act(1);
   obsA(2)+obsB(1)+obsB(2)-act(1);
   obsA(1)+obsB(2)+obsB(3)+act(1)^2;
   obsA(1)+obsB(1)+obsB(2)-act(1)^2 ];
```

The output of the critic is the scalar W'\*myBasisFcn(myobs,myact), which represents the estimated value of the observation-action pair, under the given policy. Here W is a weight column vector which must have the same size of the custom function output. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = ones(4,1);
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second and third arguments are, respectively, the observation and action specification objects.

```
critic = rlQValueFunction({myBasisFcn,W0}, ...
    obsInfo,actInfo)
```

To check your critic, use the **getValue** function to return the value of a given observation-action pair, using the current parameter vector.

```
v = getValue(critic,{[-0.5 0.6],[1 0 -1]},{3})
v = -0.9000
```

Note that the critic does not enforce the set constraint for the discrete set elements.

```
v = getValue(critic,{[-0.5 0.6],[10 -10 -0.05]},{33})
v = -21.0000
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given specification objects. Examples of agents that can work with a discrete action space, a mixed observation space, and use a Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

### See Also

#### **Functions**

getValue | getMaxQValue | getModel | evaluate | getObservationInfo | getActionInfo

#### Objects

rlNumericSpec | rlFiniteSetSpec | rlValueFunction | rlVectorQValueFunction | rlTable | rlQAgent | rlSARSAAgent | rlDQNAgent | rlDDPGAgent | rlTD3Agent

#### **Topics**

"Train Reinforcement Learning Agent in Basic Grid World"

"Train Reinforcement Learning Agent in MDP Environment"

"Train DDPG Agent to Control Double Integrator System"

"Train TD3 Agent for PMSM Control"

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# rlQValueRepresentation

(Not recommended) Q-Value function critic representation for reinforcement learning agents

**Note** rlQValueRepresentation is not recommended. Use rlQValueFunction or rlVectorQValueFunction instead. For more information, see "rlQValueRepresentation is not recommended".

# **Description**

This object implements a Q-value function approximator to be used as a critic within a reinforcement learning agent. A Q-value function is a function that maps an observation-action pair to a scalar value representing the expected total long-term rewards that the agent is expected to accumulate when it starts from the given observation and executes the given action. Q-value function critics therefore need both observations and actions as inputs. After you create an rlQValueRepresentation critic, use it to create an agent relying on a Q-value function critic, such as an rlQAgent, rlDQNAgent, rlSARSAAgent, rlDDPGAgent, or rlTD3Agent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## **Syntax**

```
critic = rlQValueRepresentation(net,observationInfo,actionInfo,'Observation',
obsName,'Action',actName)
critic = rlQValueRepresentation(tab,observationInfo,actionInfo)
critic = rlQValueRepresentation({basisFcn,W0},observationInfo,actionInfo)

critic = rlQValueRepresentation(net,observationInfo,actionInfo,'Observation',obsName)
critic = rlQValueRepresentation({basisFcn,W0},observationInfo,actionInfo)
critic = rlQValueRepresentation(____,options)
```

### **Description**

#### **Scalar Output Q-Value Critic**

critic = rlQValueRepresentation(net,observationInfo,actionInfo,'Observation',
obsName,'Action',actName) creates the Q-value function critic. net is the deep neural
network used as an approximator, and must have both observations and action as inputs, and a single
scalar output. This syntax sets the ObservationInfo and ActionInfo properties of critic respectively
to the inputs observationInfo and actionInfo, containing the observations and action
specifications. obsName must contain the names of the input layers of net that are associated with
the observation specifications. The action name actName must be the name of the input layer of net
that is associated with the action specifications.

critic = rlQValueRepresentation(tab,observationInfo,actionInfo) creates the Qvalue function based critic with discrete action and observation spaces from the Q-value table tab.

tab is a rlTable object containing a table with as many rows as the possible observations and as many columns as the possible actions. This syntax sets the ObservationInfo and ActionInfo properties of critic respectively to the inputs observationInfo and actionInfo, which must be rlFiniteSetSpec objects containing the specifications for the discrete observations and action spaces, respectively.

critic = rlQValueRepresentation({basisFcn,W0},observationInfo,actionInfo) creates a Q-value function based critic using a custom basis function as underlying approximator. The first input argument is a two-elements cell in which the first element contains the handle basisFcn to a custom basis function, and the second element contains the initial weight vector W0. Here the basis function must have both observations and action as inputs and W0 must be a column vector. This syntax sets the ObservationInfo and ActionInfo properties of critic respectively to the inputs observationInfo and actionInfo.

#### **Multi-Output Discrete Action Space Q-Value Critic**

critic = rlQValueRepresentation(net,observationInfo,actionInfo,'Observation',obsName) creates the *multi-output* Q-value function critic for a discrete action space. net is the deep neural network used as an approximator, and must have only the observations as input and a single output layer having as many elements as the number of possible discrete actions. This syntax sets the ObservationInfo and ActionInfo properties of critic respectively to the inputs observationInfo and actionInfo, containing the observations and action specifications. Here, actionInfo must be an rlFiniteSetSpec object containing the specifications for the discrete action space. The observation names obsName must be the names of the input layers of net.

critic = rlQValueRepresentation({basisFcn,W0},observationInfo,actionInfo) creates the *multi-output* Q-value function critic for a discrete action space using a custom basis function as underlying approximator. The first input argument is a two-elements cell in which the first element contains the handle basisFcn to a custom basis function, and the second element contains the initial weight matrix W0. Here the basis function must have only the observations as inputs, and W0 must have as many columns as the number of possible actions. This syntax sets the ObservationInfo and ActionInfo properties of critic respectively to the inputs observationInfo and actionInfo.

#### **Options**

critic = rlQValueRepresentation(\_\_\_\_, options) creates the value function based critic
using the additional option set options, which is an rlRepresentationOptions object. This
syntax sets the Options property of critic to the options input argument. You can use this syntax
with any of the previous input-argument combinations.

## **Input Arguments**

### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object

Deep neural network used as the underlying approximator within the critic, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object

- SeriesNetwork object
- dlnetwork object

For *single output* critics, net must have both observations and actions as inputs, and a scalar output, representing the expected cumulative long-term reward when the agent starts from the given observation and takes the given action. For *multi-output discrete action space* critics, net must have only the observations as input and a single output layer having as many elements as the number of possible discrete actions. Each output element represents the expected cumulative long-term reward when the agent starts from the given observation and takes the corresponding action. The learnable parameters of the critic are the weights of the deep neural network.

The network input layers must be in the same order and with the same data type and dimensions as the signals defined in ObservationInfo. Also, the names of these input layers must match the observation names listed in obsName.

The network output layer must have the same data type and dimension as the signal defined in ActionInfo. Its name must be the action name specified in actName.

rlQValueRepresentation objects support recurrent deep neural networks for multi-output discrete action space critics.

For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

#### obsName — Observation names

string | character vector | cell array or character vectors

Observation names, specified as a cell array of strings or character vectors. The observation names must be the names of the observation input layers in net.

```
Example: {'my obs'}
```

#### actName — Action name

string | character vector | single-element cell array containing a character vector

Action name, specified as a single-element cell array that contains a string or character vector. It must be the name of the action input layer of net.

```
Example: {'my act'}
```

#### tab — Q-value table

rlTable object

Q-value table, specified as an rlTable object containing an array with as many rows as the possible observations and as many columns as the possible actions. The element (s,a) is the expected cumulative long-term reward for taking action a from observed state s. The elements of this array are the learnable parameters of the critic.

### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the critic is c = W'\*B, where W is a weight vector or matrix containing the learnable parameters, and B is the column vector returned by the custom basis function.

For a single-output Q-value critic, c is a scalar representing the expected cumulative long term reward when the agent starts from the given observation and takes the given action. In this case, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN,act)
```

For a multiple-output Q-value critic with a discrete action space, c is a vector in which each element is the expected cumulative long term reward when the agent starts from the given observation and takes the action corresponding to the position of the considered element. In this case, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are observations in the same order and with the same data type and dimensions as the signals defined in observationInfo and act has the same data type and dimensions as the action specifications in actionInfo.

```
Example: @(obs1, obs2, act) [act(2)*obs1(1)^2; abs(obs2(5)+act(1))]
```

### W0 — Initial value of the basis function weights

matrix

Initial value of the basis function weights, W. For a single-output Q-value critic, W is a column vector having the same length as the vector returned by the basis function. For a multiple-output Q-value critic with a discrete action space, W is a matrix which must have as many rows as the length of the basis function output, and as many columns as the number of possible actions.

## **Properties**

## **Options** — Representation options

rlRepresentationOptions object

Representation options, specified as an rlRepresentationOptions object. Available options include the optimizer used for training and the learning rate.

### **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. These objects define properties such as the dimensions, data type, and names of the observation signals.

rlQValueRepresentation sets the ObservationInfo property of critic to the input observationInfo.

You can extract <code>ObservationInfo</code> from an existing environment or agent using <code>getObservationInfo</code>. You can also construct the specifications manually.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. These objects define properties such as the dimensions, data type and name of the action signals.

rlQValueRepresentation sets the ActionInfo property of critic to the input actionInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

## **Object Functions**

rlDDPGAgent
rlTD3Agent
rlDQNAgent
rlQAgent
rlSARSAAgent
rlSACAgent
rlSACAgent
rlDQNAgent
rlSACAgent
rlSACAgent
rlDQNAgent
rlSACAgent
rlSACAgent
rlSACAgent
rlDQNAgent
rlSACAgent
rlCDPG) reinforcement learning agent
rlGDPG) reinforcement learning agent

getValue Obtain estimated value from a critic given environment observations and actions getMaxQValue Obtain maximum estimated value over all possible actions from a Q-value function

critic with discrete action space, given environment observations

## **Examples**

### **Create Q-Value Function Critic from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that a single action is a column vector containing two doubles.

```
actInfo = rlNumericSpec([2 1]);
```

Create a deep neural network to approximate the Q-value function. The network must have two inputs, one for the observation and one for the action. The observation input (here called myobs) must accept a four-element vector (the observation vector defined by obsInfo). The action input (here called myact) must accept a two-element vector (the action vector defined by actInfo). The output of the network must be a scalar, representing the expected cumulative long-term reward when the agent starts from the given observation and takes the given action.

```
net = addLayers(layerGraph(obsPath),actPath);
net = addLayers(net,comPath);
% connect layers
net = connectLayers(net,'obsout','add/in1');
net = connectLayers(net,'actout','add/in2');
```

Create the critic with rlQValueRepresentation, using the network, the observations and action specification objects, as well as the names of the network input layers.

To check your critic, use the getValue function to return the value of a random observation and action, using the current network weights.

```
v = getValue(critic, {rand(4,1)}, {rand(2,1)})
v = single
    0.1102
```

You can now use the critic (along with an with an actor) to create an agent relying on a Q-value function critic (such as an rlQAgent, rlDQNAgent, rlSARSAAgent, or rlDDPGAgent agent).

## Create Multi-Output Q-Value Function Critic from Deep Neural Network

This example shows how to create a multi-output Q-value function critic for a discrete action space using a deep neural network approximator.

This critic takes only the observation as input and produces as output a vector with as many elements as the possible actions. Each element represents the expected cumulative long term reward when the agent starts from the given observation and takes the action corresponding to the position of the element in the output vector.

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of three possible values (named 7, 5, and 3 in this case).

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

Create a deep neural network approximator to approximate the Q-value function within the critic. The input of the network (here called myobs) must accept a four-element vector, as defined by obsInfo. The output must be a single output layer having as many elements as the number of possible discrete actions (three in this case, as defined by actInfo).

Create the critic using the network, the observations specification object, and the name of the network input layer.

To check your critic, use the getValue function to return the values of a random observation, using the current network weights. There is one value for each of the three possible actions.

You can now use the critic (along with an actor) to create a discrete action space agent relying on a Q-value function critic (such as an rlQAgent, rlDQNAgent, or rlSARSAAgent agent).

### **Create Q-Value Function Critic from Table**

Create a finite set observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment with a discrete observation space). For this example define the observation space as a finite set with of 4 possible values.

```
obsInfo = rlFiniteSetSpec([7 5 3 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example define the action space as a finite set with 2 possible values.

```
actInfo = rlFiniteSetSpec([4 8]);
```

Create a table to approximate the value function within the critic. rlTable creates a value table object from the observation and action specifications objects.

```
gTable = rlTable(obsInfo,actInfo);
```

The table stores a value (representing the expected cumulative long term reward) for each possible observation-action pair. Each row corresponds to an observation and each column corresponds to an action. You can access the table using the Table property of the vTable object. The initial value of each element is zero.

#### qTable.Table

```
ans = 4×2

0 0

0 0

0 0

0 0
```

You can initialize the table to any value, in this case, an array containing the integer from 1 through 8.

```
qTable.Table=reshape(1:8,4,2)
qTable =
  rlTable with properties:
  Table: [4x2 double]
```

Create the critic using the table as well as the observations and action specification objects.

To check your critic, use the **getValue** function to return the value of a given observation and action, using the current table entries.

```
v = getValue(critic, {5}, {8})
v = 6
```

You can now use the critic (along with an with an actor) to create a discrete action space agent relying on a Q-value function critic (such as an rlQAgent, rlDQNAgent, or rlSARSAAgent agent).

### **Create Q-Value Function Critic from Custom Basis Function**

Create an observation specification object (or alternatively use <code>getObservationInfo</code> to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 3 doubles.

```
obsInfo = rlNumericSpec([3 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that a single action is a column vector containing 2 doubles.

```
actInfo = rlNumericSpec([2 1]);
```

Create a custom basis function to approximate the value function within the critic. The custom basis function must return a column vector. Each vector element must be a function of the observations and actions respectively defined by obsInfo and actInfo.

```
myBasisFcn = @(myobs,myact) [...
    myobs(2)^2; ...
    myobs(1)+exp(myact(1)); ...
    abs(myact(2)); ...
    myobs(3)]

myBasisFcn = function_handle with value:
    @(myobs,myact)[myobs(2)^2;myobs(1)+exp(myact(1));abs(myact(2));myobs(3)]
```

The output of the critic is the scalar W'\*myBasisFcn(myobs,myact), where W is a weight column vector which must have the same size of the custom basis function output. This output is the expected cumulative long term reward when the agent starts from the given observation and takes the best possible action. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = [1;4;4;2];
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second and third arguments are, respectively, the observation and action specification objects.

To check your critic, use the **getValue** function to return the value of a given observation-action pair, using the current parameter vector.

```
v = getValue(critic,{[1 2 3]'},{[4 5]'})
v =
  1×1 dlarray
252.3926
```

You can now use the critic (along with an with an actor) to create an agent relying on a Q-value function critic (such as an rlQAgent, rlDQNAgent, rlSARSAAgent, or rlDDPGAgent agent).

#### Create Multi-Output Q-Value Function Critic from Custom Basis Function

This example shows how to create a multi-output Q-value function critic for a discrete action space using a custom basis function approximator.

This critic takes only the observation as input and produces as output a vector with as many elements as the possible actions. Each element represents the expected cumulative long term reward when the agent starts from the given observation and takes the action corresponding to the position of the element in the output vector.

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 2 doubles.

```
obsInfo = rlNumericSpec([2 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of 3 possible values (named 7, 5, and 3 in this case).

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

Create a custom basis function to approximate the value function within the critic. The custom basis function must return a column vector. Each vector element must be a function of the observations defined by obsInfo.

The output of the critic is the vector c = W'\*myBasisFcn(myobs), where W is a weight matrix which must have as many rows as the length of the basis function output, and as many columns as the number of possible actions.

Each element of c is the expected cumulative long term reward when the agent starts from the given observation and takes the action corresponding to the position of the considered element. The elements of W are the learnable parameters.

Define an initial parameter matrix.

```
W0 = rand(4,3);
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial parameter matrix. The second and third arguments are, respectively, the observation and action specification objects.

To check your critic, use the getValue function to return the values of a random observation, using the current parameter matrix. Note that there is one value for each of the three possible actions.

```
v = getValue(critic,{rand(2,1)})
v =
  3x1 dlarray
  2.1395
  1.2183
  2.3342
```

You can now use the critic (along with an actor) to create a discrete action space agent relying on a Q-value function critic (such as an rlQAgent, rlDQNAgent, or rlSARSAAgent agent).

### **Create Q-Value Function Critic from Recurrent Neural Network**

Create an environment and obtain observation and action information.

```
env = rlPredefinedEnv('CartPole-Discrete');
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
numObs = obsInfo.Dimension(1);
numDiscreteAct = numel(actInfo.Elements);
```

Create a recurrent deep neural network for your critic. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

Create a recurrent neural network for a multi-output Q-value function representation.

Create a representation for your critic using the recurrent neural network.

# **Version History**

Introduced in R2020a

## R2022a: rlQValueRepresentation is not recommended

Not recommended starting in R2022a

rlQValueRepresentation is not recommended. Use either rlQValueFunction or rlVectorQValueFunction instead.

The following table shows some typical uses of rlQValueRepresentation to create *neural network*-based critics, and how to update your code with one of the new Q-value approximator objects instead.

Network-Based Q-Value Representation: Not Recommended	Network-Based Q-Value Approximators: Recommended
<pre>myCritic = rlQValueRepresentation(net,obsInfo,act Info,'Observation',obsNames,'Action',a ctNames), with net having both observations and actions as inputs and a single scalar output.</pre>	myCritic = rlQValueFunction(net,obsInfo,actInfo,' ObservationInputNames',obsNames,'Actio nInputNames',actNames). Use this syntax to create a single-output state-action value function object for a critic that takes both observation and action as inputs.
<pre>myCritic = rlQValueRepresentation(net,obsInfo,act Info,'Observation',obsNames) with net having only the observations as inputs and a single output layer having as many elements as the number of possible discrete actions.</pre>	myCritic = rlVectorQValueFunction(net,obsInfo,act Info,'ObservationInputNames',obsNames) . Use this syntax to create a multiple-output state- action value function object for a critic with a discrete action space. This critic takes observations as inputs, and outputs a vector in which each element is the value of one of the possible actions.

The following table shows some typical uses of rlQValueRepresentation to create *table*-based critics with discrete observation and action spaces, and how to update your code with one of the new Q-value approximator objects instead.

Table-Based Q-Value Representation: Not Recommended	Table-Based Q-Value Approximators: Recommended
<pre>rep = rlQValueRepresentation(tab,obsInfo,act Info), where the table tab contains a vector with as many elements as the number of possible observations plus the number of possible actions.</pre>	Use this syntax to create a single-output stateaction value function object for a critic that takes

Table-Based Q-Value Representation: Not Recommended	Table-Based Q-Value Approximators: Recommended
<pre>rep = rlQValueRepresentation(tab,obsInfo,act Info), where the table tab contains a Q-value table with as many rows as the number of possible observations and as many columns as the number of possible actions.</pre>	rep = rlVectorQValueFunction(tab,obsInfo,act Info). Use this syntax to create a multiple- output state-action value function object for a critic with a discrete action space. This critic takes observations as inputs, and outputs a vector in which each element is the value of one of the possible actions. It is good practice to use critics with vector outputs when possible.

The following table shows some typical uses of rlQValueRepresentation to create critics which use a (linear in the learnable parameters) *custom basis function*, and how to update your code with one of the new Q-value approximator objects instead. In these function calls, the first input argument is a two-element cell array containing both the handle to the custom basis function and the initial weight vector or matrix.

Custom Basis Function-Based Q-Value Representation: Not Recommended	Custom Basis Function-Based Q-Value Approximators: Recommended
<pre>rep = rlQValueRepresentation({basisFcn,W0},o bsInfo,actInfo), where the basis function has both observations and action as inputs and W0 is a column vector.</pre>	<pre>rep = rlQValueRepresentation({basisFcn,W0}, o bsInfo,actInfo). Use this syntax to create a single-output state-action value function object for a critic that takes both observation and action as inputs.</pre>
<pre>rep = rlQValueRepresentation({basisFcn,W0},o bsInfo,actInfo), where the basis function has both observations and action as inputs and W0 is a matrix with as many columns as the number of possible actions.</pre>	rep = rlVectorQValueRepresentation({basisFcn ,W0},obsInfo,actInfo). Use this syntax to create a multiple-output state-action value function object for a critic with a discrete action space. This critic takes observations as inputs, and outputs a vector in which each element is the value of one of the possible actions. It is good practice to use critics with vector outputs when possible.

## **See Also**

## **Functions**

getActionInfo | getObservationInfo

## **Objects**

rlQValueFunction|rlRepresentationOptions

### **Topics**

- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"

# rlReplayMemory

Replay memory experience buffer

# **Description**

An off-policy reinforcement learning agent stores experiences in a circular experience buffer. During training, the agent samples mini-batches of experiences from the buffer and uses these mini-batches to update its actor and critic function approximators.

By default, built-in off-policy agents (DQN, DDPG, TD3, SAC, MBPO) use an rlReplayMemory object as their experience buffer. Agents uniformly sample data from this buffer.

You can replace the default experience buffer using one of the following alternative buffer objects.

- rlPrioritizedReplayMemory Prioritized nonuniform sampling of experiences
- rlHindsightReplayMemory Uniform sampling of experiences and generation of hindsight experiences by replacing goals with goal measurements
- rlHindsightPrioritizedReplayMemory Prioritized nonuniform sampling of experiences and generation of hindsight experiences

When you create a custom off-policy reinforcement learning agent, you can create an experience buffer using an rlReplayMemory object.

## Creation

## **Syntax**

```
buffer = rlReplayMemory(obsInfo,actInfo)
buffer = rlReplayMemory(obsInfo,actInfo,maxLength)
```

### Description

buffer = rlReplayMemory(obsInfo,actInfo) creates a replay memory experience buffer that
is compatible with the observation and action specifications in obsInfo and actInfo, respectively.

buffer = rlReplayMemory(obsInfo,actInfo,maxLength) sets the maximum length of the buffer by setting the MaxLength property.

#### **Input Arguments**

#### obsInfo — Observation specifications

specification object | array of specification objects

Observation specifications, specified as a reinforcement learning specification object or an array of specification objects defining properties such as dimensions, data types, and names of the observation signals.

You can extract the observation specifications from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### actInfo — Action specifications

specification object | array of specification objects

Action specifications, specified as a reinforcement learning specification object defining properties such as dimensions, data types, and names of the action signals.

You can extract the action specifications from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

## **Properties**

#### MaxLength — Maximum buffer length

10000 (default) | nonnegative integer

This property is read-only.

Maximum buffer length, specified as a nonnegative integer.

To change the maximum buffer length, use the resize function.

### Length — Number of experiences in buffer

0 (default) | nonnegative integer

This property is read-only.

Number of experiences in buffer, specified as a nonnegative integer.

## **Object Functions**

append Append experiences to replay memory buffer Sample experiences from replay memory buffer

resize Resize replay memory experience buffer

reset Reset environment, agent, experience buffer, or policy object

allExperiences Return all experiences in replay memory buffer

validateExperience Validate experiences for replay memory

getActionInfo Obtain action data specifications from reinforcement learning environment,

agent, or experience buffer

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

## **Examples**

#### **Create Experience Buffer**

Define observation specifications for the environment. For this example, assume that the environment has a single observation channel with three continuous signals in specified ranges.

```
obsInfo = rlNumericSpec([3 1],...
    LowerLimit=0,...
    UpperLimit=[1;5;10]);
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with two continuous signals in specified ranges.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 20,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,20000);
```

Append a single experience to the buffer using a structure. Each experience contains the following elements: current observation, action, next observation, reward, and is-done.

For this example, create an experience with random observation, action, and reward values. Indicate that this experience is not a terminal condition by setting the IsDone value to 0.

```
exp.Observation = {obsInfo.UpperLimit.*rand(3,1)};
exp.Action = {actInfo.UpperLimit.*rand(2,1)};
exp.Reward = 10*rand(1);
exp.NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
exp.IsDone = 0;
```

Before appending experience to the buffer, you can validate whether the experience is compatible with the buffer. The validateExperience function generates an error if the experience is incompatible with the buffer.

```
validateExperience(buffer,exp)
```

Append the experience to the buffer.

```
append(buffer,exp);
```

You can also append a batch of experiences to the experience buffer using a structure array. For this example, append a sequence of 100 random experiences, with the final experience representing a terminal condition.

```
for i = 1:100
    expBatch(i).Observation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    expBatch(i).Reward = 10*rand(1);
    expBatch(i).NextObservation = {obsInfo.UpperLimit.*rand(3,1)};
    expBatch(i).IsDone = 0;
end
expBatch(100).IsDone = 1;
validateExperience(buffer,expBatch)
append(buffer,expBatch);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 50 experiences from the buffer.

```
miniBatch = sample(buffer,50);
```

You can sample a horizon of data from the buffer. For example, sample a horizon of 10 consecutive experiences with a discount factor of 0.95.

```
horizonSample = sample(buffer,1,...
    NStepHorizon=10,...
    DiscountFactor=0.95);
```

The returned sample includes the following information.

- Observation and Action are the observation and action from the first experience in the horizon.
- NextObservation and IsDone are the next observation and termination signal from the final experience in the horizon.
- Reward is the cumulative reward across the horizon using the specified discount factor.

You can also sample a sequence of consecutive experiences. In this case, the structure fields contain arrays with values for all sampled experiences.

```
sequenceSample = sample(buffer,1,...
SequenceLength=20);
```

### **Create Experience Buffer with Multiple Observation Channels**

Define observation specifications for the environment. For this example, assume that the environment has two observation channels: one channel with two continuous observations and one channel with a three-valued discrete observation.

```
obsContinuous = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[1;5]);
obsDiscrete = rlFiniteSetSpec([1 2 3]);
obsInfo = [obsContinuous obsDiscrete];
```

Define action specifications for the environment. For this example, assume that the environment has a single action channel with one continuous action in a specified range.

```
actInfo = rlNumericSpec([2 1],...
    LowerLimit=0,...
    UpperLimit=[5;10]);
```

Create an experience buffer with a maximum length of 5,000.

```
buffer = rlReplayMemory(obsInfo,actInfo,5000);
```

Append a sequence of 50 random experiences to the buffer.

```
for i = 1:50
    exp(i).Observation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Action = {actInfo.UpperLimit.*rand(2,1)};
    exp(i).NextObservation = ...
        {obsInfo(1).UpperLimit.*rand(2,1) randi(3)};
    exp(i).Reward = 10*rand(1);
    exp(i).IsDone = 0;
```

#### end

```
append(buffer,exp);
```

After appending experiences to the buffer, you can sample mini-batches of experiences for training of your RL agent. For example, randomly sample a batch of 10 experiences from the buffer.

```
miniBatch = sample(buffer,10);
```

## Resize Experience Buffer in Reinforcement Learning Agent

Create an environment for training the agent. For this example, load a predefined environment.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
```

Extract the observation and action specifications from the agent.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create a DQN agent from the environment specifications.

```
agent = rlDQNAgent(obsInfo,actInfo);
```

By default, the agent uses an experience buffer with a maximum size of 10,000.

```
agent.ExperienceBuffer
```

```
ans =
  rlReplayMemory with properties:
    MaxLength: 10000
    Length: 0
```

Increase the maximum size of the experience buffer to 20,000.

```
resize(agent.ExperienceBuffer,20000)
```

View the updated experience buffer.

```
agent.ExperienceBuffer
ans =
  rlReplayMemory with properties:
    MaxLength: 20000
    Length: 0
```

# **Version History**

Introduced in R2022a

## See Also

## **Objects**

rlPrioritizedReplayMemory | rlHindsightReplayMemory |
rlHindsightPrioritizedReplayMemory

# rlRepresentationOptions

(Not recommended) Options set for reinforcement learning agent representations (critics and actors)

**Note** rlRepresentationOptions is not recommended. Use an rlOptimizerOptions object within an agent options object instead. For more information, see "rlRepresentationOptions is not recommended".

# **Description**

Use an rlRepresentationOptions object to specify an options set for critics (rlValueRepresentation, rlQValueRepresentation) and actors (rlDeterministicActorRepresentation, rlStochasticActorRepresentation).

## Creation

## **Syntax**

```
repOpts = rlRepresentationOptions
repOpts = rlRepresentationOptions(Name, Value)
```

#### **Description**

repOpts = rlRepresentationOptions creates a default option set to use as a last argument when creating a reinforcement learning actor or critic. You can modify the object properties using dot notation.

repOpts = rlRepresentationOptions(Name, Value) creates an options set with the specified "Properties" on page 3-345 using one or more name-value pair arguments.

## **Properties**

## LearnRate — Learning rate for the representation

```
0.01 (default) | positive scalar
```

Learning rate for the representation, specified as a positive scalar. If the learning rate is too low, then training takes a long time. If the learning rate is too high, then training might reach a suboptimal result or diverge.

```
Example: 'LearnRate', 0.025
```

## **Optimizer** — **Optimizer** for representation

```
"adam" (default) | "sgdm" | "rmsprop"
```

Optimizer for training the network of the representation, specified as one of the following values.

- "adam" Use the Adam optimizer. You can specify the decay rates of the gradient and squared gradient moving averages using the GradientDecayFactor and SquaredGradientDecayFactor fields of the OptimizerParameters option.
- "sgdm" Use the stochastic gradient descent with momentum (SGDM) optimizer. You can specify the momentum value using the Momentum field of the OptimizerParameters option.
- "rmsprop" Use the RMSProp optimizer. You can specify the decay rate of the squared gradient moving average using the SquaredGradientDecayFactor fields of the OptimizerParameters option.

For more information about these optimizers, see "Stochastic Gradient Descent" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

Example: 'Optimizer', "sqdm"

## **OptimizerParameters** — **Applicable parameters for optimizer**

OptimizerParameters object

Applicable parameters for the optimizer, specified as an <code>OptimizerParameters</code> object with the following parameters.

Parameter	Description
Momentum	Contribution of previous step, specified as a scalar from 0 to 1. A value of 0 means no contribution from the previous step. A value of 1 means maximal contribution.  This parameter applies only when Optimizer is "sgdm". In that case, the default value is 0.9. This default value works well for most problems.
Epsilon	Denominator offset, specified as a positive scalar. The optimizer adds this offset to the denominator in the network parameter updates to avoid division by zero.  This parameter applies only when Optimizer is "adam" or "rmsprop". In that case, the default value is 10-8. This default value works well for most problems.
GradientDecayFactor	Decay rate of gradient moving average, specified as a positive scalar from 0 to 1.  This parameter applies only when Optimizer is "adam". In that case, the default value is 0.9.  This default value works well for most problems.
SquaredGradientDecayFactor	Decay rate of squared gradient moving average, specified as a positive scalar from 0 to 1.  This parameter applies only when Optimizer is "adam" or "rmsprop". In that case, the default value is 0.999. This default value works well for most problems.

When a particular property of OptimizerParameters is not applicable to the optimizer type specified in the Optimizer option, that property is set to "Not applicable".

To change the default values, create an rlRepresentationOptions set and use dot notation to access and change the properties of OptimizerParameters.

```
repOpts = rlRepresentationOptions;
repOpts.OptimizerParameters.GradientDecayFactor = 0.95;
```

### **GradientThreshold** — Threshold value for gradient

Inf (default) | positive scalar

Threshold value for the representation gradient, specified as Inf or a positive scalar. If the gradient exceeds this value, the gradient is clipped as specified by the GradientThresholdMethod option. Clipping the gradient limits how much the network parameters change in a training iteration.

Example: 'GradientThreshold',1

## GradientThresholdMethod — Gradient threshold method

```
"l2norm" (default) | "global-l2norm" | "absolute-value"
```

Gradient threshold method used to clip gradient values that exceed the gradient threshold, specified as one of the following values.

- "l2norm" If the  $L_2$  norm of the gradient of a learnable parameter is larger than GradientThreshold, then scale the gradient so that the  $L_2$  norm equals GradientThreshold.
- "global-l2norm" If the global  $L_2$  norm, L, is larger than GradientThreshold, then scale all gradients by a factor of GradientThreshold/L. The global  $L_2$  norm considers all learnable parameters.
- "absolute-value" If the absolute value of an individual partial derivative in the gradient of a learnable parameter is larger than GradientThreshold, then scale the partial derivative to have magnitude equal to GradientThreshold and retain the sign of the partial derivative.

For more information, see "Gradient Clipping" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

Example: 'GradientThresholdMethod', "absolute-value"

#### L2RegularizationFactor — Factor for $L_2$ regularization

0.0001 (default) | nonnegative scalar

Factor for  $L_2$  regularization (weight decay), specified as a nonnegative scalar. For more information, see "L2 Regularization" in the Algorithms section of trainingOptions in Deep Learning Toolbox.

To avoid overfitting when using a representation with many parameters, consider increasing the L2RegularizationFactor option.

Example: 'L2RegularizationFactor',0.0005

### UseDevice — Computation device for training

```
"cpu" (default) | "gpu"
```

Computation device used to perform deep neural network operations such as gradient computation, parameter update and prediction during training. It is specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round off errors. These errors can produce different results compared to performing the same operations a CPU.

Note that if you want to use parallel processing to speed up training, you do not need to set UseDevice. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: 'UseDevice', "gpu"

## **Object Functions**

rlValueRepresentation (Not recommended) Value function critic representation for

reinforcement learning agents

rlQValueRepresentation (Not recommended) Q-Value function critic representation for

reinforcement learning agents

rlDeterministicActorRepresentation (Not recommended) Deterministic actor representation for

reinforcement learning agents

rlStochasticActorRepresentation (Not recommended) Stochastic actor representation for

reinforcement learning agents

## **Examples**

#### **Configure Options for Creating Representation**

Create an options set for creating a critic or actor representation for a reinforcement learning agent. Set the learning rate for the representation to 0.05, and set the gradient threshold to 1. You can set the options using Name, Value pairs when you create the options set. Any options that you do not explicitly set have their default values.

Alternatively, create a default options set and use dot notation to change some of the values.

If you want to change the properties of the OptimizerParameters option, use dot notation to access them.

# **Version History**

Introduced in R2019a

#### R2022a: rlRepresentationOptions is not recommended

Not recommended starting in R2022a

rlRepresentationOptions objects are no longer recommended. To specify optimization options for actors and critics, use rlOptimizerOptions objects instead.

Specifically, you can create an agent options object and set its CriticOptimizerOptions and ActorOptimizerOptions properties to suitable rlOptimizerOptions objects. Then you pass the agent options object to the function that creates the agent. This workflow is shown in the following table.

rlRepresentationOptions: Not Recommended	rlOptimizerOptions: Recommended
<pre>crtOpts = rlRepresentationOptions( 'GradientThreshold',1);</pre>	<pre>criticOpts = rlOptimizerOptions( 'GradientThreshold',1);</pre>
<pre>critic = rlValueRepresentation( net,obsInfo,'Observation',{'obs'},ct</pre>	<pre>agentOpts = rlACAgentOptions( r0ptsCriticOptimizerOptions',crtOpts);</pre>
	agent = rlACAgent(actor,critic,agentOpts)

Alternatively, you can create the agent and then use dot notation to access the optimization options for the agent actor and critic, for example:

agent.AgentOptions.ActorOptimizerOptions.GradientThreshold = 1;.

## See Also

## Topics

"Create Policies and Value Functions"

<sup>&</sup>quot;Reinforcement Learning Agents"

# rlSACAgent

Soft actor-critic (SAC) reinforcement learning agent

# **Description**

The soft actor-critic (SAC) algorithm is a model-free, online, off-policy, actor-critic reinforcement learning method. The SAC algorithm computes an optimal policy that maximizes both the long-term expected reward and the entropy of the policy. The policy entropy is a measure of policy uncertainty given the state. A higher entropy value promotes more exploration. Maximizing both the reward and the entropy balances exploration and exploitation of the environment. The action space can only be continuous.

For more information, see "Soft Actor-Critic (SAC) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

```
agent = rlSACAgent(observationInfo,actionInfo)
agent = rlSACAgent(observationInfo,actionInfo,initOptions)
agent = rlSACAgent(actor,critics)
agent = rlSACAgent(____,agentOptions)
```

## Description

#### **Create Agent from Observation and Action Specifications**

agent = rlSACAgent(observationInfo,actionInfo) creates a SAC agent for an environment with the given observation and action specifications, using default initialization options. The actor and critics in the agent use default deep neural networks built using the observation specification observationInfo and action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlSACAgent(observationInfo,actionInfo,initOptions) creates a SAC agent with
deep neural networks configured using the specified initialization options (initOptions).

#### **Create Agent from Actor and Critic**

agent = rlSACAgent(actor,critics) creates a SAC agent with the specified actor and critic
networks and default agent options.

### **Specify Agent Options**

agent = rlsAcAgent(\_\_\_\_, agentOptions) sets the AgentOptions property for any of the
previous syntaxes.

#### **Input Arguments**

## initOptions — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

#### actor - Actor

rlContinuousGaussianActor object

Actor that implements the policy, specified as an rlContinuousGaussianActor function approximator object. For more information on creating actor approximators, see "Create Policies and Value Functions".

**Note** A SAC agent automatically reads the action range from the UpperLimit and LowerLimit properties of the action specification (which is used to create the actor), and then internally scales the distribution and bounds the action. Therefore, do not add a tanhLayer as the last nonlinear layer in the mean output path. If you bound the mean value output directly (for example by adding a tanhLayer right before the output), the agent does not calculate the entropy of the probability density distribution correctly. Note that you must still add a softplus or ReLU layer to the standard deviations path to enforce nonnegativity. For more information, see "Soft Actor-Critic (SAC) Agents".

#### critics - Critic

rlQValueFunction object | two-element row vector of rlQValueFunction objects

Critic, specified as one of the following:

- rlQValueFunction object Create a SAC agent with a single Q-value function.
- Two-element row vector of rlQValueFunction objects Create a SAC agent with two critic value functions. The two critic must be unique rlQValueFunction objects with the same observation and action specifications. The critics can either have different structures or the same structure but with different initial parameters.

For a SAC agent, each critic must be a single-output rlQValueFunction object that takes both the action and observations as inputs.

For more information on creating critics, see "Create Policies and Value Functions".

## **Properties**

## **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

## ActionInfo — Action specification

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

## **Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### AgentOptions — Agent options

rlSACAgentOptions object

Agent options, specified as an rlSACAgentOptions object.

If you create a SAC agent with default actor and critic that use recurrent neural networks, the default value of AgentOptions.SequenceLength is 32.

### ExperienceBuffer — Experience buffer

rlReplayMemory object

Experience buffer, specified as an rlReplayMemory object. During training the agent stores each of its experiences (S,A,R,S',D) in a buffer. Here:

- *S* is the current observation of the environment.
- A is the action taken by the agent.
- *R* is the reward for taking action *A*.
- S' is the next observation after taking action A.
- *D* is the is-done signal after taking action *A*.

## UseExplorationPolicy — Option to use exploration policy

true (default) | false

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

 true — Use the base agent exploration policy when selecting actions in sim and generatePolicyFunction. In this case, the agent selects its actions by sampling its probability distribution, the policy is therefore stochastic and the agent explores its observation space. false — Use the base agent greedy policy (the action with maximum likelihood) when selecting actions in sim and generatePolicyFunction. In this case, the simulated agent and generated policy behave deterministically.

**Note** This option affects only simulation and deployment; it does not affect training.

### SampleTime — Sample time of agent

```
positive scalar | -1
```

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

## **Object Functions**

train	Train reinforcement learning agents within a specified environment
sim	Simulate trained reinforcement learning agents within specified

environment

Obtain action from agent, actor, or policy object given environment getAction

observations

Extract actor from reinforcement learning agent getActor setActor Set actor of reinforcement learning agent getCritic Extract critic from reinforcement learning agent setCritic Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

## **Examples**

#### Create SAC Agent from Observation and Action Specifications

Create environment and obtain observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a SAC agent from the environment observation and action specifications.

```
agent = rlSACAgent(obsInfo,actInfo);
```

To check your agent, use **getAction** to return the action from a random observation.

```
getAction(agent,{rand(obsInfo(1).Dimension)})
ans = 1x1 cell array
{[0.0546]}
```

You can now test and train the agent within the environment. You can also use getActor and getCritic to extract the actor and critic, respectively, and getModel to extract the approximator model (by default a deep neural network) from the actor or critic.

#### Create SAC Agent Using Initialization Options

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons.

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a SAC agent from the environment observation and action specifications using the initialization options.

```
agent = rlSACAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural network from the actor.

```
actorNet = getModel(getActor(agent));
```

Extract the deep neural networks from the two critics. Note that getModel(critics) only returns the first critic network.

```
critics = getCritic(agent);
criticNet1 = getModel(critics(1));
criticNet2 = getModel(critics(2));
```

Display the layers of the first critic network, and verify that each hidden fully connected layer has 128 neurons.

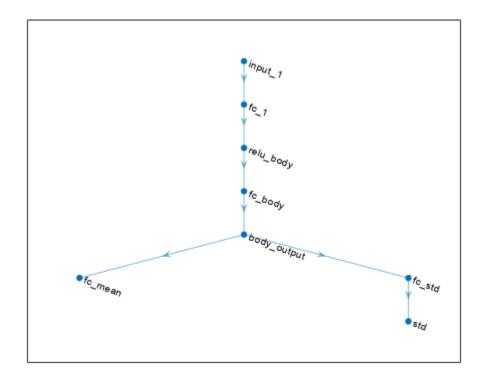
#### criticNet1.Layers

ans =

```
9x1 Layer array with layers:
       'concat'
                                          Concatenation of 2 inputs along dimension 1
                       Concatenation
   2
       'relu body'
                       ReLU
                                          ReLU
   3
       'fc_body'
                       Fully Connected
                                          128 fully connected layer
       'body_output'
   4
                       ReLU
                                          ReLU
       'input_1'
   5
                       Feature Input
                                          2 features
   6
       'fc_1'
                       Fully Connected
                                         128 fully connected layer
   7
       'input 2'
                       Feature Input
                                          1 features
   8
       'fc 2'
                       Fully Connected
                                         128 fully connected layer
   9
       'output'
                       Fully Connected
                                          1 fully connected layer
```

Plot the networks of the actor and of the second critic, and display the number of weights.

## plot(layerGraph(actorNet))

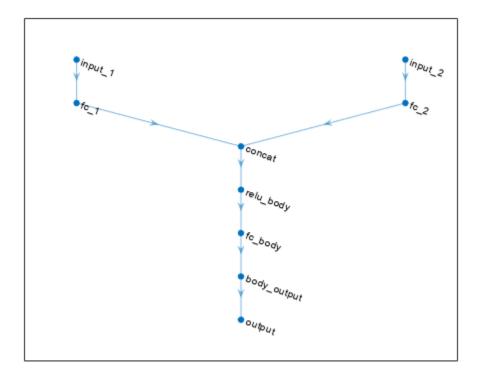


## summary(actorNet)

```
Initialized: true
Number of learnables: 17.1k
```

```
Inputs:
    1 'input_1' 2 features
```

## plot(layerGraph(criticNet2))



## summary(criticNet2)

```
Initialized: true

Number of learnables: 33.6k

Inputs:
    1 'input_1' 2 features
    2 'input_2' 1 features
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent, {rand(obsInfo(1).Dimension)})
```

```
ans = 1x1 cell array \{[-0.9867]\}
```

You can now test and train the agent within the environment.

## **Create SAC Agent from Actor and Critics**

Create an environment and obtain observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observations from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Define bounds on the action. The SAC agent automatically uses these values to internally scale the distribution and bound the action properly.

```
actInfo.LowerLimit=-2;
actInfo.UpperLimit=2;
```

SAC agents use two Q-value function critics. A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critics, use a neural network with two input layers (one for the observation channel, as specified by obsInfo, and the other for the action channel, as specified by actInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) and prod(actInfo.Dimension) return the number of dimensions of the observation and action spaces, respectively, regardless of whether they are arranged as row vectors, column vectors, or matrices.

Define each network path as an array of layer objects. Assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input layers with the appropriate environment channel.

```
% Observation path
obsPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="obsPathIn")
    fullyConnectedLayer(32)
    reluLaver
    fullyConnectedLayer(16,Name="obsPathOut")
    1;
% Action path
actPath = [
    featureInputLayer(prod(actInfo.Dimension),Name="actPathIn")
    fullyConnectedLayer(32)
    reluLayer
    fullyConnectedLayer(16,Name="actPathOut")
    ];
% Common path
commonPath = [
    concatenationLayer(1,2,Name="concat")
    reluLaver
    fullyConnectedLayer(1)
    ];
```

```
% Add layers to layergraph object
criticNet = layerGraph;
criticNet = addLayers(criticNet,obsPath);
criticNet = addLayers(criticNet,actPath);
criticNet = addLayers(criticNet,commonPath);
% Connect layers
criticNet = connectLayers(criticNet,"obsPathOut","concat/in1");
criticNet = connectLayers(criticNet,"actPathOut","concat/in2");
To initialize the network weights differently for the two critics, create two descriptions.
```

To initialize the network weights differently for the two critics, create two different dlnetwork objects. You must do this because the agent constructor function does not accept two identical critics.

```
criticNet1 = dlnetwork(criticNet);
criticNet2 = dlnetwork(criticNet);
```

Display the number of weights.

```
summary(criticNet1)
```

```
Initialized: true

Number of learnables: 1.2k

Inputs:
    1 'obsPathIn' 2 features
    2 'actPathIn' 1 features
```

Create the two critics using the two networks with different weights and the names of the input layers. Alternatively, if you use exactly the same network with the same weights, you must explicitly initialize the network each time (to make sure weights are initialized differently) before passing it to rlQValueFunction. To do so, use initialize.

```
critic1 = rlQValueFunction(criticNet1,obsInfo,actInfo, ...
    ActionInputNames="actPathIn",ObservationInputNames="obsPathIn");
critic2 = rlQValueFunction(criticNet2,obsInfo,actInfo, ...
    ActionInputNames="actPathIn",ObservationInputNames="obsPathIn");
```

For more information about value function approximators, see rlQValueFunction.

Check the critics with a random observation and action input.

```
getValue(critic1,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   -0.1330
getValue(critic2,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   -0.1526
```

SAC agents use a parametrized stochastic policy, which for continuous action spaces is implemented by a continuous Gaussian actor. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action

space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

The SAC agent automatically reads the action range from the UpperLimit and LowerLimit properties of actInfo (which is used to create the actor), and then internally scales the distribution and bounds the action.

Therefore, do not add a tanhLayer as the last nonlinear layer in the mean output path. If you bound the mean value output directly (for example by adding a tanhLayer right before the output), the agent does not calculate the entropy of the probability density distribution correctly. Note that you must still add a softplus or ReLU layer to the standard deviations path to enforce nonnegativity. For more information, see "Soft Actor-Critic (SAC) Agents".

Define each network path as an array of layer objects, and assign names to the input and output layers of each path.

```
% Define common input path
commonPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="netObsIn")
    fullyConnectedLayer(32)
    reluLayer(Name="CommonRelu")
% Define path for mean value
meanPath = [
    fullyConnectedLayer(32,Name="meanIn")
    reluLaver
    fullyConnectedLayer(16)
    reluLaver
    fullyConnectedLayer(prod(actInfo.Dimension),Name="MeanOut")
% Define path for standard deviation
stdPath = [
    fullyConnectedLayer(32,Name="stdIn")
    reluLaver
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
    softplusLayer(Name="StandardDeviationOut")
    ];
% Add layers to layerGraph object
actorNet = layerGraph(commonPath);
actorNet = addLayers(actorNet, meanPath);
actorNet = addLavers(actorNet,stdPath);
% Connect lavers
actorNet = connectLayers(actorNet, "CommonRelu", "meanIn/in");
actorNet = connectLayers(actorNet, "CommonRelu", "stdIn/in");
% Convert to dlnetwork and display the number of weights.
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
```

```
Number of learnables: 3.2k
   Inputs:
      1 'netObsIn' 2 features
Create the actor using actorNet, the observation and action specification objects, and the names of
the input and output layers.
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
    ActionMeanOutputNames="MeanOut",...
    ActionStandardDeviationOutputNames="StandardDeviationOut",...
    ObservationInputNames="netObsIn"):
For more information about continuous Gaussian actors approximators, see
rlContinuousGaussianActor.
Check your actor with a random input observation.
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-0.8205]}
Specify training options for the critics.
criticOptions = rlOptimizerOptions( ...
    Optimizer="adam", ...
    LearnRate=1e-3,...
    GradientThreshold=1, ...
    L2RegularizationFactor=2e-4);
Specify training options for the actor.
```

Specify agent options, including training options for actor and critics.

actorOptions = rlOptimizerOptions( ...

Optimizer="adam", ...
LearnRate=le-3,...
GradientThreshold=1, ...
L2RegularizationFactor=le-5);

```
agentOptions = rlSACAgentOptions;
agentOptions.SampleTime = env.Ts;
agentOptions.DiscountFactor = 0.99;
agentOptions.TargetSmoothFactor = 1e-3;
agentOptions.ExperienceBufferLength = 1e6;
agentOptions.MiniBatchSize = 32;
agentOptions.CriticOptimizerOptions = criticOptions;
agentOptions.ActorOptimizerOptions = actorOptions;
Create the SAC agent using actor, critics, and options.
agent = rlSACAgent(actor,[critic1 critic2],agentOptions)
agent =
rlSACAgent with properties:
```

```
ExperienceBuffer: [1x1 rl.replay.rlReplayMemory]
          AgentOptions: [1x1 rl.option.rlSACAgentOptions]
UseExplorationPolicy: 1
          ObservationInfo: [1x1 rl.util.rlNumericSpec]
                ActionInfo: [1x1 rl.util.rlNumericSpec]
               SampleTime: 0.1000
```

To check your agent, use getAction to return the action from a random observation.

You can now test and train the agent within the environment.

#### **Create SAC Agent using Recurrent Neural Networks**

For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observations from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

SAC agents use two Q-value function critics. To model the parametrized Q-value function within the critics, use a recurrent neural network, which must have two input layers one output layer.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. To create a recurrent neural network, use sequenceInputLayer as the input layer and include an lstmLayer as one of the other network layers.

```
% Define observation path
obsPath = [
    sequenceInputLayer(prod(obsInfo.Dimension),Name="obsIn")
    fullyConnectedLayer(40)
    fullyConnectedLayer(30,Name = "obsOut")
    ];
% Define action path
actPath = [
    sequenceInputLayer(prod(actInfo.Dimension), Name="actIn")
    fullyConnectedLayer(30,Name="actOut")
    1;
% Define common path
commonPath = [
    concatenationLayer(1,2,Name="cat")
    lstmLayer(16)
    reluLayer
```

To initialize the network weights differently for the two critics, create two different dlnetwork objects. You must do this because if the agent constructor function does not accept two identical critics.

```
criticNet1 = dlnetwork(criticNet);
criticNet2 = dlnetwork(criticNet);
```

Display the number of weights.

```
summary(criticNet1)
```

```
Initialized: true

Number of learnables: 6.3k

Inputs:
    1 'obsIn' Sequence input with 2 dimensions
    2 'actIn' Sequence input with 1 dimensions
```

Create the two critics using the two networks with different weights. Use the same network structure for both critics. The SAC agent initializes the two networks using different default parameters.

```
critic1 = rlQValueFunction(criticNet1,obsInfo,actInfo);
critic2 = rlQValueFunction(criticNet2,obsInfo,actInfo);
```

Check the critics with a random observation and action input.

```
getValue(critic1,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   -0.0508
getValue(critic2,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   0.0762
```

Since the critic has a recurrent network, the actor must have a recurrent network too.

Do not add a tanhLayer or scalingLayer in the mean output path. The SAC agent internally transforms the unbounded Gaussian distribution to the bounded distribution to compute the probability density function and entropy properly. However, add a softplus or ReLU layer to the standard deviations path to enforce nonnegativity.

Define each network path as an array of layer objects and specify a name for the input and output layers, so you can later explicitly associate them with the appropriate channel.

```
% Define common path
commonPath = [
    sequenceInputLayer(prod(obsInfo.Dimension), Name="obsIn")
    fullyConnectedLayer(400)
    lstmLayer(8)
    reluLayer(Name="CommonOut")];
meanPath = [
    fullyConnectedLayer(300,Name="MeanIn")
    reluLaver
    fullyConnectedLayer(prod(actInfo.Dimension),Name="Mean")
    ];
stdPath = [
    fullyConnectedLayer(300,Name="StdIn")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
    softplusLayer(Name="StandardDeviation")
actorNet = layerGraph(commonPath);
actorNet = addLayers(actorNet, meanPath);
actorNet = addLayers(actorNet,stdPath);
actorNet = connectLayers(actorNet, "CommonOut", "MeanIn/in");
actorNet = connectLayers(actorNet, "CommonOut", "StdIn/in");
% Convert to dlnetwork and display the number of weights.
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
   Number of learnables: 20.2k
   Inputs:
          'obsIn'
      1
                    Sequence input with 2 dimensions
Create the actor using actorNet, the observation and action specification objects, and the names of
the input and output layers.
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
    ActionMeanOutputNames="Mean",...
    ActionStandardDeviationOutputNames="StandardDeviation",...
    ObservationInputNames="obsIn");
Check your actor with a random input observation.
getAction(actor,{rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-0.6304]}
Specify training options for the critics.
criticOptions = rlOptimizerOptions( ...
    Optimizer = "adam", LearnRate = 1e-3,...
    GradientThreshold = 1, L2RegularizationFactor = 2e-4);
```

Specify training options for the actor.

```
actorOptions = rlOptimizerOptions( ...
    Optimizer = "adam", LearnRate = 1e-3,...
    GradientThreshold = 1, L2RegularizationFactor = 1e-5);
```

Specify agent options. To use a recurrent neural network, you must specify a SequenceLength greater than 1.

```
agentOptions = rlSACAgentOptions;
agentOptions.SampleTime = env.Ts;
agentOptions.DiscountFactor = 0.99;
agentOptions.TargetSmoothFactor = 1e-3;
agentOptions.ExperienceBufferLength = 1e6;
agentOptions.SequenceLength = 32;
agentOptions.MiniBatchSize = 32;
```

Create SAC agent using actor, critics, and options.

```
agent = rlSACAgent(actor,[critic1 critic2],agentOptions);
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent,{rand(obsInfo.Dimension)})
```

```
ans = 1x1 cell array \{[-0.8774]\}
```

To evaluate the agent using sequential observations, use the sequence length (time) dimension. For example, obtain actions for a sequence of 9 observations.

```
[action,state] = getAction(agent, ...
{rand([obsInfo.Dimension 1 9])});
```

Display the action corresponding to the seventh element of the observation.

```
action = action{1};
action(1,1,1,7)
ans = 0.5114
```

You can now test and train the agent within the environment.

## **Version History**

Introduced in R2020b

### See Also

#### Apps

Reinforcement Learning Designer | Deep Network Designer

## **Functions**

```
getAction|getActor|getCritic|getModel|generatePolicyFunction|
generatePolicyBlock|getActionInfo|getObservationInfo
```

## **Objects**

rlSACAgentOptions | rlAgentInitializationOptions | rlQValueFunction | rlContinuousGaussianActor | rlDDPGAgent | rlTD3Agent | rlACAgent | rlPPOAgent

### **Blocks**

RL Agent | Policy

## **Topics**

- "Train SAC Agent for Ball Balance Control"
- "Train Reinforcement Learning Agents"
- "Soft Actor-Critic (SAC) Agents"
- "Reinforcement Learning Agents"

## rlSACAgentOptions

Options for SAC agent

## **Description**

Use an rlSACAgentOptions object to specify options for soft actor-critic (SAC) agents. To create a SAC agent, use rlSACAgent.

For more information, see "Soft Actor-Critic (SAC) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## Syntax

```
opt = rlSACAgentOptions
opt = rlSACAgentOptions(Name, Value)
```

## **Description**

opt = rlsACAgentOptions creates an options object for use as an argument when creating a SAC agent using all default options. You can modify the object properties using dot notation.

opt = rlSACAgentOptions(Name, Value) sets option properties on page 3-120 using name-value pairs. For example, rlSACAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

## **Properties**

### EntropyWeightOptions — Entropy tuning options

EntropyWeightOptions object

Entropy tuning options, specified as an EntropyWeightOptions object with the following properties.

## EntropyWeight — Initial entropy component weight

1 (default) | positive scalar

Initial entropy component weight, specified as a positive scalar.

## LearnRate — Optimizer learning rate

3e-4 (default) | nonnegative scalar

Optimizer learning rate, specified as a nonnegative scalar. If LearnRate is zero, the EntropyWeight value is fixed during training and the TargetEntropy value is ignored.

## TargetEntropy — Target entropy value

[] (default) | scalar

Target entropy value for tuning entropy weight, specified as a scalar. A higher target entropy value encourages more exploration.

If you do not specify TargetEntropy, the agent uses -A as the target value, where A is the number of actions.

## Algorithm — Algorithm to tune entropy

"adam" (default) | "sgdm" | "rmsprop"

Algorithm to tune entropy, specified as one of the following strings.

- "adam" Use the Adam optimizer. You can specify the decay rates of the gradient and squared gradient moving averages using the GradientDecayFactor and SquaredGradientDecayFactor fields of the OptimizerParameters option.
- "sgdm" Use the stochastic gradient descent with momentum (SGDM) optimizer. You can specify the momentum value using the Momentum field of the OptimizerParameters option.
- "rmsprop" Use the RMSProp optimizer. You can specify the decay rate of the squared gradient moving average using the SquaredGradientDecayFactor fields of the OptimizerParameters option.

For more information about these optimizers, see "Stochastic Gradient Descent" in Deep Learning Toolbox.

### GradientThreshold — Threshold value for gradient

Inf (default) | positive scalar

Threshold value for the entropy gradient, specified as Inf or a positive scalar. If the gradient exceeds this value, the gradient is clipped.

### OptimizerParameters — Applicable parameters for optimizer

OptimizerParameters object

Applicable parameters for the optimizer, specified as an OptimizerParameters object with the following parameters. The default parameter values work well for most problems.

Parameter	Description	Default
Momentum	Contribution of previous step, specified as a scalar from 0 to 1. A value of 0 means no contribution from the previous step. A value of 1 means maximal contribution.	0.9
	This parameter applies only when Optimizer is "sgdm".	

Parameter	Description	Default
Epsilon	Denominator offset, specified as a positive scalar. The optimizer adds this offset to the denominator in the network parameter updates to avoid division by zero.  This parameter applies only when Optimizer is "adam" or "rmsprop".	1e-8
GradientDecayFactor	Decay rate of gradient moving average, specified as a positive scalar from 0 to 1. This parameter applies only when Optimizer is "adam".	0.9
SquaredGradientDecayFact or	Decay rate of squared gradient moving average, specified as a positive scalar from 0 to 1.  This parameter applies only when Optimizer is "adam" or "rmsprop".	0.999

When a particular property of OptimizerParameters is not applicable to the optimizer type specified in the Algorithm option, that property is set to "Not applicable".

To change the default values, access the properties of OptimizerParameters using dot notation.

```
opt = rlSACAgentOptions;
opt.EntropyWeightOptions.OptimizerParameters.GradientDecayFactor = 0.95;
```

## UseCriticTargetEntropy — Option to use entropy in the critic targets

true (default) | false

Option to use entropy in the critic targets, specified as a either true (default, entropy is used) or false (entropy is not used). Note that this option does not affect entropy usage in the actor.

Example: UseCriticTargetEntropy=false

## PolicyUpdateFrequency — Number of steps between actor policy updates

1 (default) | positive integer

Number of steps between actor policy updates, specified as a positive integer. For more information, see "Training Algorithm".

## CriticUpdateFrequency — Number of steps between critic updates

1 (default) | positive integer

Number of steps between critic updates, specified as a positive integer. For more information, see "Training Algorithm".

# $\label{lem:numWarmStartSteps} \textbf{--Number of actions to take before updating actor and critic} \\ positive integer$

Number of actions to take before updating actor and critics, specified as a positive integer. By default, the NumWarmStartSteps value is equal to the MiniBatchSize value.

# NumGradientStepsPerUpdate — Number of gradient steps when updating actor and critics 1 (default) | positive integer

Number of gradient steps to take when updating actor and critics, specified as a positive integer.

## ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

## CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

## BatchDataRegularizerOptions — Batch data regularizer options

[](default)|rlConservativeQLearningOptions object|rlBehaviorCloningRegularizerOptions object

Batch data regularizer options, specified as an rlConservativeQLearningOptions or as an rlBehaviorCloningRegularizerOptions object. These options are typically used to train the agent offline, from existing data. If you leave this option empty, no regularizer is used.

For more information, see rlConservativeQLearningOptions and rlBehaviorCloningRegularizerOptions.

Example: opt.BatchDataRegularizerOptions =
rlConservativeQLearningOptions(NumSampledActions=20)

## TargetSmoothFactor — Smoothing factor for target critic updates

1e-3 (default) | positive scalar less than or equal to 1

Smoothing factor for target critic updates, specified as a positive scalar less than or equal to 1. For more information, see "Target Update Methods".

## TargetUpdateFrequency — Number of steps between target critic updates

1 (default) | positive integer

Number of steps between target critic updates, specified as a positive integer. For more information, see "Target Update Methods".

# ResetExperienceBufferBeforeTraining — Option for clearing the experience buffer false (default) | true

Option for clearing the experience buffer before training, specified as a logical value.

## SequenceLength — Maximum batch-training trajectory length when using RNN

1 (default) | positive integer

Maximum batch-training trajectory length when using a recurrent neural network, specified as a positive integer. This value must be greater than  $\bf 1$  when using a recurrent neural network and  $\bf 1$  otherwise.

### MiniBatchSize — Size of random experience mini-batch

64 (default) | positive integer

Size of random experience mini-batch, specified as a positive integer. During each training episode, the agent randomly samples experiences from the experience buffer when computing gradients for updating the actor and critics. Large mini-batches reduce the variance when computing gradients but increase the computational effort.

# NumStepsToLookAhead — Number of future rewards used to estimate the value of the policy 1 (default) | positive integer

Number of future rewards used to estimate the value of the policy, specified as a positive integer. For more information, see [1], Chapter 7.

## ExperienceBufferLength — Experience buffer size

10000 (default) | positive integer

Experience buffer size, specified as a positive integer. During training, the agent computes updates using a mini-batch of experiences randomly sampled from the buffer.

### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

## **Object Functions**

rlSACAgent Soft actor-critic (SAC) reinforcement learning agent

## **Examples**

## **Create SAC Agent Options Object**

Create a SAC agent options object, specifying the discount factor.

```
opt = rlSACAgentOptions(DiscountFactor=0.95)
opt =
 rlSACAgentOptions with properties:
                   EntropyWeightOptions: [1x1 rl.option.EntropyWeightOptions]
                 UseCriticTargetEntropy: 1
                  PolicyUpdateFrequency: 1
                  CriticUpdateFrequency: 1
                      NumWarmStartSteps: 64
              NumGradientStepsPerUpdate: 1
                  ActorOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
                 CriticOptimizerOptions: [1x2 rl.option.rlOptimizerOptions]
            BatchDataRegularizerOptions: []
                     TargetSmoothFactor: 1.0000e-03
                  TargetUpdateFrequency: 1
   ResetExperienceBufferBeforeTraining: 0
                         SequenceLength: 1
                          MiniBatchSize: 64
                    NumStepsToLookAhead: 1
                 ExperienceBufferLength: 10000
                             SampleTime: 1
                         DiscountFactor: 0.9500
                             InfoToSave: [1x1 struct]
```

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

For SAC agents, configure the entropy weight optimizer using the options in EntropyWeightOptions. For example, set the target entropy value to -5.

```
opt.EntropyWeightOptions.TargetEntropy = -5;
```

## **Version History**

**Introduced in R2020b** 

**R2022a: Simulation and deployment: UseDeterministicExploitation will be removed** Warns starting in R2022a

The property UseDeterministicExploitation of the rlSACAgentOptions object will be removed in a future release. Use the UseExplorationPolicy property of rlSACAgent instead.

Previously, you set UseDeterministicExploitation as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.AgentOptions.UseDeterministicExploitation = true;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.AgentOptions.UseDeterministicExploitation = false;
```

Starting in R2022a, set UseExplorationPolicy as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.UseExplorationPolicy = false;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.UseExplorationPolicy = true;
```

Similarly to UseDeterministicExploitation, UseExplorationPolicy affects only simulation and deployment; it does not affect training.

# R2022a: The default value of the ResetExperienceBufferBeforeTraining property has changed

Behavior changed in R2022a

The default value of the ResetExperienceBufferBeforeTraining has changed from true to false.

When creating a new SAC agent, if you want to clear the experience buffer before training, you must specify ResetExperienceBufferBeforeTraining as true. For example, before training, set the property using dot notation.

```
agent.AgentOptions.ResetExperienceBufferBeforeTraining = true;
```

Alternatively, you can set the property to true in an rlSACAgentOptions object and use this object to create the SAC agent.

#### References

[1] Sutton, Richard S., and Andrew G. Barto. *Reinforcement Learning: An Introduction*. Second edition. Adaptive Computation and Machine Learning. Cambridge, Mass: The MIT Press, 2018.

### See Also

### **Objects**

rlSACAgent | rlAgentInitializationOptions | rlConservativeQLearningOptions |
rlBehaviorCloningRegularizerOptions

#### **Topics**

"Train SAC Agent for Ball Balance Control"

"Soft Actor-Critic (SAC) Agents"

## rISARSAAgent

SARSA reinforcement learning agent

## **Description**

The SARSA algorithm is a model-free, online, on-policy reinforcement learning method. A SARSA agent is a value-based reinforcement learning agent which trains a critic to estimate the return or future rewards.

**Note** SARSA agents do not support recurrent networks.

For more information on SARSA agents, see "SARSA Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

agent = rlSARSAAgent(critic,agentOptions)

### **Description**

agent = rlsARsAAgent(critic,agentOptions) creates a SARsA agent with the specified critic
network and sets the AgentOptions property.

## **Input Arguments**

## critic — Critic

rlQValueFunction object

Critic, specified as an rlQValueFunction object. For more information on creating critics, see "Create Policies and Value Functions".

## **Properties**

### AgentOptions — Agent options

rlSARSAAgentOptions object

Agent options, specified as an rlsARSAAgentOptions object.

#### UseExplorationPolicy — Option to use exploration policy

false (default) | true

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- false Use the agent greedy policy when selecting actions.
- $\bullet$  true Use the agent exploration policy when selecting actions.

## **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

This property is read-only.

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

The value of ObservationInfo matches the corresponding value specified in critic.

## ActionInfo — Action specification

rlFiniteSetSpec object

This property is read-only.

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

The value of ActionInfo matches the corresponding value specified in critic.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The initial value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

## **Object Functions**

train	Train reinforcement learning agents within a specified environment
sim	Simulate trained reinforcement learning agents within specified
	environment
getAction	Obtain action from agent, actor, or policy object given environment
	observations
getActor	Extract actor from reinforcement learning agent
setActor	Set actor of reinforcement learning agent
getCritic	Extract critic from reinforcement learning agent

setCritic Set critic of reinforcement learning agent
generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy
object

## **Examples**

#### Create a SARSA Agent

Create or load an environment interface. For this example load the Basic Grid World environment interface also used in the example "Train Reinforcement Learning Agent in Basic Grid World".

```
env = rlPredefinedEnv("BasicGridWorld");
Get observation and action specifications.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

A SARSA agent uses a parametrized Q-value function to estimate the value of the policy. A Q-value function takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

Since both observation and action spaces are discrete and low-dimensional, use a table to model the Q-value function within the critic. rlTable creates a value table object from the observation and action specifications objects.

Create a table approximation model derived from the environment observation and action specifications.

```
qTable = rlTable(obsInfo,actInfo);
```

Create the Q-value function approximator object using qTable and the environment specification objects. For more information, see rlQValueFunction.

```
critic = rlQValueFunction(qTable,obsInfo,actInfo);
```

Create a SARSA agent using the approximator object.

To check your agent, use getAction to return the action from a random observation.

```
act = getAction(agent,{randi(numel(obsInfo.Elements))});
act{1}
ans = 1
```

You can now test and train the agent against the environment.

## **Version History**

Introduced in R2019a

## See Also

#### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

getAction|getActor|getCritic|getModel|generatePolicyFunction| generatePolicyBlock|getActionInfo|getObservationInfo

#### **Objects**

rlSARSAAgentOptions | rlAgentInitializationOptions | rlQValueFunction | rlQAgent | rlDQNAgent

### **Blocks**

RL Agent | Policy

#### **Topics**

"Train Reinforcement Learning Agent in MDP Environment"

- "Train Reinforcement Learning Agents"
- "SARSA Agents"
- "Reinforcement Learning Agents"

## rlSARSAAgentOptions

Options for SARSA agent

## **Description**

Use an rlSARSAAgentOptions object to specify options for creating SARSA agents. To create a SARSA agent, use rlSARSAAgent

For more information on SARSA agents, see "SARSA Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

## Creation

## **Syntax**

opt = rlSARSAAgentOptions
opt = rlSARSAAgentOptions(Name, Value)

## **Description**

opt = rlsARSAAgentOptions creates an rlsARSAAgentOptions object for use as an argument when creating a SARSA agent using all default settings. You can modify the object properties using dot notation.

opt = rlSARSAAgentOptions(Name, Value) sets option properties on page 3-378 using name-value pairs. For example, rlSARSAAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

## **Properties**

### EpsilonGreedyExploration — Options for epsilon-greedy exploration

EpsilonGreedyExploration object

Options for epsilon-greedy exploration, specified as an EpsilonGreedyExploration object with the following properties.

Property	Description	Default Value
Epsilon	Probability threshold to either randomly select an action or select the action that maximizes the state-action value function. A larger value of Epsilon means that the agent randomly explores the action space at a higher rate.	1
EpsilonMin	Minimum value of Epsilon	0.01
EpsilonDecay	Decay rate	0.0050

At the end of each training time step, if Epsilon is greater than EpsilonMin, then it is updated using the following formula.

Epsilon = Epsilon\*(1-EpsilonDecay)

Note that Epsilon is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches EpsilonMin.

If your agent converges on local optima too quickly, you can promote agent exploration by increasing <code>Epsilon</code>.

To specify exploration options, use dot notation after creating the rlSARSAAgentOptions object opt. For example, set the epsilon value to 0.9.

opt.EpsilonGreedyExploration.Epsilon = 0.9;

### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### DiscountFactor — Discount factor

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

## **Object Functions**

rlSARSAAgent SARSA reinforcement learning agent

## **Examples**

## Create a SARSA Agent Options Object

Create an rlSARSAAgentOptions object that specifies the agent sample time.

You can modify options using dot notation. For example, set the agent discount factor to 0.95.

```
opt.DiscountFactor = 0.95;
```

## **Version History**

Introduced in R2019a

## See Also

### Objects

rlSARSAAgent|rlAgentInitializationOptions

## **Topics**

"Train Reinforcement Learning Agent in Basic Grid World" "SARSA Agents"

## rlSimulationOptions

Options for simulating a reinforcement learning agent within an environment

## **Description**

Use an rlSimulationOptions object to specify simulation options for simulating a reinforcement learning agent within an environment. To perform the simulation, use sim.

For more information on agents training and simulation, see "Train Reinforcement Learning Agents".

## Creation

## **Syntax**

simOpts = rlSimulationOptions
opt = rlSimulationOptions(Name, Value)

## **Description**

simOpts = rlSimulationOptions returns the default options for simulating a reinforcement
learning environment against an agent. Use simulation options to specify parameters about the
simulation such as the maximum number of steps to run per simulation and the number of
simulations to run. After configuring the options, use simOpts as an input argument for sim.

opt = rlSimulationOptions(Name, Value) creates a simulation options set with the specified
"Properties" on page 3-381 using one or more name-value pair arguments.

## **Properties**

#### MaxSteps — Number of steps to run the simulation

500 (default) | positive integer

Number of steps to run the simulation, specified as the comma-separated pair consisting of 'MaxSteps' and a positive integer. In general, you define episode termination conditions in the environment. This value is the maximum number of steps to run in the simulation if those termination conditions are not met.

Example: 'MaxSteps', 1000

#### NumSimulations — Number of simulations

1 (default) | positive integer

Number of simulations to run, specified as the comma-separated pair consisting of 'NumSimulations' and a positive integer. At the start of each simulation, sim resets the environment. You specify what happens on environment reset when you create the environment. For instance, resetting the environment at the start of each episode can include randomizing initial state values, if you configure your environment to do so. In that case, running multiple simulations allows you to validate performance of a trained agent over a range of initial conditions.

Example: 'NumSimulations', 10

## StopOnError — Stop simulation when error occurs

"on" (default) | "off'

Stop simulation when an error occurs, specified as "off" or "on". When this option is "off", errors are captured and returned in the SimulationInfo output of sim, and simulation continues.

#### UseParallel — Flag for using parallel simulation

false (default) | true

Flag for using parallel simulation, specified as a logical. Setting this option to true configures the simulation to use parallel processing to simulate the environment, thereby enabling usage of multiple cores, processors, computer clusters or cloud resources to speed up simulation. To specify options for parallel simulation, use the ParallelizationOptions property.

Note that if you want to speed up deep neural network calculations (such as gradient computation, parameter update and prediction) using a local GPU you do not need to set UseParallel to true. Instead, when creating your actor or critic representation, use an rlRepresentationOptions object in which the UseDevice option is set to "gpu".

Using parallel computing or the GPU requires Parallel Computing Toolbox software. Using computer clusters or cloud resources additionally requires MATLAB Parallel Server $^{\text{m}}$ .

For more information about training using multicore processors and GPUs, see "Train Agents Using Parallel Computing and GPUs".

Example: 'UseParallel', true

### ParallelizationOptions — Options to control parallel simulation

ParallelTraining object

Parallelization options to control parallel simulation, specified as a ParallelTraining object. For more information about training using parallel computing, see "Train Reinforcement Learning Agents".

The ParallelTraining object has the following properties, which you can modify using dot notation after creating the rlTrainingOptions object.

## WorkerRandomSeeds — Randomizer initialization for workers

```
-1 (default) | -2 | vector
```

Randomizer initialization for workers, specified as one the following:

- -1 Assign a unique random seed to each worker. The value of the seed is the worker ID.
- –2 Do not assign a random seed to the workers.
- Vector Manually specify the random seed for each work. The number of elements in the vector must match the number of workers.

# TransferBaseWorkspaceVariables — Send model and workspace variables to parallel workers

```
"on" (default) | "off"
```

Send model and workspace variables to parallel workers, specified as "on" or "off". When the option is "on", the host sends variables used in models and defined in the base MATLAB workspace to the workers.

## AttachedFiles — Additional files to attach to the parallel pool

```
[] (default) | string | string array
```

Additional files to attach to the parallel pool, specified as a string or string array.

#### **SetupFcn** — Function to run before simulation starts

```
[] (default) | function handle
```

Function to run before simulation starts, specified as a handle to a function having no input arguments. This function is run once per worker before simulation begins. Write this function to perform any processing that you need prior to simulation.

## CleanupFcn — Function to run after simulation ends

```
[] (default) | function handle
```

Function to run after simulation ends, specified as a handle to a function having no input arguments. You can write this function to clean up the workspace or perform other processing after simulation terminates.

## **Object Functions**

sim Simulate trained reinforcement learning agents within specified environment

## **Examples**

### **Configure Options for Simulation**

Create an options set for simulating a reinforcement learning environment. Set the number of steps to simulate to 1000, and configure the options to run three simulations.

You can set the options using Name, Value pairs when you create the options set. Any options that you do not explicitly set have their default values.

Alternatively, create a default options set and use dot notation to change some of the values.

```
simOpts = rlSimulationOptions;
simOpts.MaxSteps = 1000;
```

## **Version History**

Introduced in R2019a

## See Also

## **Functions**

sim

## **Objects**

rlTrainingOptions|rlMultiAgentTrainingOptions

### **Topics**

"Train Reinforcement Learning Agents"

"Reinforcement Learning Agents"

## rlStochasticActorPolicy

Policy object to generate stochastic actions for custom training loops and application deployment

## **Description**

This object implements a stochastic policy, which returns stochastic actions given an input observation, according to a probability distribution. You can create an rlStochasticActorPolicy object from an rlDiscreteCategoricalActor or rlContinuousGaussianActor, or extract it from an rlPGAgent, rlACAgent, rlPPOAgent, rlTRPOAgent, or rlSACAgent. You can then train the policy object using a custom training loop or deploy it for your application using generatePolicyBlock or generatePolicyFunction. If UseMaxLikelihoodAction is set to 1 the policy is deterministic, therefore in this case it does not explore. For more information on policies and value functions, see "Create Policies and Value Functions".

## Creation

## **Syntax**

policy = rlStochasticActorPolicy(actor)

## **Description**

policy = rlStochasticActorPolicy(actor) creates the stochastic policy object policy from the continuous Gaussian or discrete categorical actor actor. It also sets the Actor property of policy to the input argument actor.

## **Properties**

### Actor — Actor

rlDiscreteCategoricalActorobject | rlContinuousGaussianActorobject

Actor, specified as an rlContinuousGaussianActor or rlDiscreteCategoricalActor object.

## UseMaxLikelihoodAction — Option to enable maximum likelihood action

false (default) | true

Option to enable maximum likelihood action, specified as a logical value: either false (default, the action is sampled from the probability distribution, this helps exploration) or true (always using maximum likelihood action). When the option to always use the maximum likelihood action enabled the policy is deterministic and therefore it does not explore.

Example: false

## **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array of such objects. These objects define properties such as the dimensions, data types, and names of the observation channels.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

## SampleTime — Sample time of policy

positive scalar | -1 (default)

Sample time of the policy, specified as a positive scalar or as -1 (default). Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the policy is specified executes every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the policy is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience. If SampleTime is -1, the sample time is treated as being equal to 1.

Example: 0.2

## **Object Functions**

generatePolicyBlock Generate Simulink block that evaluates policy of an agent or policy object generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy object

Obtain action from agent, actor, or policy object given environment getAction

observations

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

Reset environment, agent, experience buffer, or policy object reset

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

## **Examples**

#### Create Stochastic Actor Policy from Discrete Categorical Actor

Create observation and action specification objects. For this example, define a continuous fourdimensional observation space and a discrete action space having two possible actions.

```
obsInfo = rlNumericSpec([4 1]);
actInfo = rlFiniteSetSpec([-1 1]);
```

Alternatively, use getObservationInfo and getActionInfo to extract the specification objects from an environment

Create a discrete categorical actor. This actor must accept an observation as input and return an output vector in which each element represents the probability of taking the corresponding action.

To approximate the policy function within the actor, use a deep neural network model. Define the network as an array of layer objects, and get the dimension of the observation space and the number of possible actions from the environment specification objects.

```
layers = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
```

Convert the network to a dlnetwork object and display the number of weights.

Create the actor using model, and the observation and action specifications.

To return the probability distribution of the possible actions as a function of a random observation, and given the current network weights, use evaluate.

```
prb = evaluate(actor,{rand(obsInfo.Dimension)});
prb{1}
ans = 2x1 single column vector
    0.5850
    0.4150

Create a policy object from actor.
policy = rlStochasticActorPolicy(actor)
policy =
    rlStochasticActorPolicy with properties:
```

```
Actor: [1x1 rl.function.rlDiscreteCategoricalActor]
UseMaxLikelihoodAction: 0
ObservationInfo: [1x1 rl.util.rlNumericSpec]
ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
SampleTime: -1
```

You can access the policy options using dot notation. For example, set the option to always use the maximum likelihood action, thereby making the policy deterministic.

```
policy.UseMaxLikelihoodAction = true
```

Check the policy with a random observation input.

```
act = getAction(policy,{rand(obsInfo.Dimension)});
act{1}
ans = -1
```

You can now train the policy with a custom training loop and then deploy it to your application.

## **Version History**

Introduced in R2022a

## See Also

### **Functions**

getGreedyPolicy|getExplorationPolicy|generatePolicyBlock|
generatePolicyFunction|getAction|getLearnableParameters|
setLearnableParameters

## **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlDeterministicActorPolicy |
rlAdditiveNoisePolicy | rlDiscreteCategoricalActor | rlContinuousGaussianActor |
rlPGAgent | rlACAgent | rlSACAgent | rlPPOAgent |

#### **Blocks**

Policy | RL Agent

### **Topics**

"Model-Based Reinforcement Learning Using Custom Training Loop"
"Train Reinforcement Learning Policy Using Custom Training Loop"

"Create Policies and Value Functions"

## rlStochasticActorRepresentation

(Not recommended) Stochastic actor representation for reinforcement learning agents

**Note** rlStochasticActorRepresentation is not recommended. Use either rlDiscreteCategoricalActor or rlContinuousGaussianActor instead. For more information, see "rlStochasticActorRepresentation is not recommended".

## **Description**

This object implements a function approximator to be used as a stochastic actor within a reinforcement learning agent. A stochastic actor takes the observations as inputs and returns a random action, thereby implementing a stochastic policy with a specific probability distribution. After you create an rlstochasticActorRepresentation object, use it to create a suitable agent, such as an rlACAgent or rlPGAgent agent. For more information on creating representations, see "Create Policies and Value Functions".

## Creation

## Syntax

```
discActor = rlStochasticActorRepresentation(net,observationInfo,
    discActionInfo,'Observation',obsName)
    discActor = rlStochasticActorRepresentation({basisFcn,W0},observationInfo,
    actionInfo)
    discActor = rlStochasticActorRepresentation(____,options)

contActor = rlStochasticActorRepresentation(net,observationInfo,
    contActionInfo,'Observation',obsName)
    contActor = rlStochasticActorRepresentation(____,options)
```

#### **Description**

### **Discrete Action Space Stochastic Actor**

discActor = rlStochasticActorRepresentation(net,observationInfo, discActionInfo,'Observation',obsName) creates a stochastic actor with a discrete action space, using the deep neural network net as function approximator. Here, the output layer of net must have as many elements as the number of possible discrete actions. This syntax sets the ObservationInfo and ActionInfo properties of discActor to the inputs observationInfo and discActionInfo, respectively. obsName must contain the names of the input layers of net.

discActor = rlStochasticActorRepresentation({basisFcn,W0},observationInfo, actionInfo) creates a discrete space stochastic actor using a custom basis function as underlying approximator. The first input argument is a two-elements cell in which the first element contains the handle basisFcn to a custom basis function, and the second element contains the initial weight matrix W0. This syntax sets the ObservationInfo and ActionInfo properties of discActor to the inputs observationInfo and actionInfo, respectively.

discActor = rlStochasticActorRepresentation(\_\_\_\_,options) creates the discrete action space, stochastic actor discActor using the additional options set options, which is an rlRepresentationOptions object. This syntax sets the Options property of discActor to the options input argument. You can use this syntax with any of the previous input-argument combinations.

#### **Continuous Action Space Gaussian Actor**

contActor = rlStochasticActorRepresentation(net,observationInfo,
contActionInfo,'Observation',obsName) creates a Gaussian stochastic actor with a
continuous action space using the deep neural network net as function approximator. Here, the
output layer of net must have twice as many elements as the number of dimensions of the continuous
action space. This syntax sets the ObservationInfo and ActionInfo properties of contActor to the
inputs observationInfo and contActionInfo respectively. obsName must contain the names of
the input layers of net.

**Note** contActor does not enforce constraints set by the action specification, therefore, when using this actor, you must enforce action space constraints within the environment.

contActor = rlStochasticActorRepresentation(\_\_\_\_,options) creates the continuous action space, Gaussian actor contActor using the additional options option set, which is an rlRepresentationOptions object. This syntax sets the Options property of contActor to the options input argument. You can use this syntax with any of the previous input-argument combinations.

#### **Input Arguments**

#### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object

Deep neural network used as the underlying approximator within the actor, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

For a discrete action space stochastic actor, net must have the observations as input and a single output layer having as many elements as the number of possible discrete actions. Each element represents the probability (which must be nonnegative) of executing the corresponding action.

For a continuous action space stochastic actor, net must have the observations as input and a single output layer having twice as many elements as the number of dimensions of the continuous action space. The elements of the output vector represent all the mean values followed by all the standard deviations (which must be nonnegative) of the Gaussian distributions for the dimensions of the action space.

**Note** The fact that standard deviations must be nonnegative while mean values must fall within the output range means that the network must have two separate paths. The first path must produce an

estimation for the mean values, so any output nonlinearity must be scaled so that its output falls in the desired range. The second path must produce an estimation for the standard deviations, so you must use a softplus or ReLU layer to enforce nonnegativity.

The network input layers must be in the same order and with the same data type and dimensions as the signals defined in ObservationInfo. Also, the names of these input layers must match the observation names specified in obsName. The network output layer must have the same data type and dimension as the signal defined in ActionInfo.

rlStochasticActorRepresentation objects support recurrent deep neural networks.

For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

### obsName — Observation names

string | character vector | cell array of character vectors

Observation names, specified as a cell array of strings or character vectors. The observation names must be the names of the input layers in net.

```
Example: {'my obs'}
```

## basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the actor is the vector a = softmax(W'\*B), where W is a weight matrix and B is the column vector returned by the custom basis function. Each element of a represents the probability of taking the corresponding action. The learnable parameters of the actor are the elements of W.

When creating a stochastic actor representation, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here obs1 to obsN are observations in the same order and with the same data type and dimensions as the signals defined in observationInfo

```
Example: @(obs1, obs2, obs3) [obs3(2)*obs1(1)^2; abs(obs2(5)+obs3(1))]
```

#### W0 — Initial value of the basis function weights

column vector

Initial value of the basis function weights, W, specified as a matrix. It must have as many rows as the length of the basis function output, and as many columns as the number of possible actions.

# **Properties**

## **Options** — Representation options

rlRepresentationOptions object

Representation options, specified as an rlRepresentationOptions object. Available options include the optimizer used for training and the learning rate.

**Note** TRPO agents use only the Options. UseDevice representation options and ignore the other training and learning rate options.

## **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. These objects define properties such as dimensions, data type, and names of the observation signals.

rlStochasticActorRepresentation sets the ObservationInfo property of contActor or discActor to the input observationInfo.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified as an rlFiniteSetSpec or rlNumericSpec object. These objects define properties such as the dimensions, data type and name of the action signals.

For a discrete action space actor, rlStochasticActorRepresentation sets ActionInfo to the input discActionInfo, which must be an rlFiniteSetSpec object.

For a continuous action space actor, rlStochasticActorRepresentation sets ActionInfo to the input contActionInfo, which must be an rlNumericSpec object.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually.

For custom basis function representations, the action signal must be a scalar, a column vector, or a discrete action.

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

rlSACAgent Soft actor-critic (SAC) reinforcement learning agent

getAction Obtain action from agent, actor, or policy object given environment observations

# **Examples**

## **Create Discrete Stochastic Actor from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as consisting of three values, -10, 0, and 10.

```
actInfo = rlFiniteSetSpec([-10 0 10]);
```

Create a deep neural network approximator for the actor. The input of the network (here called state) must accept a four-element vector (the observation vector just defined by obsInfo), and its output (here called actionProb) must be a three-element vector. Each element of the output vector must be between 0 and 1 since it represents the probability of executing each of the three possible actions (as defined by actInfo). Using softmax as the output layer enforces this requirement.

Create the actor with rlStochasticActorRepresentation, using the network, the observations and action specification objects, as well as the names of the network input layer.

To validate your actor, use **getAction** to return a random action from the observation vector [1 1 1 1], using the current network weights.

```
act = getAction(actor,{[1 1 1]});
act{1}
ans = 10
```

You can now use the actor to create a suitable agent, such as an rlACAgent, or rlPGAgent agent.

#### **Create Continuous Stochastic Actor from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous six-dimensional space, so that a single observation is a column vector containing 6 doubles.

```
obsInfo = rlNumericSpec([6 1]);
```

Create an action specification object (or alternatively use getActionInfo to extract the specification object from an environment). For this example, define the action space as a continuous two-dimensional space, so that a single action is a column vector containing 2 doubles both between -10 and 10.

```
actInfo = rlNumericSpec([2 1], 'LowerLimit', -10, 'UpperLimit', 10);
```

Create a deep neural network approximator for the actor. The observation input (here called myobs) must accept a six-dimensional vector (the observation vector just defined by obsInfo). The output (here called myact) must be a four-dimensional vector (twice the number of dimensions defined by actInfo). The elements of the output vector represent, in sequence, all the means and all the standard deviations of every action.

The fact that standard deviations must be non-negative while mean values must fall within the output range means that the network must have two separate paths. The first path is for the mean values, and any output nonlinearity must be scaled so that it can produce values in the output range. The second path is for the standard deviations, and you can use a softplus or ReLU layer to enforce non-negativity.

```
% input path layers (6 by 1 input and a 2 by 1 output)
inPath = [ imageInputLayer([6 1 1], ...
               'Normalization','none',...
               'Name', 'myobs')
           fullyConnectedLayer(2,'Name','infc') ];
% path layers for mean value
% (2 by 1 input and 2 by 1 output)
% using scalingLayer to scale the range
meanPath = [ tanhLayer('Name', 'tanh'); % range: (-1,1)
             scalingLayer('Name','scale',...
             'Scale',actInfo.UpperLimit) ]; % range: (-10,10)
% path layers for standard deviations
% (2 by 1 input and output)
% using softplus layer to make it non negative
sdevPath = softplusLayer('Name', 'splus');
% conctatenate two inputs (along dimension #3)
% to form a single (4 by 1) output layer
outLayer = concatenationLayer(3,2,'Name','mean&sdev');
% add layers to network object
net = layerGraph(inPath);
net = addLayers(net,meanPath);
net = addLayers(net,sdevPath);
net = addLayers(net,outLayer);
% connect layers: the mean value path output MUST
% be connected to the FIRST input of the concatenationLayer
% connect output of inPath to meanPath input
net = connectLayers(net, 'infc', 'tanh/in');
% connect output of inPath to sdevPath input
net = connectLayers(net, 'infc', 'splus/in');
% connect output of meanPath to gaussPars input #1
net = connectLayers(net, 'scale', 'mean&sdev/in1');
% connect output of sdevPath to gaussPars input #2
net = connectLayers(net, 'splus', 'mean&sdev/in2');
Set some training options for the actor.
actorOpts = rlRepresentationOptions('LearnRate',8e-3,'GradientThreshold',1);
```

Create the actor with rlStochasticActorRepresentation, using the network, the observations and action specification objects, the names of the network input layer and the options object.

To check your actor, use getAction to return a random action from the observation vector ones (6,1), using the current network weights.

```
act = getAction(actor, {ones(6,1)});
act{1}
ans = 2x1 single column vector
   -0.0763
   9.6860
```

You can now use the actor to create a suitable agent (such as an rlACAgent, rlPGAgent, or rlPPOAgent agent).

### **Create Stochastic Actor from Custom Basis Function**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 2 doubles.

```
obsInfo = rlNumericSpec([2 1]);
```

The stochastic actor based on a custom basis function does not support continuous action spaces. Therefore, create a *discrete action space* specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of 3 possible values (named 7, 5, and 3 in this case).

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

Create a custom basis function. Each element is a function of the observations defined by obsInfo.

The output of the actor is the action, among the ones defined in actInfo, corresponding to the element of softmax(W'\*myBasisFcn(myobs)) which has the highest value. W is a weight matrix, containing the learnable parameters, which must have as many rows as the length of the basis function output, and as many columns as the number of possible actions.

Define an initial parameter matrix.

```
W0 = rand(4,3);
```

Create the actor. The first argument is a two-element cell containing both the handle to the custom function and the initial parameter matrix. The second and third arguments are, respectively, the observation and action specification objects.

To check your actor use the **getAction** function to return one of the three possible actions, depending on a given random observation and on the current parameter matrix.

```
v = getAction(actor,{rand(2,1)})
v = 1x1 cell array
{[3]}
```

You can now use the actor (along with an critic) to create a suitable discrete action space agent.

#### Create Stochastic Actor with Recurrent Neural Network

For this example, you create a stochastic actor with a discrete action space using a recurrent neural network. You can also use a recurrent neural network for a continuous stochastic actor using the same method.

Create an environment and obtain observation and action information.

```
env = rlPredefinedEnv('CartPole-Discrete');
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
numObs = obsInfo.Dimension(1);
numDiscreteAct = numel(actInfo.Elements);
```

Create a recurrent deep neural network for the actor. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
actorNetwork = [
    sequenceInputLayer(numObs, 'Normalization', 'none', 'Name', 'state')
    fullyConnectedLayer(8, 'Name', 'fc')
    reluLayer('Name', 'relu')
```

# **Version History**

Introduced in R2020a

#### R2022a: rlStochasticActorRepresentation is not recommended

Not recommended starting in R2022a

rlStochasticActorRepresentation is not recommended. Use either rlDiscreteCategoricalActor or rlContinuousGaussianActor instead.

The following table shows some typical uses of rlStochasticActorRepresentation to create *neural network*-based actors, and how to update your code with one of the new stochastic actor approximator objects instead. The first table entry builds an actor with a discrete action space, the second one builds an actor with a continuous action space.

Network-Based Stochastic Actor Representation: Not Recommended	Network-Based Stochastic Actor Approximator: Recommended
<pre>myActor = rlStochasticActorRepresentation(net,ob sInfo,actInfo,'Observation',obsNames), with actInfo defining a discrete action space and net having observations as inputs and a single output layer with as many elements as the number of possible discrete actions.</pre>	myActor = rlDiscreteCategoricalActor(net,obsInfo,actInfo,'ObservationInputNames',obsNames). Use this syntax to create a stochastic actor object with a discrete action space. This actor samples its action from a categorical (also known as Multinoulli) distribution.
myActor = rlStochasticActorRepresentation(net,ob sInfo,actInfo,'Observation',obsNames), with actInfo defining a continuous action space and net having observations as inputs and a single output layer with twice as many elements as the number of dimensions of the continuous action space (representing, in sequence, all the means and all the standard deviations of every action dimension).	myActor = rlContinuousGaussianActor(net,obsInfo, actInfo,'ObservationInputNames',obsNam es,'ActionMeanOutputNames',actMeanName s,'ActionStandardDeviationOutputNames' ,actStdNames). Use this syntax to create a stochastic actor object with a continuous action space. This actor samples its action from a Gaussian distribution, and you must provide the names of the network outputs representing the mean and standard deviations for the action.

The following table shows a typical use of rlStochasticActorRepresentation to create a (discrete action space) actor which use a (linear in the learnable parameters) custom basis function, and how to update your code with rlDiscreteCategoricalActor instead. In these function calls, the first input argument is a two-element cell array containing both the handle to the custom basis function and the initial weight vector or matrix.

Custom Basis Stochastic Actor Representation: Not Recommended	Custom Basis Function-Based Stochastic Actor Approximator: Recommended
<pre>Fcn,W0},obsInfo,actInfo), where the basis function has observations as inputs and actions as outputs, W0 is a matrix with as many columns</pre>	<pre>rep = rlDiscreteCategoricalActor({basisFcn,W 0},obsInfo,actInfo). Use this syntax to create a stochastic actor object with a discrete action space which returns an action sampled from a categorical (also known as Multinoulli) distribution.</pre>

# See Also

## **Functions**

getActionInfo|getObservationInfo

#### Objects

rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlRepresentationOptions

# **Topics**

- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"

# rlTable

Value table or Q table

# **Description**

Value tables and Q-tables are one of the approximation models that can be used within value functions and Q-value functions, respectively. Value tables store rewards for a finite set of observations. Q tables store rewards for corresponding finite observation-action pairs.

To create a value function approximator using an rlTable object, use an rlValueFunction, rlQValueFunction, or rlVectorQValueFunction object.

# Creation

# **Syntax**

T = rlTable(obsinfo)
T = rlTable(obsinfo,actinfo)

## **Description**

T = rlTable(obsinfo) creates a value table for the given discrete observations.

T = rlTable(obsinfo,actinfo) creates a Q table for the given discrete observations and actions.

#### **Input Arguments**

#### obsinfo — Observation specification

rlFiniteSetSpec object

Observation specification, specified as an rlFiniteSetSpec object.

#### actinfo — Action specification

rlFiniteSetSpec object

Action specification, specified as an rlFiniteSetSpec object.

# **Properties**

#### Table — Reward table

array

Reward table, returned as an array. When Table is a:

- Value table, it contains  $N_O$  rows, where  $N_O$  is the number of finite observation values.
- Q table, it contains  $N_O$  rows and  $N_A$  columns, where  $N_A$  is the number of possible finite actions.

# **Object Functions**

rlValueFunction rlOValueFunction

Value function approximator object for reinforcement learning agents Q-Value function approximator object for reinforcement learning agents rlVectorQValueFunction Vector Q-value function approximator for reinforcement learning agents

# **Examples**

#### **Create a Value Table**

Create an environment interface, and obtain its observation specifications.

```
env = rlPredefinedEnv("BasicGridWorld");
obsInfo = getObservationInfo(env)
obsInfo =
  rlFiniteSetSpec with properties:
       Elements: [25x1 double]
           Name: "MDP Observations"
   Description: [0x0 string]
      Dimension: [1 1]
       DataType: "double"
```

Create the value table using the observation specification.

```
vTable = rlTable(obsInfo)
vTable =
 rlTable with properties:
   Table: [25x1 double]
```

You can now use your table as an approximation model for a value function for an agent with a discrete observation space. For more information, see rlValueFunction.

### Create a Q Table

This example shows how to use rlTable to create a Q table. Such a table could be used to represent the actor or critic of an agent with finite observation and action spaces.

Create an environment interface, and obtain its observation and action specifications.

```
env=rlMDPEnv(createMDP(8,["up";"down"]));
obsInfo = getObservationInfo(env)
obsInfo =
  rlFiniteSetSpec with properties:
       Elements: [8x1 double]
           Name: "MDP Observations"
   Description: [0x0 string]
```

```
Dimension: [1 1]
    DataType: "double"

actInfo = getActionInfo(env)

actInfo =
    rlFiniteSetSpec with properties:

    Elements: [2x1 double]
        Name: "MDP Actions"

Description: [0x0 string]
    Dimension: [1 1]
    DataType: "double"
```

Create the Q table using the observation and action specifications.

```
qTable = rlTable(obsInfo,actInfo)
qTable =
  rlTable with properties:
  Table: [8x2 double]
```

You can now use your table as an approximation model for a Q-value function for an agent with discrete action and observation spaces. For more information, see rlQValueFunction.

# **Version History**

Introduced in R2019a

# See Also

#### **Functions**

getObservationInfo | getActionInfo

### **Objects**

rlFiniteSetSpec | rlValueFunction | rlQValueFunction | rlQAgent | rlSARSAAgent |
rlDQNAgent

#### **Topics**

"Train Reinforcement Learning Agent in Basic Grid World"

"Train Reinforcement Learning Agent in MDP Environment"

"Load Predefined Control System Environments"

"Reinforcement Learning Agents"

"Create Policies and Value Functions"

# rlTD3Agent

Twin-delayed deep deterministic (TD3) policy gradient reinforcement learning agent

# **Description**

The twin-delayed deep deterministic (TD3) policy gradient algorithm is an actor-critic, model-free, online, off-policy reinforcement learning method which computes an optimal policy that maximizes the long-term reward. The action space can only be continuous.

Use rlTD3Agent to create one of the following types of agents.

- Twin-delayed deep deterministic policy gradient (TD3) agent with two Q-value functions. This agent prevents overestimation of the value function by learning two Q value functions and using the minimum values for policy updates.
- Delayed deep deterministic policy gradient (delayed DDPG) agent with a single Q value function. This agent is a DDPG agent with target policy smoothing and delayed policy and target updates.

For more information, see "Twin-Delayed Deep Deterministic (TD3) Policy Gradient Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

# Creation

# **Syntax**

```
agent = rlTD3Agent(observationInfo,actionInfo)
agent = rlTD3Agent(observationInfo,actionInfo,initOpts)
agent = rlTD3Agent(actor,critics,agentOptions)
agent = rlTD3Agent( ,agentOptions)
```

### **Description**

#### **Create Agent from Observation and Action Specifications**

agent = rlTD3Agent(observationInfo,actionInfo) creates a TD3 agent for an environment with the given observation and action specifications, using default initialization options. The actor and critics in the agent use default deep neural networks built from the observation specification observationInfo and the action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlTD3Agent(observationInfo,actionInfo,initOpts) creates a deep deterministic
policy gradient agent for an environment with the given observation and action specifications. The
agent uses default networks configured using options specified in the initOpts object. For more
information on the initialization options, see rlAgentInitializationOptions.

#### **Create Agent from Actor and Critic**

agent = rlTD3Agent(actor,critics,agentOptions) creates an agent with the specified actor
and critic. To create a:

- TD3 agent, specify a two-element row vector of critic.
- Delayed DDPG agent, specify a single critic.

### **Specify Agent Options**

agent = rlTD3Agent(\_\_\_\_, agentOptions) creates a TD3 agent and sets the AgentOptions
property to the agentOptions input argument. Use this syntax after any of the input arguments in
the previous syntaxes.

## **Input Arguments**

## initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

#### actor - Actor

rlContinuousDeterministicActor object

Actor, specified as an rlContinuousDeterministicActor. For more information on creating actors, see "Create Policies and Value Functions".

#### critics — Critic network

rlQValueFunction object | two-element row vector of rlQValueFunction objects

Critic, specified as one of the following:

- rlQValueFunction object Create a delayed DDPG agent with a single Q value function. This agent is a DDPG agent with target policy smoothing and delayed policy and target updates.
- Two-element row vector of rlQValueFunction objects Create a TD3 agent with two critic value functions. The two critic networks must be unique rlQValueFunction objects with the same observation and action specifications. The critics can either have different structures or the same structure but with different initial parameters.

For more information on creating critics, see "Create Policies and Value Functions".

# **Properties**

### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

## ActionInfo — Action specification

rlNumericSpec object

Action specifications, specified as an rlNumericSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

## **Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlNumericSpec.

# AgentOptions — Agent options

rlTD3AgentOptions object

Agent options, specified as an rlTD3AgentOptions object.

If you create a TD3 agent with default actor and critic that use recurrent neural networks, the default value of AgentOptions. SequenceLength is 32.

## UseExplorationPolicy — Option to use exploration policy

false (default) | true

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions.
- false Use the base agent greedy policy when selecting actions.

## ExperienceBuffer — Experience buffer

rlReplayMemory object

Experience buffer, specified as an rleplayMemory object. During training the agent stores each of its experiences (S,A,R,S',D) in a buffer. Here:

- *S* is the current observation of the environment.
- *A* is the action taken by the agent.
- *R* is the reward for taking action *A*.
- *S*' is the next observation after taking action *A*.
- *D* is the is-done signal after taking action *A*.

## SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train Train reinforcement learning agents within a specified environment sim Simulate trained reinforcement learning agents within specified

environment

getAction Obtain action from agent, actor, or policy object given environment

observations

getActor Extract actor from reinforcement learning agent setActor Set actor of reinforcement learning agent getCritic Extract critic from reinforcement learning agent setCritic Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

# **Examples**

# Create TD3 Agent from Observation and Action Specifications

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force, applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a TD3 agent from the environment observation and action specifications.

```
agent = rlTD3Agent(obsInfo,actInfo);
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent,{rand(obsInfo.Dimension)})
```

```
ans = 1x1 cell array {[0.0087]}
```

You can now test and train the agent within the environment. You can also use getActor and getCritic to extract the actor and critic, respectively, and getModel to extract the approximator model (by default a deep neural network) from the actor or critic.

#### **Create TD3 Agent Using Initialization Options**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

```
% Load predefined environment
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
% Obtain observation and action specifications
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization option object, specifying that each hidden fully connected layer in the network must have 128 neurons (instead of the default number, 256).

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a DDPG agent from the environment observation and action specifications.

```
agent = rlTD3Agent(obsInfo,actInfo,initOpts);
```

Extract the deep neural networks from the actor.

```
actorNet = getModel(getActor(agent));
```

Extract the deep neural networks from the two critics. Note that getModel(critics) only returns the first critic network.

```
critics = getCritic(agent);
criticNet1 = getModel(critics(1));
criticNet2 = getModel(critics(2));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

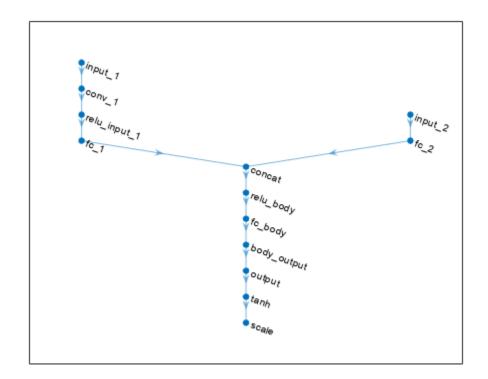
```
criticNet1.Layers
```

or visualize the structure interactively using analyzeNetwork.

```
analyzeNetwork(criticNet1)
```

Plot the networks of the actor and of the second critic, and display the number of weights.

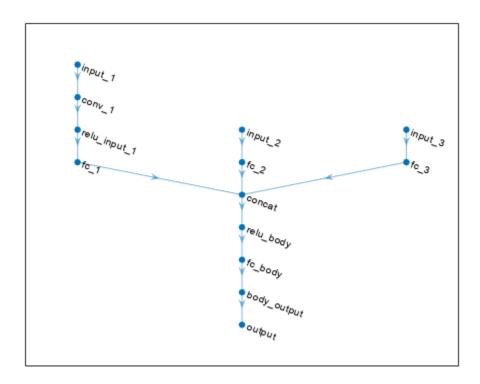
```
plot(layerGraph(actorNet))
```



# summary(actorNet)

```
Initialized: true
Number of learnables: 18.9M
Inputs:
   1 'input_1' 50x50x1 images
2 'input_2' 1 features
```

# plot(layerGraph(criticNet2))



### summary(criticNet2)

```
Initialized: true

Number of learnables: 18.9M

Inputs:
    1 'input_1' 50x50x1 images
    2 'input_2' 1 features
    3 'input_3' 1 features
```

To check your agent, use getAction to return the action from a random observation. getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})

```
ans = 1x1 cell array {[0.0675]}
```

You can now test and train the agent within the environment.

## **Create TD3 Agent from Actor and Critic**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to

Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

TD3 agents use two Q-value function critics. A Q-value function critic takes the current observation and an action as inputs and returns a single scalar as output (the estimated discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy thereafter).

To model the parametrized Q-value function within the critics, use a neural network with two input layers (one for the observation channel, as specified by obsInfo, and the other for the action channel, as specified by actInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) and prod(actInfo.Dimension) return the number of dimensions of the observation and action spaces, respectively, regardless of whether they are arranged as row vectors, column vectors, or matrices.

Define each network path as an array of layer objects. Assign names to the input and output layers of each path, so you can connect them.

```
% Observation path
obsPath = [
    featureInputLayer(prod(obsInfo.Dimension), Name="obsPathIn")
    fullyConnectedLayer(32)
    reluLaver
    fullyConnectedLayer(16,Name="obsPathOut")
    ];
% Action path
actPath = [
    featureInputLayer(prod(actInfo.Dimension),Name="actPathIn")
    fullyConnectedLayer(32)
    reluLayer
    fullyConnectedLayer(16,Name="actPathOut")
    ];
% Common path
commonPath = [
    concatenationLayer(1,2,Name="concat")
    reluLayer
    fullyConnectedLayer(1)
% Add layers to layergraph object
criticNet = laverGraph:
criticNet = addLayers(criticNet,obsPath);
criticNet = addLayers(criticNet,actPath);
criticNet = addLayers(criticNet,commonPath);
% Connect layers
criticNet = connectLayers(criticNet, "obsPathOut", "concat/in1");
criticNet = connectLayers(criticNet, "actPathOut", "concat/in2");
```

To initialize the network weights differently for the two critics, create two different dlnetwork objects. You must do this because if the agent constructor function does not accept two identical critics.

Create the two critics using the two networks with different weights. Alternatively, if you use exactly the same network with the same weights, you must explicitly initialize the network each time (to make sure weights are initialized differently) before passing it to rlQValueFunction. To do so, use initialize.

```
critic1 = rlQValueFunction(criticNet1,obsInfo,actInfo);
critic2 = rlQValueFunction(criticNet2,obsInfo,actInfo);
```

For more information about Q-value function approximators, using rlQValueFunction.

Check the critics with a random observation and action input.

```
getValue(critic1,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   -0.1330
getValue(critic2,{rand(obsInfo.Dimension)},{rand(actInfo.Dimension)})
ans = single
   -0.1526
```

TD3 agents use a parametrized deterministic policy over continuous action spaces, which is implemented by a continuous deterministic actor. This actor takes the current observation as input and returns as output an action that is a deterministic function of the observation.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer (which returns the action to the environment action channel, as specified by actInfo).

Define the network as an array of layer objects.

```
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(400)
    reluLayer
    fullyConnectedLayer(300)
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
```

```
tanhLayer
];
Convert to dlnetwork and display the number of weights.
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
   Number of learnables: 121.8k
   Inputs:
          'input'
                     2 features
      1
Create the actor using actorNet, and the environment specification objects.
actor = rlContinuousDeterministicActor(actorNet,obsInfo,actInfo);
For more information about continuous deterministic actor approximators, see
rlContinuousDeterministicActor.
Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0221]}
Specify training options for the critics.
criticOptions = rlOptimizerOptions( ...
    Optimizer="adam", ...
    LearnRate=1e-3,...
    GradientThreshold=1, ...
    L2RegularizationFactor=2e-4);
Specify training options for the actor.
actorOptions = rlOptimizerOptions( ...
    Optimizer="adam", ...
    LearnRate=1e-3,...
    GradientThreshold=1, ...
    L2RegularizationFactor=1e-5);
Specify agent options, including training options for actor and critics.
agentOptions = rlTD3AgentOptions;
agentOptions.DiscountFactor = 0.99;
agentOptions.TargetSmoothFactor = 5e-3;
agentOptions.TargetPolicySmoothModel.Variance = 0.2;
agentOptions.TargetPolicySmoothModel.LowerLimit = -0.5;
agentOptions.TargetPolicySmoothModel.UpperLimit = 0.5;
agentOptions.CriticOptimizerOptions = criticOptions;
agentOptions.ActorOptimizerOptions = actorOptions;
Create TD3 agent using actor, critics, and options.
agent = rlTD3Agent(actor,[critic1 critic2],agent0ptions);
```

You can also create an rlTD3Agent object with a single critic. In this case, the object represents a DDPG agent with target policy smoothing and delayed policy and target updates.

```
delayedDDPGAgent = rlTD3Agent(actor,critic1,agent0ptions);
```

To check your agents, use getAction to return the action from a random observation.

You can now test and train either agent within the environment.

## **Create TD3 Agent with Recurrent Neural Networks**

For this example, load the environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
Obtain observation and action specifications.
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

TD3 agents use two Q-value function critics. To model the parametrized Q-value function within the critics, use a recurrent neural network with two input layers (one for the observation channel, as specified by obsInfo, and the other for the action channel, as specified by actInfo) and one output layer (which returns the scalar value).

Define each network path as an array of layer objects. To create a recurrent network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
% Define observation path
obsPath = [
    sequenceInputLayer(prod(obsInfo.Dimension), Name="obsIn")
    fullyConnectedLayer(400)
    reluLayer
    fullyConnectedLayer(300, Name="obsOut")
    ];
% Define action path
actPath = [
    sequenceInputLayer(prod(actInfo.Dimension), Name="actIn")
    fullyConnectedLayer(300, Name="actOut")
```

```
];
% Define common path
commonPath = [
    concatenationLayer(1,2,Name="cat")
    reluLayer
    lstmLayer(16);
    fullyConnectedLayer(1)
% Add layers to layerGraph object
criticNet = layerGraph;
criticNet = addLayers(criticNet,obsPath);
criticNet = addLayers(criticNet,actPath);
criticNet = addLayers(criticNet,commonPath);
% Connect paths
criticNet = connectLayers(criticNet, "obsOut", "cat/in1");
criticNet = connectLayers(criticNet, "actOut", "cat/in2");
To initialize the network weights differently for the two critics, create two different dlnetwork
objects. You must do this because the agent constructor function does not accept two identical critics.
criticNet1 = dlnetwork(criticNet);
criticNet2 = dlnetwork(criticNet);
Display the number of weights.
summary(criticNet1)
   Initialized: true
   Number of learnables: 161.6k
   Inputs:
          'obsIn'
      1
                     Sequence input with 2 dimensions
          'actIn'
                     Sequence input with 1 dimensions
Create the critic using the same network structure for both critics. The TD3 agent initializes the two
networks using different default parameters.
critic1 = rl0ValueFunction(criticNet1,obsInfo,actInfo);
critic2 = rlQValueFunction(criticNet2,obsInfo,actInfo);
Check the critics with a random observation and action input.
getValue(critic1, {rand(obsInfo.Dimension)}, {rand(actInfo.Dimension)})
ans = single
    -0.0060
getValue(critic2, {rand(obsInfo.Dimension)}, {rand(actInfo.Dimension)})
ans = single
    0.0481
```

Since the critics has a recurrent network, the (continuous deterministic) actor must have a recurrent network as approximation model too. The network that models the parametrized policy, must take the observation and return the action.

```
Define the network as an array of layer objects.
actorNet = [
    sequenceInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(400)
    lstmLayer(8)
    reluLayer
    fullyConnectedLayer(300, "Name", "ActorFC2")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension))
    tanhLayer
    ];
Convert to dlnetwork and display the number of weights.
actorNet = dlnetwork(actorNet);
summary(actorNet)
   Initialized: true
   Number of learnables: 17.2k
   Inputs:
          'sequenceinput'
                             Sequence input with 2 dimensions
      1
Create the actor using actorNet, and the environment specification objects.
actor = rlContinuousDeterministicActor(actorNet,obsInfo,actInfo);
Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0014]}
Specify training options for the critics.
criticOptions = rlOptimizerOptions( ...
    Optimizer="adam", ...
    LearnRate=1e-3,...
    GradientThreshold=1, ...
    L2RegularizationFactor=2e-4);
Specify training options for the actor.
actorOptions = rlOptimizerOptions( ...
    Optimizer="adam", ...
    LearnRate=1e-3,...
    GradientThreshold=1, ...
    L2RegularizationFactor=1e-5);
Specify agent options, including training options for actor and critics. To use a TD3 agent with
recurrent neural networks, you must specify a SequenceLength greater than 1.
agentOptions = rlTD3AgentOptions;
agentOptions.DiscountFactor = 0.99;
agentOptions.SequenceLength = 32;
```

```
agentOptions.TargetSmoothFactor = 5e-3;
agentOptions.TargetPolicySmoothModel.Variance = 0.2;
agentOptions.TargetPolicySmoothModel.LowerLimit = -0.5;
agentOptions.TargetPolicySmoothModel.UpperLimit = 0.5;
agentOptions.CriticOptimizerOptions = criticOptions;
agentOptions.ActorOptimizerOptions = actorOptions;
Create TD3 agent using actor, critics, and options.
agent = rlTD3Agent(actor,[critic1 critic2],agentOptions);
You can also create an rlTD3Agent object with a single critic. In this case, the object represents a
DDPG agent with target policy smoothing and delayed policy and target updates.
delayedDDPGAgent = rlTD3Agent(actor,critic1,agent0ptions);
To check your agents, use getAction to return the action from a random observation.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0018]}
getAction(delayedDDPGAgent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[0.0015]}
To evaluate the agent using sequential observations, use the sequence length (time) dimension. For
example, obtain actions for a sequence of 9 observations.
[action,state] = getAction(agent, ...
    {rand([obsInfo.Dimension 1 9])});
Display the action corresponding to the seventh element of the observation.
action = action{1};
action(1,1,1,7)
```

You can now test and train the agent within the environment.

# **Version History**

Introduced in R2020a

### See Also

ans = -0.0034

#### Apps

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

```
getAction|getActor|getCritic|getModel|generatePolicyFunction|
generatePolicyBlock|getActionInfo|getObservationInfo
```

# **Objects**

rlTD3AgentOptions | rlAgentInitializationOptions | rlQValueFunction | rlContinuousDeterministicActor | rlContinuousGaussianActor | rlDDPGAgent | rlSACAgent | rlPPOAgent

#### Blocks

RL Agent | Policy

# **Topics**

- "Train TD3 Agent for PMSM Control"
- "Train Biped Robot to Walk Using Reinforcement Learning Agents"
- "Train Reinforcement Learning Agents"
- "Twin-Delayed Deep Deterministic (TD3) Policy Gradient Agents"
- "Reinforcement Learning Agents"

# rlTD3AgentOptions

Options for TD3 agent

# **Description**

Use an rlTD3AgentOptions object to specify options for twin-delayed deep deterministic policy gradient (TD3) agents. To create a TD3 agent, use rlTD3Agent.

For more information see "Twin-Delayed Deep Deterministic (TD3) Policy Gradient Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

# Creation

# **Syntax**

```
opt = rlTD3Agent0ptions
opt = rlTD3Agent0ptions(Name, Value)
```

#### **Description**

opt = rlTD3AgentOptions creates an options object for use as an argument when creating a TD3 agent using all default options. You can modify the object properties using dot notation.

opt = rlTD3AgentOptions(Name, Value) sets option properties on page 3-418 using name-value pairs. For example, rlTD3AgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value pairs. Enclose each property name in quotes.

# **Properties**

## ExplorationModel — Exploration noise model options

GaussianActionNoise object (default) | OrnsteinUhlenbeckActionNoise object

Noise model options, specified as a GaussianActionNoise object or an OrnsteinUhlenbeckActionNoise object. For more information on noise models, see "Noise Models" on page 3-422.

For an agent with multiple actions, if the actions have different ranges and units, it is likely that each action requires different noise model parameters. If the actions have similar ranges and units, you can set the noise parameters for all actions to the same value.

For example, for an agent with two actions, set the standard deviation of each action to a different value while using the same decay rate for both standard deviations.

```
opt = rlTD3AgentOptions;
opt.ExplorationModel.StandardDeviation = [0.1 0.2];
opt.ExplorationModel.StandardDeviationDecayRate = 1e-4;
```

To use Ornstein-Uhlenbeck action noise, first create a default OrnsteinUhlenbeckActionNoise object. Then, specify any nondefault model properties using dot notation.

```
opt = rlTD3AgentOptions;
opt.ExplorationModel = rl.option.OrnsteinUhlenbeckActionNoise;
opt.ExplorationModel.StandardDeviation = 0.05;
```

## TargetPolicySmoothModel — Target smoothing noise model options

GaussianActionNoise object

Target smoothing noise model options, specified as a GaussianActionNoise object. This model helps the policy exploit actions with high Q-value estimates. For more information on noise models, see "Noise Models" on page 3-422.

For an agent with multiple actions, if the actions have different ranges and units, it is likely that each action requires different smoothing noise model parameters. If the actions have similar ranges and units, you can set the noise parameters for all actions to the same value.

For example, for an agent with two actions, set the standard deviation of each action to a different value while using the same decay rate for both standard deviations.

```
opt = rlTD3AgentOptions;
opt.TargetPolicySmoothModel.StandardDeviation = [0.1 0.2];
opt.TargetPolicySmoothModel.StandardDeviationDecayRate = 1e-4;
```

# PolicyUpdateFrequency — Number of steps between policy updates

2 (default) | positive integer

Number of steps between policy updates, specified as a positive integer.

#### ActorOptimizerOptions — Actor optimizer options

rlOptimizerOptions object

Actor optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the actor approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

### BatchDataRegularizerOptions — Batch data regularizer options

[] (default) | rlBehaviorCloningRegularizerOptions object

Batch data regularizer options, specified as an rlBehaviorCloningRegularizerOptions object. These options are typically used to train the agent offline, from existing data. If you leave this option empty, no regularizer is used.

## For more information, see rlBehaviorCloningRegularizerOptions.

Example: opt.BatchDataRegularizerOptions =
rlBehaviorCloningRegularizerOptions(BehaviorCloningRegularizerWeight=10)

# TargetSmoothFactor — Smoothing factor for target actor and critic updates

0.005 (default) | positive scalar less than or equal to 1

Smoothing factor for target actor and critic updates, specified as a positive scalar less than or equal to 1. For more information, see "Target Update Methods".

# TargetUpdateFrequency — Number of steps between target actor and critic updates 2 (default) | positive integer

Number of steps between target actor and critic updates, specified as a positive integer. For more information, see "Target Update Methods".

# ResetExperienceBufferBeforeTraining — Option for clearing the experience buffer false (default) | true

Option for clearing the experience buffer before training, specified as a logical value.

# **SequenceLength — Maximum batch-training trajectory length when using RNN** 1 (default) | positive integer

Maximum batch-training trajectory length when using a recurrent neural network, specified as a positive integer. This value must be greater than 1 when using a recurrent neural network and 1 otherwise.

#### MiniBatchSize — Size of random experience mini-batch

64 (default) | positive integer

Size of random experience mini-batch, specified as a positive integer. During each training episode, the agent randomly samples experiences from the experience buffer when computing gradients for updating the critic properties. Large mini-batches reduce the variance when computing gradients but increase the computational effort.

# NumStepsToLookAhead — Number of future rewards used to estimate the value of the policy 1 (default) | positive integer

Number of future rewards used to estimate the value of the policy, specified as a positive integer. For more information, see [1], Chapter 7.

## ExperienceBufferLength — Experience buffer size

10000 (default) | positive integer

Experience buffer size, specified as a positive integer. During training, the agent computes updates using a mini-batch of experiences randomly sampled from the buffer.

### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# DiscountFactor — Discount factor

```
0.99 (default) | positive scalar less than or equal to 1
```

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

# **Object Functions**

rlTD3Agent Twin-delayed deep deterministic (TD3) policy gradient reinforcement learning agent

# **Examples**

## **Create TD3 Agent Options Object**

Create an rlTD3AgentOptions object that specifies the mini-batch size.

```
opt = rlTD3AgentOptions(MiniBatchSize=48)
 rlTD3AgentOptions with properties:
                       ExplorationModel: [1x1 rl.option.GaussianActionNoise]
                TargetPolicySmoothModel: [1x1 rl.option.GaussianActionNoise]
                  PolicyUpdateFrequency: 2
                  ActorOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
                 CriticOptimizerOptions: [1x2 rl.option.rlOptimizerOptions]
            BatchDataRegularizerOptions: []
                     TargetSmoothFactor: 0.0050
                  TargetUpdateFrequency: 2
   ResetExperienceBufferBeforeTraining: 0
                         SequenceLength: 1
                          MiniBatchSize: 48
                    NumStepsToLookAhead: 1
                 ExperienceBufferLength: 10000
                             SampleTime: 1
                         DiscountFactor: 0.9900
                             InfoToSave: [1x1 struct]
```

You can modify options using dot notation. For example, set the agent sample time to 0.5.

```
opt.SampleTime = 0.5;
```

# **Algorithms**

#### **Noise Models**

#### **Gaussian Action Noise**

A GaussianActionNoise object has the following numeric value properties.

Property	Description	Default Value (ExplorationModel)	Default Value (TargetPolicySmooth Model)
Mean	Noise mean value	0	0
StandardDeviationD ecayRate	Decay rate of the standard deviation	0	Θ
StandardDeviation	Initial value of noise standard deviation	sqrt(0.1)	sqrt(0.2)
StandardDeviationM in	Minimum standard deviation, which must be less than StandardDeviation	0.01	0.01
LowerLimit	Noise sample lower limit	-Inf	-0.5
UpperLimit	Noise sample upper limit	Inf	0.5

At each time step k, the Gaussian noise v is sampled as shown in the following code.

```
w = Mean + randn(ActionSize).*StandardDeviation(k);
v(k+1) = min(max(w,LowerLimit),UpperLimit);
```

Where the initial value v(1) is defined by the InitialAction parameter. At each sample time step, the standard deviation decays as shown in the following code.

```
decayedStandardDeviation = StandardDeviation(k).*(1 - StandardDeviationDecayRate);
StandardDeviation(k+1) = max(decayedStandardDeviation,StandardDeviationMin);
```

Note that StandardDeviation is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches StandardDeviationMin.

#### **Ornstein-Uhlenbeck Action Noise**

An OrnsteinUhlenbeckActionNoise object has the following numeric value properties.

Property	Description	Default Value
InitialAction	Initial value of action	0
Mean	Noise mean value	0
	Constant specifying how quickly the noise model output is attracted to the mean	0.15

Property	Description	Default Value
StandardDeviationDecayRa te	Decay rate of the standard deviation	0
StandardDeviation	Initial value of noise standard deviation	0.3
StandardDeviationMin	Minimum standard deviation	0

At each sample time step k, the noise value v(k) is updated using the following formula, where Ts is the agent sample time, and the initial value v(1) is defined by the InitialAction parameter.

At each sample time step, the standard deviation decays as shown in the following code.

decayedStandardDeviation = StandardDeviation(k).\*(1 - StandardDeviationDecayRate); StandardDeviation(k+1) = max(decayedStandardDeviation,StandardDeviationMin);

You can calculate how many samples it will take for the standard deviation to be halved using this simple formula.

```
halflife = log(0.5)/log(1-StandardDeviationDecayRate);
```

Note that StandardDeviation is conserved between the end of an episode and the start of the next one. Therefore, it keeps on uniformly decreasing over multiple episodes until it reaches StandardDeviationMin.

For continuous action signals, it is important to set the noise standard deviation appropriately to encourage exploration. It is common to set StandardDeviation\*sqrt(Ts) to a value between 1% and 10% of your action range.

If your agent converges on local optima too quickly, promote agent exploration by increasing the amount of noise; that is, by increasing the standard deviation. Also, to increase exploration, you can reduce the StandardDeviationDecayRate.

# **Version History**

Introduced in R2020a

# R2021a: Properties defining noise probability distribution in the GaussianActionNoise object have changed

Behavior changed in R2021a

The properties defining the probability distribution of the Gaussian action noise model have changed. This noise model is used by TD3 agents for exploration and target policy smoothing.

- The Variance property has been replaced by the StandardDeviation property.
- The VarianceDecayRate property has been replaced by the StandardDeviationDecayRate property.
- The VarianceMin property has been replaced by the StandardDeviationMin property.

When a GaussianActionNoise noise object saved from a previous MATLAB release is loaded, the value of VarianceDecayRate is copied to StandardDeviationDecayRate, while the square root

of the values of Variance and VarianceMin are copied to StandardDeviation and StandardDeviationMin, respectively.

The Variance, VarianceDecayRate, and VarianceMin properties still work, but they are not recommended. To define the probability distribution of the Gaussian action noise model, use the new property names instead.

#### **Update Code**

This table shows how to update your code to use the new property names for rlTD3AgentOptions object td3opt.

Not Recommended	Recommended
<pre>td3opt.ExplorationModel.Variance = 0.5;</pre>	<pre>td3opt.ExplorationModel.StandardDeviat ion = sqrt(0.5);</pre>
<pre>td3opt.ExplorationModel.VarianceDecayR ate = 0.1;</pre>	<pre>td3opt.ExplorationModel.StandardDeviat ionDecayRate = 0.1;</pre>
<pre>td3opt.ExplorationModel.VarianceMin = 0.1;</pre>	<pre>td3opt.ExplorationModel.StandardDeviat ionMin = sqrt(0.1);</pre>

# R2021a: Property names defining noise probability distribution in the OrnsteinUhlenbeckActionNoise object have changed

Behavior changed in R2021a

The properties defining the probability distribution of the Ornstein-Uhlenbeck (OU) noise model have been renamed. TD3 agents use OU noise for exploration.

- The Variance property has been renamed StandardDeviation.
- The VarianceDecayRate property has been renamed StandardDeviationDecayRate.
- The VarianceMin property has been renamed StandardDeviationMin.

The default values of these properties remain the same. When an OrnsteinUhlenbeckActionNoise noise object saved from a previous MATLAB release is loaded, the values of Variance, VarianceDecayRate, and VarianceMin are copied in the StandardDeviation, StandardDeviationDecayRate, and StandardDeviationMin, respectively.

The Variance, VarianceDecayRate, and VarianceMin properties still work, but they are not recommended. To define the probability distribution of the OU noise model, use the new property names instead.

#### **Update Code**

This table shows how to update your code to use the new property names for rlTD3Agent0ptions object td3opt.

Not Recommended	Recommended
	<pre>td3opt.ExplorationModel.StandardDeviat ion = sqrt(0.5);</pre>
<pre>td3opt.ExplorationModel.VarianceDecayR ate = 0.1;</pre>	<pre>td3opt.ExplorationModel.StandardDeviat ionDecayRate = 0.1;</pre>

Not Recommended	Recommended
<pre>td3opt.ExplorationModel.VarianceMin = 0.1;</pre>	<pre>td3opt.ExplorationModel.StandardDeviat ionMin = sqrt(0.1);</pre>
	<pre>td3opt.TargetPolicySmoothModel.Standar dDeviation = sqrt(0.5);</pre>
<pre>td3opt.TargetPolicySmoothModel.Varianc eDecayRate = 0.1;</pre>	<pre>td3opt.TargetPolicySmoothModel.Standar dDeviationDecayRate = 0.1;</pre>
<pre>td3opt.TargetPolicySmoothModel.Varianc eMin = 0.1;</pre>	<pre>td3opt.TargetPolicySmoothModel.Standar dDeviationMin = sqrt(0.1);</pre>

# R2022a: The default value of the ResetExperienceBufferBeforeTraining property has changed

Behavior changed in R2022a

The default value of the ResetExperienceBufferBeforeTraining has changed from true to false.

When creating a new TD3 agent, if you want to clear the experience buffer before training, you must specify ResetExperienceBufferBeforeTraining as true. For example, before training, set the property using dot notation.

agent.AgentOptions.ResetExperienceBufferBeforeTraining = true;

Alternatively, you can set the property to true in an rlTD3AgentOptions object and use this object to create the TD3 agent.

# References

[1] Sutton, Richard S., and Andrew G. Barto. *Reinforcement Learning: An Introduction*. Second edition. Adaptive Computation and Machine Learning. Cambridge, Mass: The MIT Press, 2018.

## See Also

## **Objects**

rlTD3Agent|rlAgentInitializationOptions|rlBehaviorCloningRegularizerOptions

# **Topics**

"Train TD3 Agent for PMSM Control"

"Twin-Delayed Deep Deterministic (TD3) Policy Gradient Agents"

# rlTrainingFromDataOptions

Options to train reinforcement learning agents using existing data

# **Description**

Use an rlTrainingFromDataOptions object to specify options to train an off-policy agent from existing data. Training options include the maximum number of epochs to train, criteria for stopping training and criteria for saving agents. After setting its options, use this object as an input argument for trainFromData.

For more information on training agents, see "Train Reinforcement Learning Agents".

# Creation

# **Syntax**

tfdOpts = rlTrainingFromDataOptions
tfdOpts = rlTrainingOptions(Name=Value)

## **Description**

tfdOpts = rlTrainingFromDataOptions returns a default options set to train an off-policy agent offline, from existing data.

tfdOpts = rlTrainingOptions(Name=Value) creates the training option set tfdOpts and sets its properties using one or more name-value arguments.

# **Properties**

#### MaxEpochs — Maximum number of epochs to train the agent

1000 (default) | positive integer

Maximum number of epochs to train the agent, specified as a positive integer. Each epoch has a fixed number of learning steps specified by NumStepsPerEpoch. Regardless of other criteria for termination, training terminates after MaxEpochs.

Example: MaxEpochs=500

#### NumStepsPerEpoch — Number of steps to run per epoch

500 (default) | positive integer

Number of steps to run per epoch, specified as a positive integer.

Example: NumStepsPerEpoch=1000

## ExperienceBufferUpdateFrequency — Buffer update period

1 (default) | positive integer

Buffer update period, specified as a positive integer. For example, if the value of this option is 1 (default), then the buffer updates every epoch, if it is 2 the buffer updates every other epoch, and so on. Note that the experience buffer is not updated if it already contains all the available data.

Example: ExperienceBufferUpdateFrequency=2

# NumExperiencesPerExperienceBufferUpdate — Number of experiences appended per buffer update

[] (default) | positive integer

Number of experiences appended per buffer update, specified as a positive integer or empty matrix. If the value of this option is left empty (default) then, at training time, it is automatically set to half the length of the experience buffer used by the agent.

Example: NumExperiencesPerExperienceBufferUpdate=5e5

## QValueObservations — Batch of observations used to compute Q values

[] (default) | cell array

Batch of observations used to compute Q values, specified as an 1-by-N cell array, where N is the number of observation channels. Each cell must contain a batch of observations, along the batch dimension, for the corresponding observation channel. For example, if you have two observation channels carrying a 3-by-1 vector and a scalar, a batch of 10 random observations is  $\{rand(3,1,10), rand(1,1,10)\}$ .

If the value of this option is left empty (default) then, at training time, it is automatically set to a cell array in which each element corresponding to an observation channel is an array of zeros having the same dimensions of the observation, without any batch dimension.

Example: QValueObservations={rand(3,1,10),rand(1,1,10)}

## ScoreAveragingWindowLength — Window length for averaging Q-values

5 (default) | positive integer scalar

Window length for averaging Q-values, specified as a scalar. One termination and one saving options are expressed in terms of average Q-values. For these options, the average is calculated over the last ScoreAveragingWindowLength epochs.

Example: ScoreAveragingWindowLength=10

#### StopTrainingCriteria — Training termination condition

"none" (default) | "QValue" | ...

Training termination condition, specified as one of the following strings:

- "none" Stop training after the agent is trained for the number of epochs specified in MaxEpochs.
- "QValue" Stop training when the average Q-value (computed using the current critic and the observations specified in QValueObservations) over the last ScoreAveragingWindowLength epochs equals or exceeds the value specified in the StopTrainingValue option.

Example: StopTrainingCriteria="OValue"

#### StopTrainingValue — Critical value of training termination condition

"none" (default) | scalar

Critical value of the training termination condition, specified as a scalar. Training ends when the termination condition specified by the StopTrainingCriteria option equals or exceeds this value.

For instance, if StopTrainingCriteria is "QValue" and StopTrainingValue is 50, then training terminates when the moving average Q-value (computed using the current critic and the observations specified in QValueObservations) over the number of epochs specified in ScoreAveragingWindowLength equals or exceeds 50.

Example: StopTrainingValue=50

#### SaveAgentCriteria — Condition for saving agent during training

"none" (default) | "EpochFrequency" | "QValue" | ...

Condition for saving the agent during training, specified as one of the following strings:

- "none" Do not save any agents during training.
- "EpochFrequency" Save the agent when the number of epochs is an integer multiple of the value specified in the SaveAgentValue option.
- "QValue" Save the agent when the when the average Q-value (computed using the current critic and the observations specified in QValueObservations) over the last ScoreAveragingWindowLength epochs equals or exceeds the value specified in SaveAgentValue.

Set this option to store candidate agents that perform in term of Q-value, or just to save agent at a fixed rate. For instance, if SaveAgentCriteria is "EpochFrequency" and SaveAgentValue is 5, then the agent is saved every five epochs.

Example: SaveAgentCriteria="EpochFrequency"

#### SaveAgentValue — Critical value of condition for saving agent

"none" (default) | scalar

Critical value of the condition for saving the agent, specified as a scalar.

Example: SaveAgentValue=10

#### SaveAgentDirectory — Folder name for saved agents

"savedAgents" (default) | string | character vector

Folder name for saved agents, specified as a string or character vector. The folder name can contain a full or relative path. When an epoch occurs in which the condition specified by the SaveAgentCriteria and SaveAgentValue options are satisfied, the software saves the agents in a MAT file in this folder. If the folder does not exist, train creates it. When SaveAgentCriteria is "none", this option is ignored and train does not create a folder.

Example: SaveAgentDirectory = pwd + "\run1\Agents"

#### Verbose — Option to display training progress at the command line

false or 0 (default) | true or 1

Option to display training progress at the command line, specified as a numerical or logical 0 (false) or 1 (true). Set to true to write information from each training epoch to the MATLAB command line during training.

Example: Verbose=false

#### Plots — Option to display training progress with Episode Manager

```
"training-progress" (default) | "none"
```

Option to display training progress with Episode Manager, specified as "training-progress" or "none". By default, calling trainFromData opens the Reinforcement Learning Episode Manager, which graphically and numerically displays information about the training progress, such as the reward for each epoch, average reward, number of epochs, and total number of steps. To turn off this display, set this option to "none". For more information, see train.

Example: Plots="none"

# **Object Functions**

trainFromData Train off-policy reinforcement learning agent using existing data

# **Examples**

#### **Configure Options to Train Agent From Data**

Create an options set to train a reinforcement learning agent offline, from an existing dataset.

Set the maximum number of epochs to 2000 and the maximum number of steps per epoch to 1000. Do not set any criteria to stop the training before 1000 epochs. Also, display training progress on the command line instead of using the Episode Manager.

```
tfdOpts = rlTrainingFromDataOptions(...
    MaxEpochs=2000,...
    NumStepsPerEpoch=1000,...
    Verbose=true,...
    Plots="none")
tfdOpts =
  rlTrainingFromDataOptions with properties:
                                      MaxEpochs: 2000
                              NumStepsPerEpoch: 1000
             ExperienceBufferUpdateFrequency: 1
    NumExperiencesPerExperienceBufferUpdate: []
                            QValueObservations: []
                   ScoreAveragingWindowLength: 5
                         StopTrainingCriteria: "none"
                             StopTrainingValue: "none"
                           SaveAgentCriteria: "none"
SaveAgentValue: "none"
SaveAgentDirectory: "savedAgents"
                                        Verbose: 1
                                          Plots: "none"
                                    StopOnError: "on"
```

Alternatively, create a default options set and use dot notation to change some of the values.

```
trainOpts = rlTrainingFromDataOptions;
trainOpts.MaxEpochs = 2000;
trainOpts.NumStepsPerEpoch = 1000;
trainOpts.Verbose = true;
```

You can now use trainOpts as an input argument to the trainFromData command.

# **Version History**

Introduced in R2023a

## See Also

#### **Functions**

trainFromData

#### **Objects**

rlTrainingOptions | rlBehaviorCloningRegularizerOptions |
rlConservativeQLearningOptions

#### **Topics**

"Reinforcement Learning Agents"

"Train Reinforcement Learning Agents"

# rlTrainingOptions

Options for training reinforcement learning agents

# **Description**

Use an rlTrainingOptions object to specify options to train an agent within an environment. Training options include the maximum number of episodes to train, criteria for stopping training, criteria for saving agents, and options for using parallel computing. After setting its options, use this object as an input argument for train.

For more information on training agents, see "Train Reinforcement Learning Agents".

## Creation

# **Syntax**

```
trainOpts = rlTrainingOptions
trainOpts = rlTrainingOptions(Name=Value)
```

## Description

trainOpts = rlTrainingOptions returns the default options for training a reinforcement learning agent.

trainOpts = rlTrainingOptions(Name=Value) creates the training option set trainOpts and sets its "Properties" on page 3-431 using one or more name-value arguments.

# **Properties**

#### MaxEpisodes — Maximum number of episodes to train the agent

500 (default) | positive integer

Maximum number of episodes to train the agent, specified as a positive integer. Regardless of other criteria for termination, training terminates after MaxEpisodes.

Example: MaxEpisodes=1000

#### MaxStepsPerEpisode — Maximum number of steps to run per episode

500 (default) | positive integer

Maximum number of steps to run per episode, specified as a positive integer. In general, you define episode termination conditions in the environment. This value is the maximum number of steps to run in the episode if other termination conditions are not met.

Example: MaxStepsPerEpisode=1000

#### ScoreAveragingWindowLength — Window length for averaging

5 (default) | positive integer scalar | positive integer vector

Window length for averaging the scores, rewards, and number of steps for each agent, specified as a scalar or vector.

If the training environment contains a single agent, specify ScoreAveragingWindowLength as a scalar.

If the training environment is a multi-agent Simulink environment, specify a scalar to apply the same window length to all agents.

To use a different window length for each agent, specify ScoreAveragingWindowLength as a vector. In this case, the order of the elements in the vector correspond to the order of the agents used during environment creation.

For options expressed in terms of averages, ScoreAveragingWindowLength is the number of episodes included in the average. For instance, if StopTrainingCriteria is "AverageReward", and StopTrainingValue is 500 for a given agent, then for that agent, training terminates when the average reward over the number of episodes specified in ScoreAveragingWindowLength equals or exceeds 500. For the other agents, training continues until:

- All agents reach their stop criteria.
- The number of episodes reaches MaxEpisodes.
- You stop training by clicking the **Stop Training** button in Episode Manager or pressing **Ctrl-C** at the MATLAB command line.

Example: ScoreAveragingWindowLength=10

#### StopTrainingCriteria — Training termination condition

"AverageSteps" (default) | "AverageReward" | "EpisodeCount" | ...

Training termination condition, specified as one of the following strings:

- "AverageSteps" Stop training when the running average number of steps per episode equals or exceeds the critical value specified by the option StopTrainingValue. The average is computed using the window 'ScoreAveragingWindowLength'.
- "AverageReward" Stop training when the running average reward equals or exceeds the critical value.
- "EpisodeReward" Stop training when the reward in the current episode equals or exceeds the critical value.
- "GlobalStepCount" Stop training when the total number of steps in all episodes (the total number of times the agent is invoked) equals or exceeds the critical value.
- "EpisodeCount" Stop training when the number of training episodes equals or exceeds the critical value.

Example: StopTrainingCriteria="AverageReward"

#### StopTrainingValue — Critical value of training termination condition

500 (default) | scalar | vector

Critical value of the training termination condition, specified as a scalar or a vector.

If the training environment contains a single agent, specify StopTrainingValue as a scalar.

If the training environment is a multi-agent Simulink environment, specify a scalar to apply the same termination criterion to all agents. To use a different termination criterion for each agent, specify

**StopTrainingValue** as a vector. In this case, the order of the elements in the vector corresponds to the order of the agents used during environment creation.

For a given agent, training ends when the termination condition specified by the StopTrainingCriteria option equals or exceeds this value. For the other agents, the training continues until:

- All agents reach their stop criteria.
- The number of episodes reaches maxEpisodes.
- You stop training by clicking the **Stop Training** button in Episode Manager or pressing **Ctrl-C** at the MATLAB command line.

For instance, if StopTrainingCriteria is "AverageReward", and StopTrainingValue is 100 for a given agent, then for that agent, training terminates when the average reward over the number of episodes specified in ScoreAveragingWindowLength equals or exceeds 100.

Example: StopTrainingValue=100

#### SaveAgentCriteria — Condition for saving agents during training

"none" (default) | "EpisodeReward" | "AverageReward" | "EpisodeCount" | ...

Condition for saving agents during training, specified as one of the following strings:

- "none" Do not save any agents during training.
- "EpisodeReward" Save the agent when the reward in the current episode equals or exceeds the critical value.
- "AverageSteps" Save the agent when the running average number of steps per episode equals or exceeds the critical value specified by the option StopTrainingValue. The average is computed using the window 'ScoreAveragingWindowLength'.
- "AverageReward" Save the agent when the running average reward over all episodes equals or exceeds the critical value.
- "GlobalStepCount" Save the agent when the total number of steps in all episodes (the total number of times the agent is invoked) equals or exceeds the critical value.
- "EpisodeCount" Save the agent when the number of training episodes equals or exceeds the critical value.

Set this option to store candidate agents that perform well according to the criteria you specify. When you set this option to a value other than "none", the software sets the SaveAgentValue option to 500. You can change that value to specify the condition for saving the agent.

For instance, suppose you want to store for further testing any agent that yields an episode reward that equals or exceeds 100. To do so, set SaveAgentCriteria to "EpisodeReward" and set the SaveAgentValue option to 100. When an episode reward equals or exceeds 100, train saves the corresponding agent in a MAT file in the folder specified by the SaveAgentDirectory option. The MAT file is called AgentK.mat, where K is the number of the corresponding episode. The agent is stored within that MAT file as saved\_agent.

Example: SaveAgentCriteria="EpisodeReward"

#### SaveAgentValue — Critical value of condition for saving agents

"none" (default) | 500 | scalar | vector

Critical value of the condition for saving agents, specified as a scalar or a vector.

If the training environment contains a single agent, specify SaveAgentValue as a scalar.

If the training environment is a multi-agent Simulink environment, specify a scalar to apply the same saving criterion to each agent. To save the agents when one meets a particular criterion, specify SaveAgentValue as a vector. In this case, the order of the elements in the vector corresponds to the order of the agents used when creating the environment. When a criteria for saving an agent is met, all agents are saved in the same MAT file.

When you specify a condition for saving candidate agents using SaveAgentCriteria, the software sets this value to 500. Change the value to specify the condition for saving the agent. See the SaveAgentCriteria option for more details.

Example: SaveAgentValue=100

#### SaveAgentDirectory — Folder name for saved agents

"savedAgents" (default) | string | character vector

Folder name for saved agents, specified as a string or character vector. The folder name can contain a full or relative path. When an episode occurs in which the conditions specified by the SaveAgentCriteria and SaveAgentValue options are satisfied, the software saves the agents in a MAT file in this folder. If the folder does not exist, train creates it. When SaveAgentCriteria is "none", this option is ignored and train does not create a folder.

Example: SaveAgentDirectory = pwd + "\run1\Agents"

#### UseParallel — Flag for using parallel training

false (default) | true

Flag for using parallel training, specified as a logical. Setting this option to true configures training to use parallel processing to simulate the environment, thereby enabling usage of multiple cores, processors, computer clusters or cloud resources to speed up training. To specify options for parallel training, use the ParallelizationOptions property.

When UseParallel is true then for DQN, DDPG, TD3, and SAC the NumStepsToLookAhead property or the corresponding agent option object must be set to 1, otherwise an error is generated. This guarantees that experiences are stored contiguously. When AC agents are trained in parallel, a warning is generated if the StepsUntilDataIsSent property of the ParallelizationOptions object is set to a different value than the NumStepToLookAhead property of the AC agent option object.

Note that if you want to speed up deep neural network calculations (such as gradient computation, parameter update and prediction) using a local GPU, you do not need to set UseParallel to true. Instead, when creating your actor or critic representation, use an rlRepresentationOptions object in which the UseDevice option is set to "gpu". Using parallel computing or the GPU requires Parallel Computing Toolbox software. Using computer clusters or cloud resources additionally requires MATLAB Parallel Server. For more information about training using multicore processors and GPUs, see "Train Agents Using Parallel Computing and GPUs".

Example: UseParallel=true

#### ParallelizationOptions — Options to control parallel training

ParallelTraining object

Parallelization options to control parallel training, specified as a ParallelTraining object. For more information about training using parallel computing, see "Train Reinforcement Learning Agents".

The ParallelTraining object has the following properties, which you can modify using dot notation after creating the rlTrainingOptions object.

#### **Mode** — Parallel computing mode

```
"sync" (default) | "async"
```

Parallel computing mode, specified as one of the following:

- "sync" Use parpool to run synchronous training on the available workers. In this case, workers pause execution until all workers are finished. The host updates the actor and critic parameters based on the results from all the workers and sends the updated parameters to all workers. Note that synchronous training is required for gradient-based parallelization, that is when DataToSendFromWorkers is set to "gradients" then Mode must be set to "sync".
- "async" Use parpool to run asynchronous training on the available workers. In this case, workers send their data back to the host as soon as they finish and receive updated parameters from the host. The workers then continue with their task.

Example: Mode="async"

#### WorkerRandomSeeds — Randomizer initialization for workers

```
-1 (default) |-2| vector
```

Randomizer initialization for workers, specified as one of the following:

- -1 Assign a unique random seed to each worker. The value of the seed is the worker ID.
- -2 Do not assign a random seed to the workers.
- Vector Manually specify the random seed for each worker. The number of elements in the vector must match the number of workers.

Example: WorkerRandomSeeds=[1 2 3 4]

# TransferBaseWorkspaceVariables — Option to send model and workspace variables to parallel workers

```
"on" (default) | "off"
```

Option to send model and workspace variables to parallel workers, specified as "on" or "off". When the option is "on", the client sends to the workers the variables defined in the base MATLAB workspace and used in the approximation models.

Example: TransferBaseWorkspaceVariables="off"

#### AttachedFiles — Additional files to attach to the parallel pool

```
[] (default) | string | string array
```

Additional files to attach to the parallel pool, specified as a string or string array.

Example: AttachedFiles="myInitFile.m"

#### **SetupFcn** — **Function to run before training starts**

```
[] (default) | function handle
```

Function to run before training starts, specified as a handle to a function having no input arguments. This function is run once per worker before training begins. Write this function to perform any processing that you need prior to training.

Example: AttachedFiles=@mySetupFcn

#### CleanupFcn — Function to run after training ends

[] (default) | function handle

Function to run after training ends, specified as a handle to a function having no input arguments. You can write this function to clean up the workspace or perform other processing after training terminates.

Example: AttachedFiles=@myCleanupFcn

#### Verbose — Option to display training progress at the command line

false (0) (default) | true (1)

Option to display training progress at the command line, specified as the logical values false (0) or true (1). Set to true to write information from each training episode to the MATLAB command line during training.

Example: Verbose=false

#### StopOnError — Option to stop training when error occurs

"on" (default) | "off"

Option to stop training when an error occurs during an episode, specified as "on" or "off". When this option is "off", errors are captured and returned in the SimulationInfo output of train, and training continues to the next episode.

Example: StopOnError="off"

#### Plots — Option to display training progress with Episode Manager

"training-progress" (default) | "none"

Option to display training progress with Episode Manager, specified as "training-progress" or "none". By default, calling train opens the Reinforcement Learning Episode Manager, which graphically and numerically displays information about the training progress, such as the reward for each episode, average reward, number of episodes, and total number of steps. For more information, see train. To turn off this display, set this option to "none".

Example: Plots="none"

# **Object Functions**

train Train reinforcement learning agents within a specified environment

# **Examples**

#### **Configure Options for Training**

Create an options set for training a reinforcement learning agent. Set the maximum number of episodes and the maximum number of steps per episode to 1000. Configure the options to stop training when the average reward equals or exceeds 480, and turn on both the command-line display and Reinforcement Learning Episode Manager for displaying training results. You can set the options using name-value pair arguments when you create the options set. Any options that you do not explicitly set have their default values.

```
trainOpts = rlTrainingOptions(...
MaxEpisodes=1000,...
```

```
MaxStepsPerEpisode=1000,...
    StopTrainingCriteria="AverageReward",...
    StopTrainingValue=480,...
    Verbose=true,...
    Plots="training-progress")
trainOpts =
  rlTrainingOptions with properties:
                   MaxEpisodes: 1000
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: 5
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: 480
             SaveAgentCriteria: "none"
                SaveAgentValue: "none"
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
                         Plots: "training-progress"
                   UseParallel: 0
        ParallelizationOptions: [1x1 rl.option.ParallelTraining]
```

Alternatively, create a default options set and use dot notation to change some of the values.

```
trainOpts = rlTrainingOptions;
trainOpts.MaxEpisodes = 1000;
trainOpts.MaxStepsPerEpisode = 1000;
trainOpts.StopTrainingCriteria = "AverageReward";
trainOpts.StopTrainingValue = 480;
trainOpts.Verbose = true;
trainOpts.Plots = "training-progress";
trainOpts
trainOpts =
  rlTrainingOptions with properties:
                   MaxEpisodes: 1000
            MaxStepsPerEpisode: 1000
                   StopOnError: "on"
    ScoreAveragingWindowLength: 5
          StopTrainingCriteria: "AverageReward"
             StopTrainingValue: 480
             SaveAgentCriteria: "none"
                SaveAgentValue: "none"
            SaveAgentDirectory: "savedAgents"
                       Verbose: 1
                         Plots: "training-progress"
                   UseParallel: 0
        ParallelizationOptions: [1x1 rl.option.ParallelTraining]
```

You can now use trainOpts as an input argument to the train command.

#### **Configure Parallel Computing Options for Training**

To turn on parallel computing for training a reinforcement learning agent, set the UseParallel training option to true.

You can now use trainOpts as an input argument to the train command to perform training with parallel computing.

#### **Configure Options for A3C Training**

To train an agent using the asynchronous advantage actor-critic (A3C) method, you must set the agent and parallel training options appropriately.

When creating the AC agent, set the NumStepsToLookAhead value to be greater than 1. Common values are 64 and 128.

```
agentOpts = rlACAgentOptions(NumStepsToLookAhead=64);
```

WorkerRandomSeeds: -1

AttachedFiles: []
SetupFcn: []
CleanupFcn: []

TransferBaseWorkspaceVariables: "on"

Use agentOpts when creating your agent. Alternatively, create your agent first and then modify its options, including the actor and critic options later using dot notation.

Configure the training algorithm to use asynchronous parallel training.

```
trainOpts = rlTrainingOptions(UseParallel=true);
trainOpts.ParallelizationOptions.Mode = "async";
```

Configure the workers to return gradient data to the host. Also, set the number of steps before the workers send data back to the host to match the number of steps to look ahead.

```
trainOpts.ParallelizationOptions.DataToSendFromWorkers = ...
    "gradients";
trainOpts.ParallelizationOptions.StepsUntilDataIsSent = ...
    agentOpts.NumStepsToLookAhead;
```

Use trainOpts when training your agent.

For an example on asynchronous advantage actor-critic agent training, see "Train AC Agent to Balance Cart-Pole System Using Parallel Computing".

# **Version History**

Introduced in R2019a

R2022a: Training Parallelization Options: DataToSendFromWorkers and StepsUntilDataIsSent properties are no longer active

Warns starting in R2022a

The property DataToSendFromWorkers of the ParallelizationOptions object is no longer active and will be removed in a future release. The data sent from the workers to the learner is now automatically determined based on agent type.

The property StepsUntilDataIsSent of the ParallelizationOptions object is no longer active and will be removed in a future release. Data is now sent from the workers to the learner at the end each episode.

# R2022a: rlTrainingOptions is not recommended for multi agent training Not recommended starting in R2022a

rlTrainingOptions is not recommended to concurrently train agents in a multi-agent environments. Use rlMultiAgentTrainingOptions instead.

rlMultiAgentTrainingOptions is specifically built for multi-agent reinforcement learning, and allows you to group agents according to a common learning strategy and specify whether their learning is centralized (that is all agents in a group share experiences) or decentralized (agents do not share experiences), whereas rlTrainingOptions only allows for decentralized learning.

## See Also

## **Functions**

train

#### **Objects**

rlMultiAgentTrainingOptions | rlTrainingFromDataOptions

#### **Topics**

"Train Reinforcement Learning Agents"

"Reinforcement Learning Agents"

# rlTRPOAgent

Trust region policy optimization (TRPO) reinforcement learning agent

# **Description**

Trust region policy optimization (TRPO) is a model-free, online, on-policy, policy gradient reinforcement learning method. This algorithm prevents significant performance drops compared to standard policy gradient methods by keeping the updated policy within a trust region close to the current policy. The action space can be either discrete or continuous. For continuous action spaces, this agent does not enforce constraints set in the action specification; therefore, if you need to enforce action constraints, you must do so within the environment.

**Note** TRPO agents do not support recurrent networks.

For more information on TRPO agents, see "Trust Region Policy Optimization (TRPO) Agents". For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

# Creation

# **Syntax**

```
agent = rlTRPOAgent(observationInfo,actionInfo)
agent = rlTRPOAgent(observationInfo,actionInfo,initOpts)
agent = rlTRPOAgent(actor,critic)
agent = rlTRPOAgent(____,agentOptions)
```

## Description

#### **Create Agent from Observation and Action Specifications**

agent = rlTRPOAgent(observationInfo,actionInfo) creates a trust region policy optimization (TRPO) agent for an environment with the given observation and action specifications, using default initialization options. The actor and critic in the agent use default deep neural networks built from the observation specification observationInfo and the action specification actionInfo. The ObservationInfo and ActionInfo properties of agent are set to the observationInfo and actionInfo input arguments, respectively.

agent = rlTRPOAgent(observationInfo,actionInfo,initOpts) creates a TRPO agent for
an environment with the given observation and action specifications. The agent uses default networks
configured using options specified in the initOpts object. TRPO agents do not support recurrent
neural networks. For more information on the initialization options, see
rlAgentInitializationOptions.

#### **Create Agent from Actor and Critic**

agent = rlTRPOAgent(actor,critic) creates a TRPO agent with the specified actor and critic,
using the default options for the agent.

#### **Specify Agent Options**

agent = rlTRPOAgent(\_\_\_\_, agentOptions) creates a TRPO agent and sets the AgentOptions
property to the agentOptions input argument. Use this syntax after any of the input arguments in
the previous syntaxes.

## **Input Arguments**

## initOpts — Agent initialization options

rlAgentInitializationOptions object

Agent initialization options, specified as an rlAgentInitializationOptions object.

TRPO agents do not support recurrent neural networks. Therefore initOpts.UseRNNmust be false.

#### actor - Actor

rlDiscreteCategoricalActorobject | rlContinuousGaussianActorobject

Actor that implements the policy, specified as an rlDiscreteCategoricalActor or rlContinuousGaussianActor function approximator object. For more information on creating actor approximators, see "Create Policies and Value Functions".

#### critic - Critic

rlValueFunction object

Critic that estimates the discounted long-term reward, specified as an rlValueFunction object. For more information on creating critic approximators, see "Create Policies and Value Functions".

# **Properties**

#### **ObservationInfo** — **Observation specifications**

specification object | array of specification objects

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

If you create the agent by specifying an actor and critic, the value of <code>ObservationInfo</code> matches the value specified in the actor and critic objects.

You can extract observationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually using rlFiniteSetSpec or rlNumericSpec.

#### ActionInfo — Action specifications

rlFiniteSetSpec object | rlNumericSpec object

Action specifications, specified either as an rlFiniteSetSpec (for discrete action spaces) or rlNumericSpec (for continuous action spaces) object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

If you create the agent by specifying an actor and critic, the value of ActionInfo matches the value specified in the actor and critic objects.

You can extract actionInfo from an existing environment or agent using getActionInfo. You can also construct the specification manually using rlFiniteSetSpec or rlNumericSpec.

### AgentOptions — Agent options

rlTRPOAgentOptions object

Agent options, specified as an rlTRPOAgentOptions object.

#### UseExplorationPolicy — Option to use exploration policy

true (default) | false

Option to use exploration policy when selecting actions, specified as a one of the following logical values.

- true Use the base agent exploration policy when selecting actions in sim and generatePolicyFunction. In this case, the agent selects its actions by sampling its probability distribution, the policy is therefore stochastic and the agent explores its observation space.
- false Use the base agent greedy policy (the action with maximum likelihood) when selecting actions in sim and generatePolicyFunction. In this case, the simulated agent and generated policy behave deterministically.

**Note** This option affects only simulation and deployment; it does not affect training.

#### SampleTime — Sample time of agent

positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations. The value of SampleTime matches the value specified in AgentOptions.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

# **Object Functions**

train

Train reinforcement learning agents within a specified environment

sim Simulate trained reinforcement learning agents within specified

environment

getAction Obtain action from agent, actor, or policy object given environment

observations

getActor Extract actor from reinforcement learning agent

setActor Set actor of reinforcement learning agent

getCritic Extract critic from reinforcement learning agent

setCritic Set critic of reinforcement learning agent

generatePolicyFunction Generate MATLAB function that evaluates policy of an agent or policy

object

# **Examples**

#### Create Discrete TRPO Agent from Observation and Action Specifications

Create an environment with a discrete action space, and obtain its observation and action specifications. For this example, load the environment used in the example "Create DQN Agent Using Deep Network Designer and Train Using Image Observations". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar with five possible elements (a torque of -2, -1, 0, 1, or 2 Nm applied to a swinging pole).

```
% Load predefined environment
env = rlPredefinedEnv("SimplePendulumWithImage-Discrete");
```

Obtain the observation and action specifications for this environment.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

{[-2]}

Create a TRPO agent from the environment observation and action specifications.

```
agent = rlTRPOAgent(obsInfo,actInfo);
```

To check your agent, use getAction to return the action from a random observation.

```
getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})
ans = 1x1 cell array
```

```
You can now test and train the agent within the environment.
```

#### **Create Continuous TRPO Agent Using Initialization Options**

Create an environment with a continuous action space and obtain its observation and action specifications. For this example, load the environment used in the example "Train DDPG Agent to

Swing Up and Balance Pendulum with Image Observation". This environment has two observations: a 50-by-50 grayscale image and a scalar (the angular velocity of the pendulum). The action is a scalar representing a torque ranging continuously from -2 to 2 Nm.

```
env = rlPredefinedEnv("SimplePendulumWithImage-Continuous");
```

Obtain observation and action specifications for this environment.

```
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

Create an agent initialization options object, specifying that each hidden fully connected layer in the network must have 128 neurons. TRPO agents do not support recurrent networks, so setting the UseRNN option to true generates an error when the agent is created.

```
initOpts = rlAgentInitializationOptions(NumHiddenUnit=128);
```

The agent creation function initializes the actor and critic networks randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

Create a TRPO agent from the environment observation and action specifications using the specified initialization options.

```
agent = rlTRPOAgent(obsInfo,actInfo,initOpts);
```

Extract the deep neural networks from both the agent actor and critic.

```
actorNet = getModel(getActor(agent));
criticNet = getModel(getCritic(agent));
```

To verify that each hidden fully connected layer has 128 neurons, you can display the layers on the MATLAB® command window,

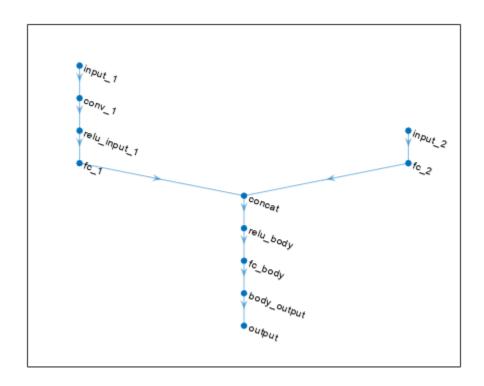
```
criticNet.Layers
```

or visualize the structure interactively using analyzeNetwork.

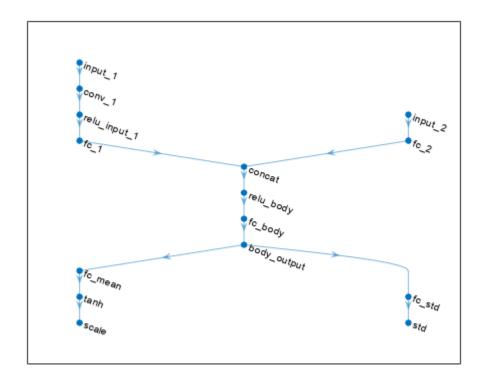
```
analyzeNetwork(criticNet)
```

Plot the critic and actor networks.

```
plot(criticNet)
```



plot(actorNet)



To check your agent, use getAction to return the action from a random observation.

getAction(agent, {rand(obsInfo(1).Dimension), rand(obsInfo(2).Dimension)})

ans = 1x1 cell array
{[0.9228]}

You can now test and train the agent within the environment.

#### **Create Trust Region Policy Optimization Agent**

Create an environment interface, and obtain its observation and action specifications.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

TRPO agents use a parametrized value function approximator to estimate the value of the policy. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer

(which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
criticNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(100)
    reluLayer
    fullyConnectedLayer(1)
];
```

Convert to a dlnetwork object and display the number of parameters.

```
criticNet = dlnetwork(criticNet);
summary(criticNet)

Initialized: true

Number of learnables: 601

Inputs:
    1 'input' 4 features
```

Create the critic approximator object using criticNet and the observation specification. For more information on value function approximators, see rlValueFunction.

```
critic = rlValueFunction(criticNet,obsInfo);
```

Check the critic with a random observation input.

```
getValue(critic, {rand(obsInfo.Dimension)})
ans = single
   -0.2479
```

TRPO agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor. This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo. Note that numel(actInfo.Dimension) returns the number of possible actions.

Define the network as an array of layer objects.

```
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(200)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Dimension))
];
```

Convert to a dlnetwork object and display the number of parameters.

actorNet = dlnetwork(actorNet);

```
summary(actorNet)
   Initialized: true
   Number of learnables: 1.4k
   Inputs:
      1 'input'
                     4 features
Create the actor using actorNet and the observation and action specifications. For more information
on discrete categorical actors, see rlDiscreteCategoricalActor.
actor = rlDiscreteCategoricalActor(actorNet,obsInfo,actInfo);
Check the actor with a random observation input.
getAction(actor, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-10]}
Create a TRPO agent using the actor and the critic.
agent = rlTRPOAgent(actor,critic)
  rlTRPOAgent with properties:
            AgentOptions: [1x1 rl.option.rlTRPOAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
              SampleTime: 1
Specify agent options, including training options for the actor and the critic.
agent.AgentOptions.ExperienceHorizon = 1024;
agent.AgentOptions.DiscountFactor = 0.95;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
Check your agent with a random observation input.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-10]}
```

You can now test and train the agent against the environment.

#### **Create Continuous TRPO Agent**

Create an environment with a continuous action space, and obtain its observation and action specifications. For this example, load the double integrator continuous action space environment used in the example "Train DDPG Agent to Control Double Integrator System". The observation from the environment is a vector containing the position and velocity of a mass. The action is a scalar representing a force applied to the mass, ranging continuously from -2 to 2 Newton.

```
env = rlPredefinedEnv("DoubleIntegrator-Continuous");
obsInfo = getObservationInfo(env)
obsTnfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
    Name: "states"
Description: "x, dx"
Dimension: [2 1]
       DataType: "double"
actInfo = getActionInfo(env)
actInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
    Name: "force"
Description: [0x0 string]
      Dimension: [1 1]
       DataType: "double"
```

In this example, the action is a scalar value representing a force ranging from -2 to 2 Newton. To make sure that the output from the agent is in this range, you perform an appropriate scaling operation. Store these limits so you can easily access them later.

```
actInfo.LowerLimit=-2;
actInfo.UpperLimit=2;
```

The actor and critic networks are initialized randomly. Ensure reproducibility by fixing the seed of the random generator.

```
rng(0)
```

TRPO agents use a parametrized value function approximator to estimate the value of the policy. A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which receives the content of the observation channel, as specified by obsInfo) and one output layer (which returns the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

```
criticNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(100)
    reluLayer
    fullyConnectedLayer(1)
    l;
```

Convert to a dlnetwork object and display the number of parameters.

```
criticNet = dlnetwork(criticNet);
summary(criticNet)

Initialized: true

Number of learnables: 401

Inputs:
    1 'input' 2 features
```

Create the critic approximator object using criticNet and the observation specification. For more information on value function approximators, see rlValueFunction.

TRPO agents use a parametrized stochastic policy, which for continuous action spaces is implemented by a continuous Gaussian actor. This actor takes an observation as input and returns as output a random action sampled from a Gaussian probability distribution.

To approximate the mean values and standard deviations of the Gaussian distribution, you must use a neural network with two output layers, each having as many elements as the dimension of the action space. One output layer must return a vector containing the mean values for each action dimension. The other must return a vector containing the standard deviation for each action dimension.

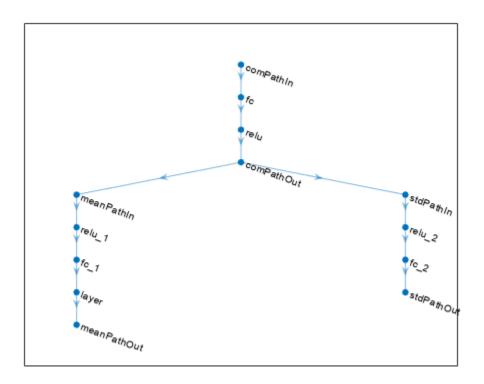
Note that standard deviations must be nonnegative and mean values must fall within the range of the action. Therefore the output layer that returns the standard deviations must be a softplus or ReLU layer, to enforce nonnegativity, while the output layer that returns the mean values must be a scaling layer, to scale the mean values to the output range.

For this example the environment has only one observation channel and therefore the network has only one input layer.

Define each network path as an array of layer objects, and assign names to the input and output layers of each path. These names allow you to connect the paths and then later explicitly associate the network input and output layers with the appropriate environment channel.

```
% Define common input path layer
commonPath = [
    featureInputLayer(prod(obsInfo.Dimension),Name="comPathIn")
```

```
fullyConnectedLayer(100)
    reluLayer
    fullyConnectedLayer(1,Name="comPathOut")
    ];
% Define mean value path
meanPath = [
    fullyConnectedLayer(32,Name="meanPathIn")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension));
    tanhLayer;
    scalingLayer(Name="meanPathOut", Scale=actInfo.UpperLimit)
    ];
% Define standard deviation path
sdevPath = [
    fullyConnectedLayer(32, "Name", "stdPathIn")
    reluLayer
    fullyConnectedLayer(prod(actInfo.Dimension));
    softplusLayer(Name="stdPathOut")
% Add layers to layerGraph object
actorNet = layerGraph(commonPath);
actorNet = addLayers(actorNet, meanPath);
actorNet = addLayers(actorNet,sdevPath);
% Connect paths
actorNet = connectLayers(actorNet,"comPathOut","meanPathIn/in");
actorNet = connectLayers(actorNet,"comPathOut","stdPathIn/in");
% Plot network
plot(actorNet)
```



```
% Convert to dlnetwork and display number of weights
actorNet = dlnetwork(actorNet);
summary(actorNet)

Initialized: true

Number of learnables: 595

Inputs:
    1 'comPathIn' 2 features
```

Create the actor approximator object using actorNet and the environment specifications. For more information, on continuous Gaussian actors, see rlContinuousGaussianActor.

```
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
   "ActionMeanOutputNames", "meanPathOut", ...
   "ActionStandardDeviationOutputNames", "stdPathOut", ...
   ObservationInputNames="comPathIn");
Check the actor with a random observation input.
```

getAction(actor,{rand(obsInfo.Dimension)})

```
ans = 1x1 cell array {[0.2732]}
```

Create a TRPO agent using the actor and the critic.

```
agent = rlTRPOAgent(actor,critic)
agent =
  rlTRPOAgent with properties:
            AgentOptions: [1x1 rl.option.rlTRPOAgentOptions]
    UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
              ActionInfo: [1x1 rl.util.rlNumericSpec]
              SampleTime: 1
Specify agent options, including training options for the actor and the critic.
agent.AgentOptions.ExperienceHorizon = 1024;
agent.AgentOptions.DiscountFactor = 0.95;
agent.AgentOptions.CriticOptimizerOptions.LearnRate = 8e-3;
agent.AgentOptions.CriticOptimizerOptions.GradientThreshold = 1;
Check your agent with a random observation input.
getAction(agent, {rand(obsInfo.Dimension)})
ans = 1x1 cell array
    {[-0.5005]}
```

You can now test and train the agent within the environment.

# **Tips**

- For continuous action spaces, this agent does not enforce the constraints set by the action specification. In this case, you must enforce action space constraints within the environment.
- While tuning the learning rate of the actor network is necessary for PPO agents, it is not necessary for TRPO agents.
- For high-dimensional observations, such as for images, it is recommended to use PPO, SAC, or TD3 agents.

# **Version History**

Introduced in R2021b

## See Also

#### **Apps**

Reinforcement Learning Designer | Deep Network Designer

#### **Functions**

```
getAction|getActor|getCritic|getModel|generatePolicyFunction|
generatePolicyBlock|getActionInfo|getObservationInfo
```

## **Objects**

rlTRPOAgentOptions | rlAgentInitializationOptions | rlValueFunction | rlDiscreteCategoricalActor | rlContinuousGaussianActor | rlACAgent | rlPGAgent | rlPPOAgent

#### **Blocks**

RL Agent | Policy

## **Topics**

"Train Reinforcement Learning Agents"

"Trust Region Policy Optimization (TRPO) Agents"

"Reinforcement Learning Agents"

# rlTRPOAgentOptions

Options for TRPO agent

# **Description**

Use an rlTRPOAgentOptions object to specify options for trust region policy optimization (TRPO) agents. To create a TRPO agent, use rlTRPOAgent.

For more information on TRPO agents, see "Trust Region Policy Optimization (TRPO) Agents".

For more information on the different types of reinforcement learning agents, see "Reinforcement Learning Agents".

# Creation

## **Syntax**

opt = rlTRPOAgentOptions
opt = rlTRPOAgentOptions(Name, Value)

## Description

opt = rlTRPOAgentOptions creates an rlPPOAgentOptions object for use as an argument when creating a TRPO agent using all default settings. You can modify the object properties using dot notation.

opt = rlTRPOAgentOptions(Name, Value) sets option properties on page 3-455 using name-value arguments. For example, rlTRPOAgentOptions('DiscountFactor', 0.95) creates an option set with a discount factor of 0.95. You can specify multiple name-value arguments. Enclose each property name in quotes.

# **Properties**

# ExperienceHorizon — Number of steps the agent interacts with the environment before learning

512 (default) | positive integer

Number of steps the agent interacts with the environment before learning from its experience, specified as a positive integer. When the agent is trained in parallel, ExperienceHorizon is ignored, and the whole episode is used to compute the gradients.

The ExperienceHorizon value must be greater than or equal to the MiniBatchSize value.

#### MiniBatchSize — Mini-batch size

128 (default) | positive integer

Mini-batch size used for each learning epoch, specified as a positive integer. When the agent uses a recurrent neural network, MiniBatchSize is treated as the training trajectory length.

The MiniBatchSize value must be less than or equal to the ExperienceHorizon value.

#### EntropyLossWeight — Entropy loss weight

0.01 (default) | scalar value between 0 and 1

Entropy loss weight, specified as a scalar value between 0 and 1. A higher entropy loss weight value promotes agent exploration by applying a penalty for being too certain about which action to take. Doing so can help the agent move out of local optima.

When gradients are computed during training, an additional gradient component is computed for minimizing the entropy loss. For more information, see "Entropy Loss".

## NumEpoch — Number of epochs

1 (default) | positive integer

Number of epochs for which the actor and critic networks learn from the current experience set, specified as a positive integer.

### AdvantageEstimateMethod — Method for estimating advantage values

"gae" (default) | "finite-horizon"

Method for estimating advantage values, specified as one of the following:

- "gae" Generalized advantage estimator
- "finite-horizon" Finite horizon estimation

For more information on these methods, see the training algorithm information in "Proximal Policy Optimization (PPO) Agents".

#### GAEFactor — Smoothing factor for generalized advantage estimator

0.95 (default) | scalar value between 0 and 1

Smoothing factor for generalized advantage estimator, specified as a scalar value between 0 and 1, inclusive. This option applies only when the AdvantageEstimateMethod option is "gae"

#### ConjugateGradientDamping — Conjugate gradient damping factor

1e-4 (default) | nonnegative scalar

Conjugate gradient damping factor for numerical stability, specified as a nonnegative scalar.

## KLDivergenceLimit — Upper limit for KL divergence

0.01 (default) | positive scalar

Upper limit for the Kullback-Leibler (KL) divergence between the old policy and the current policy, specified as a positive scalar.

# NumIterationsConjugateGradient — Maximum number of iterations for conjugate gradient decent

10 (default) | positive integer

Maximum number of iterations for conjugate gradient decent, specified as positive integer.

#### NumIterationsLineSearch — Number of iterations for line search

10 (default) | positive integer

Number of iterations for line search, specified as a positive integer.

Typically, the default value works well for most cases.

# **ConjugateGradientResidualTolerance — Conjugate gradient residual tolerance factor** 1e-8 (default) | positive scalar

Conjugate gradient residual tolerance, specified as a positive scalar. Once the residual for the conjugate gradient algorithm is below this tolerance, the algorithm stops.

Typically, the default value works well for most cases.

# NormalizedAdvantageMethod — Method for normalizing advantage function "none" (default) | "current | "moving"

Method for normalizing advantage function values, specified as one of the following:

- "none" Do not normalize advantage values
- "current" Normalize the advantage function using the mean and standard deviation for the current mini-batch of experiences.
- "moving" Normalize the advantage function using the mean and standard deviation for a
  moving window of recent experiences. To specify the window size, set the
  AdvantageNormalizingWindow option.

In some environments, you can improve agent performance by normalizing the advantage function during training. The agent normalizes the advantage function by subtracting the mean advantage value and scaling by the standard deviation.

# AdvantageNormalizingWindow — Window size for normalizing advantage function 1e6 (default) | positive integer

Window size for normalizing advantage function values, specified as a positive integer. Use this option when the NormalizedAdvantageMethod option is "moving".

## CriticOptimizerOptions — Critic optimizer options

rlOptimizerOptions object

Critic optimizer options, specified as an rlOptimizerOptions object. It allows you to specify training parameters of the critic approximator such as learning rate, gradient threshold, as well as the optimizer algorithm and its parameters. For more information, see rlOptimizerOptions and rlOptimizer.

#### SampleTime — Sample time of agent

1 (default) | positive scalar | -1

Sample time of agent, specified as a positive scalar or as -1. Setting this parameter to -1 allows for event-based simulations.

Within a Simulink environment, the RL Agent block in which the agent is specified to execute every SampleTime seconds of simulation time. If SampleTime is -1, the block inherits the sample time from its parent subsystem.

Within a MATLAB environment, the agent is executed every time the environment advances. In this case, SampleTime is the time interval between consecutive elements in the output experience returned by sim or train. If SampleTime is -1, the time interval between consecutive elements in the returned output experience reflects the timing of the event that triggers the agent execution.

#### **DiscountFactor** — **Discount factor**

0.99 (default) | positive scalar less than or equal to 1

Discount factor applied to future rewards during training, specified as a positive scalar less than or equal to 1.

# **Object Functions**

rlTRPOAgent Trust region policy optimization (TRPO) reinforcement learning agent

## **Examples**

#### **Create TRPO Agent Options Object**

Create a TRPO agent options object, specifying the discount factor.

```
opt = rlTRPOAgentOptions(DiscountFactor=0.9)
opt =
 rlTRPOAgentOptions with properties:
                     ExperienceHorizon: 512
                         MiniBatchSize: 128
                     EntropyLossWeight: 0.0100
                              NumEpoch: 3
               AdvantageEstimateMethod: "gae"
                             GAEFactor: 0.9500
              ConjugateGradientDamping: 0.1000
                     KLDivergenceLimit: 0.0100
        NumIterationsConjugateGradient: 10
               NumIterationsLineSearch: 10
    ConjugateGradientResidualTolerance: 1.0000e-08
             NormalizedAdvantageMethod: "none"
            AdvantageNormalizingWindow: 1000000
                CriticOptimizerOptions: [1x1 rl.option.rlOptimizerOptions]
                            SampleTime: 1
                        DiscountFactor: 0.9000
                            InfoToSave: [1x1 struct]
```

You can modify options using dot notation. For example, set the agent sample time to 0.1.

```
opt.SampleTime = 0.1;
```

# **Version History**

Introduced in R2021b

**R2022a:** Simulation and deployment: UseDeterministicExploitation will be removed  $Warns\ starting\ in\ R2022a$ 

The property UseDeterministicExploitation of the rlTRPOAgentOptions object will be removed in a future release. Use the UseExplorationPolicy property of rlTRPOAgent instead.

Previously, you set UseDeterministicExploitation as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.AgentOptions.UseDeterministicExploitation = true;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.AgentOptions.UseDeterministicExploitation = false;
```

Starting in R2022a, set UseExplorationPolicy as follows.

• Force the agent to always select the action with maximum likelihood, thereby using a greedy deterministic policy for simulation and deployment.

```
agent.UseExplorationPolicy = false;
```

• Allow the agent to select its action by sampling its probability distribution for simulation and policy deployment, thereby using a stochastic policy that explores the observation space.

```
agent.UseExplorationPolicy = true;
```

Similarly to UseDeterministicExploitation, UseExplorationPolicy affects only simulation and deployment; it does not affect training.

#### See Also

#### **Objects**

rlTRPOAgent|rlAgentInitializationOptions

#### **Topics**

"Trust Region Policy Optimization (TRPO) Agents"

# rlValueFunction

Value function approximator object for reinforcement learning agents

# **Description**

This object implements a value function approximator object that you can use as a critic for a reinforcement learning agent. A value function is a mapping from an environment observation to the value of a policy. Specifically, its output is a scalar that represents the expected discounted cumulative long-term reward when an agent starts from the state corresponding to the given observation and executes actions according to a given policy afterwards. After you create an rlvalueFunction critic, use it to create an agent such as an rlaCAgent, rlPGAgent, or rlPPOAgent agent. For an example of this workflow, see "Create Actor and Critic Representations" on page 3-479. For more information on creating value functions, see "Create Policies and Value Functions".

# Creation

# **Syntax**

```
critic = rlValueFunction(net,observationInfo)
critic = rlValueFunction(net,ObservationInputNames=netObsNames)
critic = rlValueFunction(tab,observationInfo)
critic = rlValueFunction({basisFcn,W0},observationInfo)
critic = rlValueFunction(____,UseDevice=useDevice)
```

#### **Description**

critic = rlValueFunction(net,observationInfo) creates the value-function object critic
using the deep neural network net as approximation model, and sets the ObservationInfo
property of critic to the observationInfo input argument. The network input layers are
automatically associated with the environment observation channels according to the dimension
specifications in observationInfo.

critic = rlValueFunction(net,ObservationInputNames=netObsNames) specifies the
network input layer names to be associated with the environment observation channels. The function
assigns, in sequential order, each environment observation channel specified in observationInfo
to the layer specified by the corresponding name in the string array netObsNames. Therefore, the
network input layers, ordered as the names in netObsNames, must have the same data type and
dimensions as the observation channels, as ordered in observationInfo.

critic = rlValueFunction(tab,observationInfo) creates the value function object critic
with a discrete observation space, from the table tab, which is an rlTable object containing a
column array with as many elements as the number of possible observations. The function sets the
ObservationInfo property of critic to the observationInfo input argument, which in this case
must be a scalar rlFiniteSetSpec object.

critic = rlValueFunction({basisFcn,W0}, observationInfo) creates the value function
object critic using a custom basis function as underlying approximator. The first input argument is
a two-element cell array whose first element is the handle basisFcn to a custom basis function and
whose second element is the initial weight vector W0. The function sets the ObservationInfo
property of critic to the observationInfo input argument.

critic = rlValueFunction(\_\_\_\_, UseDevice=useDevice) specifies the device used to perform computations for the critic object, and sets the UseDevice property of critic to the useDevice input argument. You can use this syntax with any of the previous input-argument combinations.

### **Input Arguments**

#### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object (preferred)

Deep neural network used as the underlying approximator within the critic, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

**Note** Among the different network representation options, <code>dlnetwork</code> is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a <code>dlnetwork</code> object. However, best practice is to convert other representations to <code>dlnetwork</code> explicitly <code>before</code> using it to create a critic or an actor for a reinforcement learning agent. You can do so using <code>dlnet=dlnetwork(net)</code>, where <code>net</code> is any Deep Learning Toolbox neural network object. The resulting <code>dlnet</code> is the <code>dlnetwork</code> object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

The network must have as many input layers as the number of environment observation channels (with each input layer receiving input from an observation channel), and a single output layer returning a scalar value.

rlValueFunction objects support recurrent deep neural networks.

The learnable parameters of the critic are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

# netObsNames — Network input layers names corresponding to the environment observation channels

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels, specified as a string array or a cell array of character vectors. When you use this argument after 'ObservationInputNames', the function assigns, in sequential order, each environment

observation channel specified in observationInfo to each network input layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

Example: {"NetInput1 airspeed", "NetInput2 altitude"}

#### tab — Value table

rlTable object

Value table, specified as an rlTable object containing a column vector with length equal to the number of possible observations from the environment. Each element is the predicted discounted cumulative long-term reward when the agent starts from the given observation and takes the best possible action. The elements of this vector are the learnable parameters of the representation.

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the critic is the scalar c = W'\*B, where W is a weight vector containing the learnable parameters and B is the column vector returned by the custom basis function.

Your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are inputs in the same order and with the same data type and dimensions as the environment observation channels defined in observationInfo.

For an example on how to use a basis function to create a value function critic with a mixed continuous and discrete observation space, see "Create Mixed Observation Space Value Function Critic from Custom Basis Function" on page 3-473.

```
Example: @(obs1,obs2,obs3) [obs3(1)*obs1(1)^2; abs(obs2(5)+obs1(2))]
```

#### **WO** — Initial value of basis function weights

column vector

Initial value of the basis function weights W, specified as a column vector having the same length as the vector returned by the basis function.

# **Properties**

## **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlValueFucntion sets the ObservationInfo property of critic to the input argument observationInfo.

You can extract <code>ObservationInfo</code> from an existing environment or agent using <code>getObservationInfo</code>. You can also construct the specifications manually.

# UseDevice — Computation device used for training and simulation

"cpu" (default) | "gpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "gpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: "qpu"

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

getValue Obtain estimated value from a critic given environment observations and

actions

evaluate Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

setModel Set function approximation model for actor or critic getModel Get function approximator model from actor or critic

# **Examples**

# **Create Value Function Critic from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (which returns the content of the observation channel, as specified by obsInfo) and one output layer (returning the scalar value). Note that prod(obsInfo.Dimension) returns the total number of dimensions of the observation space regardless of whether the observation space is a column vector, row vector, or matrix.

Define the network as an array of layer objects.

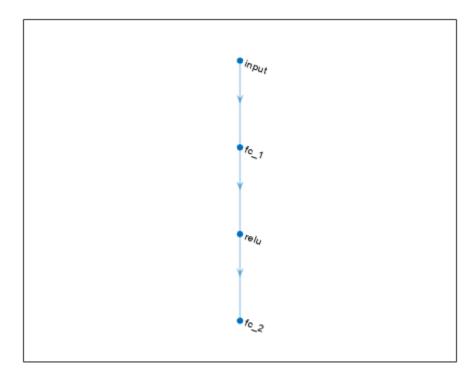
```
net = [
    featureInputLayer(prod(obsInfo.Dimension));
    fullyConnectedLayer(10);
    reluLayer;
    fullyConnectedLayer(1)
    ];
```

Convert the network to a dlnetwork object.

```
dlnet = dlnetwork(net);
```

You can plot the network using plot and display its main characteristics, like the number of weights, using summary.

```
plot(dlnet)
```



# summary(dlnet)

```
Initialized: true

Number of learnables: 61

Inputs:
    1 'input' 4 features
```

Create the critic using the network and the observation specification object.

```
critic = rlValueFunction(dlnet,obsInfo)
```

To check your critic, use getValue to return the value of a random observation, using the current network weights.

```
v = getValue(critic, {rand(obsInfo.Dimension)})
v = single
0.5196
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with a continuous observation space, and use a value function critic, are rlACAgent, rlPGAgent, rlPPOAgent, and rlTRPOAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### **Create Actor and Critic**

Create an actor and a critic that you can use to define a reinforcement learning agent such as an Actor-Critic (AC) agent. For this example, create actor and critic for an agent that can be trained against the cart-pole environment described in "Train AC Agent to Balance Cart-Pole System".

First, create the environment. Then, extract the observation and action specifications from the environment. You need these specifications to define the agent and critic.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a neural network with one input layer (receiving the content of the observation channel, as specified by obsInfo) and one output layer (returning the scalar value).

Define the network as an array of layer objects, and get the dimension of the observation space from the environment specification objects. Name the network input layer criticNetInput.

```
CriticNet = [
    featureInputLayer(prod(obsInfo.Dimension));
    fullyConnectedLayer(10);
    reluLayer;
    fullyConnectedLayer(10);
    reluLayer;
    fullyConnectedLayer(1)];

Convert the network to a dlnetwork object.

CriticNet = dlnetwork(CriticNet);

To display the network main characteristics, use summary.
```

```
summary(CriticNet)
```

```
Initialized: true

Number of learnables: 171

Inputs:
    1 'input' 4 features
```

Create the critic using CriticNet and the environment specification object. Set the observation name to observation, which is the name of the criticNetwork input layer.

```
critic = rlValueFunction(CriticNet,obsInfo)

critic =
   rlValueFunction with properties:

   ObservationInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

Check your critic using getValue to return the value of a random observation, given the current network weights.

```
v = getValue(critic,{rand(obsInfo.Dimension)})
v = single
   -0.3229
```

AC agents use a parametrized stochastic policy, which for discrete action spaces is implemented by a discrete categorical actor.

This actor takes an observation as input and returns as output a random action sampled (among the finite number of possible actions) from a categorical probability distribution.

To model the parametrized policy within the actor, use a neural network with one input layer (which receives the content of the environment observation channel, as specified by obsInfo) and one output layer. The output layer must return a vector of probabilities for each possible action, as specified by actInfo.

You can obtain the number of actions from the actInfo specification. Name the network output actorNetOutput.

```
actorNet = [
    featureInputLayer(prod(obsInfo.Dimension))
    fullyConnectedLayer(10);
    reluLaver;
    fullyConnectedLayer(10);
    reluLayer;
    fullyConnectedLayer(numel(actInfo.Elements)) ];
Convert the network to a dlnetwork object.
actorNet = dlnetwork(actorNet);
To display the network main characteristics, use summary.
summary(actorNet)
   Initialized: true
   Number of learnables: 182
   Inputs:
         'input'
      1
                    4 features
```

Create the actor using rlDiscreteCategoricalActor together with the observation and action specifications.

To check your actor, use **getAction** to return a random action from a given observation, using the current network weights.

```
a = getAction(actor,{rand(obsInfo.Dimension)})
a = 1x1 cell array
{[-10]}
```

To return the probability distribution of the possible actions as a function of a random observation and given the current network weights, use evaluate.

```
prb = evaluate(actor,{rand(obsInfo.Dimension)})
prb = 1x1 cell array
    {2x1 single}

prb{1}
ans = 2x1 single column vector
    0.5917
    0.4083
```

Specify the optimization options for the actor and the critic using rlOptimizerOptions. These options control the learning of the network parameters. For both networks, set the gradient threshold to 1. For this example, set the learning rate to 0.01. For the actor network, set the learning rate to 0.05.

```
criticOpts = rlOptimizerOptions( ...
    LearnRate=1e-2,...
    GradientThreshold=1);
actorOpts = rlOptimizerOptions( ...
    LearnRate=5e-2,...
    GradientThreshold=1);
```

Specify agent options, including the objects previously created for both actor and critic.

```
agentOpts = rlACAgentOptions(...
    NumStepsToLookAhead=32,...
    DiscountFactor=0.99,...
    CriticOptimizerOptions=criticOpts,...
    ActorOptimizerOptions=actorOpts);
```

Create an AC agent using the actor, the critic and the agent options object.

```
agent = rlACAgent(actor,critic,agentOpts)
agent =
    rlACAgent with properties:

         AgentOptions: [1x1 rl.option.rlACAgentOptions]
         UseExplorationPolicy: 1
         ObservationInfo: [1x1 rl.util.rlNumericSpec]
               ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
               SampleTime: 1
```

To check your agent, use **getAction** to return a random action from a given observation, using the current actor and critic network weights.

```
act = getAction(agent,{rand(obsInfo.Dimension)})
act = 1x1 cell array
{[-10]}
```

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

For additional examples showing how to create actors and critics for different agent types, see "Train DDPG Agent to Control Double Integrator System" and "Train DQN Agent to Balance Cart-Pole System".

# **Create Value Function Critic from Table**

Create a finite set observation specification object (or alternatively use get0bservationInfo to extract the specification object from an environment with a discrete observation space). For this example, define the observation space as a finite set consisting of four possible values 1, 3, 5 and 7.

```
obsInfo = rlFiniteSetSpec([1 3 5 7]);
```

A value-function critic takes the current observation as input and returns a single scalar value as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

Since both observation and action spaces are discrete and low-dimensional, use a table to model the value function within the critic. rlTable creates a value table object from the observation and action specifications objects.

```
vTable = rlTable(obsInfo);
```

The table is a column vector in which each entry stores the value of the corresponding observation, under the given policy. You can access the table using the Table property of the vTable object. The initial value of each element is zero.

```
vTable.Table ans = 4 \times 1
```

0

0

You can also initialize the table to any value, in this case, an array containing all the integers from 1 to 4.

```
vTable.Table = reshape(1:4,4,1)
vTable =
   rlTable with properties:
   Table: [4x1 double]
```

Create the critic using the table and the observation specification object.

To check your critic, use the getValue function to return the value of a given observation, using the current table entries.

```
v = getValue(critic, {7})
v = 4
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with discrete observation spaces, and use a value function critic, are rlACAgent, rlPGAgent, rlPPOAgent. rlTRPOAgent does not support actors or critics that use tables.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# Create Value Function Critic from Custom Basis Function

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a custom basis function. Create a custom function that returns a vector of three elements, given an observation as input.

```
myBasisFcn = @(myobs) [
    myobs(2)^2;
    myobs(3)+exp(myobs(1));
    abs(myobs(4)) ]

myBasisFcn = function_handle with value:
    @(myobs)[myobs(2)^2;myobs(3)+exp(myobs(1));abs(myobs(4))]
```

The output of the critic is the scalar W'\*myBasisFcn(myobs), which represents the estimated value of the observation under the given policy. Here W is a weight column vector which must have the same size as the custom basis function output. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = [3;5;2];
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second argument is the observation specification object.

```
critic = rlValueFunction({myBasisFcn,W0},obsInfo)
critic =
   rlValueFunction with properties:
    ObservationInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

To check your critic, use the getValue function to return the value of a given observation, using the current parameter vector.

```
v = getValue(critic,{[2 4 6 8]'})
v = 130.9453
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with continuous observation spaces, and use a value function critic, are rlACAgent, rlPGAgent, rlPPOAgent. rlTRPOAgent does not support actors or critics that use custom basis functions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

#### Create Value Function Critic from Recurrent Neural Network

Create an environment and obtain observation and action information.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
```

A value-function critic takes the current observation as input and returns a single scalar value as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a recurrent neural network with one input layer (receiving the content of the observation channel, as specified by obsInfo) and one output layer (returning the scalar value).

Define the network as an array of layer objects. To create a recurrent network, use a sequenceInputLayer as the input layer (with size equal to the number of dimensions of the observation channel) and include at least one lstmLayer.

```
myNet = [
    sequenceInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(8)
    reluLayer
    lstmLayer(8)
    fullyConnectedLayer(1) ];
Convert the network to a dlnetwork object.
dlNet = dlnetwork(myNet);
Display a summary of network characteristics.
summary(dlNet)
   Initialized: true
   Number of learnables: 593
   Inputs:
          'sequenceinput'
                             Sequence input with 4 dimensions
      1
Create a value function representation object for the critic.
```

```
critic = rlValueFunction(dlNet,obsInfo)

critic =
   rlValueFunction with properties:

   ObservationInfo: [1x1 rl.util.rlNumericSpec]
        UseDevice: "cpu"
```

To check your critic, use the getValue function to return the value of a random observation, using the current network weights.

```
v = getValue(critic,{rand(obsInfo.Dimension)})
v = single
0.0017
```

You can use getState and setState to extract and set the current state of the recurrent neural network in the critic.

```
getState(critic)
```

```
ans=2×1 cell array
     {8x1 single}
     {8x1 single}

critic = setState(critic, ...
     {-0.01*single(rand(8,1)), ...
     0.01*single(rand(8,1))};
```

To evaluate the critic using sequential observations, use the sequence length (time) dimension. For example, obtain actions for 5 independent sequences each one consisting of 9 sequential observations.

```
[value, state] = getValue(critic, ...
{rand([obsInfo.Dimension 5 9])});
```

Display the value corresponding to the seventh element of the observation sequence in the fourth sequence.

```
value(1,4,7)
ans = single
0.0766
```

Display the updated state of the recurrent neural network.

#### state

```
state=2×1 cell array
     {8x5 single}
     {8x5 single}
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with continuous observation spaces, and use a value function critic, are rlACAgent, rlPGAgent, rlPPOAgent. rlTRPOAgent does not support actors or critics that use recurrent networks.

For more information on input and output format for recurrent neural networks, see the Algorithms section of lstmLayer. For more information on creating approximator objects such as critics and critics, see "Create Policies and Value Functions".

# Create Mixed Observation Space Value Function Critic from Custom Basis Function

Create a finite-set observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as two channels where the first one is a single observation labeled 7, 5, 3, or 1, and the second one is a vector over a continuous three-dimensional space.

```
obsInfo = [rlFiniteSetSpec([7 5 3 1]) rlNumericSpec([3 1])];
```

A value-function critic takes the current observation as input and returns a single scalar as output (the estimated discounted cumulative long-term reward for following the policy from the state corresponding to the current observation).

To model the parametrized value function within the critic, use a custom basis function. Create a custom function that returns a vector of four elements, given the content of the two observation channels as input.

The output of the critic is the scalar W'\*myBasisFcn(myobs), which represents the estimated value of the observation under the given policy. Here W is a weight column vector which must have the same size as the custom basis function output. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = ones(4,1);
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second argument is the observation specification object.

To check your critic, use the getValue function to return the value of a given observation, using the current parameter vector.

```
v = getValue(critic, {5, [0.1 0.1 0.1]'})
v = 60
```

Note that the critic does not enforce the set constraint for the discrete set element.

```
v = getValue(critic, {-3, [0.1 0.1 0.1]'})
v = 12
```

You can now use the critic (along with an actor) to create an agent for the environment described by the given observation specification object. Examples of agents that can work with mixed observation spaces, and use a value function critic, are rlACAgent, rlPGAgent, rlPPOAgent. rlTRPOAgent does not support actors or critics that use custom basis functions.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

# See Also

#### **Functions**

getValue|getModel|evaluate|getObservationInfo|getActionInfo

# **Objects**

rlNumericSpec | rlFiniteSetSpec | rlQValueFunction | rlVectorQValueFunction |
rlTable | rlPGAgent | rlACAgent | rlPPOAgent | rlTRPOAgent

# **Topics**

- "Train PG Agent to Balance Cart-Pole System"
- "Train AC Agent to Balance Cart-Pole System"
- "Train PPO Agent for a Lander Vehicle"
- "Load Predefined Control System Environments"
- "Create Policies and Value Functions"
- "Reinforcement Learning Agents"

# rlValueRepresentation

(Not recommended) Value function critic representation for reinforcement learning agents

**Note** rlValueRepresentation is not recommended. Use rlValueFunction instead. For more information, see "rlValueRepresentation is not recommended".

# **Description**

This object implements a value function approximator to be used as a critic within a reinforcement learning agent. A value function is a function that maps an observation to a scalar value. The output represents the expected total long-term reward when the agent starts from the given observation and takes the best possible action. Value function critics therefore only need observations (but not actions) as inputs. After you create an rlvalueRepresentation critic, use it to create an agent relying on a value function critic, such as an rlaCAgent, rlpGAgent, or rlpPOAgent. For an example of this workflow, see "Create Actor and Critic Representations" on page 3-479. For more information on creating representations, see "Create Policies and Value Functions".

# Creation

# **Syntax**

```
critic = rlValueRepresentation(net,observationInfo,'Observation',obsName)
critic = rlValueRepresentation(tab,observationInfo)
critic = rlValueRepresentation({basisFcn,W0},observationInfo)
critic = rlValueRepresentation(____,options)
```

# **Description**

critic = rlValueRepresentation(net,observationInfo,'Observation',obsName)
creates the value function based critic from the deep neural network net. This syntax sets the
ObservationInfo property of critic to the input observationInfo. obsName must contain the
names of the input layers of net.

critic = rlValueRepresentation(tab,observationInfo) creates the value function based
critic with a discrete observation space, from the value table tab, which is an rlTable object
containing a column array with as many elements as the possible observations. This syntax sets the
ObservationInfo property of critic to the input observationInfo.

critic = rlValueRepresentation({basisFcn,W0},observationInfo) creates the value
function based critic using a custom basis function as underlying approximator. The first input
argument is a two-elements cell in which the first element contains the handle basisFcn to a custom
basis function, and the second element contains the initial weight vector W0. This syntax sets the
ObservationInfo property of critic to the input observationInfo.

critic = rlValueRepresentation( \_\_\_\_, options) creates the value function based critic
using the additional option set options, which is an rlRepresentationOptions object. This

syntax sets the Options property of critic to the options input argument. You can use this syntax with any of the previous input-argument combinations.

# **Input Arguments**

# net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object | dlNetwork object

Deep neural network used as the underlying approximator within the critic, specified as one of the following:

- · Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

The network input layers must be in the same order and with the same data type and dimensions as the signals defined in ObservationInfo. Also, the names of these input layers must match the observation names listed in obsName.

rlValueRepresentation objects support recurrent deep neural networks.

For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

## obsName — Observation names

string | character vector | cell array of character vectors

Observation names, specified as a cell array of strings or character vectors. The observation names must be the names of the input layers in net. These network layers must be in the same order and with the same data type and dimensions as the signals defined in ObservationInfo.

Example: {'my obs'}

### tab - Value table

rlTable object

Value table, specified as an rlTable object containing a column vector with length equal to the number of observations. The element i is the expected cumulative long-term reward when the agent starts from the given observation s and takes the best possible action. The elements of this vector are the learnable parameters of the representation.

### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the critic is c = W'\*B, where W is a weight vector and B is the column vector returned by the custom basis function. c is the expected cumulative long term reward when the agent starts from the given observation and takes the best possible action. The learnable parameters of this representation are the elements of W.

When creating a value function critic representation, your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here obs1 to obsN are observations in the same order and with the same data type and dimensions as the signals defined in ObservationInfo.

```
Example: @(obs1,obs2,obs3) [obs3(1)*obs1(1)^2; abs(obs2(5)+obs1(2))]
```

### W0 — Initial value of the basis function weights

column vector

Initial value of the basis function weights, W, specified as a column vector having the same length as the vector returned by the basis function.

# **Properties**

# **Options** — Representation options

rlRepresentationOptions object

Representation options, specified as an rlRepresentationOptions object. Available options include the optimizer used for training and the learning rate.

# **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. These objects define properties such as the dimensions, data types, and names of the observation signals.

rlValueRepresentation sets the ObservationInfo property of critic to the input observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

# **Object Functions**

rlACAgent Actor-critic (AC) reinforcement learning agent rlPGAgent Policy gradient (PG) reinforcement learning agent

rlPPOAgent Proximal policy optimization (PPO) reinforcement learning agent

getValue Obtain estimated value from a critic given environment observations and actions

# **Examples**

# **Create Value Function Critic from Deep Neural Network**

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 4 doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create a deep neural network to approximate the value function within the critic. The input of the network (here called myobs) must accept a four-element vector (the observation vector defined by obsInfo), and the output must be a scalar (the value, representing the expected cumulative long-term reward when the agent starts from the given observation).

```
net = [featureInputLayer(4, 'Normalization', 'none', 'Name', 'myobs')
     fullyConnectedLayer(1, 'Name', 'value')];
```

Create the critic using the network, observation specification object, and name of the network input layer.

```
critic = rlValueRepresentation(net,obsInfo,'Observation',{'myobs'})
critic =
   rlValueRepresentation with properties:
   ObservationInfo: [1x1 rl.util.rlNumericSpec]
        Options: [1x1 rl.option.rlRepresentationOptions]
```

To check your critic, use the getValue function to return the value of a random observation, using the current network weights.

```
v = getValue(critic,{rand(4,1)})
v = single
0.7904
```

You can now use the critic (along with an actor) to create an agent relying on a value function critic (such as rlACAgent or rlPGAgent).

# **Create Actor and Critic Representations**

Create an actor representation and a critic representation that you can use to define a reinforcement learning agent such as an Actor Critic (AC) agent.

For this example, create actor and critic representations for an agent that can be trained against the cart-pole environment described in "Train AC Agent to Balance Cart-Pole System". First, create the environment. Then, extract the observation and action specifications from the environment. You need these specifications to define the agent and critic representations.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

For a state-value-function critic such as those used for AC or PG agents, the inputs are the observations and the output should be a scalar value, the state value. For this example, create the critic representation using a deep neural network with one output, and with observation signals corresponding to x, xdot, theta, and thetadot as described in "Train AC Agent to Balance Cart-Pole System". You can obtain the number of observations from the obsInfo specification. Name the network layer input 'observation'.

```
numObservation = obsInfo.Dimension(1);
criticNetwork = [
```

```
featureInputLayer(numObservation,'Normalization','none','Name','observation')
fullyConnectedLayer(1,'Name','CriticFC')];
```

Specify options for the critic representation using rlRepresentationOptions. These options control the learning of the critic network parameters. For this example, set the learning rate to 0.05 and the gradient threshold to 1.

```
repOpts = rlRepresentationOptions('LearnRate',5e-2,'GradientThreshold',1);
```

Create the critic representation using the specified neural network and options. Also, specify the action and observation information for the critic. Set the observation name to 'observation', which is the of the criticNetwork input layer.

Similarly, create a network for the actor. An AC agent decides which action to take given observations using an actor representation. For an actor, the inputs are the observations, and the output depends on whether the action space is discrete or continuous. For the actor of this example, there are two possible discrete actions, -10 or 10. To create the actor, use a deep neural network with the same observation input as the critic, that can output these two values. You can obtain the number of actions from the actInfo specification. Name the output 'action'.

```
numAction = numel(actInfo.Elements);
actorNetwork = [
    featureInputLayer(numObservation, 'Normalization', 'none', 'Name', 'observation')
    fullyConnectedLayer(numAction, 'Name', 'action')];
```

Create the actor representation using the observation name and specification and the same representation options.

Create an AC agent using the actor and critic representations.

```
agent0pts = rlACAgent0ptions(...
    'NumStepsToLookAhead',32,...
    'DiscountFactor',0.99);
agent = rlACAgent(actor,critic,agent0pts)
agent =
    rlACAgent with properties:
```

```
AgentOptions: [1x1 rl.option.rlACAgentOptions]
```

For additional examples showing how to create actor and critic representations for different agent types, see:

- "Train DDPG Agent to Control Double Integrator System"
- "Train DQN Agent to Balance Cart-Pole System"

### **Create Value Function Critic from Table**

Create a finite set observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment with a discrete observation space). For this example, define the observation space as a finite set consisting of 4 possible values.

```
obsInfo = rlFiniteSetSpec([1 3 5 7]);
```

Create a table to approximate the value function within the critic.

```
vTable = rlTable(obsInfo);
```

The table is a column vector in which each entry stores the expected cumulative long-term reward for each possible observation as defined by obsInfo. You can access the table using the Table property of the vTable object. The initial value of each element is zero.

#### vTable.Table

```
ans = 4×1
0
0
0
```

You can also initialize the table to any value, in this case, an array containing all the integers from 1 to 4.

```
vTable.Table = reshape(1:4,4,1)
vTable =
  rlTable with properties:
  Table: [4x1 double]
```

Create the critic using the table and the observation specification object.

To check your critic, use the getValue function to return the value of a given observation, using the current table entries.

```
v = getValue(critic, {7})
v = 4
```

You can now use the critic (along with an actor) to create an agent relying on a value function critic (such as rlACAgent or rlPGAgent agent).

#### Create Value Function Critic from Custom Basis Function

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that a single observation is a column vector containing 4 doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create a custom basis function to approximate the value function within the critic. The custom basis function must return a column vector. Each vector element must be a function of the observations defined by obsInfo.

```
myBasisFcn = @(myobs) [myobs(2)^2; myobs(3)+exp(myobs(1)); abs(myobs(4))]
myBasisFcn = function_handle with value:
    @(myobs)[myobs(2)^2;myobs(3)+exp(myobs(1));abs(myobs(4))]
```

The output of the critic is the scalar W'\*myBasisFcn(myobs), where W is a weight column vector which must have the same size of the custom basis function output. This output is the expected cumulative long term reward when the agent starts from the given observation and takes the best possible action. The elements of W are the learnable parameters.

Define an initial parameter vector.

```
W0 = [3;5;2];
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial weight vector. The second argument is the observation specification object.

To check your critic, use the getValue function to return the value of a given observation, using the current parameter vector.

```
v = getValue(critic,{[2 4 6 8]'})
```

```
/ =
  1x1 dlarray
  130.9453
```

You can now use the critic (along with an with an actor) to create an agent relying on a value function critic (such as rlACAgent or rlPGAgent).

#### **Create Value Function Critic from Recurrent Neural Network**

Create an environment and obtain observation and action information.

```
env = rlPredefinedEnv('CartPole-Discrete');
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
numObs = obsInfo.Dimension(1);
numDiscreteAct = numel(actInfo.Elements);
```

Create a recurrent deep neural network for the critic. To create a recurrent neural network, use a sequenceInputLayer as the input layer and include at least one lstmLayer.

```
criticNetwork = [
    sequenceInputLayer(numObs,'Normalization','none','Name','state')
    fullyConnectedLayer(8, 'Name','fc')
    reluLayer('Name','relu')
    lstmLayer(8,'OutputMode','sequence','Name','lstm')
    fullyConnectedLayer(1,'Name','output')];
```

Create a value function representation object for the critic.

```
criticOptions = rlRepresentationOptions('LearnRate',1e-2,'GradientThreshold',1);
critic = rlValueRepresentation(criticNetwork,obsInfo,...
    'Observation','state',criticOptions);
```

# **Version History**

Introduced in R2020a

#### R2022a: rlValueRepresentation is not recommended

Not recommended starting in R2022a

rlValueRepresentation is not recommended. Use rlValueFunction instead.

The following table shows some typical uses of rlValueRepresentation, and how to update your code with rlValueFunction instead. Each table entry is related to different approximator objects, the first one uses a neural network, the second one uses a table, the third one uses a basis function.

rlValueRepresentation: Not Recommended	rlValueFunction: Recommended
<pre>myCritic = rlValueRepresentation(net,obsInfo,'Obs ervation',obsNames), where the neural network net has observations as inputs and a single scalar output.</pre>	<pre>myCritic = rlValueFunction(net,obsInfo,'Observati onInputNames',obsNames). Use this syntax to create a state value function object for a critic that does not require action input.</pre>
<pre>rep = rlValueRepresentation(tab,obsInfo) where the table tab contains a column vector with as many elements as the number of possible observations.</pre>	<pre>rep = rlValueFunction(tab,obsInfo). Use this syntax to create a value function object for a critic that does not require action input.</pre>
<pre>rep = rlValueRepresentation({basisFcn,W0},ob sInfo), where the basis function has only observations as inputs and W0 is a column vector.</pre>	<pre>rep = rlValueFunction({basisFcn,W0},obsInfo) . Use this syntax to create a value function object for a critic that does not require action input.</pre>

# See Also

# **Functions**

getActionInfo | getObservationInfo

# **Objects**

rlValueFunction

# **Topics**

"Create Policies and Value Functions"

<sup>&</sup>quot;Reinforcement Learning Agents"

# rlVectorQValueFunction

Vector Q-value function approximator for reinforcement learning agents

# **Description**

This object implements a vector Q-value function approximator that you can use as a critic with a discrete action space for a reinforcement learning agent. A vector Q-value function is a mapping from an environment observation to a vector in which each element represents the expected discounted cumulative long-term reward when an agent starts from the state corresponding to the given observation and executes the action corresponding to the element number (and follows a given policy afterwards). A vector Q-value function critic therefore needs only the observation as input. After you create an rlVectorQValueFunction critic, use it to create an agent such as rlQAgent, rlDQNAgent, rlSARSAAgent. For more information on creating representations, see "Create Policies and Value Functions".

# Creation

# Syntax

```
critic = rlVectorQValueFunction(net,observationInfo,actionInfo)
critic = rlVectorQValueFunction(net,observationInfo,ObservationInputNames=
netObsNames)

critic = rlVectorQValueFunction({basisFcn,W0},observationInfo,actionInfo)
critic = rlVectorQValueFunction(____,UseDevice=useDevice)
```

# **Description**

critic = rlVectorQValueFunction(net,observationInfo,actionInfo) creates the multioutput Q-value function critic with a discrete action space. Here, net is the deep neural network
used as an approximation model, and must have only the observations as input and a single output
layer having as many elements as the number of possible discrete actions. The network input layers
are automatically associated with the environment observation channels according to the dimension
specifications in observationInfo. This function sets the ObservationInfo and ActionInfo
properties of critic to the observationInfo and actionInfo input arguments, respectively.

critic = rlVectorQValueFunction(net,observationInfo,ObservationInputNames=
netObsNames) specifies the names of the network input layers to be associated with the
environment observation channels. The function assigns, in sequential order, each environment
observation channel specified in observationInfo to the layer specified by the corresponding name
in the string array netObsNames. Therefore, the network input layers, ordered as the names in
netObsNames, must have the same data type and dimensions as the observation channels, as ordered
in observationInfo.

critic = rlVectorQValueFunction({basisFcn,W0},observationInfo,actionInfo)
creates the multi-output Q-value function critic with a discrete action space using a custom basis

function as underlying approximation model. The first input argument is a two-element cell array whose first element is the handle basisFcn to a custom basis function and whose second element is the initial weight matrix W0. Here the basis function must have only the observations as inputs, and W0 must have as many columns as the number of possible actions. The function sets the ObservationInfo and ActionInfo properties of critic to the input arguments observationInfo and actionInfo, respectively.

critic = rlVectorQValueFunction( \_\_\_\_, UseDevice=useDevice) specifies the device used
to perform computations for the critic object, and sets the UseDevice property of critic to the
useDevice input argument. You can use this syntax with any of the previous input-argument
combinations.

# **Input Arguments**

### net — Deep neural network

array of Layer objects | layerGraph object | DAGNetwork object | SeriesNetwork object |
dlNetwork object (preferred)

Deep neural network used as the underlying approximator within the critic, specified as one of the following:

- Array of Layer objects
- layerGraph object
- DAGNetwork object
- SeriesNetwork object
- dlnetwork object

**Note** Among the different network representation options, dlnetwork is preferred, since it has built-in validation checks and supports automatic differentiation. If you pass another network object as an input argument, it is internally converted to a dlnetwork object. However, best practice is to convert other representations to dlnetwork explicitly before using it to create a critic or an actor for a reinforcement learning agent. You can do so using dlnet=dlnetwork(net), where net is any Deep Learning Toolbox neural network object. The resulting dlnet is the dlnetwork object that you use for your critic or actor. This practice allows a greater level of insight and control for cases in which the conversion is not straightforward and might require additional specifications.

The network must have as many input layers as the number of environment observation channels (with each input layer receiving input from an observation channel), and a single output layer with as many elements as the number of possible discrete actions.

rlQValueFunction objects support recurrent deep neural networks.

The learnable parameters of the critic are the weights of the deep neural network. For a list of deep neural network layers, see "List of Deep Learning Layers". For more information on creating deep neural networks for reinforcement learning, see "Create Policies and Value Functions".

# net0bsNames — Network input layers names corresponding to the environment observation channels

string array | cell array of character vectors

Network input layers names corresponding to the environment observation channels, specified as a string array or a cell array of character vectors. When you use this argument after

'ObservationInputNames', the function assigns, in sequential order, each environment observation channel specified in observationInfo to each network input layer specified by the corresponding name in the string array netObsNames. Therefore, the network input layers, ordered as the names in netObsNames, must have the same data type and dimensions as the observation channels, as ordered in observationInfo.

Example: {"NetInput1 airspeed", "NetInput2 altitude"}

#### basisFcn — Custom basis function

function handle

Custom basis function, specified as a function handle to a user-defined MATLAB function. The user defined function can either be an anonymous function or a function on the MATLAB path. The output of the critic is the vector c = W'\*B, where W is a matrix containing the learnable parameters, and B is the column vector returned by the custom basis function. Each element of a approximates the value of executing the corresponding action from the observed state.

Your basis function must have the following signature.

```
B = myBasisFunction(obs1,obs2,...,obsN)
```

Here, obs1 to obsN are inputs in the same order and with the same data type and dimensions as the channels defined in observationInfo.

```
Example: @(obs1,obs2) [act(2)*obs1(1)^2; abs(obs2(5))]
```

# WO — Initial value of basis function weights

matrix

Initial value of the basis function weights W, specified as a matrix having as many rows as the length of the basis function output vector and as many columns as the number of possible actions.

# **Properties**

# **ObservationInfo** — **Observation specifications**

rlFiniteSetSpec object | rlNumericSpec object | array

Observation specifications, specified as an rlFiniteSetSpec or rlNumericSpec object or an array containing a mix of such objects. Each element in the array defines the properties of an environment observation channel, such as its dimensions, data type, and name.

rlVectorQValueFucntion sets the ObservationInfo property of critic to the input argument observationInfo.

You can extract ObservationInfo from an existing environment or agent using getObservationInfo. You can also construct the specifications manually.

# ActionInfo — Action specifications

rlFiniteSetSpec object

Action specifications, specified as an rlFiniteSetSpec object. This object defines the properties of the environment action channel, such as its dimensions, data type, and name.

**Note** Only one action channel is allowed.

rlVectorQValueFucntion sets the ActionInfo property of critic to the input actionInfo.

You can extract ActionInfo from an existing environment or agent using getActionInfo. You can also construct the specifications manually.

# UseDevice — Computation device used for training and simulation

"cpu" (default) | "qpu"

Computation device used to perform operations such as gradient computation, parameter update and prediction during training and simulation, specified as either "cpu" or "qpu".

The "gpu" option requires both Parallel Computing Toolbox software and a CUDA enabled NVIDIA GPU. For more information on supported GPUs see "GPU Computing Requirements" (Parallel Computing Toolbox).

You can use gpuDevice (Parallel Computing Toolbox) to query or select a local GPU device to be used with MATLAB.

**Note** Training or simulating an agent on a GPU involves device-specific numerical round-off errors. These errors can produce different results compared to performing the same operations using a CPU.

To speed up training by using parallel processing over multiple cores, you do not need to use this argument. Instead, when training your agent, use an rlTrainingOptions object in which the UseParallel option is set to true. For more information about training using multicore processors and GPUs for training, see "Train Agents Using Parallel Computing and GPUs".

Example: "qpu"

evaluate

# **Object Functions**

rlDQNAgent Deep Q-network (DQN) reinforcement learning agent

rlQAgent Q-learning reinforcement learning agent SARSAAgent SARSA reinforcement learning agent

getValue Obtain estimated value from a critic given environment observations and

actions

getMaxQValue Obtain maximum estimated value over all possible actions from a Q-value

function critic with discrete action space, given environment observations Evaluate function approximator object given observation (or observation-

action) input data

gradient Evaluate gradient of function approximator object given observation and

action input data

accelerate Option to accelerate computation of gradient for approximator object

based on neural network

getLearnableParameters Obtain learnable parameter values from agent, function approximator, or

policy object

setLearnableParameters Set learnable parameter values of agent, function approximator, or policy

object

setModel Set function approximation model for actor or critic getModel Get function approximator model from actor or critic

# **Examples**

# Create Multi-Output Q-Value Function Critic from Deep Neural Network

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of three possible actions (labeled 7, 5, and 3).

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

A vector Q-value function takes only the observation as input and returns as output a single vector with as many elements as the number of possible actions. The value of each output element represents the expected discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy afterwards.

To model the parametrized vector Q-value function within the critic, use a neural network with one input layer (receiving the content of the observation channel, as specified by obsInfo) and one output layer (returning the vector of values for all the possible actions, as defined by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation space and the number of possible actions from the environment specification objects.

```
net = [
    featureInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(16)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
```

Convert the network to a dlnetwork object, and display the number of weights.

Create the critic using the network, as well as the observation and action specification objects.

```
UseDevice: "cpu"
```

To check your critic, use getValue to return the values of a random observation, using the current network weights. There is one value for each of the three possible actions.

```
v = getValue(critic, {rand(obsInfo.Dimension)})
v = 3x1 single column vector
     0.0761
     -0.5906
     0.2072
```

You can now use the critic to create an agent for the environment described by the given specification objects. Examples of agents that can work with a discrete action space, a continuous observation space, and use a vector Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# Create Multi-Output Q-Value Function Critic from Deep Neural Network Specifying Layer Names

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as a continuous four-dimensional space, so that there is a single observation channel that carries a column vector containing four doubles.

```
obsInfo = rlNumericSpec([4 1]);
```

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of three possible values (labeled 7, 5, and 3).

```
actInfo = rlFiniteSetSpec([7 5 3]);
```

A vector Q-value function takes only the observation as input and returns as output a single vector with as many elements as the number of possible actions. The value of each output element represents the expected discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy afterwards.

To model the parametrized vector Q-value function within the critic, use a neural network with one input layer (receiving the content of the observation channel, as specified by obsInfo) and one output layer (returning the vector of values for all the possible actions, as defined by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation space and the number of possible actions from the environment specification objects. Name the network input netObsIn (so you can later explicitly associate it with the observation input channel).

```
net = [
    featureInputLayer(obsInfo.Dimension(1),Name="netObsIn")
    fullyConnectedLayer(32)
```

```
tanhLayer
fullyConnectedLayer(numel(actInfo.Elements)) ];
```

Convert the network to a dlnetwork object and display the number of its learnable parameters.

```
net = dlnetwork(net)
net =
  dlnetwork with properties:
         Layers: [4x1 nnet.cnn.layer.Layer]
    Connections: [3x2 table]
     Learnables: [4x3 table]
State: [0x3 table]
     InputNames: {'netObsIn'}
    OutputNames: {'fc_2'}
    Initialized: 1
  View summary with summary.
summary(net)
   Initialized: true
   Number of learnables: 259
   Inputs:
      1
          'netObsIn'
                        4 features
```

Create the critic using the network, the observations specification object, and the name of the network input layer. The specified network input layer, netObsIn, is associated with the environment observation, and therefore must have the same data type and dimension as the observation channel specified in obsInfo.

```
critic = rlVectorQValueFunction(net, ...
    obsInfo,actInfo, ...
    ObservationInputNames="netObsIn")

critic =
    rlVectorQValueFunction with properties:

    ObservationInfo: [1x1 rl.util.rlNumericSpec]
         ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
         UseDevice: "cpu"
```

To check your critic, use the getValue function to return the values of a random observation, using the current network weights. The function returns one value for each of the three possible actions.

```
v = getValue(critic, {rand(obsInfo.Dimension)})
v = 3x1 single column vector
    0.0435
    0.1906
    0.7386
```

You can now use the critic to create an agent for the environment described by the given specification objects. Examples of agents that can work with a discrete action space, a continuous observation space, and use a vector Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# Create Multi-Output Q-Value Function Critic from Custom Basis Function

Create an observation specification object (or alternatively use getObservationInfo to extract the specification object from an environment). For this example, define the observation space as consisting of two channels, the first carrying a two-by-two continuous matrix and the second carrying scalar that can assume only two values, 0 and 1.

Create a finite set action specification object (or alternatively use getActionInfo to extract the specification object from an environment with a discrete action space). For this example, define the action space as a finite set consisting of three possible vectors, [1 2], [3 4], and [5 6].

```
actInfo = rlFiniteSetSpec({[1 2],[3 4],[5 6]});
```

A vector Q-value function takes only the observation as input and returns as output a single vector with as many elements as the number of possible actions.

To model the parametrized vector Q-value function within the critic, use a custom basis function with two inputs (which receive the content of the environment observation channels, as specified by obsInfo).

Create a function that returns a vector of four elements, given an observation as input.

The output of the critic is the vector c = W'\*myBasisFcn(obsA,obsB), where W is a weight matrix which must have as many rows as the length of the basis function output and as many columns as the number of possible actions.

Each element of c represents the expected cumulative long term reward when an agent starts from the given observation and takes the action corresponding to the position of the considered element (and follows the policy afterwards). The elements of W are the learnable parameters.

Define an initial parameter matrix.

```
W0 = rand(4,3);
```

Create the critic. The first argument is a two-element cell containing both the handle to the custom function and the initial parameter matrix. The second and third arguments are, respectively, the observation and action specification objects.

```
critic = rlVectorQValueFunction({myBasisFcn,W0},obsInfo,actInfo)
```

```
critic =
  rlVectorQValueFunction with properties:

  ObservationInfo: [2x1 rl.util.RLDataSpec]
          ActionInfo: [1x1 rl.util.rlFiniteSetSpec]
          UseDevice: "cpu"
```

To check your critic, use the getValue function to return the values of a random observation, using the current parameter matrix. The function returns one value for each of the three possible actions.

Note that the critic does not enforce the set constraint for the discrete set elements.

```
v = getValue(critic, {rand(2,2),-1})
v = 3×1
2.7890
1.8375
3.0855
```

You can now use the critic to create an agent for the environment described by the given specification objects. Examples of agents that can work with a discrete action space, a mixed observation space, and use a vector Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

### Create Multi-Output Q-Value Function Critic from Recurrent Neural Network

Create an environment and obtain observation and action specification objects.

```
env = rlPredefinedEnv("CartPole-Discrete");
obsInfo = getObservationInfo(env);
actInfo = getActionInfo(env);
```

A vector Q-value function takes only the observation as input and returns as output a single vector with as many elements as the number of possible actions. The value of each output element represents the expected discounted cumulative long-term reward for taking the action from the state corresponding to the current observation, and following the policy afterwards.

To model the parametrized vector Q-value function within the critic, use a recurrent neural network with one input layers (receiving the content of the observation channel, as specified by obsInfo) and one output layer (returning the vector of values for all the possible actions, as defined by actInfo).

Define the network as an array of layer objects, and get the dimension of the observation space and the number of possible actions from the environment specification objects. To create a recurrent network, use a sequenceInputLayer as the input layer (with size equal to the number of dimensions of the observation channel) and include at least one lstmLayer.

```
net = [
    sequenceInputLayer(obsInfo.Dimension(1))
    fullyConnectedLayer(50)
    reluLayer
    lstmLayer(20)
    fullyConnectedLayer(20)
    reluLayer
    fullyConnectedLayer(numel(actInfo.Elements))
    ];
```

Convert the network to a dlnetwork object, and display the number of weights.

```
net = dlnetwork(net);
summary(net)

Initialized: true

Number of learnables: 6.3k

Inputs:
    1 'sequenceinput' Sequence input with 4 dimensions
```

Create the critic using the network, as well as the observation and action specification objects.

```
critic = rlVectorQValueFunction(net, ...
   obsInfo,actInfo);
```

To check your critic, use the getValue function to return the value of a random observation and action, using the current network weights.

```
v = getValue(critic, {rand(obsInfo.Dimension)})
v = 2x1 single column vector
     0.0136
     0.0067
```

You can use getState and setState to extract and set the current state of the recurrent neural network in the critic.

```
getState(critic)
ans=2×1 cell array
    {20x1 single}
    {20x1 single}

critic = setState(critic, ...
    {-0.01*single(rand(20,1)), ...
    0.01*single(rand(20,1)));
```

To evaluate the critic using sequential observations, use the sequence length (time) dimension. For example, obtain actions for 5 independent sequences each one consisting of 9 sequential observations.

```
[value,state] = getValue(critic, ...
{rand([obsInfo.Dimension 5 9])});
```

Display the value corresponding to the seventh element of the observation sequence in the fourth sequence.

```
value(1,4,7)
ans = single
    0.0394
```

Display the updated state of the recurrent neural network.

#### state

```
state=2×1 cell array
    {20x5 single}
    {20x5 single}
```

You can now use the critic to create an agent for the environment described by the given specification objects. Examples of agents that can work with a discrete action space, a continuous observation space, and use a vector Q-value function critic, are rlQAgent, rlDQNAgent, and rlSARSAAgent.

For more information on creating approximator objects such as actors and critics, see "Create Policies and Value Functions".

# **Version History**

Introduced in R2022a

# See Also

#### **Functions**

getValue|getMaxQValue|getModel|evaluate|getObservationInfo|getActionInfo

## **Objects**

 $\verb|rlNumericSpec|| rlFiniteSetSpec|| rlValueFunction|| rlQValueFunction|| rlQAgent|| rlSARSAAgent|| rlDQNAgent||$ 

# **Topics**

"Train DQN Agent to Balance Cart-Pole System"

"Train DQN Agent to Swing Up and Balance Pendulum"

"Load Predefined Control System Environments"

"Create Policies and Value Functions"

"Reinforcement Learning Agents"

# scalingLayer

Scaling layer for actor or critic network

# **Description**

A scaling layer linearly scales and biases an input array U, giving an output Y = Scale.\*U + Bias. You can incorporate this layer into the deep neural networks you define for actors or critics in reinforcement learning agents. This layer is useful for scaling and shifting the outputs of nonlinear layers, such as tanhLayer and sigmoid.

For instance, a tanhLayer gives bounded output that falls between -1 and 1. If your actor network output has different bounds (as defined in the actor specification), you can include a ScalingLayer as an output to scale and shift the actor network output appropriately.

The parameters of a ScalingLayer object are not learnable.

# Creation

# **Syntax**

```
sLayer = scalingLayer
sLayer = scalingLayer(Name, Value)
```

## Description

sLayer = scalingLayer creates a scaling layer with default property values.

sLayer = scalingLayer(Name, Value) sets properties on page 3-496 using name-value pairs. For example, scalingLayer('Scale', 0.5) creates a scaling layer that scales its input by 0.5. Enclose each property name in quotes.

# **Properties**

#### Name — Name of layer

'scaling' (default) | character vector

Name of layer, specified as a character vector. To include a layer in a layer graph, you must specify a nonempty unique layer name. If you train a series network with this layer and Name is set to '', then the software automatically assigns a name to the layer at training time.

#### Description — Description of layer

'Scaling layer' (default) | character vector

This property is read-only.

Description of layer, specified as a character vector. When you create the scaling layer, you can use this property to give it a description that helps you identify its purpose.

# Scale — Element-wise scale on input

```
1 (default) | scalar | array
```

Element-wise scale on the input to the scaling layer, specified as one of the following:

- Scalar Specify the same scale factor for all elements of the input array.
- Array expandable to the same dimensions as the input array Specify different scale factors for each element of the input array.

**Note** Scale and Bias must have the same size if they are both arrays.

The scaling layer takes an input U and generates the output Y = Scale.\*U + Bias.

# **Bias** — **Element-wise bias on input**

```
0 (default) | scalar | array
```

Element-wise bias on the input to the scaling layer, specified as one of the following:

- Scalar Specify the same bias for all elements of the input array.
- Array expandable to the same dimensions as the input array Specify a different bias for each element of the input array.

**Note** Scale and Bias must have the same size if they are both arrays.

The scaling layer takes an input U and generates the output Y = Scale.\*U + Bias.

# **Examples**

#### **Create Scaling Layer**

Create a scaling layer that converts an input array U to the output array Y = 0.1.\*U - 0.4.

```
sLayer = scalingLayer(Scale=0.1,Bias=-0.4)
```

```
sLayer =
   ScalingLayer with properties:
    Name: 'scaling'
   Scale: 0.1000
    Bias: -0.4000

Learnable Parameters
   No properties.

State Parameters
   No properties.

Show all properties
```

Confirm that the scaling layer scales and offsets an input array as expected.

```
predict(sLayer,[10,20,30])
ans = 1 \times 3
0.6000 1.6000 2.6000
```

You can incorporate sLayer into an actor network or critic network for reinforcement learning.

# Specify Different Scale and Bias for Each Input Element

Assume that the layer preceding the scalingLayer is a tanhLayer with three outputs aligned along the first dimension, and that you want to apply a different scaling factor and bias to each out using a scalingLayer.

```
scale = [2.5 0.4 10]';
bias = [5 0 -50]';
Create the scalingLayer object.
sLayer = scalingLayer(Scale=scale, Bias=bias);
```

Confirm that the scaling layer applies the correct scale and bias values to an array with the expected dimensions.

```
testData = [10 10 10]';
predict(sLayer,testData)
ans = 3×1
30
4
50
```

# **Version History**

Introduced in R2019a

# **Extended Capabilities**

#### C/C++ Code Generation

Generate C and C++ code using MATLAB® Coder™.

#### **GPU Code Generation**

Generate CUDA® code for NVIDIA® GPUs using GPU Coder™.

# **See Also**

### **Functions**

quadraticLayer|softplusLayer

**Topics**"Train DDPG Agent to Swing Up and Balance Pendulum"
"Create Policies and Value Functions"

# **SimulinkEnvWithAgent**

Reinforcement learning environment with a dynamic model implemented in Simulink

# **Description**

The SimulinkEnvWithAgent object represents a reinforcement learning environment that uses a dynamic model implemented in Simulink. The environment object acts as an interface such that when you call sim or train, these functions in turn call the Simulink model to generate experiences for the agents.

## Creation

To create a SimulinkEnvWithAgent object, use one of the following functions.

- rlSimulinkEnv Create an environment using a Simulink model with at least one RL Agent block.
- createIntegratedEnv Use a reference model as a reinforcement learning environment.
- rlPredefinedEnv Create a predefined reinforcement learning environment.

## **Properties**

### Model - Simulink model name

string | character vector

Simulink model name, specified as a string or character vector. The specified model must contain one or more RL Agent blocks.

### AgentBlock — Agent block paths

string | string array

Agent block paths, specified as a string or string array.

If Model contains a single RL Agent block for training, then AgentBlock is a string containing the block path.

If Model contains multiple RL Agent blocks for training, then AgentBlock is a string array, where each element contains the path of one agent block.

Model can contain RL Agent blocks whose path is not included in AgentBlock. Such agent blocks behave as part of the environment and select actions based on their current policies. When you call sim or train, the experiences of these agents are not returned and their policies are not updated.

The agent blocks can be inside of a model reference. For more information on configuring an agent block for reinforcement learning, see RL Agent.

### ResetFcn — Reset behavior for environment

function handle | anonymous function handle

Reset behavior for the environment, specified as a function handle or anonymous function handle. The function must have a single Simulink.SimulationInput input argument and a single Simulink.SimulationInput output argument.

The reset function sets the initial state of the Simulink environment. For example, you can create a reset function that randomizes certain block states such that each training episode begins from different initial conditions.

If you have an existing reset function myResetFunction on the MATLAB path, set ResetFcn using a handle to the function.

```
env.ResetFcn = @(in)myResetFunction(in);
```

If your reset behavior is simple, you can implement it using an anonymous function handle. For example, the following code sets the variable  $x\theta$  to a random value.

```
env.ResetFcn = @(in) setVariable(in,'x0',rand());
```

The sim function calls the reset function to reset the environment at the start of each simulation, and the train function calls it at the start of each training episode.

## UseFastRestart — Option to toggle fast restart

```
"on" (default) | "off"
```

Option to toggle fast restart, specified as either "on" or "off". Fast restart allows you to perform iterative simulations without compiling a model or terminating the simulation each time.

For more information on fast restart, see "How Fast Restart Improves Iterative Simulations" (Simulink).

## **Object Functions**

train Train reinforcement learning agents within a specified environment

sim Simulate trained reinforcement learning agents within specified environment

getObservationInfo Obtain observation data specifications from reinforcement learning

environment, agent, or experience buffer

getActionInfo Obtain action data specifications from reinforcement learning environment,

agent, or experience buffer

## **Examples**

### **Create Simulink Environment Using Agent in Workspace**

Create a Simulink environment using the trained agent and corresponding Simulink model from the "Create Simulink Environment and Train Agent" example.

Load the agent in the MATLAB® workspace.

```
load rlWaterTankDDPGAgent
```

Create an environment for the rlwatertank model, which contains an RL Agent block. Since the agent used by the block is already in the workspace, you do not need to pass the observation and action specifications to create the environment.

```
env = rlSimulinkEnv("rlwatertank","rlwatertank/RL Agent")
```

Validate the environment by performing a short simulation for two sample times.

```
validateEnvironment(env)
```

You can now train and simulate the agent within the environment by using train and sim, respectively.

### **Create Reinforcement Learning Environment for Simulink Model**

For this example, consider the rlSimplePendulumModel Simulink model. The model is a simple frictionless pendulum that initially hangs in a downward position.

Open the model.

```
mdl = "rlSimplePendulumModel";
open system(mdl)
```

Create rlNumericSpec and rlFiniteSetSpec objects for the observation and action information, respectively.

The observation is a vector containing three signals: the sine, cosine, and time derivative of the angle.

```
obsInfo = rlNumericSpec([3 1])
obsInfo =
    rlNumericSpec with properties:
    LowerLimit: -Inf
    UpperLimit: Inf
        Name: [0x0 string]
    Description: [0x0 string]
    Dimension: [3 1]
    DataType: "double"
```

The action is a scalar expressing the torque and can be one of three possible values, -2 Nm, 0 Nm and 2 Nm.

```
Description: [0x0 string]
  Dimension: [1 1]
  DataType: "double"
```

You can use dot notation to assign property values for the rlNumericSpec and rlFiniteSetSpec objects.

```
obsInfo.Name = "observations";
actInfo.Name = "torque";
```

Assign the agent block path information, and create the reinforcement learning environment for the Simulink model using the information extracted in the previous steps.

You can also include a reset function using dot notation. For this example, randomly initialize theta0 in the model workspace.

### **Create Simulink Environment for Multiple Agents**

Create an environment for the Simulink model from the example "Train Multiple Agents to Perform Collaborative Task".

Load the agents in the MATLAB workspace.

```
load rlCollaborativeTaskAgents
```

Create an environment for the rlCollaborativeTask model, which has two agent blocks. Since the agents used by the two blocks (agentA and agentB) are already in the workspace, you do not need to pass their observation and action specifications to create the environment.

You can now simulate or train the agents within the environment using sim or train, respectively.

### **Create Continuous Simple Pendulum Model Environment**

Use the predefined "SimplePendulumModel-Continuous" keyword to create a continuous simple pendulum model reinforcement learning environment.

### **Create Environment from Simulink Model**

This example shows how to use <code>createIntegratedEnv</code> to create an environment object starting from a Simulink model that implements the system with which the agent. Such a system is often referred to as <code>plant</code>, <code>open-loop</code> system, or <code>reference</code> system, while the whole (integrated) system including the agent is often referred to as the <code>closed-loop</code> system.

For this example, use the flying robot model described in "Train DDPG Agent to Control Flying Robot" as the reference (open-loop) system.

Open the flying robot model.

```
open_system('rlFlyingRobotEnv')
```

Initialize the state variables and sample time.

```
% initial model state variables
theta0 = 0;
x0 = -15;
y0 = 0;
% sample time
Ts = 0.4;
```

Create the Simulink model myIntegratedEnv containing the flying robot model connected in a closed loop to the agent block. The function also returns the reinforcement learning environment object env to be used for training.

The function can also return the block path to the RL Agent block in the new integrated model, as well as the observation and action specifications for the reference model.

```
[~,agentBlk,observationInfo,actionInfo] = createIntegratedEnv( ...
    'rlFlyingRobotEnv','myIntegratedEnv')
agentBlk =
'myIntegratedEnv/RL Agent'
observationInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
           Name: "observation"
   Description: [0x0 string]
      Dimension: [7 1]
      DataType: "double"
actionInfo =
  rlNumericSpec with properties:
     LowerLimit: -Inf
     UpperLimit: Inf
          Name: "action"
   Description: [0x0 string]
      Dimension: [2 1]
      DataType: "double"
```

Returning the block path and specifications is useful in cases in which you need to modify descriptions, limits, or names in observationInfo and actionInfo. After modifying the specifications, you can then create an environment from the integrated model IntegratedEnv using the rlSimulinkEnv function.

# **Version History**

Introduced in R2019a

# See Also

## **Functions**

rlSimulinkEnv|rlPredefinedEnv|train|sim

## **Objects**

rlNumericSpec | rlFiniteSetSpec

### **Blocks**

**RL** Agent

**Topics** "Create Simulink Reinforcement Learning Environments"

# softplusLayer

Softplus layer for actor or critic network

# **Description**

A softplus layer applies the softplus activation function  $Y = \log(1 + e^X)$ , which ensures that the output is always positive. This activation function is a smooth continuous version of reluLayer. You can incorporate this layer into the deep neural networks you define for actors in reinforcement learning agents. This layer is useful for creating continuous Gaussian policy deep neural networks, for which the standard deviation output must be positive.

# Creation

## **Syntax**

```
sLayer = softplusLayer
sLayer = softplusLayer(Name, Value)
```

## Description

sLayer = softplusLayer creates a softplus layer with default property values.

sLayer = softplusLayer(Name, Value) sets properties on page 3-507 using name-value pairs.
For example, softplusLayer('Name', 'softlayer') creates a softplus layer and assigns the
name 'softlayer'.

# **Properties**

### Name — Name of layer

'softplus' (default) | character vector

Name of layer, specified as a character vector. To include a layer in a layer graph, you must specify a nonempty unique layer name. If you train a series network with this layer and Name is set to '', then the software automatically assigns a name to the layer at training time.

### Description — Description of layer

'Softplus layer' (default) | character vector

This property is read-only.

Description of layer, specified as a character vector. When you create the softplus layer, you can use this property to give it a description that helps you identify its purpose.

# **Examples**

## **Create Softplus Layer**

Create s softplus layer object.

```
sLayer = softplusLayer;
```

You can specify the name of the softplus layer. For example, if the softplus layer represents the standard deviation of a Gaussian policy deep neural network, you can specify an appropriate name.

```
sLayer = softplusLayer(Name="stddev")
sLayer =
    SoftplusLayer with properties:
    Name: 'stddev'
    Learnable Parameters
    No properties.
    State Parameters
    No properties.
    Show all properties
```

You can incorporate sLayer into an actor network for reinforcement learning.

# **Version History**

Introduced in R2020a

# **Extended Capabilities**

### C/C++ Code Generation

Generate C and C++ code using MATLAB® Coder™.

### **GPU Code Generation**

Generate CUDA® code for NVIDIA® GPUs using GPU Coder™.

## See Also

### **Functions**

quadraticLayer|scalingLayer

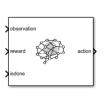
### **Topics**

"Create Policies and Value Functions"

# **Blocks**

# **RL Agent**

Reinforcement learning agent

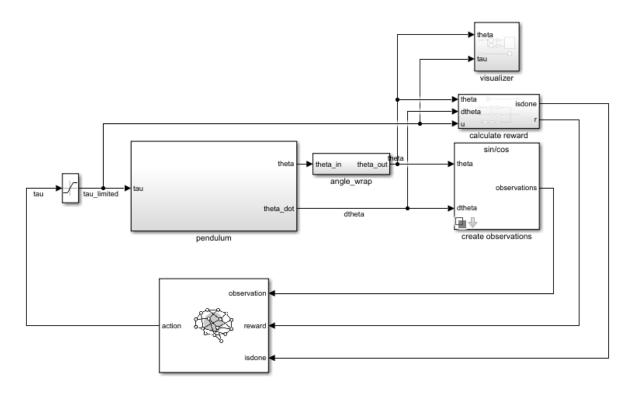


#### Libraries:

Reinforcement Learning Toolbox

## **Description**

Use the RL Agent block to simulate and train a reinforcement learning agent in Simulink. You associate the block with an agent stored in the MATLAB workspace or a data dictionary, such as an rlaCAgent or rlDDPGAgent object. You connect the block so that it receives an observation and a computed reward. For instance, consider the following block diagram of the rlSimplePendulumModel model.



The **observation** input port of the RL Agent block receives a signal that is derived from the instantaneous angle and angular velocity of the pendulum. The **reward** port receives a reward calculated from the same two values and the applied action. You configure the observations and reward computations that are appropriate to your system.

The block uses the agent to generate an action based on the observation and reward you provide. Connect the **action** output port to the appropriate input for your system. For instance, in the rlSimplePendulumModel, the **action** output port is a torque applied to the pendulum system. For more information about this model, see "Train DQN Agent to Swing Up and Balance Pendulum".

To train a reinforcement learning agent in Simulink, you generate an environment from the Simulink model. You then create and configure the agent for training against that environment. For more information, see "Create Simulink Reinforcement Learning Environments". When you call train using the environment, train simulates the model and updates the agent associated with the block.

### **Ports**

### Input

### **observation** — Environment observations

scalar | vector | nonvirtual bus

This port receives observation signals from the environment. Observation signals represent measurements or other instantaneous system data. If you have multiple observations, you can use a Mux block to combine them into a vector signal. To use a nonvirtual bus signal, use bus2RLSpec.

# **reward** — Reward from environment scalar

This port receives the reward signal, which you compute based on the observation data. The reward signal is used during agent training to maximize the expectation of the long-term reward.

# **isdone** — Flag to terminate episode simulation logical

Use this signal to specify conditions under which to terminate a training episode. You must configure logic appropriate to your system to determine the conditions for episode termination. One application is to terminate an episode that is clearly going well or going poorly. For instance, you can terminate an episode if the agent reaches its goal or goes irrecoverably far from its goal.

# **external action** — External action signal

scalar | vector

Use this signal to provide an external action to the block. This signal can be a control action from a human expert, which can be used for safe or imitation learning applications. When the value of the **use external action** signal is 1, the passes the **external action** signal to the environment through the **action** block output. The block also uses the external action to update the agent policy based on the resulting observations and rewards.

## **Dependencies**

To enable this port, select the **External action inputs** parameter.

# **last action** — Last action applied to environment signal scalar | vector

For some applications, the action applied to the environment can differ from the action output by the RL Agent block. For example, the Simulink model can contain a saturation block on the action output signal.

In such cases, to improve learning results, you can enable this input port and connect the actual action signal that is applied to the environment.

**Note** The **last action** port should be used only with off-policy agents, otherwise training can produce unexpected results.

### **Dependencies**

To enable this port, select the **Last action input** parameter.

```
use external action — Use external action signal 0 \mid 1
```

Use this signal to pass the **external action** signal to the environment.

When the value of the **use external action** signal is 1 the block passes the **external action** signal to the environment. The block also uses the external action to update the agent policy.

When the value of the **use external action** signal is 0 the block does not pass the **external action** signal to the environment and does not update the policy using the external action. Instead, the action from the block uses the action from the agent policy.

### **Dependencies**

To enable this port, select the **External action inputs** parameter.

### Output

```
action — Agent action
scalar | vector | nonvirtual bus
```

Action computed by the agent based on the observation and reward inputs. Connect this port to the inputs of your system. To use a nonvirtual bus signal, use bus2RLSpec.

**Note** Continuous action-space agents such as rlACAgent, rlPGAgent, or rlPPOAgent (the ones using an rlContinuousGaussianActor object), do not enforce constraints set by the action specification. In these cases, you must enforce action space constraints within the environment.

### **cumulative reward** — Total reward

scalar | vector

Cumulative sum of the reward signal during simulation. Observe or log this signal to track how the cumulative reward evolves over time.

### **Dependencies**

To enable this port, select the **Cumulative reward output** parameter.

### **Parameters**

# **Agent object** — Agent to train agent0bj (default) | agent object

Enter the name of an agent object stored in the MATLAB workspace or a data dictionary, such as an rlaCAgent or rlDDPGAgent object. For information about agent objects, see "Reinforcement Learning Agents".

If the RL Agent block is within a conditionally executed subsystem, such as a Triggered Subsystem or a Function-Call Subsystem, you must specify the sample time of the agent object as -1 so that the block can inherit the sample time of its parent subsystem.

**Programmatic Use** 

**Block Parameter:** Agent **Type:** string, character vector

Default: "agent0bj"

# **Generate greedy policy block** — Generate greedy policy block controller button

Generate a Policy block that implements a greedy policy for the agent specified in **Agent object** by calling the <code>generatePolicyBlock</code> block function. To generate a greedy policy, the block sets the <code>UseExplorationPolicy</code> property of the agent to false before generating the policy block..

The generated block is added to a new Simulink model and the policy data is saved in a MAT-file in the current working folder.

# **External action inputs** — Add input ports for external action off (default) | on

Enable the **external action** and **use external action** block input ports by selecting this parameter.

### **Programmatic Use**

Block Parameter: ExternalActionAsInput

Type: string, character vector

Values: "off" | "on" Default: "off"

**Last action input** — Add input ports for last action applied to environment off (default) | on

Enable the **last action** block input port by selecting this parameter.

#### **Programmatic Use**

Block Parameter: ProvideLastAction

**Type:** string, character vector

Values: "off" | "on"
Default: "off"

### **Cumulative reward output** — Add cumulative reward output port

off (default) | on

Enable the **cumulative reward** block output by selecting this parameter.

#### **Programmatic Use**

Block Parameter: ProvideCumRwd

Type: string, character vector Values: "off" | "on"

Default: "off"

# **Use strict observation data types** — Enforce strict data types for observations off (default) | on

Select this parameter to enforce the observation data types. In this case, if the data type of the signal connected to the **observation** input port does not match the data type in the **ObservationInfo** property of the agent, the block attempts to cast the signal to the correct data type. If casting the data type is not possible, the block generates an error.

### Enforcing strict data types:

- Lets you validate that the block is getting the correct data types.
- Allows other blocks to inherit their data type from the **observation** port.

### **Programmatic Use**

**Block Parameter:** UseStrictObservationDataTypes

**Type:** string, character vector

Values: "off" | "on"
Default: "off"

# **Version History**

Introduced in R2019a

### See Also

### **Functions**

bus2RLSpec | createIntegratedEnv

### **Blocks**

Policy

#### **Topics**

"Create Simulink Environment and Train Agent"

"Create Simulink Reinforcement Learning Environments"

# **Policy**

Reinforcement learning policy



#### Libraries

Reinforcement Learning Toolbox

## **Description**

Use the Policy block to simulate a reinforcement learning policy in Simulink and to generate code (using Simulink Coder) for deployment purposes. This block takes an observation as input and outputs an action. You associate the block with a MAT-file that contains the information needed to fully characterize the policy, and which can be generated by generatePolicyFunction or generatePolicyBlock.

### **Ports**

### Input

### **observation** — Environment observations

scalar | vector | nonvirtual bus

This port receives observation signals from the environment. Observation signals represent measurements or other instantaneous system data. If you have multiple observations, you can use a Mux block to combine them into a vector signal. To use a nonvirtual bus signal, use bus2RLSpec.

### Output

# action — Policy action scalar | vector | nonvirtual bus

Action computed by the policy based on the observation input. Connect this port to the inputs of your system. To use a nonvirtual bus signal, use bus2RLSpec.

**Note** Policy blocks generated from a continuous action-space rlStochasticActorPolicy object or a continuous action-space rlACAgent, rlPGAgent, or rlPPOAgent object, do not enforce the constraints set by the action specification. In these cases, you must enforce action space constraints within the environment.

### **Parameters**

### **Policy block data MAT file** — Policy block data MAT file

blockAgentData.mat (default) | file name

Enter the name of the MAT-file containing the information needed to fully characterize the policy. This file is generated by generatePolicyFunction or generatePolicyBlock. When you generate the

block using generatePolicyBlock and specify a non-default dataFileName argument, then the generated block has this parameter set to the specified file name, so that the block is associated with the generated data file.

To use a Policy block within a conditionally executed subsystem, such as a Triggered Subsystem or a Function-Call Subsystem, you must generate its data file from an agent or policy object which has its SampleTime property set to -1. Doing so allows the block to inherit the sample time of its parent subsystem.

### **Programmatic Use**

Block Parameter: MATFile
Type: string, character vector
Default: "blockAgentData.mat"

## Tips

• When using Embedded Coder® to generate parallel code, enabling the **Generate parallel for loops** optimization parameter improves the performance when the data being processed is large in size. However, if the network and the data is small, the overhead of initializing the threads for parallelization significantly reduces the performance. In this case, disable **Generate parallel for loops**. See Generate parallel for-loops (Embedded Coder) and coder.MexCodeConfig for more information.

# **Version History**

**Introduced in R2022b** 

## **Extended Capabilities**

### C/C++ Code Generation

Generate C and C++ code using Simulink® Coder™.

### See Also

#### **Functions**

bus2RLSpec | createIntegratedEnv | generatePolicyFunction | generatePolicyBlock

### **Objects**

rlMaxQPolicy | rlEpsilonGreedyPolicy | rlAdditiveNoisePolicy |
rlDeterministicActorPolicy | rlStochasticActorPolicy

#### **Blocks**

RL Agent

### **Topics**

"Create Simulink Environment and Train Agent"

"Create Policies and Value Functions"

"Create Simulink Reinforcement Learning Environments"